

Lecture II

An exercise in ν oscillation probability calculations

Goal:

Derive the approximate expression of $P(\nu_e \rightarrow \nu_\mu)$ in matter for 3ν oscillations, at 2nd order in the small parameters $\sin\theta_{13}$ and δm^2

(used in many papers on ν -factory and superbeam ν physics)

Donini, Meloni, Rigolin

Yokomakura, Kimura, Nakamura

Conventions & Notations

Fields: $\nu_{\alpha L} = \sum_i U_{\alpha i} \nu_{i L}$

\uparrow flavor \uparrow mixing \uparrow mass

$(i=1,2,3)$
 $(\alpha=e,\mu,\tau)$

1-particle states: $|\nu_{\alpha}\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$

(need $\bar{\psi}$, not ψ , to create
 a particle from vacuum $|0\rangle$)

Components: $|\nu\rangle = \sum_{\alpha} \nu^{\alpha} |\nu_{\alpha}\rangle = \sum_i \nu^i |\nu_i\rangle$

\uparrow numbers \uparrow

$$\nu^{\alpha} = \sum_i U_{\alpha i} \nu^i !$$

Unfortunately, there is much confusion in the literature about use of fields, states, components and thus about U/U^* conventions.

In the following, we'll use vector and matrix components in flavor basis.

PDG Convention :

$$U = O_{23} \Gamma_\delta O_{13} \Gamma_\delta^+ O_{12}$$

$$\Gamma_\delta = \text{diag}(1, 1, e^{i\delta})$$

$\delta = \cancel{\text{CP}}$ phase

$$O_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

$$c_{ij} = \cos \theta_{ij}$$

$$O_{13} = \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}$$

$$O_{12} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Some authors put only Γ_δ or Γ_δ^+ , not both.
 Better to put Γ_δ and Γ_δ^+ , so that $\det U = 1$
 instead of $\det(U) = e^{\pm i\delta}$.

Ranges : $\theta_{ij} \in [0, \frac{\pi}{2}]$

$$\delta \in [0, 2\pi]$$

Explicitly :

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & C_{23} & S_{23} \\ 0 & -S_{23} & C_{23} \end{pmatrix} \begin{pmatrix} C_{13} & 0 & S_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ S_{13} e^{i\delta} & 0 & C_{13} \end{pmatrix} \begin{pmatrix} C_{12} & S_{12} & 0 \\ -S_{12} & C_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

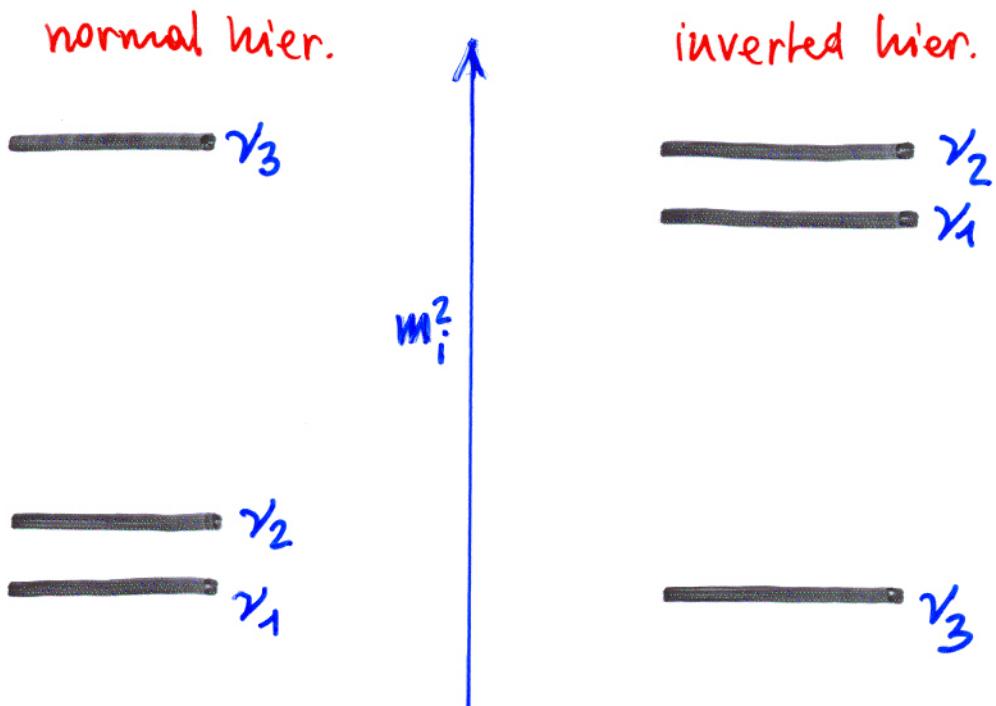
$$= \begin{pmatrix} C_{12} C_{13} & S_{12} C_{13} & S_{13} e^{-i\delta} \\ -S_{12} C_{23} - C_{12} S_{23} S_{13} e^{i\delta} & C_{12} C_{23} - S_{12} S_{23} S_{13} e^{i\delta} & S_{23} C_{13} \\ S_{12} S_{23} - C_{12} C_{23} S_{13} e^{i\delta} & -C_{12} S_{23} - S_{12} C_{23} S_{13} e^{i\delta} & C_{23} C_{13} \end{pmatrix}$$

For antineutrinos, $U \rightarrow U^*$

i.e., $\delta \rightarrow -\delta$

In the following, we shall refer to neutrinos

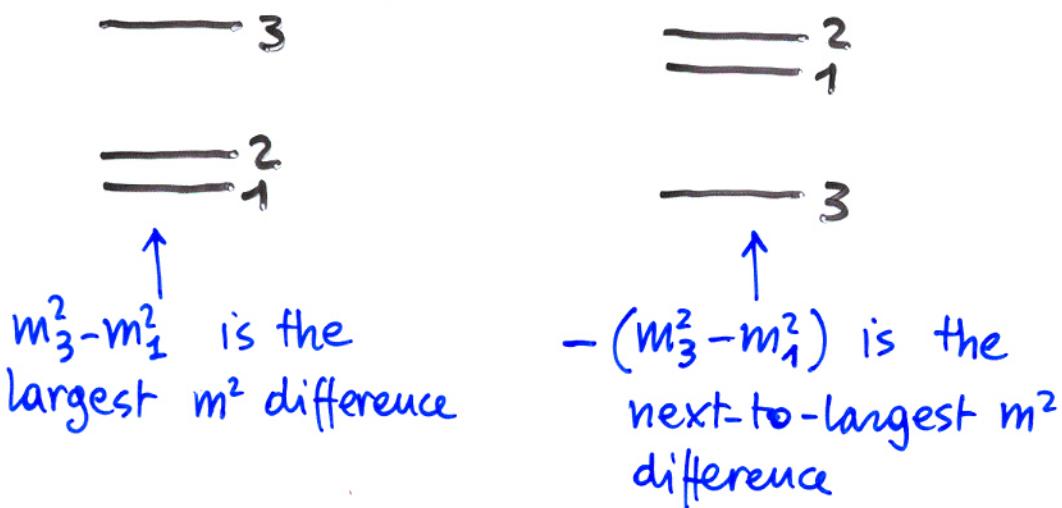
Neutrino mass convention



- Almost all authors agree in labeling the "lone" state as ν_3 , and the "doublet" as (ν_1, ν_2)
- There is also agreement in labeling ν_2 as the heaviest of (ν_1, ν_2) in both hierarchies, so that
$$\delta m^2 = m_2^2 - m_1^2 > 0$$
- Then the two hierarchies are distinguished by the physical sign of $m_3^2 - m_{1,2}^2$:
$$\text{sign}(m_3^2 - m_{1,2}^2) = \begin{cases} +1 & (\text{normal}) \\ -1 & (\text{inverted}) \end{cases}$$

The problem is how to define the 2nd (larger) squared mass difference.

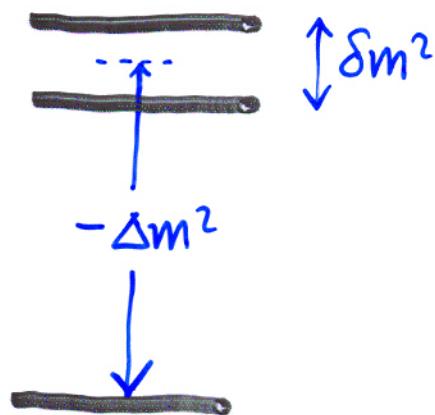
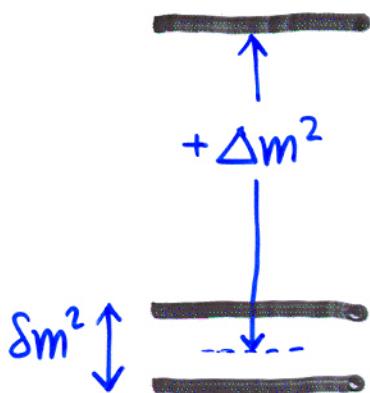
Some authors choose $m_3^2 - m_2^2$, others $m_3^2 - m_1^2$. Both choices have a drawback: To swap hierarchy, it is not sufficient to change $m_3^2 - m_1^2$ into $m_1^2 - m_3^2$ (i.e., just swap sign):



→ If you use $m_3^2 - m_1^2$ in normal hierarchy, must use $m_2^2 - m_3^2$ in inverse hierarchy (i.e., swap sign & change one label)

This "problem" is almost irrelevant in current phenomenology, but is starting to emerge in prospective studies of physics at future τ -factories

Our solution is to define the "large" m^2 differ. as the average of $m_3^2 - m_1^2$ and $m_3^2 - m_2^2$:



With this convention, changing hierarchy is exactly equivalent to swap $+Δm^2 \rightarrow -Δm^2$ without any re-labeling

Squared mass matrix:

$$\mathcal{M}^2 = \text{diag}(m_1^2, m_2^2, m_3^2)$$

$$\stackrel{\text{def}}{=} \frac{m_1^2 + m_2^2}{2} + \left(-\frac{\delta m^2}{2}, +\frac{\delta m^2}{2}, \pm \Delta m^2 \right)$$

$$m_2^2 - m_1^2 = \delta m^2$$

$$m_3^2 - m_1^2 = \pm \Delta m^2 + \frac{\delta m^2}{2}$$

$$m_3^2 - m_2^2 = \pm \Delta m^2 - \frac{\delta m^2}{2}$$

+ : normal
- : inverted

In the following: $+ \Delta m^2$ used.

Hamiltonian matrix components in flavor basis $|r_\alpha\rangle$, in vacuum:

$$H = \frac{1}{2E} \cdot U \mathcal{U}^2 U^+ = \frac{1}{2E} U \begin{pmatrix} m_1^2 & & \\ & m_2^2 & \\ & & m_3^2 \end{pmatrix} U^+$$

... and in matter (MSW effect)

$$H = \frac{1}{2E} U \mathcal{U}^2 U^+ + V$$

$$V = \text{diag}(\sqrt{2} G_F N_e(x), 0, 0)$$

N_e = electron density

$\sqrt{2} G_F N_e = V_e - V_{\mu, e}$ = interaction energy difference

In the following: $N_e = \text{const}$ (approx. valid along Earth crust trajectories).

Auxiliary variable: $A = 2EV = 2\sqrt{2}G_F N_e E$

(for \bar{v} : $V \rightarrow -V$; in the following: V)

In constant-density matter, the evolution operator is simple,

$$\mathcal{S}(x, 0) = e^{-iHx}$$

$$(\text{instead of } \mathcal{S} = \mathcal{T} [\mathcal{S} e^{-iHdt} dt])$$

If we are able to diagonalize

$$H = \frac{1}{2E} U \mathcal{M}^2 U^+ + V$$

$$= \frac{1}{2E} \tilde{U} \tilde{\mathcal{M}}^2 \tilde{U}^+$$

↑
diagonal

then :

$$\mathcal{S}(x, 0) = \tilde{U} e^{-i \frac{\mathcal{M}^2}{2E} x} \tilde{U}^+$$

But : $\dim(H) = 3$

→ cubic secular equation

→ formally simple but
messy in practice, if
analytical and tractable
expressions are needed

So, better to try approximate
diagonalization \rightarrow enormous literature!

Most of the tricks reduce to:

- 1) Use a suitable basis to simplify the problem
- 2) Reduce the 3ν evolution to a 2ν evolution when possible
- 3) Expand in small parameters

We shall use ~~both~~ all these tricks to calculate

$$P(\nu_e \rightarrow \nu_\mu)$$

Change of basis

Let us recall that:

$$H = U \frac{m^2}{2E} U^+ + V$$

$$m^2 = \text{diag}(m_1^2, m_2^2, m_3^2)$$

$$U = O_{23} \Gamma_\delta O_{13} \Gamma_\delta^+ O_{12}$$

$$\Gamma_\delta = \text{diag}(1, 1, e^{i\delta})$$

$$V = \text{diag}(\sqrt{2} G_F N_e, 0, 0)$$

in flavor basis.

A basis where calculations are simpler is given by the (complex) rotation:

$$\nu' = (O_{23} \Gamma_\delta)^+ \nu$$

↑ ↑
 new "flavor" old (flavor)
 components components

In fact, using the properties ...

$$(O_{23} \Gamma_\delta)^+ V (O_{23} \Gamma_\delta) \equiv V$$

$$\Gamma_\delta^+ O_{12} m^2 O_{12}^\top \Gamma_\delta = O_{12} m^2 O_{12}^\top$$

... one can easily see that the hamiltonian H' in the new "flavor" basis is :

$$\begin{aligned} H' &= (O_{23} \Gamma_\delta)^+ H (O_{23} \Gamma_\delta) \\ &= O_{13} O_{12} \frac{M^2}{2E} (O_{13} O_{12})^T + V \end{aligned}$$

→ H' does not depend on δ
and thus is real symmetric:

$$H'_{\alpha\beta} = H'_{\beta\alpha}$$

→ H' does not depend on Θ_{23}

→ $S' = e^{-iH'x}$

- is symmetric ($S'_{\alpha\beta} = S'_{\beta\alpha}$)
- does not depend on δ
- does not depend on Θ_{23}

So, we first calculate $S' = e^{-iH'x}$
and then get S by rotating back to
the flavor basis:

$$S = (O_{23} \Gamma_\delta) S' (O_{23} \Gamma_\delta)^+$$

$$S = (O_{23} \Gamma_\delta) S' (O_{23} \Gamma_\delta)^+$$

$$O_{23} \Gamma_\delta = \begin{pmatrix} 1 & 0 & 0 \\ 0 & C_{23} & S_{23} e^{i\delta} \\ 0 & -S_{23} & C_{23} e^{i\delta} \end{pmatrix}$$

Actually we need only $S_{e\mu}$ to calculate $P(\gamma_e \rightarrow \gamma_\mu)$:

$$P(\gamma_e \rightarrow \gamma_\mu) = |S_{e\mu}|^2$$

namely,

$$S_{e\mu} = S'_{e\mu} C_{23} + S'_{e\tau} S_{23} e^{-i\delta}$$

It follows that

$$\begin{aligned} P_{e\mu} &= |S_{e\mu}|^2 \\ &= A_{e\mu} \cos \delta + B_{e\mu} \sin \delta + C_{e\mu} \end{aligned}$$

with

$$A_{e\mu} = 2 \operatorname{Re} [S'_{e\mu} S'_{e\tau}] C_{23} S_{23}$$

$$B_{e\mu} = -2 \operatorname{Im} [S'_{e\mu} S'_{e\tau}] C_{23} S_{23}$$

$$C_{e\mu} = |S'_{e\mu}|^2 C_{23}^2 + |S'_{e\tau}|^2 S_{23}^2$$

→ We need $S'_{e\mu}$ and $S'_{e\tau}$ now!

The trick is now to reduce the evolution from 3ν to 2ν , by making use of an expansion in two phenomenologically small parameters:

$$\begin{aligned} S_{13} & \quad (\text{few \% from CHOOZ+...}) \\ \frac{\delta m^2}{\Delta m^2} & \quad (\sim \frac{1}{30}) \end{aligned}$$

In the following, a term T will be called "first-order" if proportional to S_{13} or δm^2 :

$$T \sim \theta_1 \quad \text{if} \quad T \propto \begin{matrix} S_{13} \\ \text{or } \delta m^2 \end{matrix};$$

"second order" if proportional to S_{13}^2 , $(\delta m^2)^2$, or $S_{13} \cdot \delta m^2$, etc...

We shall show that $S'_{e\mu} \sim \theta_1$
 $S'_{e\tau} \sim \theta_1$

Therefore $P_{e\mu}$, being a quadratic form in $S'_{e\mu}$ and $S'_{e\tau}$, will be a good approximation at second order:

$$P_{e\mu} \sim \theta_2$$

Intermezzo: evolution operator in the 2ν subcase.

$$H_{2\nu} = \frac{1}{2E} \left[\begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} \begin{pmatrix} \cos\theta & \sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} + \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} \right]$$

$$A = 2\sqrt{2} G_F N_e E$$

In traceless form:

$$H_{2\nu} = \frac{1}{4E} \begin{bmatrix} A - \cos 2\theta \Delta & \sin 2\theta \Delta \\ \sin 2\theta \Delta & -A + \cos 2\theta \Delta \end{bmatrix}$$

$$\Delta = m_2^2 - m_1^2$$

Eigenvalues: $\pm \frac{\tilde{\Delta}}{4E}$

$$\text{with } \tilde{\Delta} = \Delta \sqrt{\left(\cos 2\theta - \frac{A}{\Delta}\right)^2 + \sin^2 2\theta}$$

Diagonalizing rotation:

$$H = \begin{pmatrix} \cos \tilde{\theta} & \sin \tilde{\theta} \\ -\sin \tilde{\theta} & \cos \tilde{\theta} \end{pmatrix} \left(-\frac{\tilde{\Delta}}{4E} + \frac{A}{4E} \right) \begin{pmatrix} \cos \tilde{\theta} & -\sin \tilde{\theta} \\ \sin \tilde{\theta} & \cos \tilde{\theta} \end{pmatrix}$$

with \rightarrow

$$\sin 2\tilde{\theta} = \frac{\sin 2\theta}{\sqrt{(\cos 2\theta - \frac{A}{\Delta})^2 + \sin^2 2\theta}}$$

$$\cos 2\tilde{\theta} = \frac{\cos 2\theta - \frac{A}{\Delta}}{\sqrt{(\cos 2\theta - \frac{A}{\Delta})^2 + \sin^2 2\theta}}$$

Note that: $\tilde{\Delta} \sin 2\tilde{\theta} = \Delta \sin 2\theta$

$\tilde{\Delta}$ = "effective" m^2 difference in matter

$\tilde{\theta}$ = "effective" mixing angle in matter

$$\text{Now, } H_{2\nu} = \begin{pmatrix} c\tilde{\theta} & s\tilde{\theta} \\ -s\tilde{\theta} & c\tilde{\theta} \end{pmatrix} \left(-\frac{\tilde{\Delta}}{4E} + \frac{\tilde{\Delta}}{4E} \right) \begin{pmatrix} c\tilde{\theta} & -s\tilde{\theta} \\ s\tilde{\theta} & c\tilde{\theta} \end{pmatrix}$$

$$\begin{aligned} \rightarrow S_{2\nu} &= e^{-i H_{2\nu} x} \\ &= \begin{pmatrix} c\tilde{\theta} & s\tilde{\theta} \\ -s\tilde{\theta} & c\tilde{\theta} \end{pmatrix} e^{-i \left(-\frac{\tilde{\Delta}}{4E} + \frac{\tilde{\Delta}}{4E} \right) x} \begin{pmatrix} c\tilde{\theta} & -s\tilde{\theta} \\ s\tilde{\theta} & c\tilde{\theta} \end{pmatrix} \end{aligned}$$

(trivial)

$$S_{2\gamma} = \cos\left(\frac{\tilde{\Delta}}{4E}\tilde{x}\right) \cdot \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - i \sin\left(\frac{\tilde{\Delta}}{4E}\tilde{x}\right) \cdot \begin{bmatrix} \cos 2\tilde{\theta} & \sin 2\tilde{\theta} \\ \sin 2\tilde{\theta} & \cos 2\tilde{\theta} \end{bmatrix}$$

The flavor transition probability is the square modulus of the off-diagonal amplitude :

$$P_{\text{transition}} = |S_{\text{off}}|^2$$

where :

$$S_{\text{off}} = -i \sin\left(\frac{\tilde{\Delta}\tilde{x}}{4E}\right) \sin 2\tilde{\theta}$$

$$\rightarrow P_{\text{transit}} = \sin^2 2\tilde{\theta} \sin^2\left(\frac{\tilde{\Delta}\tilde{x}}{4E}\right)$$

just as in vacuum (Pontecorvo), but
with $\theta \rightarrow \tilde{\theta}$
 $\Delta \rightarrow \tilde{\Delta}$

End of the "Intermezzo"

Let's get back to the 3v hamiltonian H' in the v' basis:

$$H' = O_{13} O_{12} \frac{m^2}{2E} (O_{13} O_{12})^T + V$$

$$m^2 = \text{diag}\left(-\frac{\delta m^2}{2}, +\frac{\delta m^2}{2}, \Delta m^2\right)$$

$$V = \text{diag}(f_2 g_F N_e, 0, 0)$$

The evolution decouples as $3v = (2v) \oplus (1v)$ in two limits:

$$S_{13} \rightarrow 0 \quad \rightarrow O_{13} \equiv 1$$

$$\delta m^2 \rightarrow 0 \quad \rightarrow O_{12} m^2 O_{12} = m^2$$

Let us define

$$H^P = \lim_{S_{13} \rightarrow 0} H'$$

$$H^F = \lim_{\delta m^2 \rightarrow 0} H'$$

$$S_{13} \rightarrow 0$$

$$\begin{aligned} H^E &= \lim_{S_{13} \rightarrow 0} H^I = \frac{1}{2E} \left[O_{12} \left(-\frac{\delta m^2}{2} + \frac{\delta m^2}{2 \Delta m^2} \right) O_{12} + \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} \right] \\ &= \frac{A}{4E} \mathbb{1} + \frac{1}{2E} \left[O_{12} \left(-\frac{\delta m^2}{2} + \frac{\delta m^2}{2 \Delta m^2} \right) O_{12} + \begin{pmatrix} A/2 & 0 \\ 0 & -A/2 \\ 0 & -A/2 \end{pmatrix} \right] \\ &= \frac{A}{4E} \mathbb{1} + \frac{1}{4E} \begin{bmatrix} A - \cos 2\theta_{12} \delta m^2 & \sin 2\theta_{12} \delta m^2 & 0 \\ \sin 2\theta_{12} \delta m^2 & \cos 2\theta_{12} \delta m^2 A & 0 \\ 0 & 0 & 2\Delta m^2 - A \end{bmatrix} \end{aligned}$$

→ In the "flavor" basis ν^i , the (e, μ) subsystem evolves separately from the (τ) flavor in H^E

$$\rightarrow S_{\tau e}^l = \lim_{S_{13} \rightarrow 0} S'_{\tau e} = 0$$

→ $S'_{\tau e}$ vanishes with S_{13}

$$\rightarrow S'_{\tau e} = O(S_{13}) = O_1 \quad (\text{at least})$$

On the other hand, for $S_{\mu e}^l$ we have:

$$S_{\mu e}^l = e^{-i \frac{A}{4E} x} \cdot \left[-i \sin 2\tilde{\theta}_{12} \sin \left(\frac{\tilde{\delta m^2} x}{4E} \right) \right]$$

$$\text{with } \sin 2\tilde{\theta}_{12} = \sin 2\theta_{12} / \sqrt{\left(\cos 2\theta_{12} - \frac{A}{\delta m^2} \right)^2 + \sin^2 2\theta_{12}}$$

$$\tilde{\delta m^2} = \delta m^2 \sin 2\theta_{12} / \sin 2\tilde{\theta}_{12}$$

$$\delta m^2 \rightarrow 0$$

$$H^h = \lim_{\delta m^2 \rightarrow 0} H^l = \frac{1}{2E} \left(O_{13} \begin{bmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{bmatrix} O_{13}^T + \begin{bmatrix} A & 0 \\ 0 & 0 \end{bmatrix} \right)$$

$$= \left(\frac{\Delta m^2}{4E} + \frac{A}{4E} \right) \mathbb{1} + \frac{1}{4E} \begin{bmatrix} A - \cos 2\theta_{13} \Delta m^2 & 0 & \sin 2\theta_{13} \Delta m^2 \\ 0 & \Delta m^2 - A & 0 \\ \sin 2\theta_{13} \Delta m^2 & 0 & \cos 2\theta_{13} \Delta m^2 - A \end{bmatrix}$$

→ In the "flavor" basis v' , the (e, τ) flavors evolve separately from the (μ) one in H^h

$$\rightarrow S_{e\mu}^h = \lim_{\delta m^2 \rightarrow 0} S'_{e\mu} = 0$$

→ $S'_{e\mu}$ vanishes with δm^2

$$\rightarrow S'_{e\mu} = \Theta(\delta m^2) = O_1 \quad \text{at least}$$

On the other hand :

$$S_{e\tau}^h = e^{-i \frac{A}{4E} x} e^{-i \frac{\Delta m^2}{4E} x} \left[-i \sin 2\tilde{\theta}_{13} \sin \left(\frac{\tilde{\Delta m}^2 x}{4E} \right) \right]$$

$$\text{with } \sin 2\tilde{\theta}_{13} = \frac{\sin 2\theta_{13}}{\sqrt{\left(\cos 2\theta_{13} - \frac{A}{\Delta m^2} \right)^2 + \sin^2 2\theta_{13}}}$$

$$\tilde{\Delta m}^2 = \Delta m^2 \sin 2\theta_{13} / \sin 2\tilde{\theta}_{13}$$

Putting all together:

1) $S'_{e\mu} = \Theta(\delta m^2)$ and is thus approximated by

$$S'_{e\mu} = e^{-i\frac{A}{4E}x} \left[-i \sin 2\hat{\theta}_{12} \sin \left(\frac{\delta \tilde{m}^2 x}{4E} \right) \right]$$

2) $S'_{e\tau} = \Theta(s_{13})$ and is thus approximated by

$$S'_{e\tau} = e^{-i\frac{A}{4E}x} e^{-i\frac{\Delta m^2}{4E}x} \left[-i \sin 2\hat{\theta}_{13} \sin \left(\frac{\Delta \tilde{m}^2}{4E} x \right) \right]$$



$$S'_{e\mu} = e^{-i\frac{A}{4E}x} \left[-i \sin 2\hat{\theta}_{12} \sin \left(\frac{\delta \tilde{m}^2 x}{4E} \right) \right] + \Theta_2$$

$$S'_{e\tau} = e^{-i\frac{A}{4E}x} e^{-i\frac{\Delta m^2}{4E}x} \left[-i \sin 2\hat{\theta}_{13} \sin \left(\frac{\Delta \tilde{m}^2}{4E} x \right) \right] + \Theta_2$$

↑
irrelevant
common
phase

We have now everything to calculate

$P_{e\mu}$ as a quadratic form in $S'_{e\mu}$ and $S'_{e\tau}$.

Further tricks involve proper organization of terms and expansion in the small

parameter $\frac{\delta m^2}{A} = \frac{\delta m^2}{2\sqrt{2}G_F N_e E}$ ("high energy")

Let us recall that:

$$P_{e\mu} = A_{e\mu} \cos \delta + B_{e\mu} \sin \delta + C_{e\mu}$$

$$A_{e\mu} = 2 \operatorname{Re} [S'_{\mu e}^* S'_{e e}] C_{23} S_{23}$$

$$B_{e\mu} = -2 \operatorname{Im} [S'_{\mu e}^* S'_{e e}] C_{23} S_{23}$$

$$C_{e\mu} = |S'_{\mu e}|^2 C_{23}^2 + |S'_{e e}|^2 S_{23}^2$$

$$\begin{cases} S'_{\mu e} = S'_{e\mu} \\ S'_{e e} = S'_{ee} \end{cases}$$

with $P_{e\mu}$ correctly calculated at θ_2 included, using the previous expressions. Explicitly:

$$\begin{aligned} A_{e\mu} &= \sin 2\hat{\theta}_{12} \sin 2\hat{\theta}_{13} \sin 2\theta_{23} \\ &\quad \times \sin \left(\frac{\Delta \tilde{m}^2 x}{4E} \right) \sin \left(\frac{\delta \tilde{m}^2 x}{4E} \right) \cos \left(\frac{\Delta m^2 x}{4E} \right) \end{aligned}$$

$$\begin{aligned} B_{e\mu} &= \sin 2\hat{\theta}_{12} \sin 2\hat{\theta}_{13} \sin 2\theta_{23} \\ &\quad \cdot \sin \left(\frac{\Delta \tilde{m}^2 x}{4E} \right) \sin \left(\frac{\delta \tilde{m}^2 x}{4E} \right) \sin \left(\frac{\Delta m^2 x}{4E} \right) \end{aligned}$$

$$\begin{aligned} C_{e\mu} &= C_{23}^2 \sin^2 2\hat{\theta}_{12} \sin^2 \left(\frac{\delta \tilde{m}^2 x}{4E} \right) \\ &\quad + S_{23}^2 \sin^2 2\hat{\theta}_{12} \sin^2 \left(\frac{\Delta \tilde{m}^2 x}{4E} \right) \end{aligned}$$

High-energy expansion: $A \gg \delta m^2$

$$\begin{aligned}\sin 2\tilde{\theta}_{12} &= \frac{\sin 2\theta_{12}}{\sqrt{\left(\cos 2\theta_{12} - \frac{A}{\delta m^2}\right)^2 + \sin^2 2\theta_{12}}} \\ &= \sin 2\theta_{12} \frac{\delta m^2}{|A|} + \theta_2\end{aligned}$$

$$\frac{\delta m^2}{\tilde{\delta m}^2} = \frac{\sin 2\tilde{\theta}_{12}}{\sin 2\theta_{12}} = \frac{\delta m^2}{|A|} + \theta_2$$

$$\rightarrow \tilde{\delta m}^2 = |A| + \theta_2$$

$$\rightarrow \sin\left(\frac{\tilde{\delta m}^2 L}{4E}\right) \approx \sin\left(\frac{AL}{4E}\right)$$

$$\begin{aligned}\sin 2\tilde{\theta}_{13} &= \frac{\sin 2\theta_{13}}{\sqrt{\left(\cos 2\theta_{13} - \frac{A}{\Delta m^2}\right)^2 + \sin^2 2\theta_{13}}} = \frac{\sin 2\theta_{13}}{\left|1 + \frac{A}{\Delta m^2}\right|} + \theta_2\end{aligned}$$

$$\text{where } \theta_2 \propto \frac{(\delta m^2)^2}{\delta m^2 \cdot S_{13}} \frac{S_{13}^2}{S_{13}^2}$$

(and we have kept track of absolute values)

The high-energy expansion is basically used to express $\tilde{\theta}_{12}$, $\tilde{\theta}_{13}$, $\Delta\tilde{m}^2$, and $\delta\tilde{m}^2$, in terms of vacuum values:

$$A_{e\mu} \cong \sin 2\theta_{12} \left(\frac{\delta m^2}{|A|} \right) \sin 2\theta_{13} \left| \frac{\Delta m^2}{\Delta m^2 - A} \right| \sin 2\theta_{23} \\ \cdot \sin \left(\frac{|A|\chi}{4E} \right) \sin \left(\Delta m^2 \left| \frac{\Delta m^2 - A}{\Delta m^2} \right| \frac{\chi}{4E} \right) \cos \left(\frac{\Delta m^2 \chi}{4E} \right)$$

$$B_{e\mu} \cong \sin 2\theta_{12} \left(\frac{\delta m^2}{|A|} \right) \sin 2\theta_{13} \left| \frac{\Delta m^2}{\Delta m^2 - A} \right| \sin 2\theta_{23} \\ \cdot \sin \left(\frac{|A|\chi}{4E} \right) \sin \left(\Delta m^2 \left| \frac{\Delta m^2 - A}{\Delta m^2} \right| \frac{\chi}{4E} \right) \sin \left(\frac{\Delta m^2 \chi}{4E} \right)$$

$$C_{e\mu} \cong C_{23}^2 \sin^2 2\theta_{12} \left(\frac{\delta m^2}{A} \right)^2 \sin^2 \left(\frac{Ax}{4E} \right) \\ + S_{23}^2 \sin^2 2\theta_{13} \left(\frac{\Delta m^2}{\Delta m^2 - A} \right)^2 \sin^2 \left(\left| \frac{\Delta m^2 - A}{4E} \right| L \right)$$

Note : by changing the sign of $(\Delta m^2 - A)$,

$B_{e\mu}$, $A_{e\mu}$ and $C_{e\mu}$ do not change;

by changing the sign of Δm^2 ,

$A_{e\mu}$ changes sign (while $B_{e\mu}$ and $C_{e\mu}$ do not)

→ can eliminate $|,|$
properly

$$A_{\text{eff}} \cong \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \left(\frac{\delta m^2}{A} \right) \left(\frac{\Delta m^2}{A - \Delta m^2} \right)$$

$$\cdot \sin \left(\frac{Ax}{4E} \right) \sin \left(\frac{A - \Delta m^2}{4E} x \right) \cos \left(\frac{\Delta m^2 x}{4E} \right)$$

$$B_{\text{eff}} \cong \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \left(\frac{\delta m^2}{A} \right) \left(\frac{\Delta m^2}{A - \Delta m^2} \right)$$

$$\cdot \sin \left(\frac{Ax}{4E} \right) \sin \left(\frac{A - \Delta m^2}{4E} x \right) \sin \left(\frac{\Delta m^2 x}{4E} \right)$$

$$C_{\text{eff}} \cong c_{23}^2 \sin^2 2\theta_{12} \left(\frac{\delta m^2}{A} \right)^2 \sin^2 \left(\frac{Ax}{4E} \right)$$

$$+ s_{23}^2 \sin^2 2\theta_{13} \left(\frac{\Delta m^2}{\Delta m^2 - A} \right)^2 \sin^2 \left(\frac{\Delta m^2 - A}{4E} x \right)$$

Terms in $P_{\text{eff}} = A_{\text{eff}} \cos \delta + B_{\text{eff}} \sin \delta + C_{\text{eff}}$
 can finally be organized as:

$$P(\nu_e \rightarrow \nu_\mu) = X \sin^2 2\theta_{13} + Y \sin 2\theta_{13} \cdot \cos \left(\delta - \frac{\Delta m^2 x}{4E} \right) + Z$$

with $X = \sin^2 \theta_{23} \left(\frac{\Delta m^2}{A - \Delta m^2} \right)^2 \sin^2 \left(\frac{A - \Delta m^2}{4E} L \right)$

$$Y = \sin 2\theta_{12} \sin 2\theta_{23} \left(\frac{\delta m^2}{A} \right) \left(\frac{\Delta m^2}{A - \Delta m^2} \right) \cdot \sin \left(\frac{AL}{4E} \right) \sin \left(\frac{A - \Delta m^2}{4E} L \right)$$

$$Z = \cos^2 \theta_{23} \sin^2 2\theta_{12} \left(\frac{\delta m^2}{A} \right)^2 \sin^2 \left(\frac{AL}{4E} \right)$$

 $L=x$

Sometimes you may find a further $\cos \theta_{13}$ factor in Y ; it is, however, irrelevant at θ_2 included.

E.g. Donini, Meloni, Migliozzi

Another way to write the same formula is:

$$P_{\mu e} = x^2 f^2 + 2xyfg \cos(\delta + \Delta) + y^2 g^2$$

where $x = \sin \theta_{23} \sin 2\theta_{13}$ $\Delta = \frac{\Delta m^2 L}{4E}$

$$y = \frac{\Delta m^2}{\Delta m^2} \cos \theta_{23} \sin 2\theta_{12}$$

$$f = \sin \left(\frac{\Delta m^2 A}{4E} \cdot L \right) \frac{\Delta m^2}{\Delta m^2 - A}$$

$$g = \sin \left(\frac{AL}{4E} \right) \cdot \frac{\Delta m^2}{A}$$

(E.g. Barger et al.)

Finally, it should be observed that this formula for $P_{\mu\mu}$ works better than one might expect.

In particular, it gives the correct vacuum limit for $A=0$ (no MSW effect), despite the fact that $A \rightarrow 0$ is forbidden in our expansion! ($A \gg \delta m^2!$)

In other words, for $A \rightarrow 0$, one gets (luckily) the expression of $P_{\mu\mu}$ (vacuum) that is obtained (correctly) by expanding the exact vacuum formula at O_2 .
(not shown)



Vacuum:

$$P_{e\mu}^{\text{vac}} \approx S_{23}^2 \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m^2 x}{4E} \right) \leftarrow \text{"atmospheric" term}$$

$$+ C_{23}^2 \sin^2 2\theta_{12} \left(\frac{\delta m^2 x}{4E} \right)^2 \leftarrow \text{"solar" term}$$

$$+ [\cos \theta_{13}] \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12}$$

↑
only in some
papers (unnecessary
at O_2)

$$\cdot \cos \left(\frac{\Delta m^2 x}{4E} - \delta \right) \sin \left(\frac{\Delta m^2 x}{4E} \right) \left(\frac{\delta m^2 x}{4E} \right)$$

↑
"interference" term

Another way to organize the vacuum expression is:

$$\begin{aligned} P_{\text{vac}} &= |A + B|^2 \\ &= |A|^2 + |B|^2 + 2 \operatorname{Re} AB^* \\ &= P_{\text{sol}} + P_{\text{atm}} + P_{\text{interf}} \end{aligned}$$

with $A = C_{23} \sin 2\theta_{12} \left(\frac{\Delta m^2 x}{4E} \right)$

$$B = S_{23} \sin 2\theta_{13} e^{i\delta} e^{-i \frac{\Delta m^2 x}{4E}} \sin \left(\frac{\Delta m^2 x}{4E} \right)$$

Notice that :

- if $P_{\text{interf}} < 0$ then $|P_{\text{interf}}| \leq P_{\text{sol}} + P_{\text{atm}}$, otherwise $|A + B|^2 < 0$
- if $P_{\text{interf}} > 0$ then $P_{\text{interf}} \leq P_{\text{sol}} + P_{\text{atm}}$, otherwise $|A - B|^2 < 0$

Therefore: $|P_{\text{interf}}| \leq P_{\text{sol}} + P_{\text{atm}}$ always
(never dominates)

- P_{sol} dominates for $\theta_{13} \rightarrow 0$
- P_{atm} dominates for $\Delta m^2 \rightarrow 0$

One of the most widely studied problems, which makes use of the previous expressions for $P_{\mu\nu}$ (and the analogous for $\bar{\nu}$) is the so-called DEGENERACY:

measurements of $P_{\mu\nu}$ do not uniquely determine the unknowns δ and θ_{13}

→ need to make multiple measurements (at different L , E , etc...) to "break the degeneracy"

Interest is great because experiments will be costly (and thus must be optimized)

END