

Dottorato di Ricerca

Bari 2006

Physics of massive ν_s

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LECTURE II (1st part)

An *almost* up-to-date phenomenological
overview of the three-neutrino
mass-mixing parameters
(complete 2005 update in the 2nd part)

Outline:

- Overview of 3ν mass-mixing parameters
- Constraints from ν oscillation searches
- Constraints from non-oscillation searches
- Combining oscill. & non-oscill. ν observables
- Beyond the standard 3ν scenario (LSND)
- Conclusions

3ν mixing - brief recap

- Neutrinos fields mix:

$$(\nu_e, \nu_\mu, \nu_\tau)^T = U (\nu_1, \nu_2, \nu_3)^T$$

- The standard rotation ordering of the CKM matrix for quarks happens to be useful also for neutrinos:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

... but with very different angles - we shall see that:

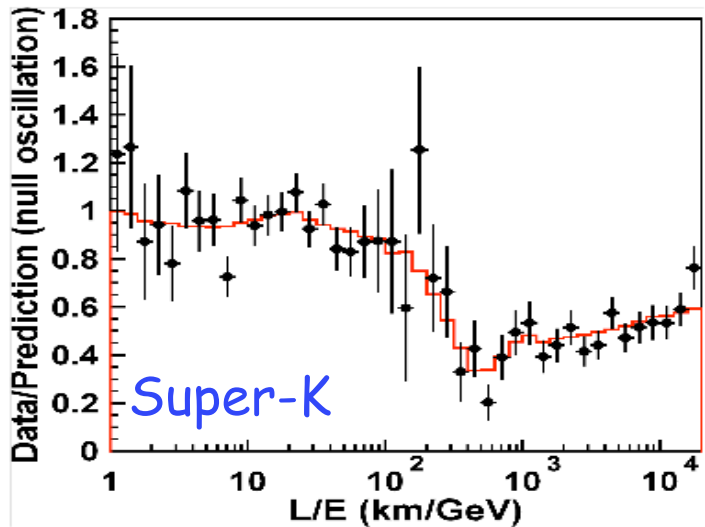
$$s_{23}^2 \sim 0.5$$

$$s_{13}^2 < \text{few } \%$$

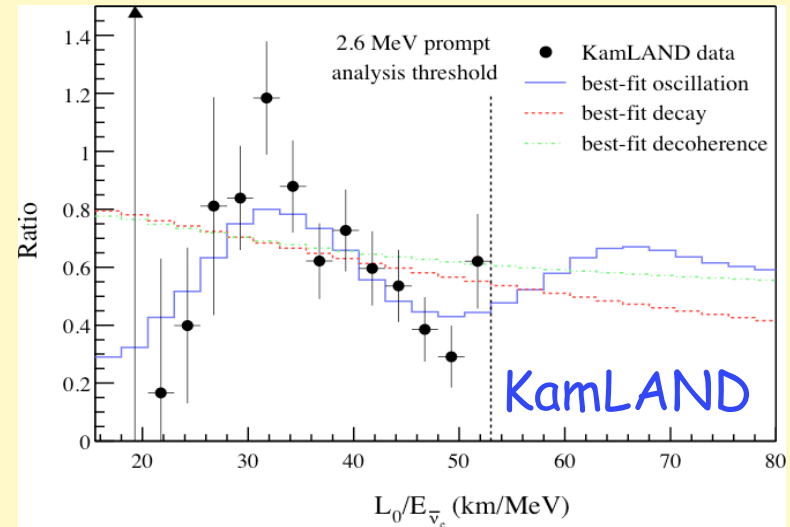
$$s_{12}^2 \sim 0.3$$

- Only if $s_{13}^2 \neq 0$ one can hope to probe the CP-violating phase δ ("holy grail" of future ν oscillation experiments like nu-factories)

Neutrino fields mix ... and oscillate, with at least two frequencies. "Textbook" plots:



Δm^2 -driven oscillations



δm^2 -driven oscillations

(about half-period seen in both cases)

3ν oscillations (remind also Lec. II, one-dominant-mass-scale approximation)

Two macroscopic oscillation lengths governed by δm^2 and Δm^2 , with amplitudes governed by θ_{ij} . Leading expt. sensitivities:

$(\Delta m^2, \theta_{23}, \theta_{13})$ Atmospheric ν , K2K long baseline accelerator (a)

$(\delta m^2, \theta_{12}, \theta_{13})$ Solar ν , KamLAND long baseline reactor ν (b)

$(\Delta m^2, \theta_{13})$ CHOOZ short-baseline reactor ν (a,b)

(a) (ν_1, ν_2) difference weakly probed

(b) (ν_μ, ν_τ) difference not probed

Status of 3-neutrino framework:

$(\Delta m^2, \theta_{23})$

robust upper + lower bound from atmospheric & accelerator data

$(\delta m^2, \theta_{12})$

robust upper + lower bound from solar & reactor data

$V_{MSW} = 0$

L/E vacuum osc. pattern recently seen in atm. & react. data

$V_{MSW} \neq 0$

matter effects recently established in solar neutrinos

θ_{13}

upper bound from CHOOZ reactor data + above data

μ

upper bound from laboratory (+ 1st lower bound?) & cosmology

$\text{Sign}(\Delta m^2)$

unknown (is the hierarchy normal or inverted ?)

δ

unknown (is there leptonic CP violation ?)

φ_2, φ_3

unknown (are there Majorana phases?)

Questions beyond the standard 3-neutrino framework:

$\dim(H)=3+N_s$?

Light sterile neutrinos?

$V=V_{MSW}+\Delta V$?

New (subleading) interactions in medium?

$H \neq H^\dagger$?

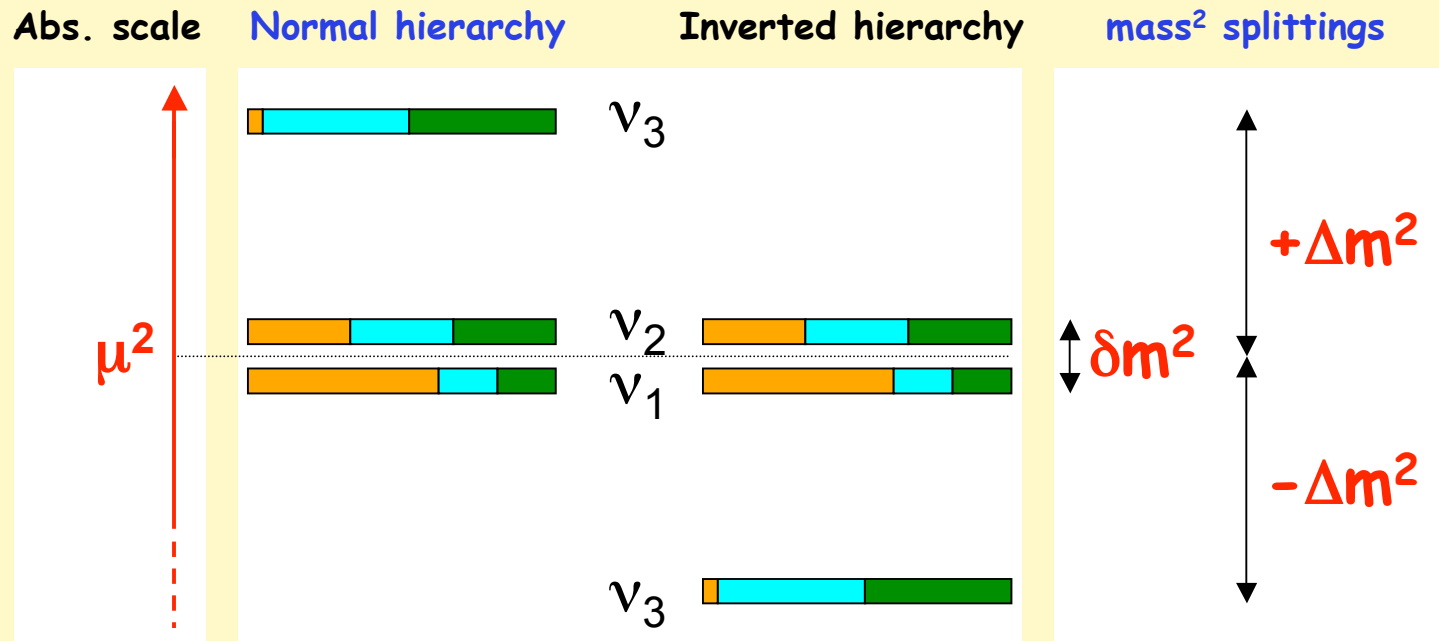
Neutrino decay ?

$i\partial/\partial x \neq H\nu$?

Non-hamiltonian evolution (decoherence)?

...

3ν mass² spectrum and flavor content (e μ τ)



Absolute mass scale μ unknown [but $< O(\text{eV})$]

Hierarchy [sign(Δm^2)] unknown

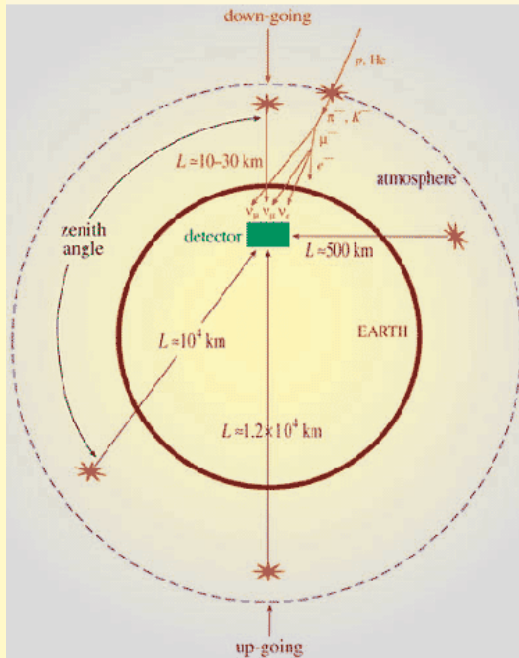
ν_e content of ν_3 unknown [but $< \text{few}\%$]

$$\delta m^2 \simeq 8.0 \times 10^{-5} \text{ eV}^2 \quad (\text{"solar" splitting})$$

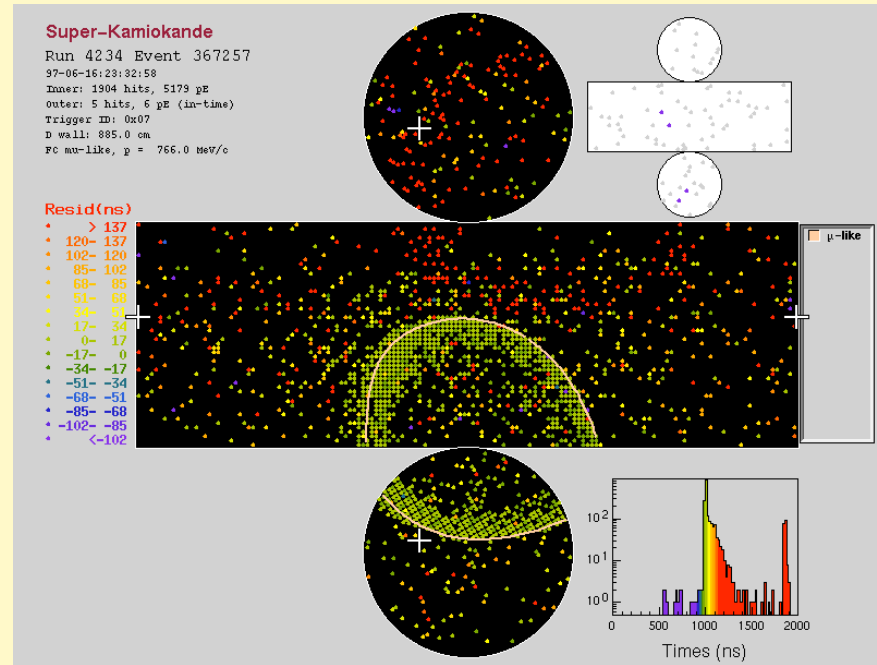
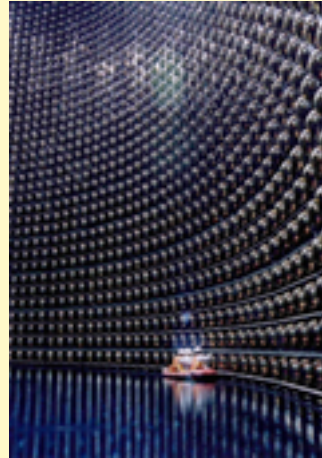
$$\Delta m^2 \simeq 2.4 \times 10^{-3} \text{ eV}^2 \quad (\text{"atmospheric" splitting})$$

Constraints on (Δm^2 , θ_{23} , θ_{13})
from SK + K2K + CHOOZ

Figure 4



Super-Kamiokande



ν_e induced events: ~ as expected

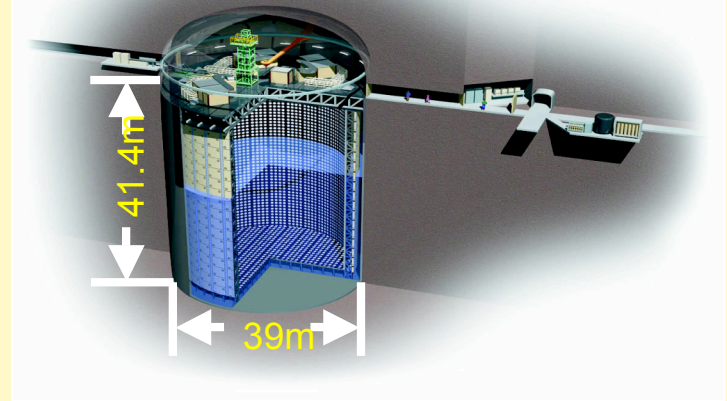
ν_μ induced events: deficit from below

Channel $\nu_\mu \rightarrow \nu_e$? No (or subdominant)

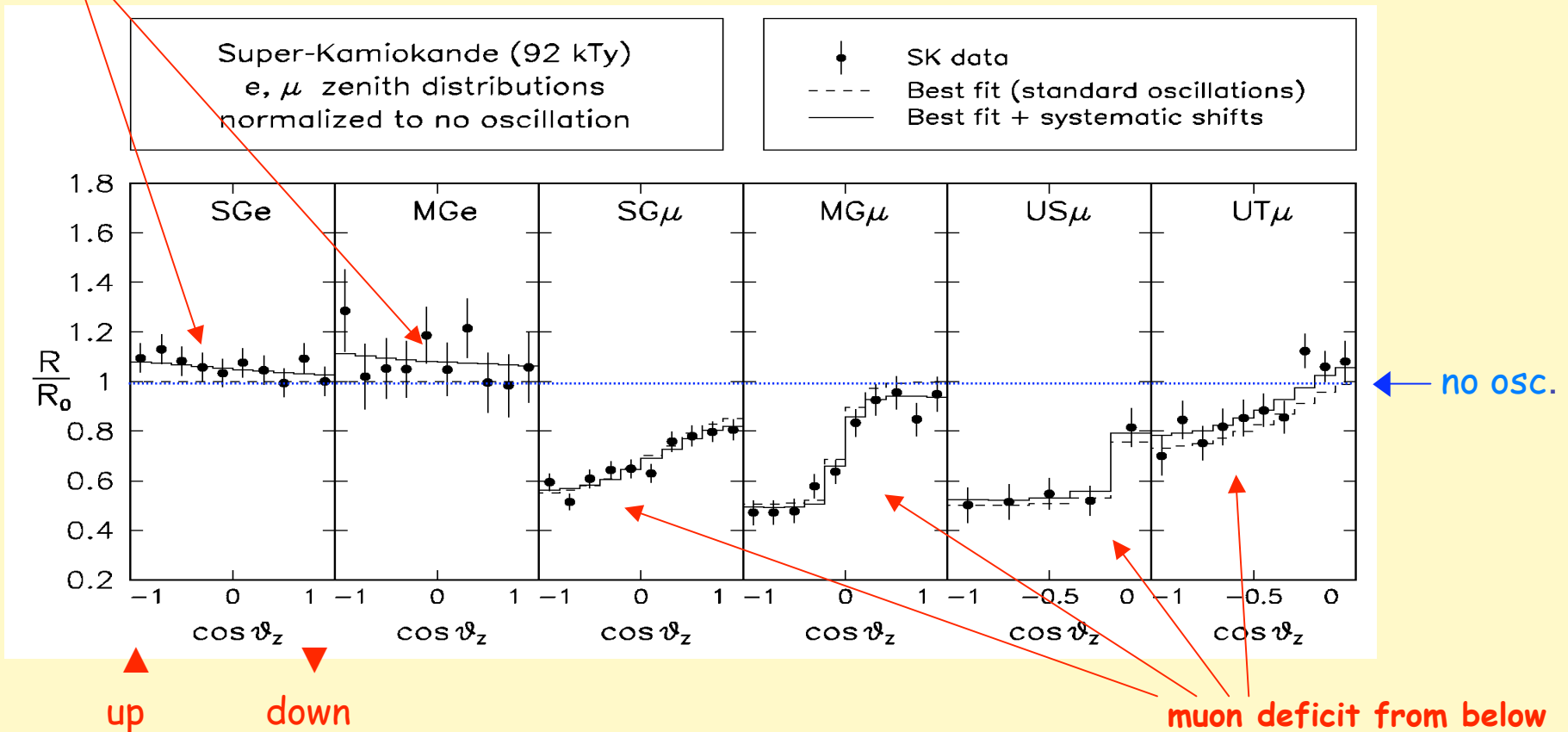
Channel $\nu_\mu \rightarrow \nu_\tau$? Yes (dominant)

Atmospheric neutrinos: Super-Kamiokande

S_{Ge} Sub-GeV electrons
M_{Ge} Multi-GeV electrons
S_{Gμ} Sub-GeV muons
M_{Gμ} Multi-GeV muons
U_{Sμ} Upward Stopping muons
U_{Tμ} Upward Through-going muons



electrons ~OK



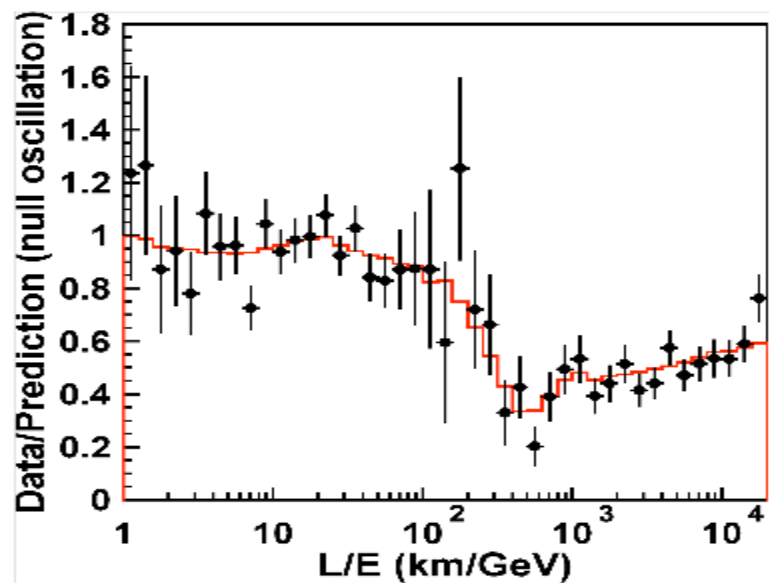
Super-Kamiokande atmospheric ν

$$E_\nu \sim 10^{-1} - 10^3 \text{ GeV} \quad L \sim 10 - 10^4 \text{ km} \quad (\text{large } L/E \text{ range})$$

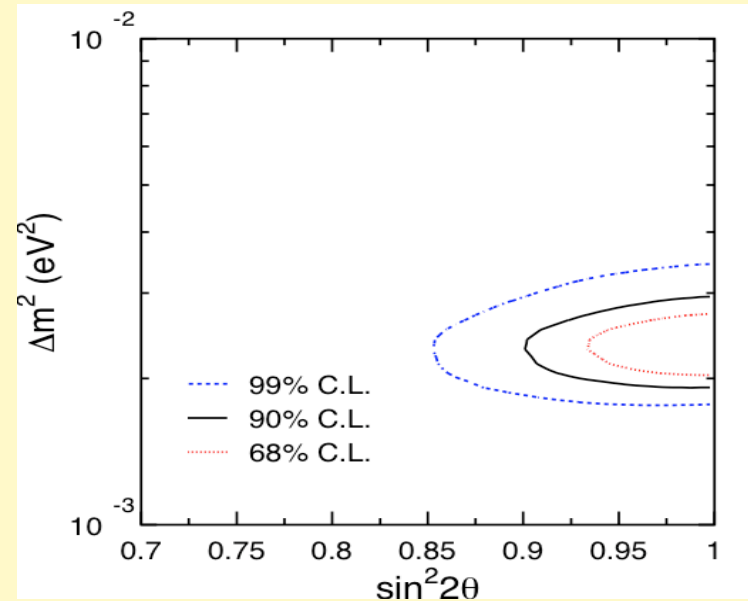
For $\theta_{13} \sim 0$ and $\delta m^2 \sim 0$, a very simple formula fits all SK data (+ MACRO & Soudan2)

$$P(\nu_\mu \rightarrow \nu_\tau) \simeq \sin^2 2\theta_{23} \sin^2 \left(1.27 \frac{\Delta m^2 (\text{eV})^2 L (\text{km})}{E (\text{GeV})} \right)$$

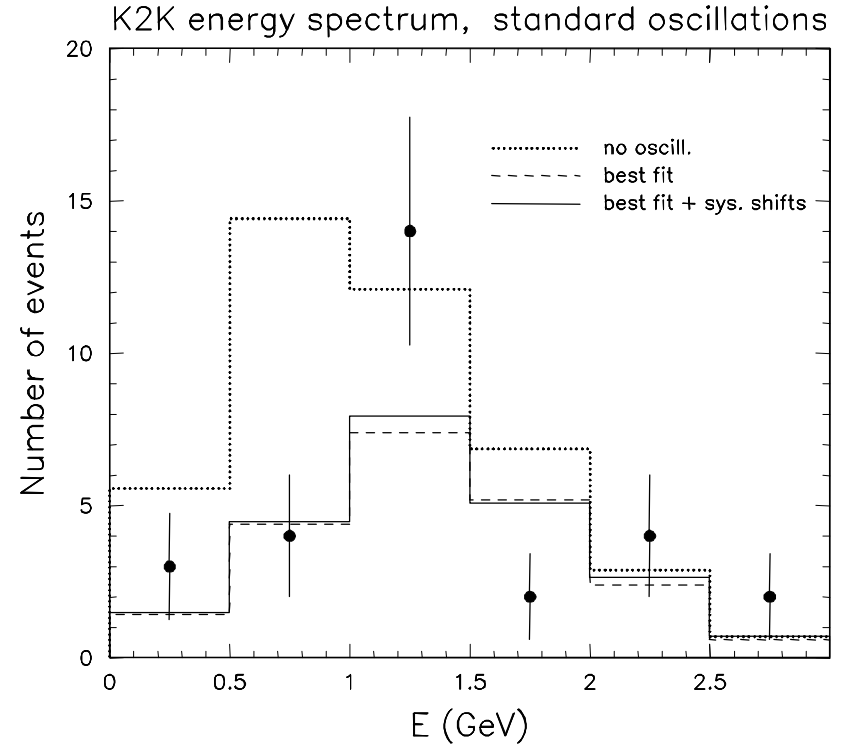
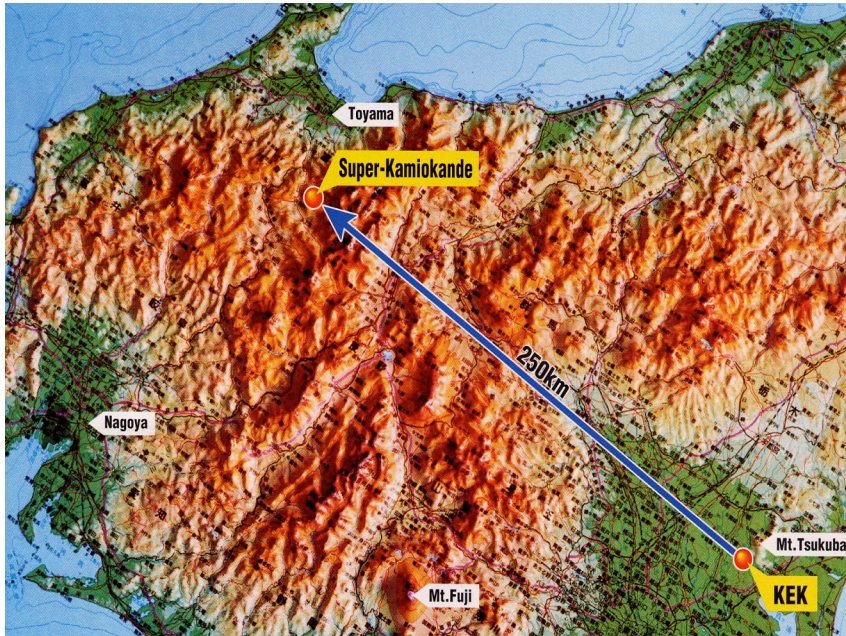
1st oscillation dip still visible
despite large L & E smearing



Strong constraints on the
parameters (Δm^2 , θ_{23})



First-generation LBL accelerator experiment: KEK-to-Kamioka (K2K)



Aimed at testing disappearance of
accelerator ν_μ in the same range
probed by atmospheric ν :

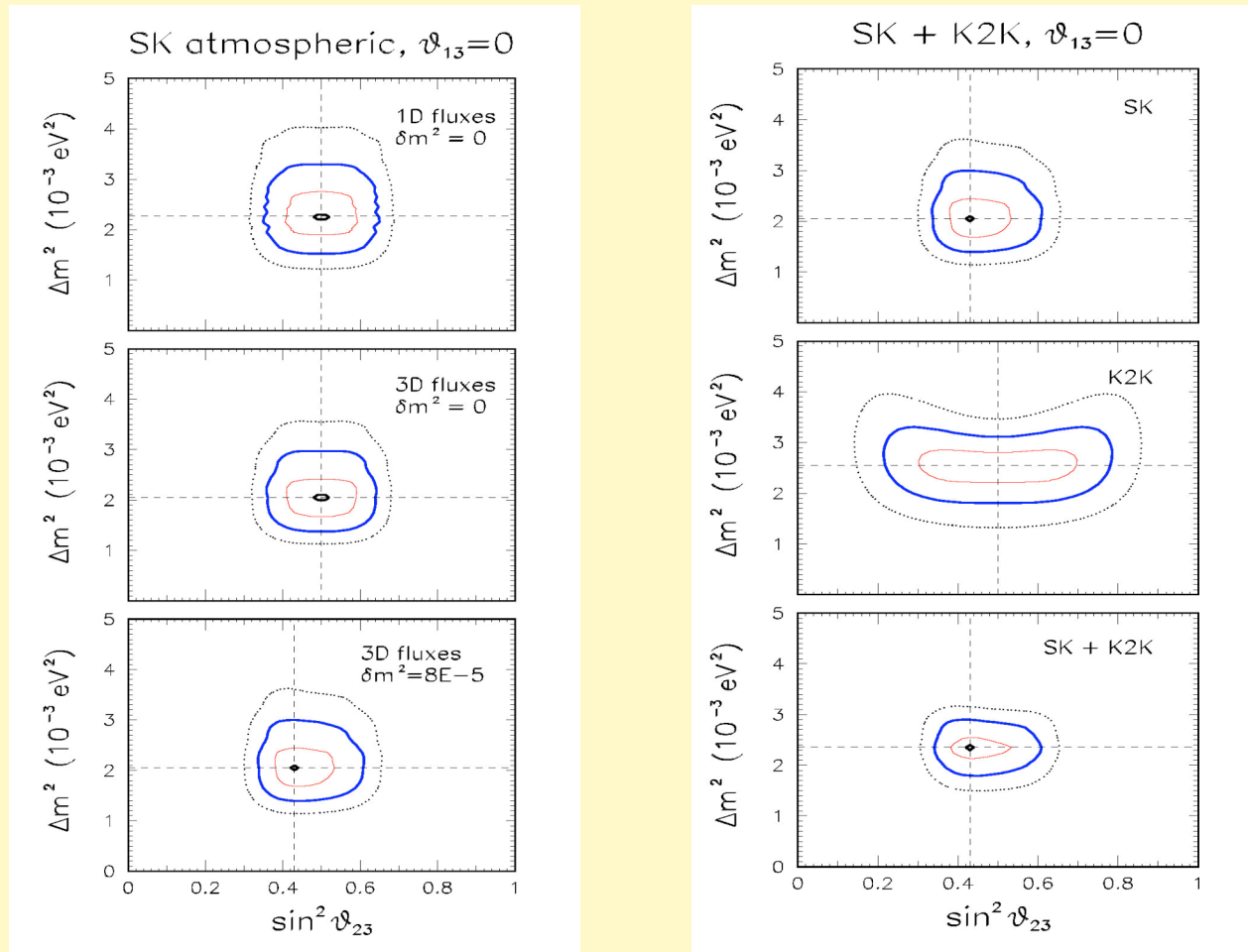
$$(L/E)_{K2K} \sim (250 \text{ km}/1.3 \text{ GeV}) \sim (L/E)_{ATM}$$

**2002: muon disappearance
observed at >99% C.L.**

No electron appearance.

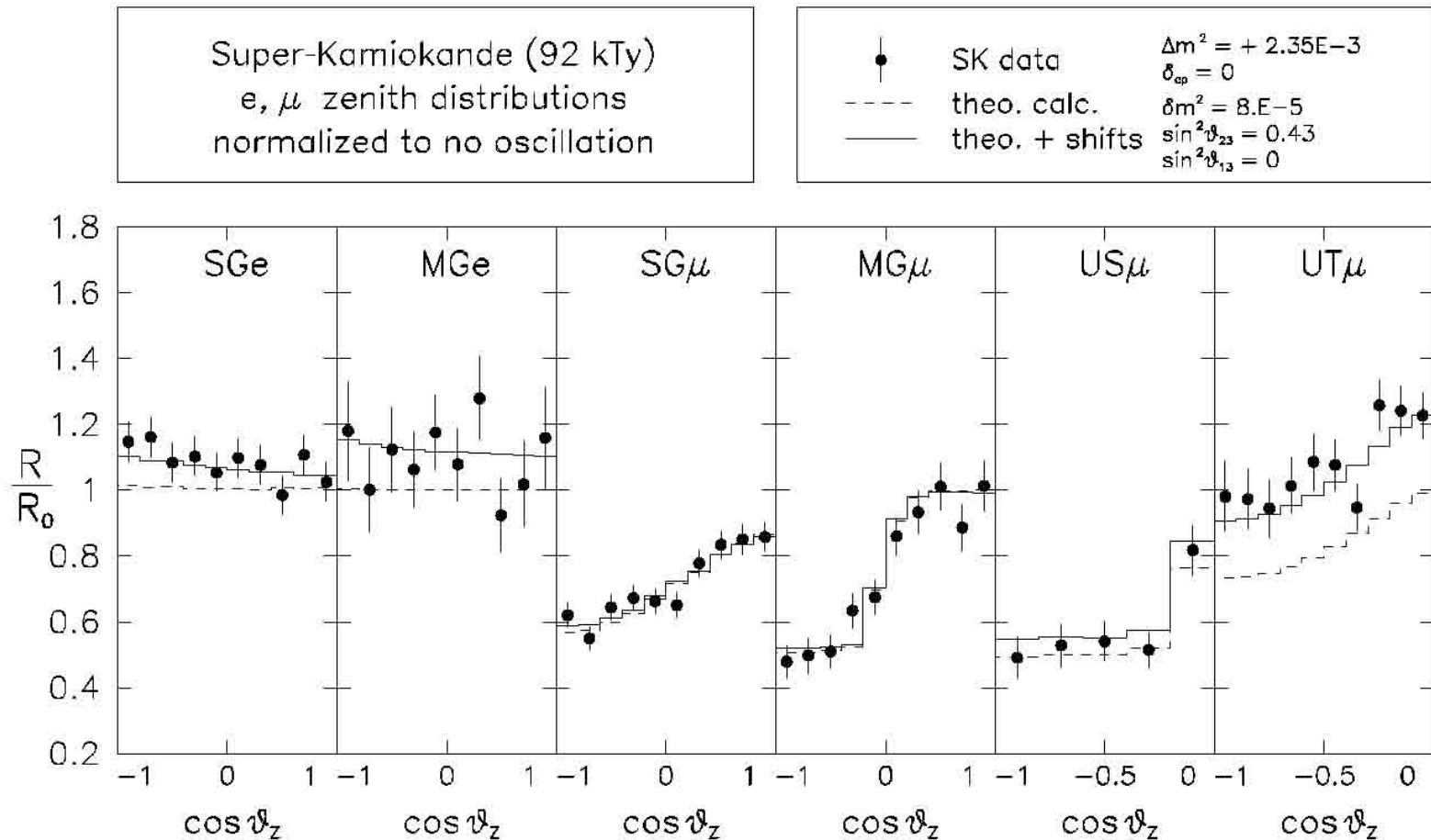
Atmospheric ν oscillation evidence robust & confirmed with lab- ν in K2K

Many interesting details depend on theoretical input & subleading effects



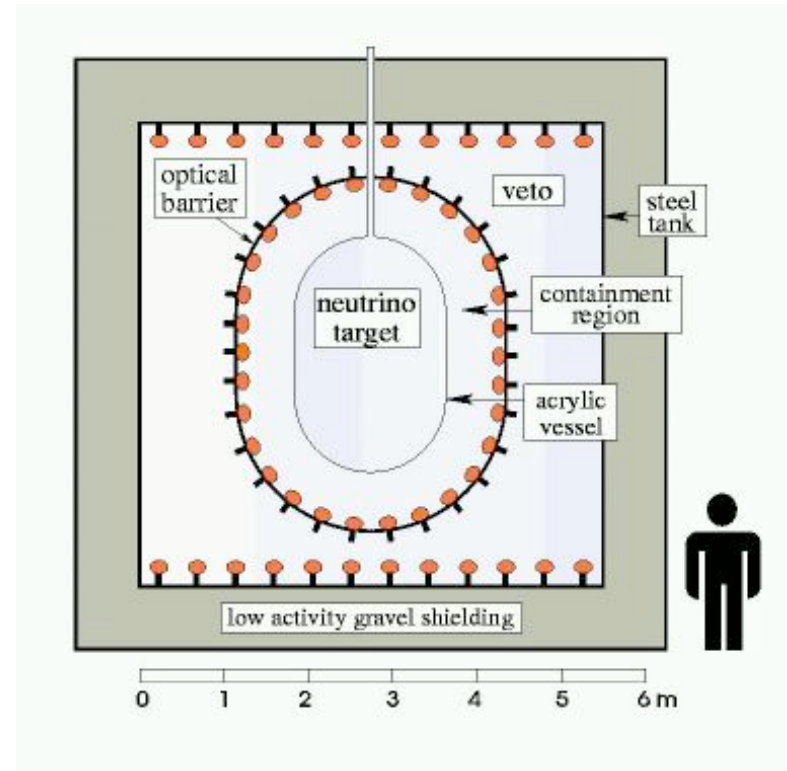
Contours at 1, 2, 3 σ (1 dof). Note linear scale for Δm^2 and $\sin^2 \vartheta_{23}$, with 2nd octant of ϑ_{23} unfolded

... more about subleading effects (induced by "solar parameters")
 vs systematic errors
 in the Super-Kamiokande zenith distributions



The CHOOZ reactor experiment and θ_{13}

- Searched for disappearance of reactor ν_e ($E \sim \text{few MeV}$) at distance $L = 1 \text{ km}$
- L/E range comparable to atmospheric ν
→ probe the same Δm^2
- No disappearance signal was found (1998)
→ Exclusion plot in $(\Delta m^2, \theta_{13})$ plane
- Results also confirmed by later reactor experiment (Palo Verde)

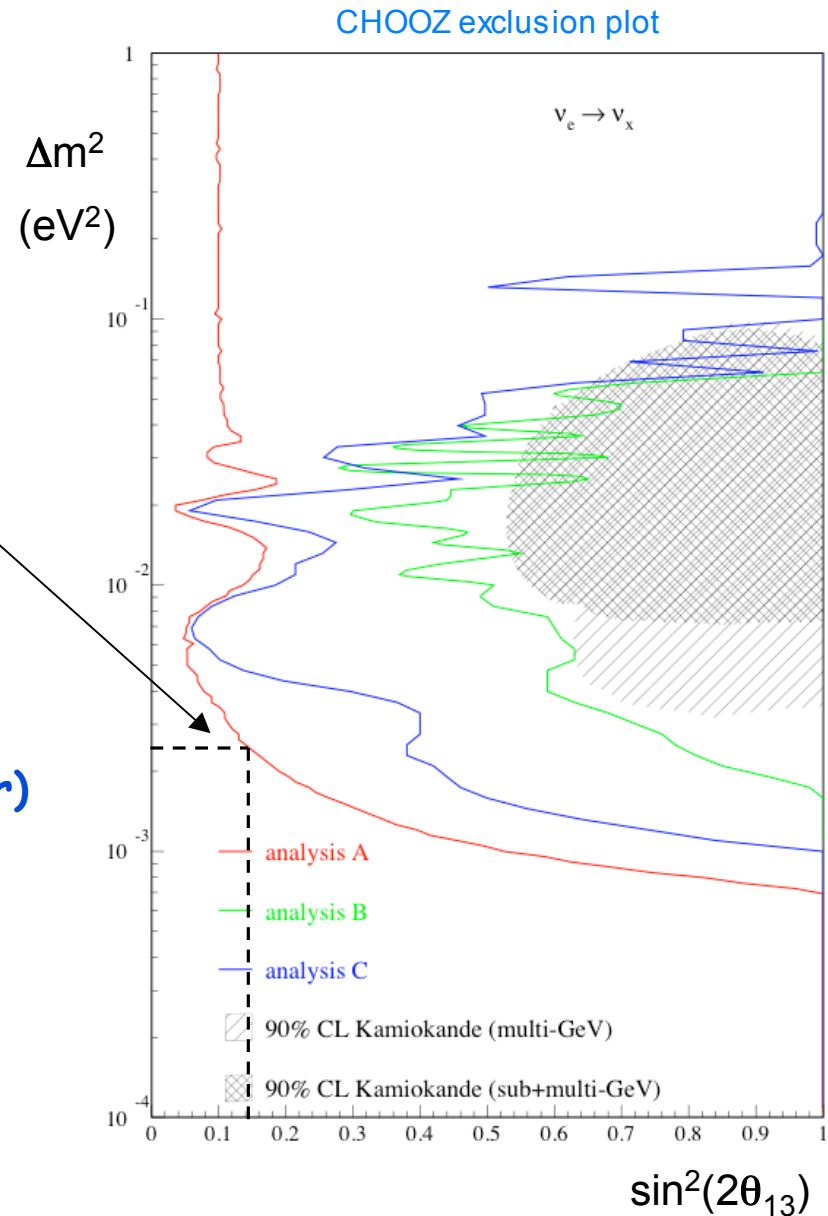


A crucial and beautiful "small-scale" experiment

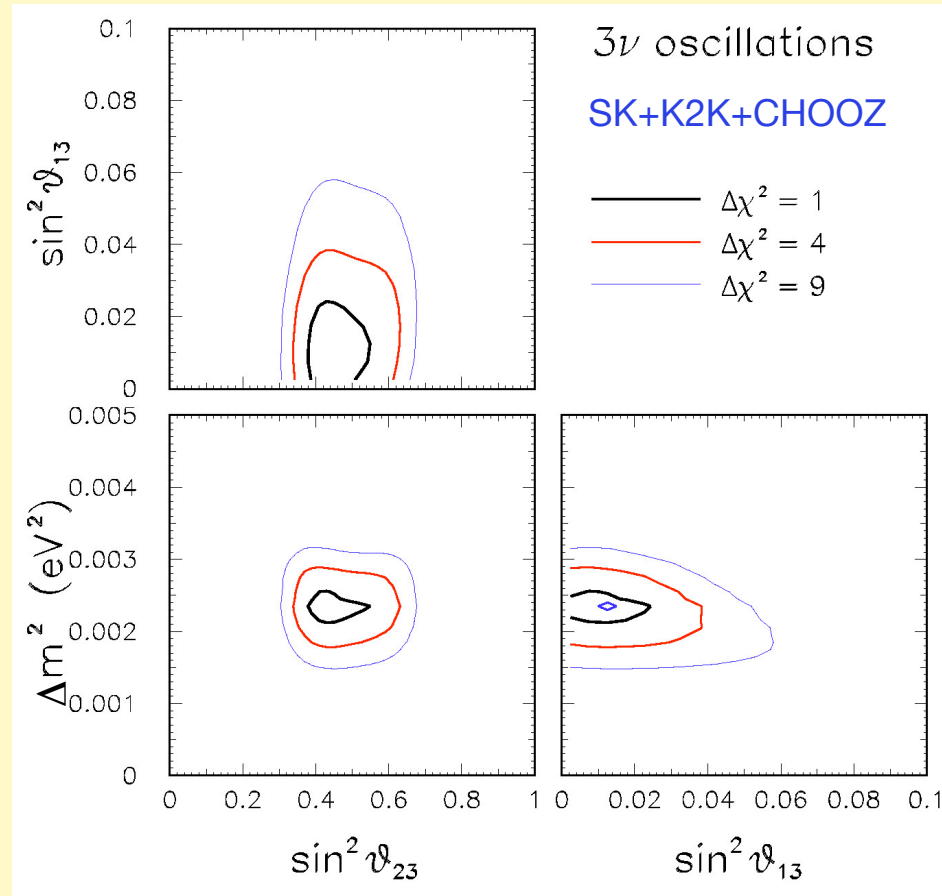
The CHOOZ reactor experiment and θ_{13}

- For any value of Δm^2 in the SK+K2K range, get stringent upper bound on θ_{13}

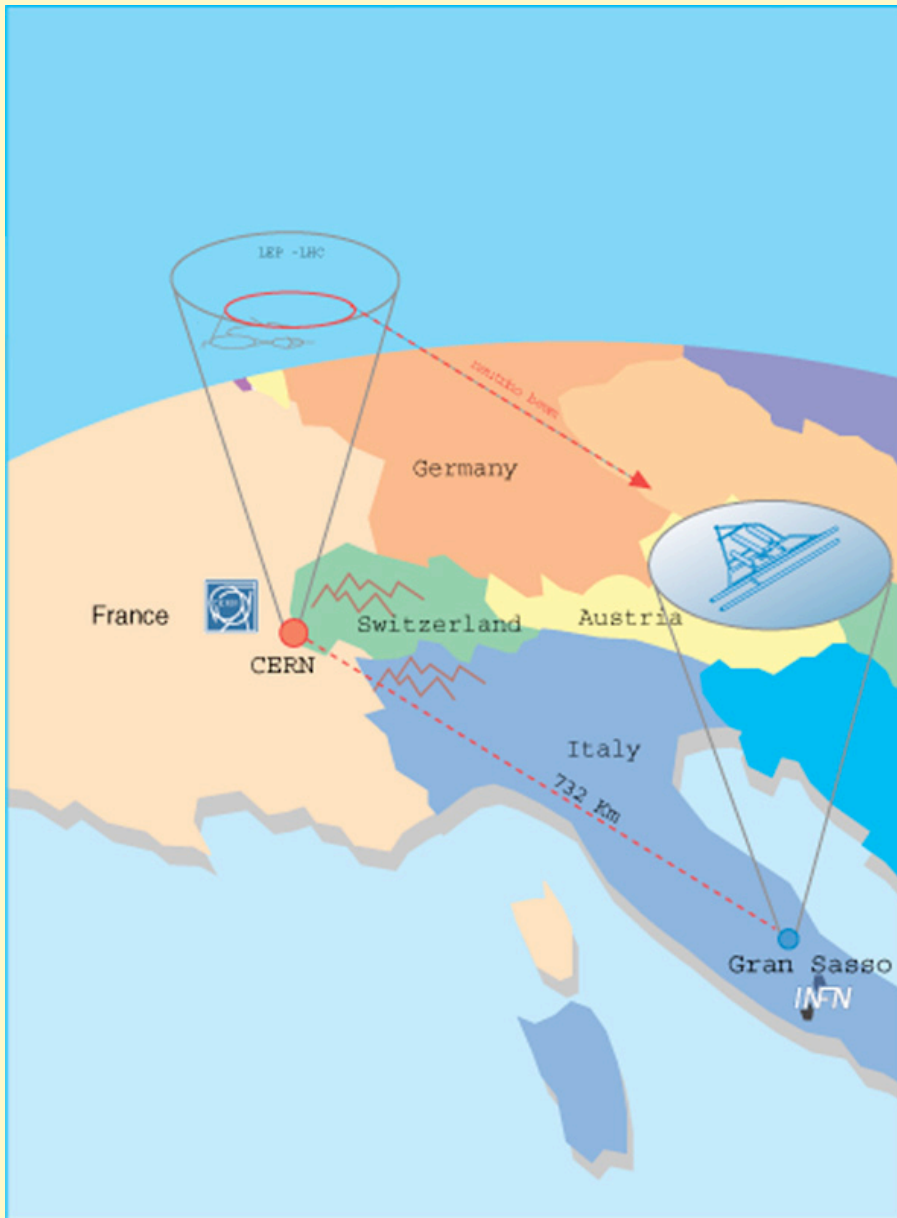
Feverish world-wide activity to make one -or more- new reactor experiment with higher θ_{13} sensitivity (=smaller error)



At the Δm^2 scale of SK+K2K, nonobservation of $\nu_e \rightarrow \nu_e$ in the CHOOZ reactor experiment sets upper bounds $\sin^2 \theta_{13} < \text{few } \%$



Growing literature & interest in subleading effects due to θ_{13} , δm^2 , $\text{sign}(\Delta m^2)$, δ
 But need very significant error reduction to probe them
 A challenge for future high-statistics experiments



Missing piece in puzzle:

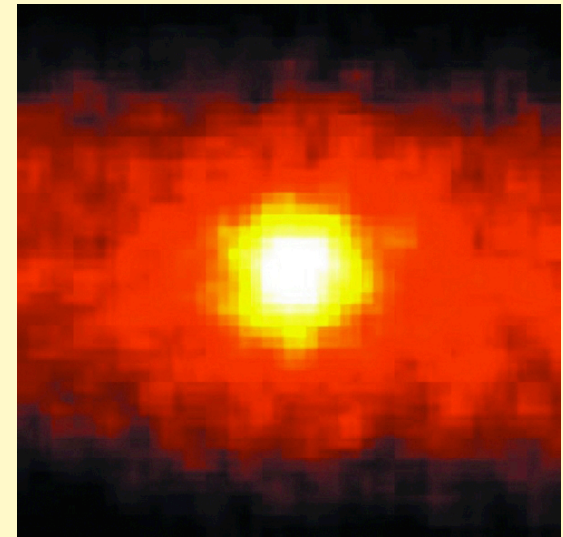
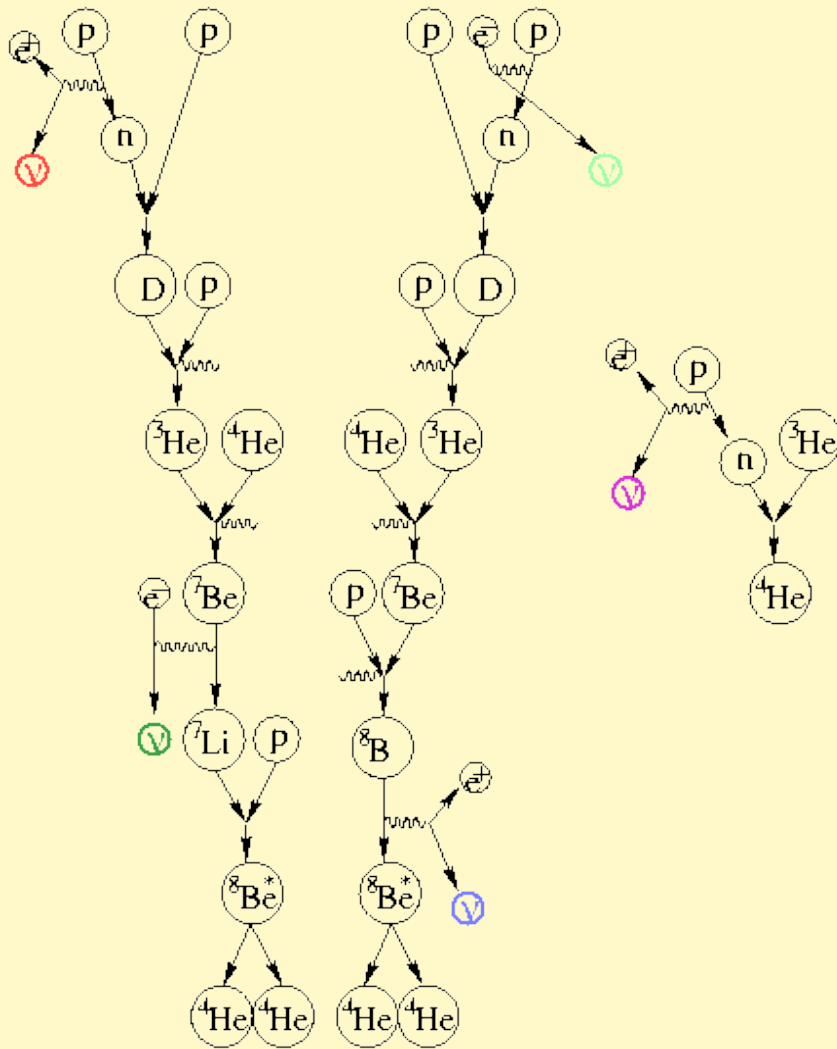
ν_τ appearance

(only 2-sigma hint in Super-K)

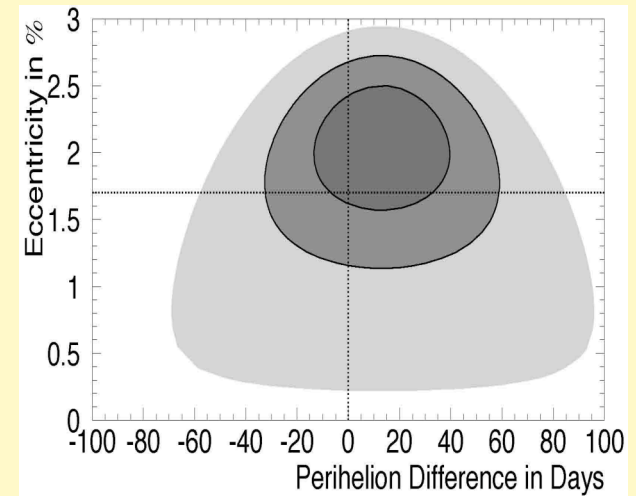
Will be studied at
Laboratori Nazionali
del Gran Sasso
(OPERA, ICARUS)
with
CERN neutrino beam

Constraints on (δm^2 , θ_{12} , θ_{13})
from solar ν + KamLAND

Solar neutrinos (ν_e)

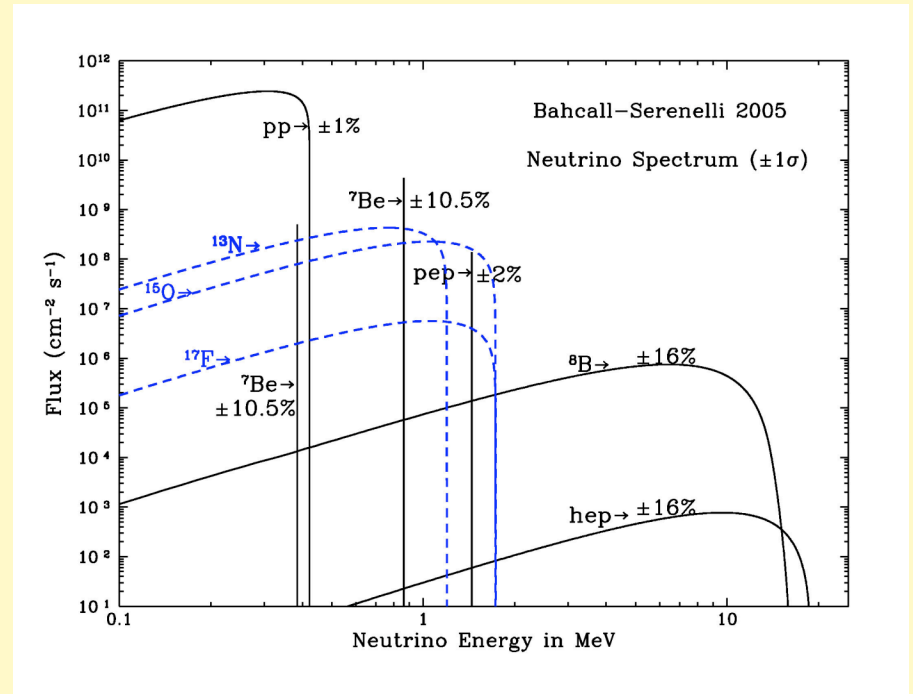


The Sun seen with neutrinos (SK)

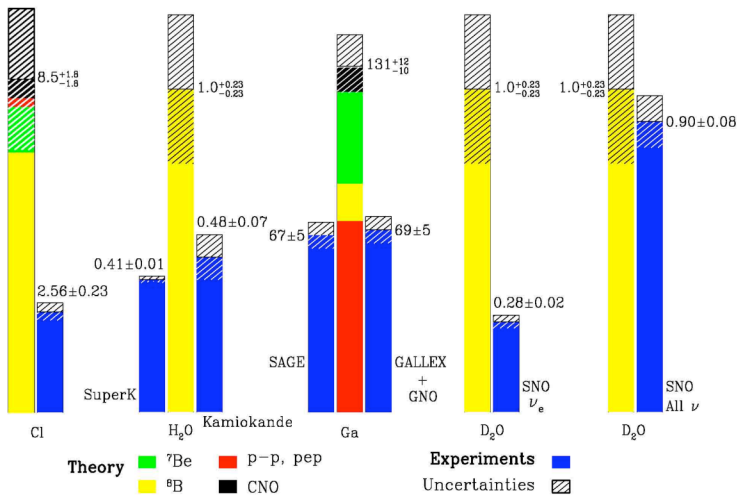


Earth orbit from solar ν (SK)

Standard Solar Model: neutrino energy spectrum...

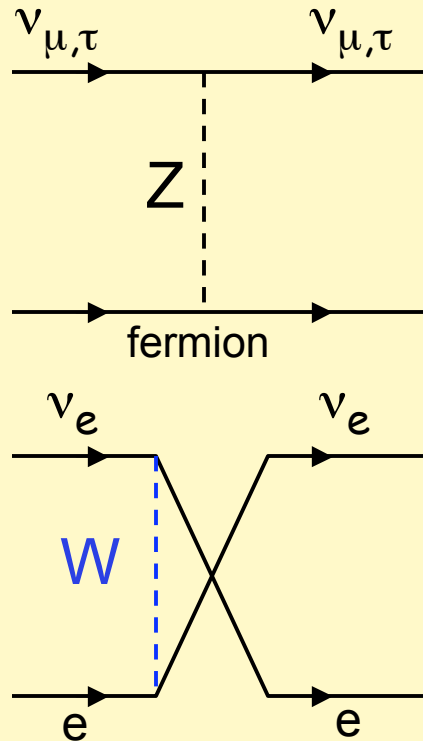


Total Rates: Standard Model vs. Experiment
Bahcall-Pinsonneault 2004



... and experimental deficit

Reminder - Solar $\nu_e \rightarrow \nu_{e,\mu,\tau}$ vs atmospheric $\nu_\mu \rightarrow \nu_\tau$: matter (MSW) effect



Atmospheric ν_μ and ν_τ feel background fermions in the same way (through NC); no relative phase change (\sim vacuum-like)

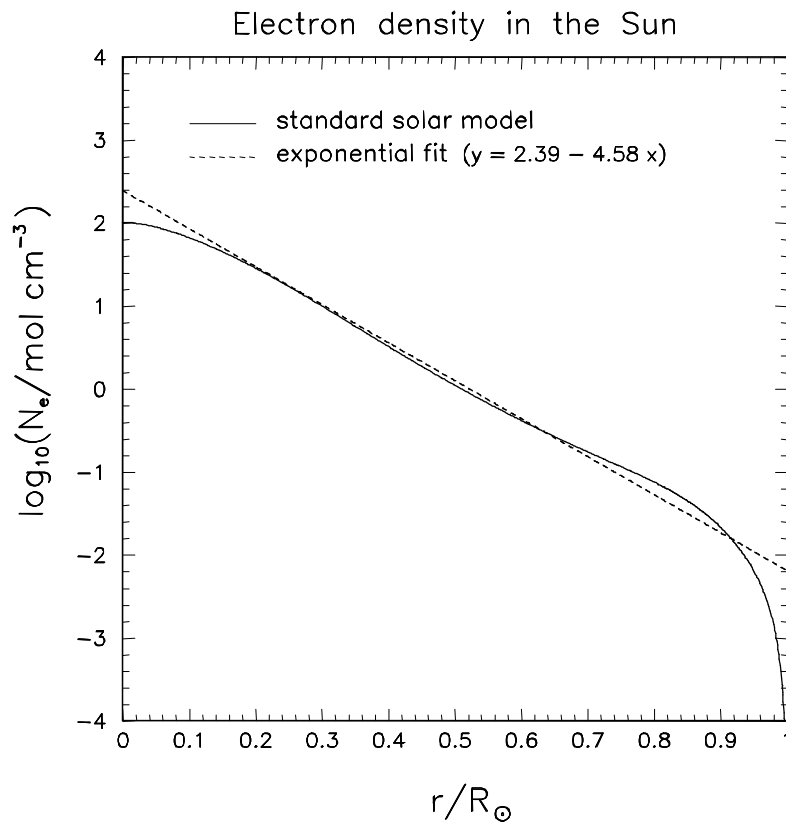
But ν_e , in addition to NC, have CC interac. with background electrons (density N_e).
Energy difference: $V = \sqrt{2} G_F N_e$

Solar ν analysis must account for MSW effects in the Sun and in the Earth
(Earth matter effects negligible for KamLAND reactor neutrinos)
Solar+KamLAND combination provide evidence for V_{sun} (not yet for V_{earth})

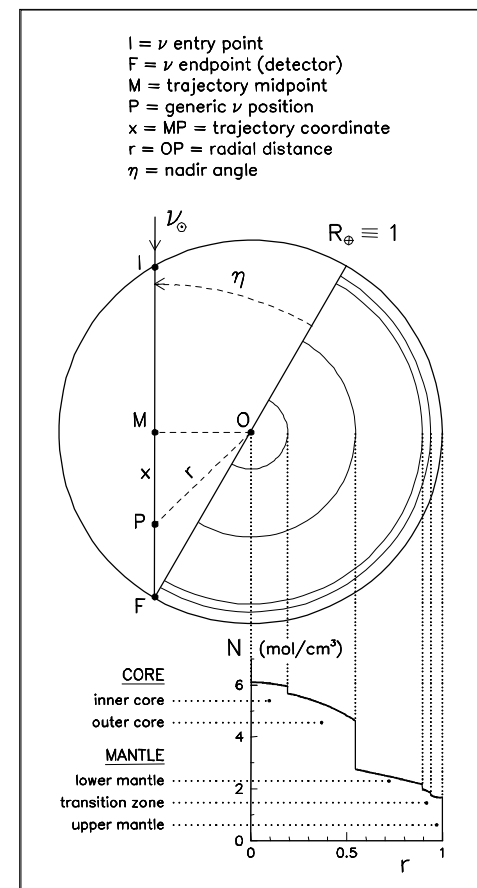
Reminder - Solar neutrinos: Oscillation analysis

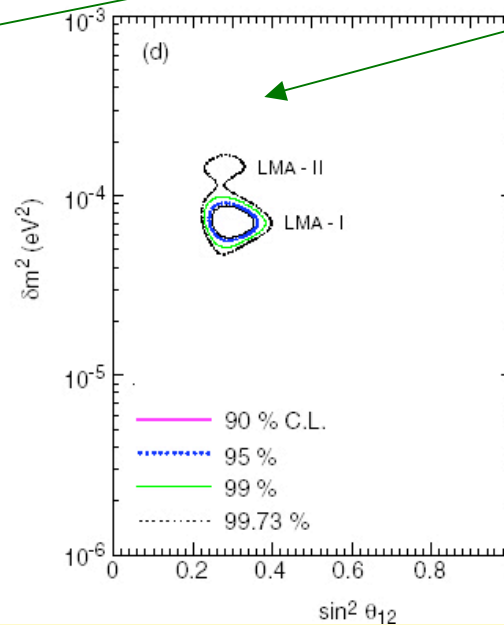
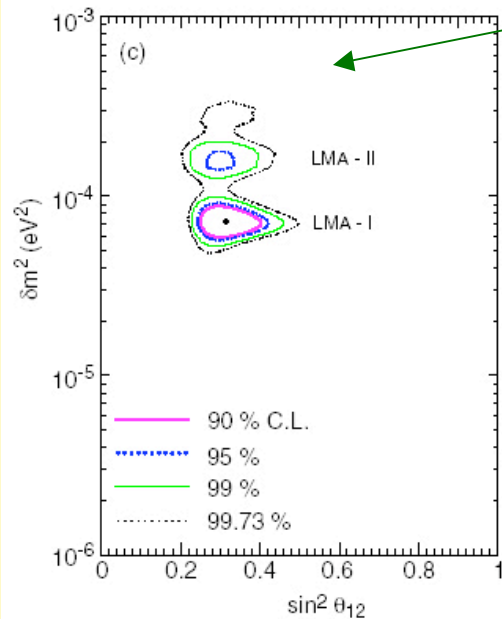
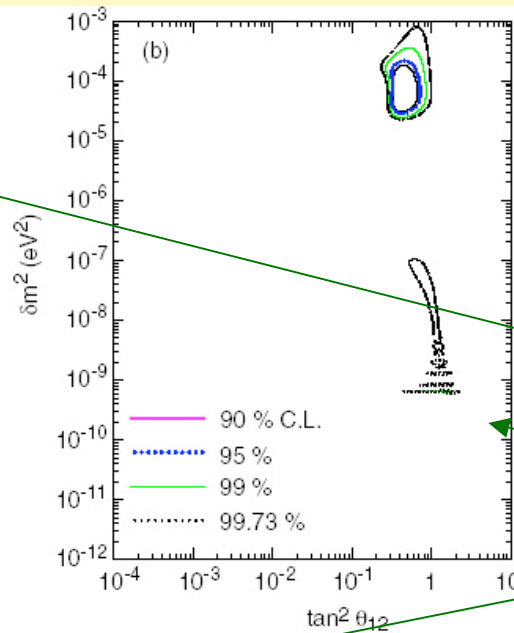
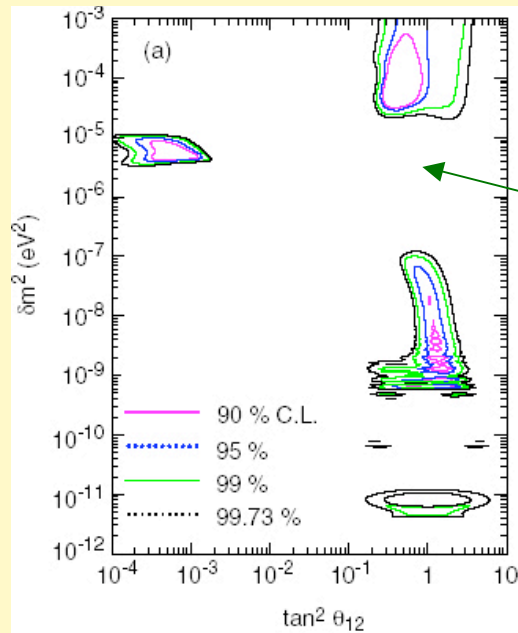
- **Leading parameters:** $(\delta m^2, \theta_{12})$
- **MSW effects must be carefully taken into account**

→ need electron density profile
in the **Sun** (always) ...



... and in the **Earth**
(for night-time trajectories)





Dramatic reduction of the
($\delta m^2, \theta_{12}$) param. space in

2001-2003

(note change of scales)

Cl+Ga+SK (2001)

+SNO-I (2001-2002)

+KamLAND-I (2002)

+SNO-II (2003)

(+ confirmation of solar model)

Direct proof of solar $\nu_e \rightarrow \nu_{\mu, \tau}$
in SNO through comparison of

CC : $\nu_e + d \rightarrow p + p + e$

NC : $\nu_{e, \mu, \tau} + d \rightarrow p + n + \nu_{e, \mu, \tau}$

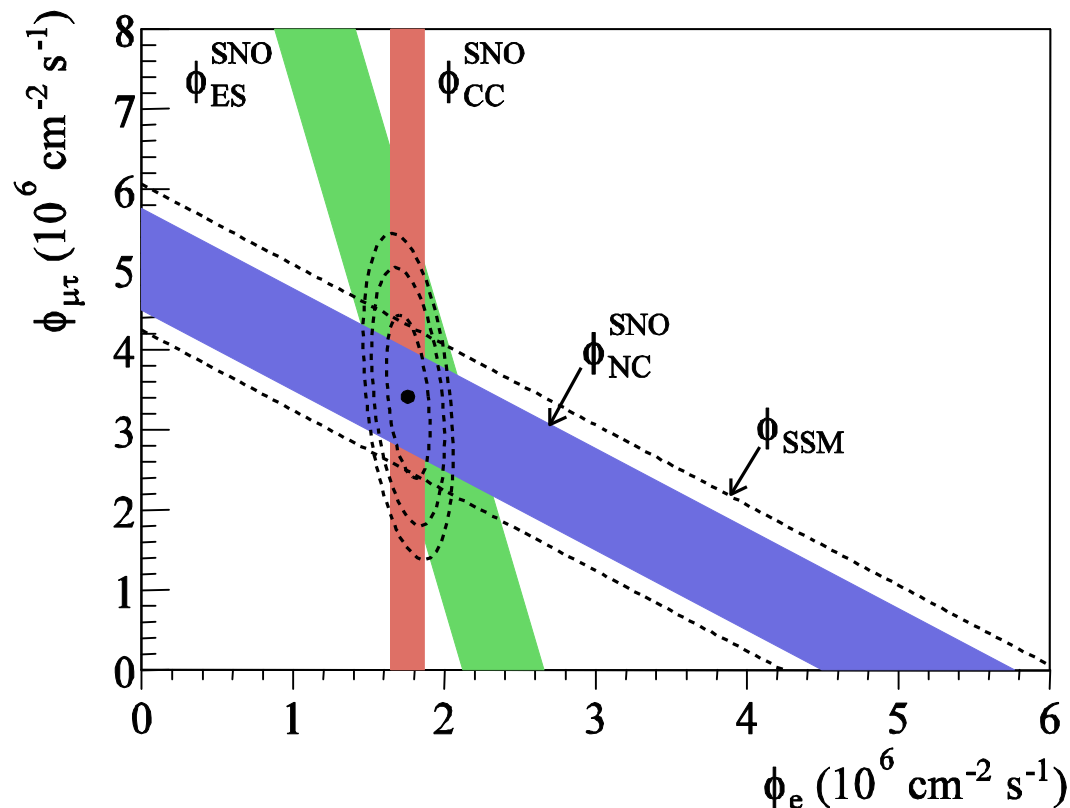
ES : $\nu_{e, \mu, \tau} + e \rightarrow e + \nu_{e, \mu, \tau}$

Solar neutrinos: The 1st SNO breakthrough (2002)

- Solar neutrino deficit in Cl, Ga, Č expt.: model-independent proof desirable
- Proof provided beyond any doubt by CC/NC event ratio in SNO:

$$R = \frac{R_{CC}}{R_{NC}} = \frac{\Phi(\nu_e)}{\Phi(\nu_e) + \Phi(\nu_\mu) + \Phi(\nu_\tau)} = P(\nu_e \rightarrow \nu_e) \text{ independently of SSM}$$

- $R \sim 1/3$ was found \rightarrow solar ν_e must oscillate into $\nu_{\mu\tau}$



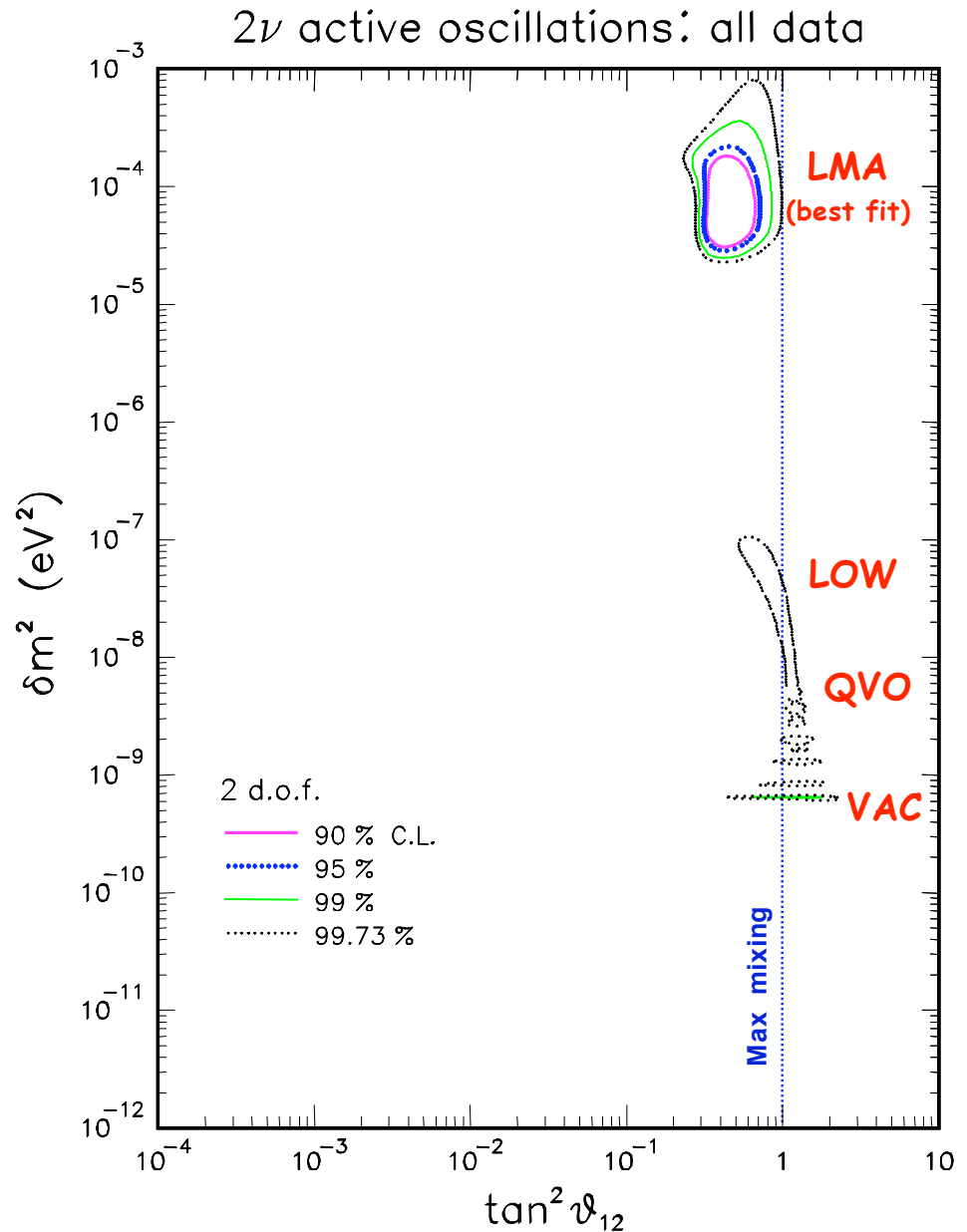
Solar neutrinos: Oscillation analysis (as of summer 2002)

All experiments
combined (summer '02)

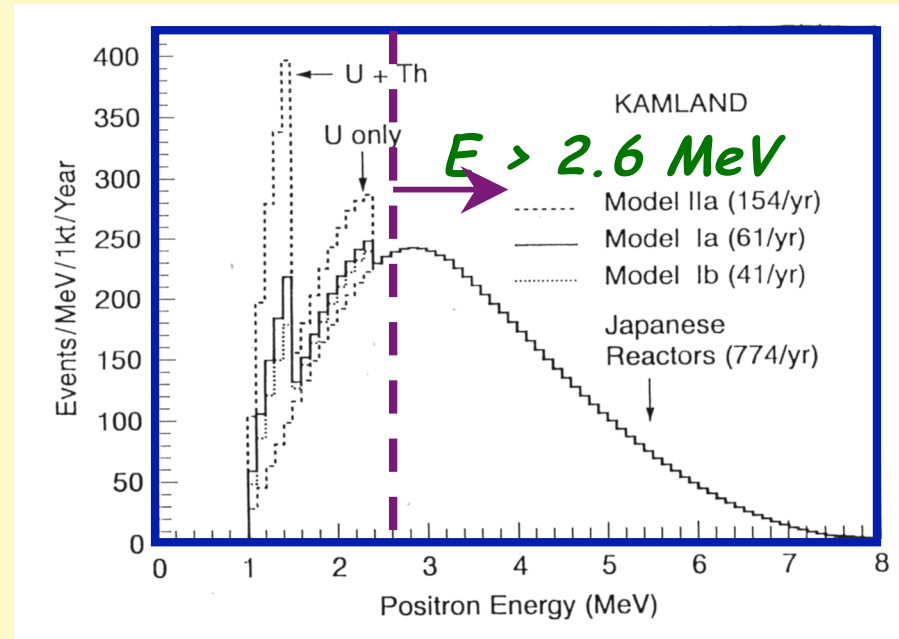
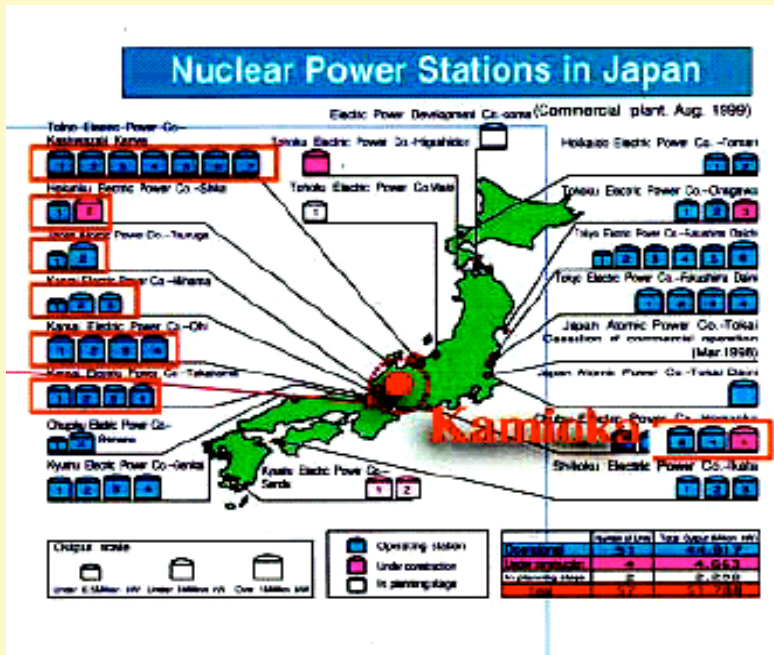
(90, 95, 99, 99.73% C.L.)

Jargon:

LMA Large Mixing Angle
LOW Low δm^2
QVO Quasi-vacuum oscillations
VAC Vacuum oscillations
(SMA Small mixing angle, †2001)



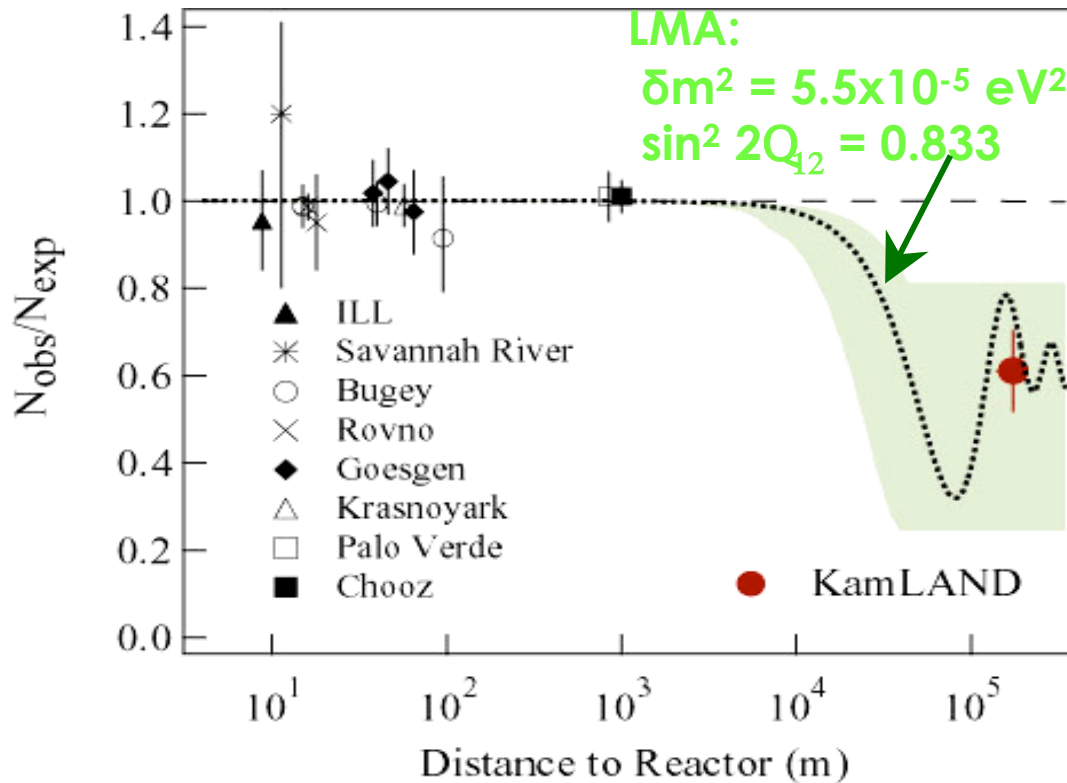
Man-made reactor neutrinos: KamLAND



- Average distance: ~180 km (two orders of magnitude greater than CHOOZ)
- CHOOZ was mainly sensitive to $\Delta m^2 \sim \text{few} \times 10^{-3} \text{ eV}^2$
- KamLAND is mainly sensitive to $\delta m^2 \sim \text{few} \times 10^{-5} \text{ eV}^2$ (LMA range!)
- KamLAND also opens fundamental new field of geoneutrino physics

KamLAND breakthrough (December 2002)

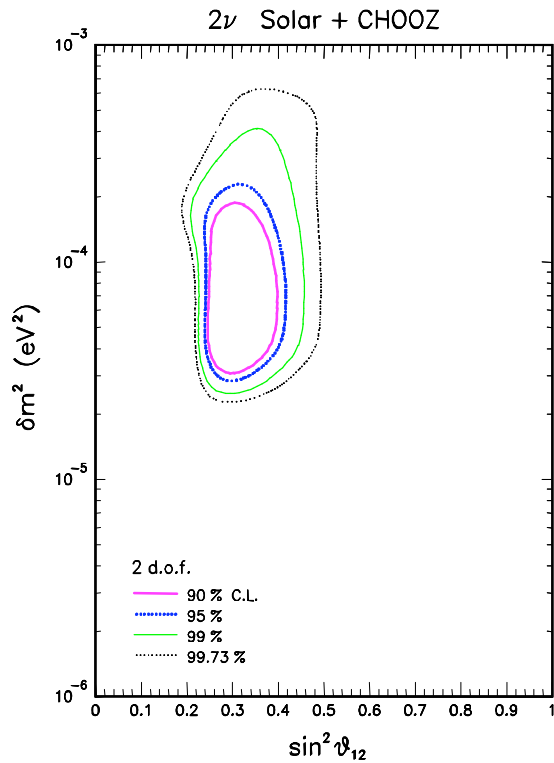
Disappearance of reactor $\bar{\nu}_e$ measured



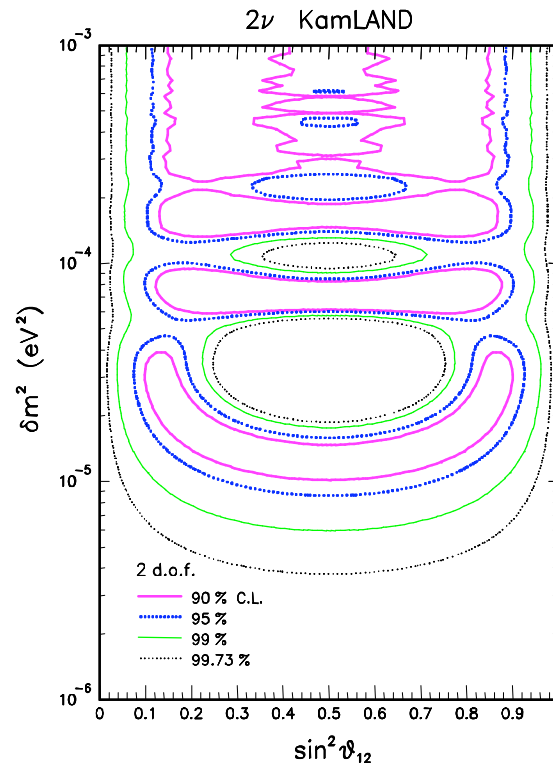
LMA solution confirmed; all others ruled out

KamLAND impact on $(\delta m^2, \theta_{12})$ parameter space

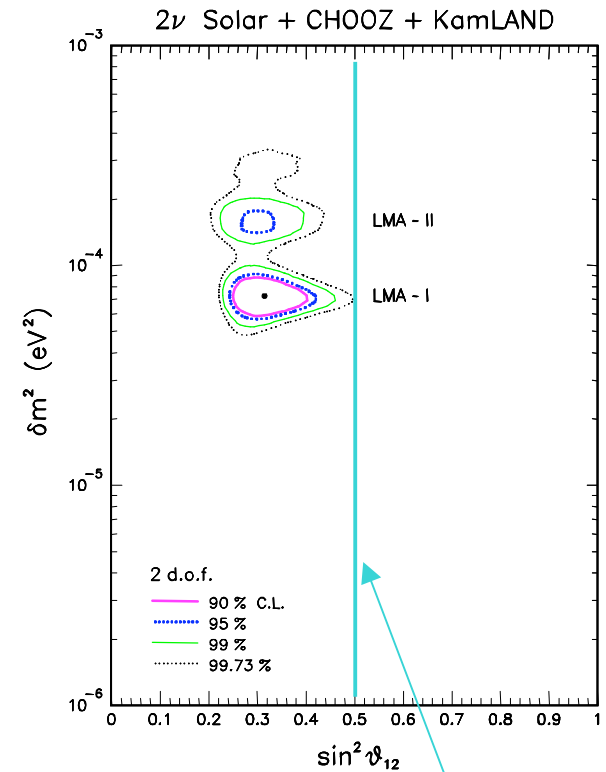
...before KamLAND



KamLAND 2002

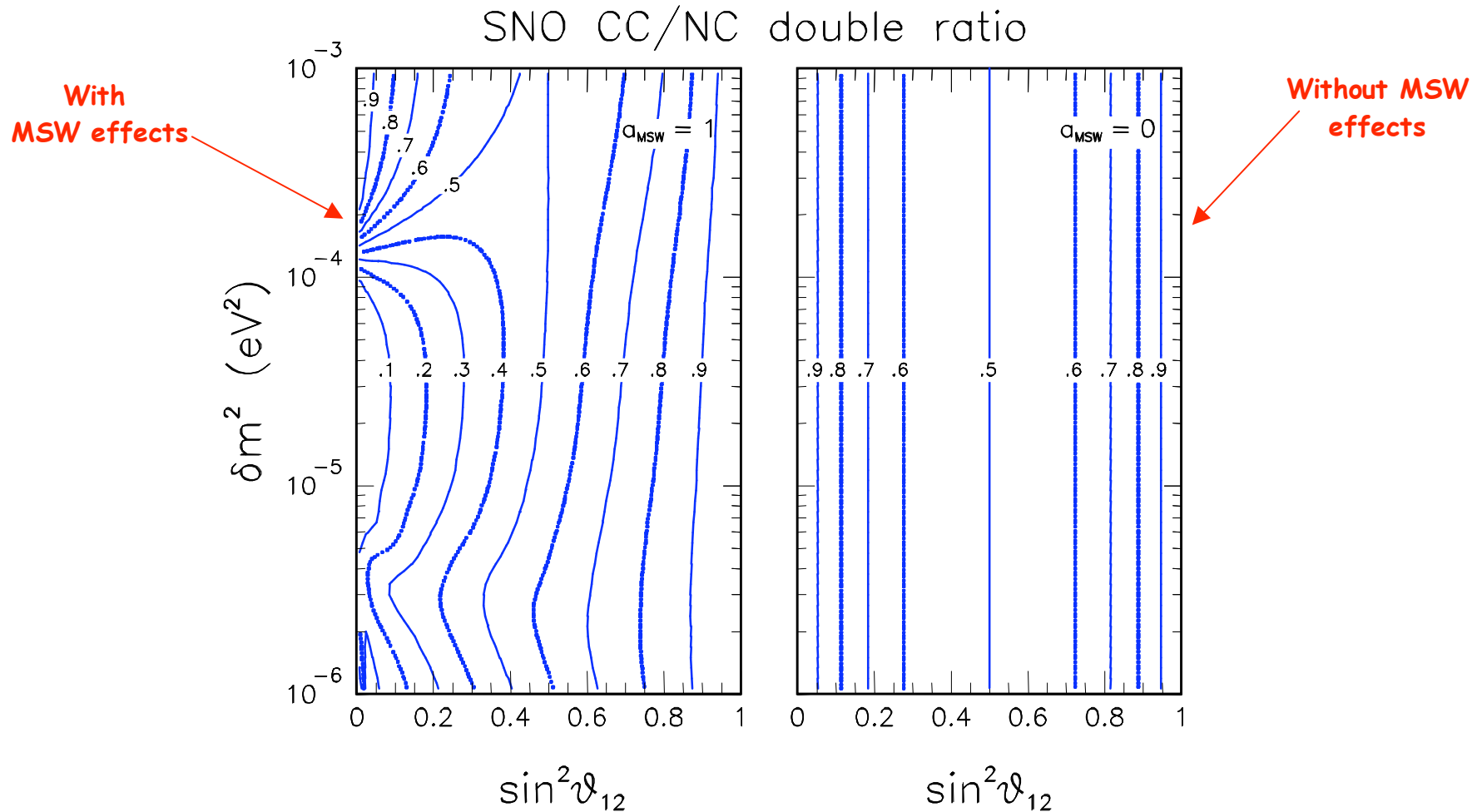


...after KamLAND



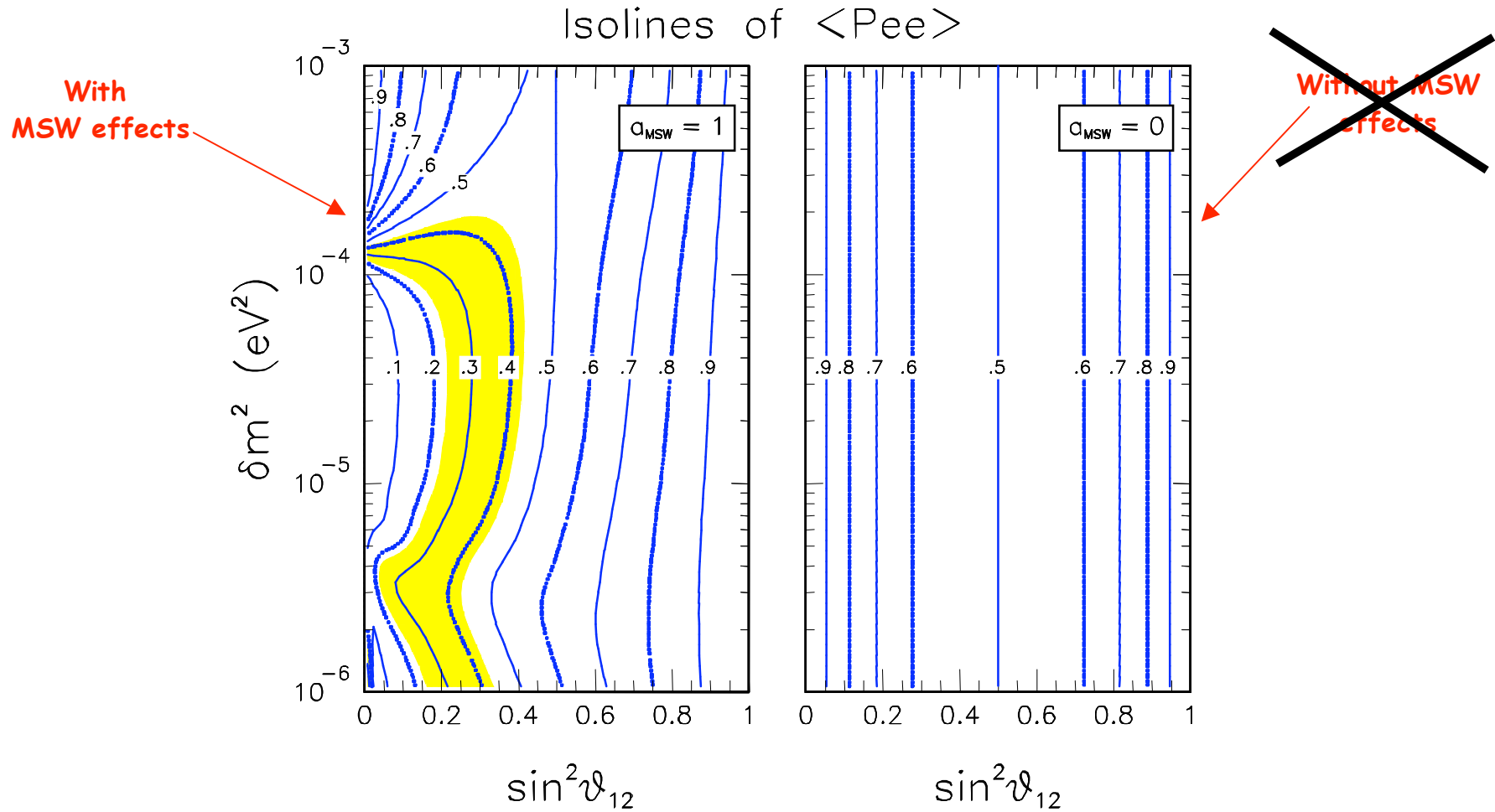
Note:
Maximal θ_{12} mixing
not ruled out in 2002

Why should we care about (non)maximal θ_{12}



In LMA, SNO CC/NC can be < 0.5 only WITH matter effects AND mixing $< \pi/4$

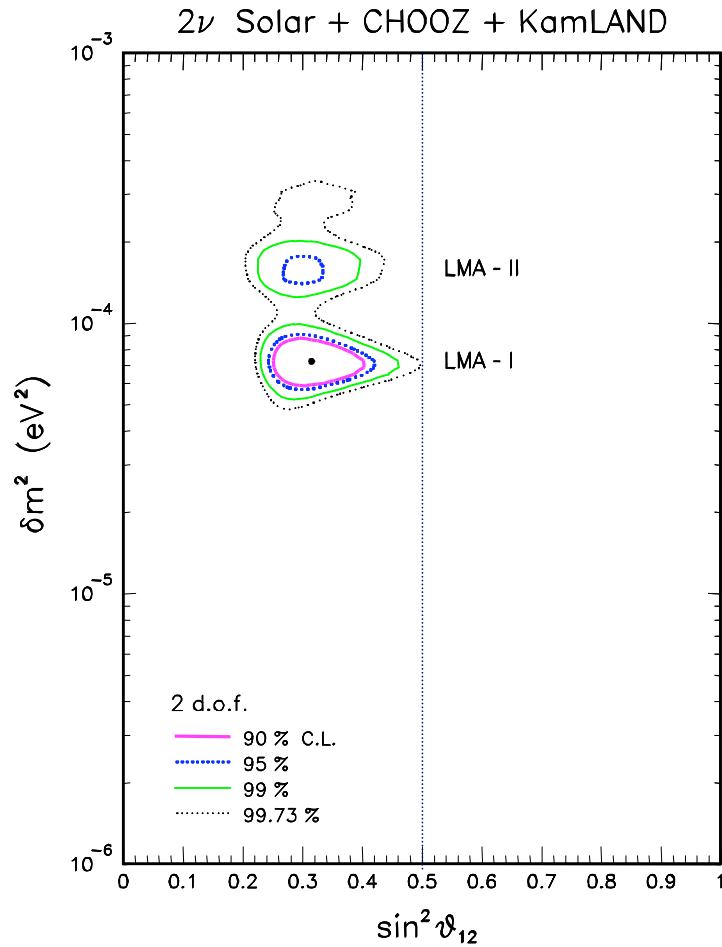
The 2nd SNO breakthrough (September 2003): maximal mixing ruled out



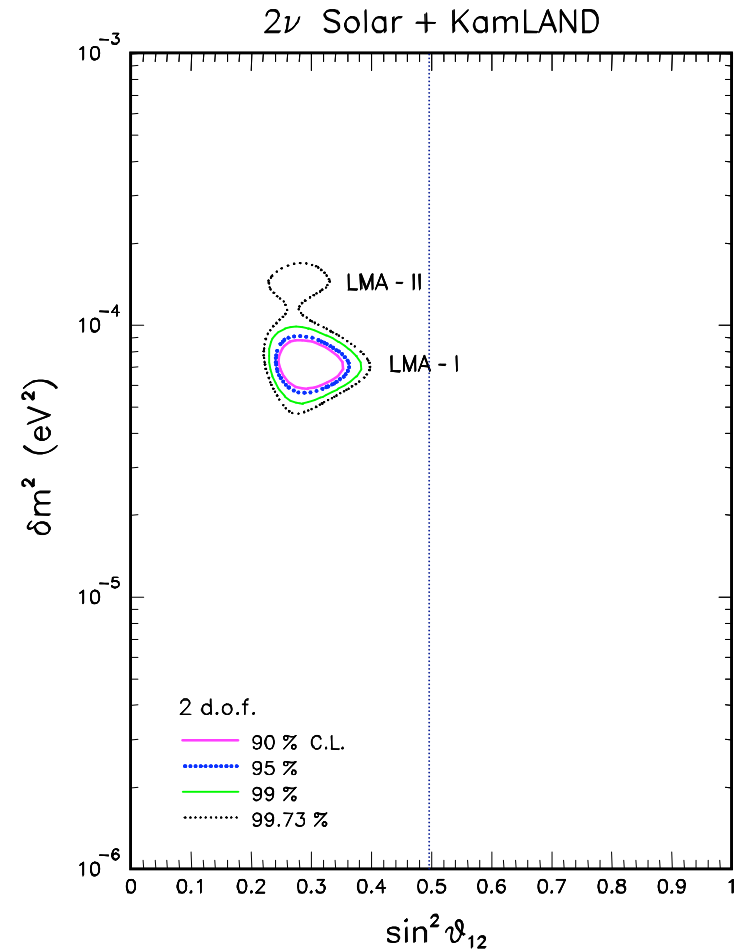
Compelling evidence for matter effects in the Sun

LMA analysis (as of september 2003)

Still: LMA-I vs LMA-II ambiguity

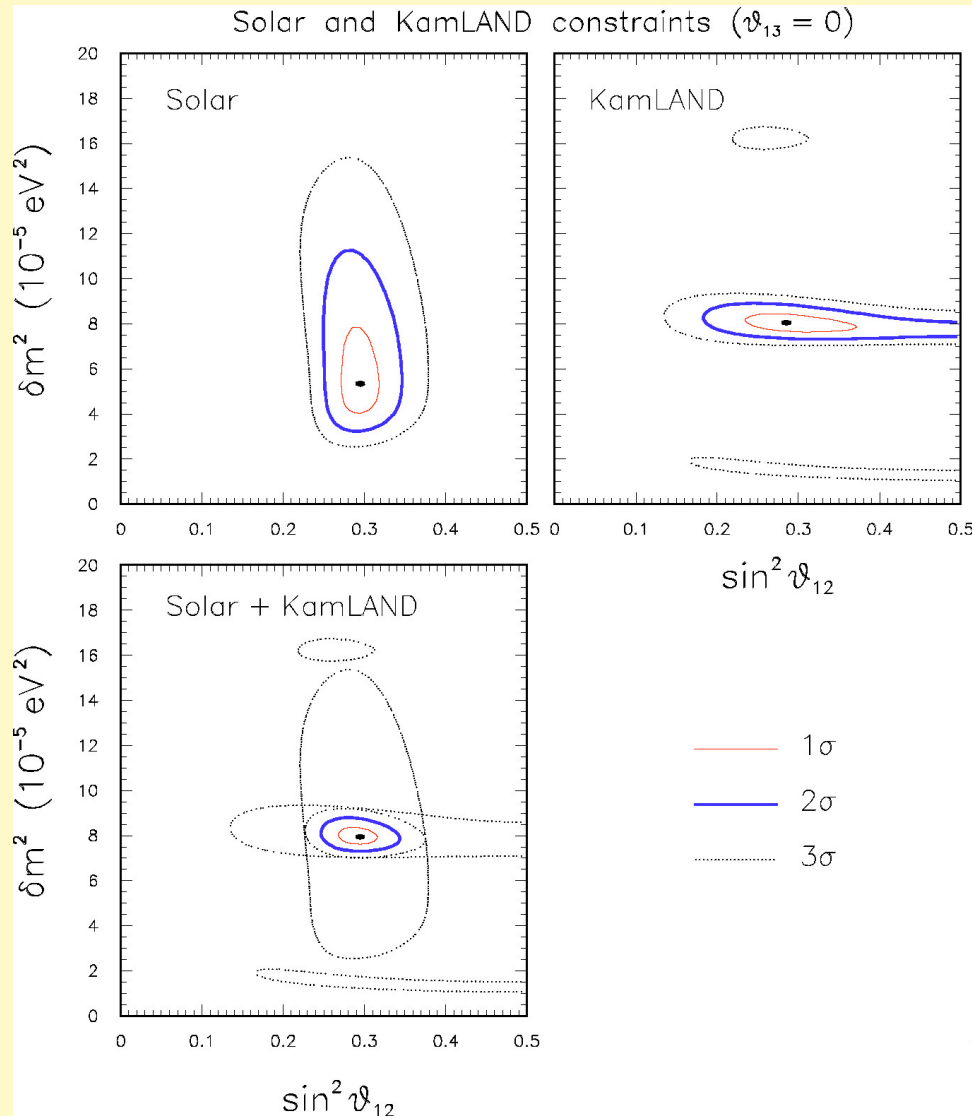


Before SNO 2003

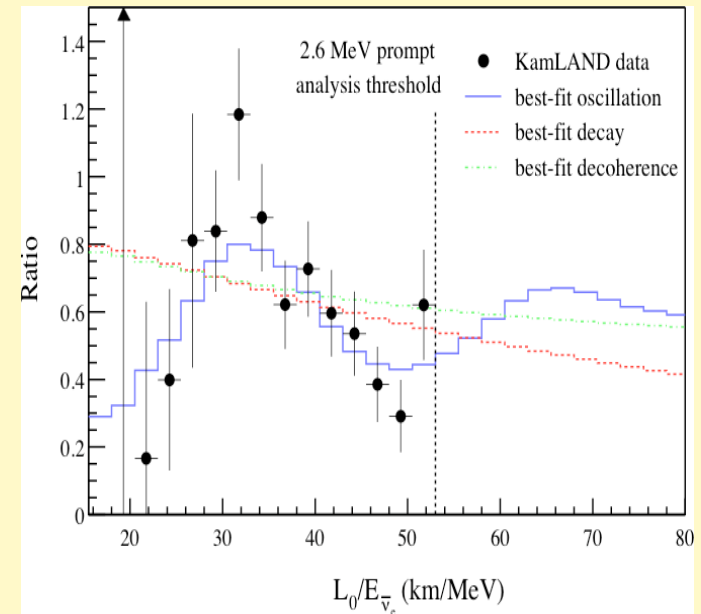


After SNO 2003

... in **2004** (KamLAND-II with revised background):
unique Large Mixing Angle solution, and change to linear scales...

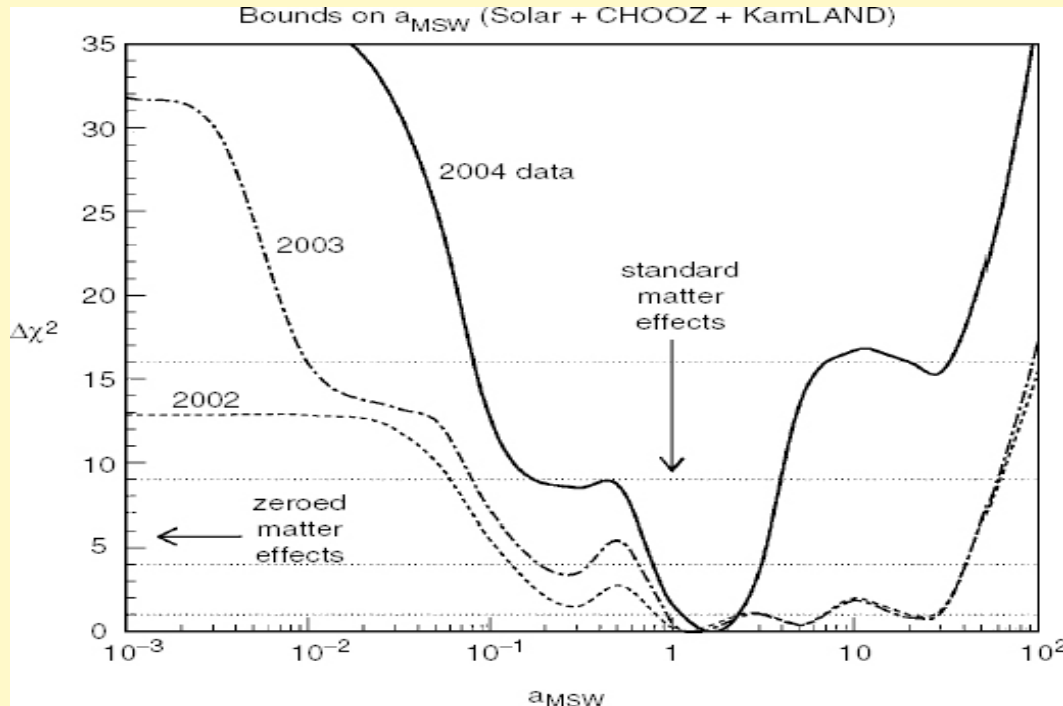


+ evidence for oscillatory effects
in KamLAND reactor L/E spectrum



What about MSW effects?

- Exercise:** (1) Change MSW potential "by hand," $V \rightarrow a_{\text{MSW}} V$
 (2) Reanalyze all data with $(\delta m^2, \theta_{12}, a_{\text{MSW}})$ free
 (3) Project $(\delta m^2, \theta_{12})$ away and check if $a_{\text{MSW}} \sim 1$



*(... a way of "measuring"
 G_F through solar
 neutrino oscillations ...)*

Results: with **2004** data, $a_{\text{MSW}} \sim 1$ confirmed within factor of ~ 2
 and $a_{\text{MSW}} \sim 0$ excluded → **Evidence for standard MSW effects in the Sun**

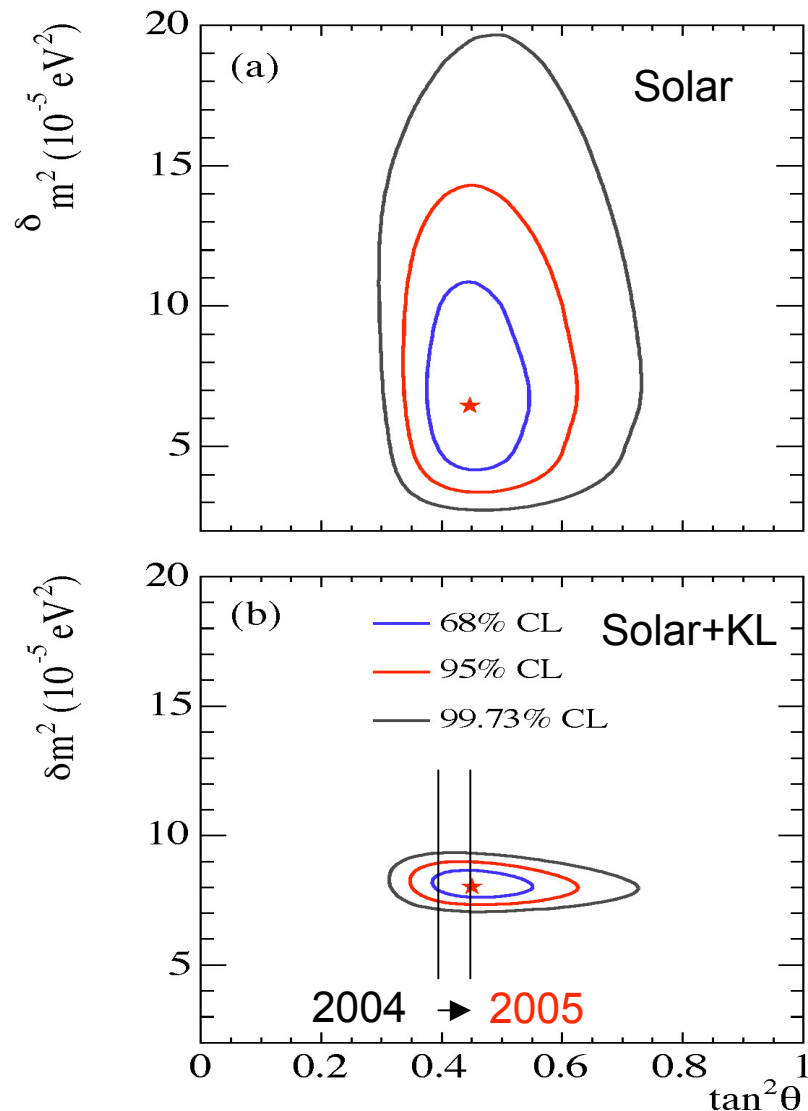
But: expected subleading effect in the Earth (day-night difference) still below experimental uncertainties.

2005 (March): new data + detailed analysis from SNO

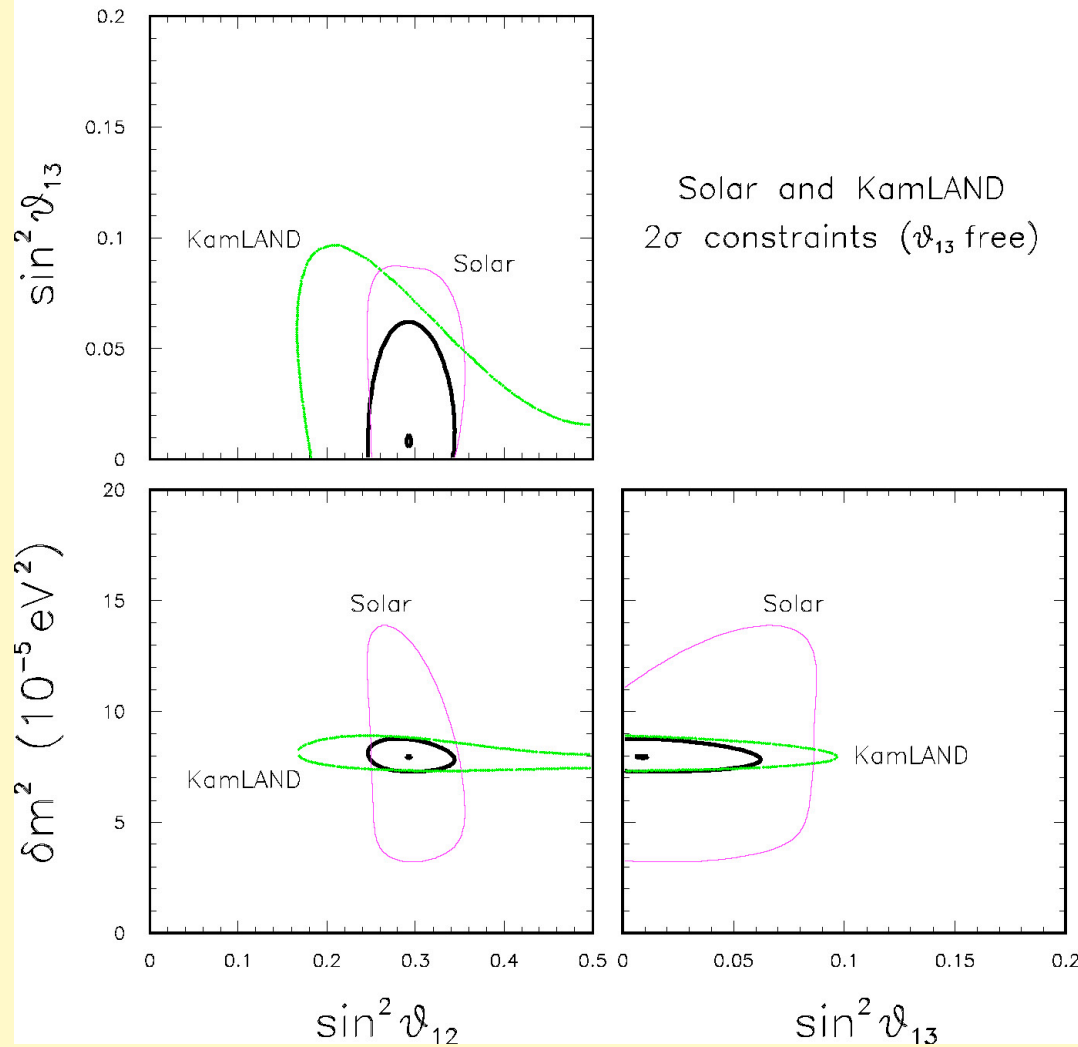
Previous results
basically confirmed

Slightly higher ratio
 $CC/NC \sim P(\nu_e \rightarrow \nu_e)$

Slight shift ($<1\sigma$ upwards)
of allowed range for θ_{12}



3ν analysis of 2004 solar+KamLAND data (θ_{13} free)

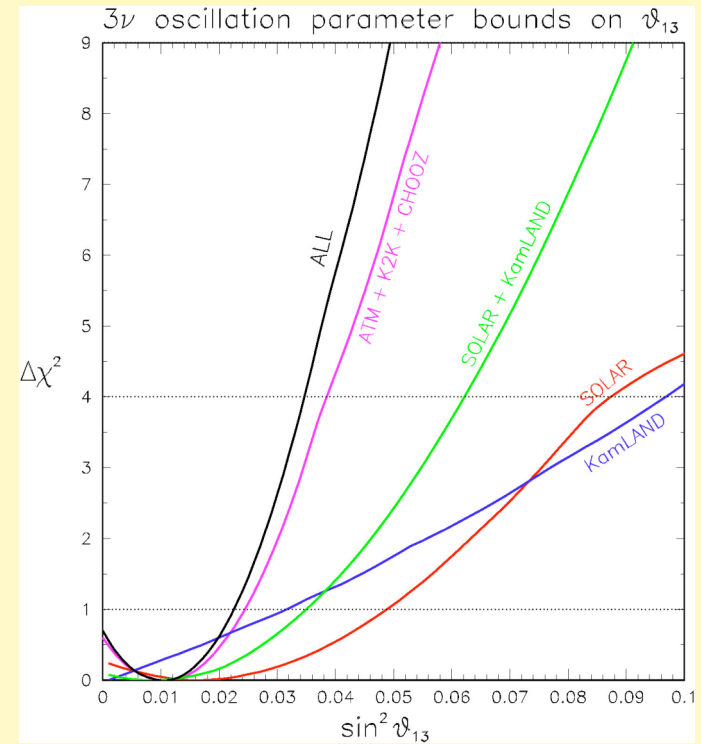
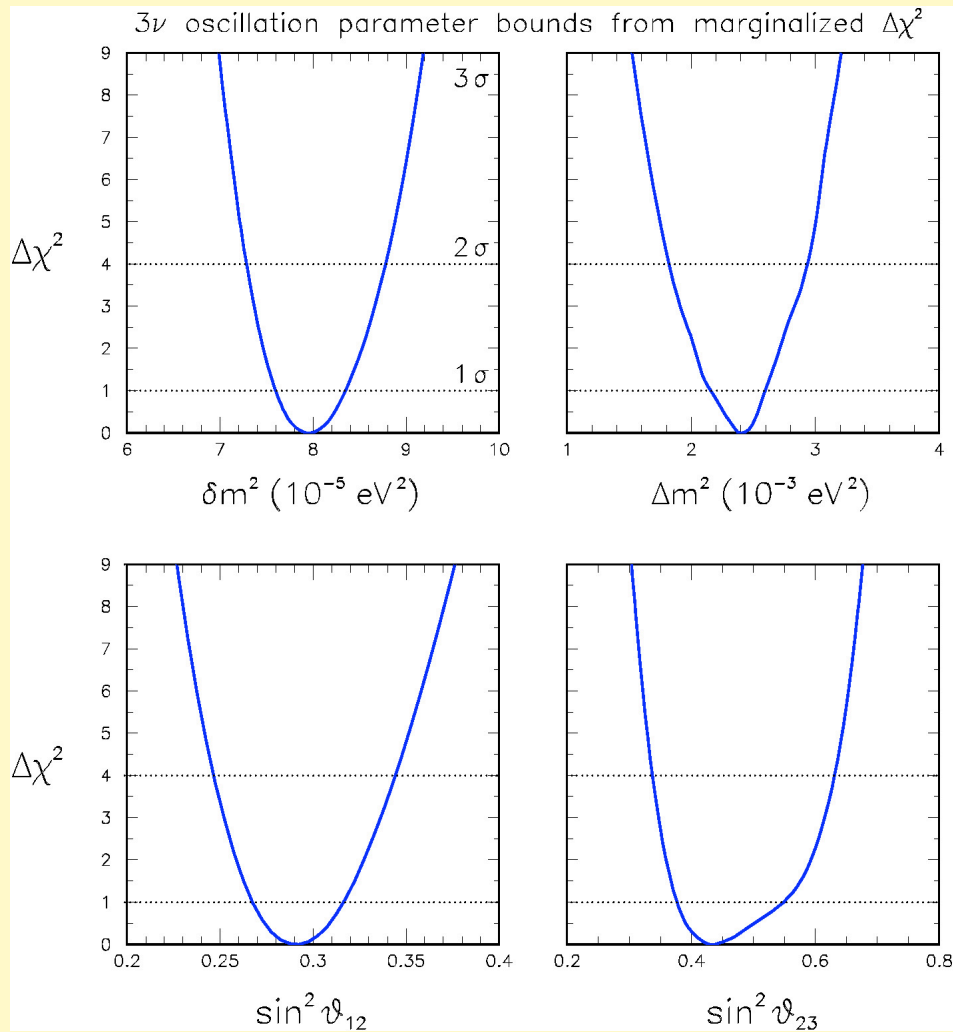


Solar and KamLAND data also prefer $\theta_{13} \sim 0$ (nontrivial consistency with SK+CHOOZ)

Bounds on $(\delta m^2, \theta_{12})$ not significantly altered for unconstrained θ_{13}

**"Grand Total" from global
analysis of oscillation data**

Marginalized $\Delta\chi^2$ curves for each parameter (2004)



Numerical $\pm 2\sigma$ ranges (95% CL for 1dof), 2004 data:

$$\delta m^2 \simeq 8.0_{-0.7}^{+0.8} \times 10^{-5} \text{ eV}^2$$

$$\Delta m^2 \simeq 2.4_{-0.6}^{+0.5} \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{12} \simeq 0.29_{-0.04}^{+0.05} \quad (\text{SNO '05 : } 0.29 \rightarrow 0.31)$$

$$\sin^2 \theta_{23} \simeq 0.45_{-0.11}^{+0.18}$$

$$\sin^2 \theta_{13} < \sim 0.035$$

$\text{sign}(\pm \Delta m^2) : \text{ unknown}$

CP phase $\delta : \text{ unknown}$

Note: Precise values for θ_{12} and θ_{23} relevant for model building

**Probing absolute ν masses
through non-oscillation searches**

Three main tools (m_β , $m_{\beta\beta}$, Σ):

- 1) **β decay**: $m_i^2 \neq 0$ can affect spectrum endpoint. Sensitive to the "effective electron neutrino mass":

$$m_\beta = \left[c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2 \right]^{\frac{1}{2}}$$

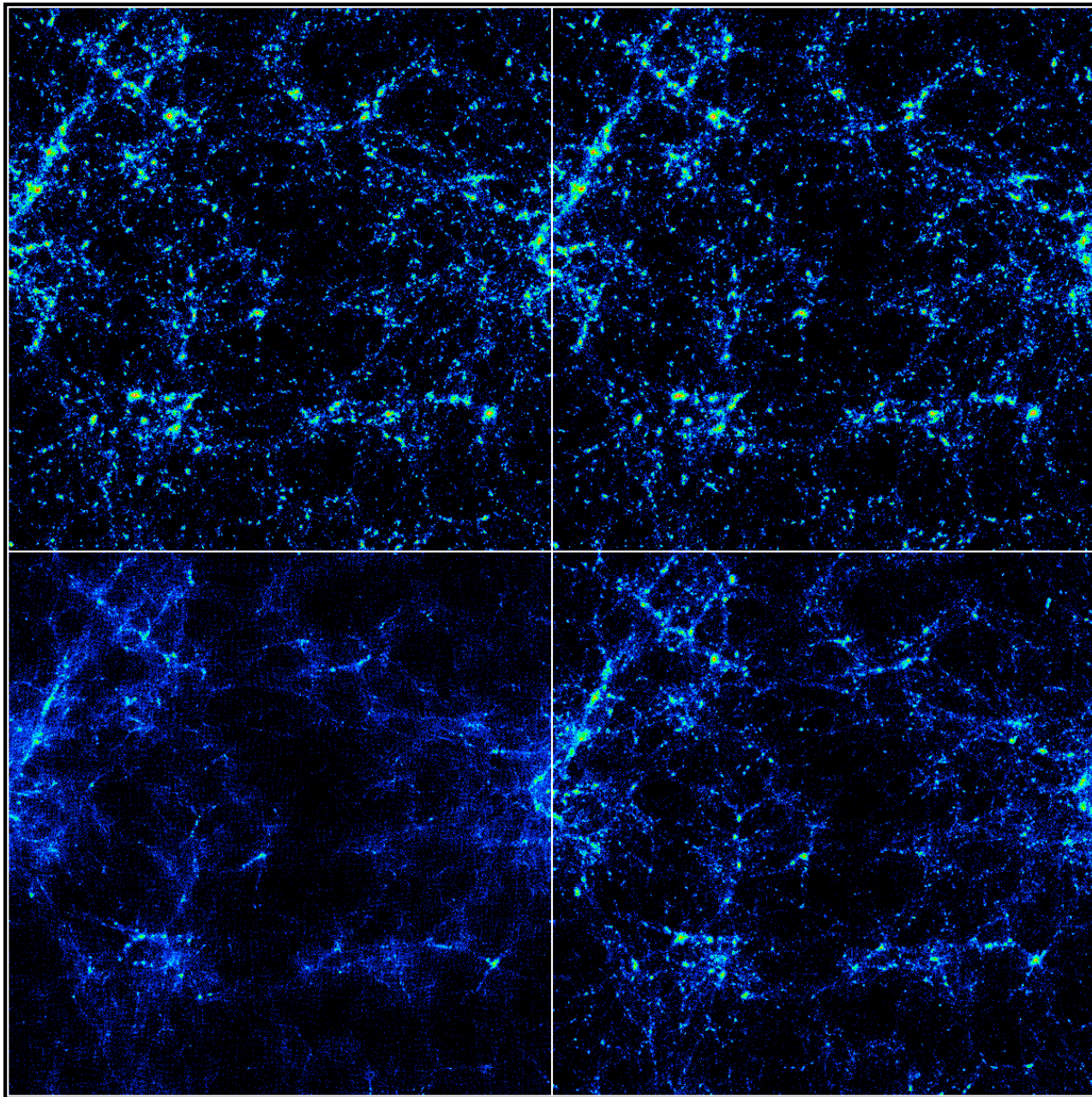
- 2) **$0\nu 2\beta$ decay**: Can occur if $m_i^2 \neq 0$ and $\nu = \bar{\nu}$. Sensitive to the "effective Majorana mass" (and phases):

$$m_{\beta\beta} = \left| c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right|$$

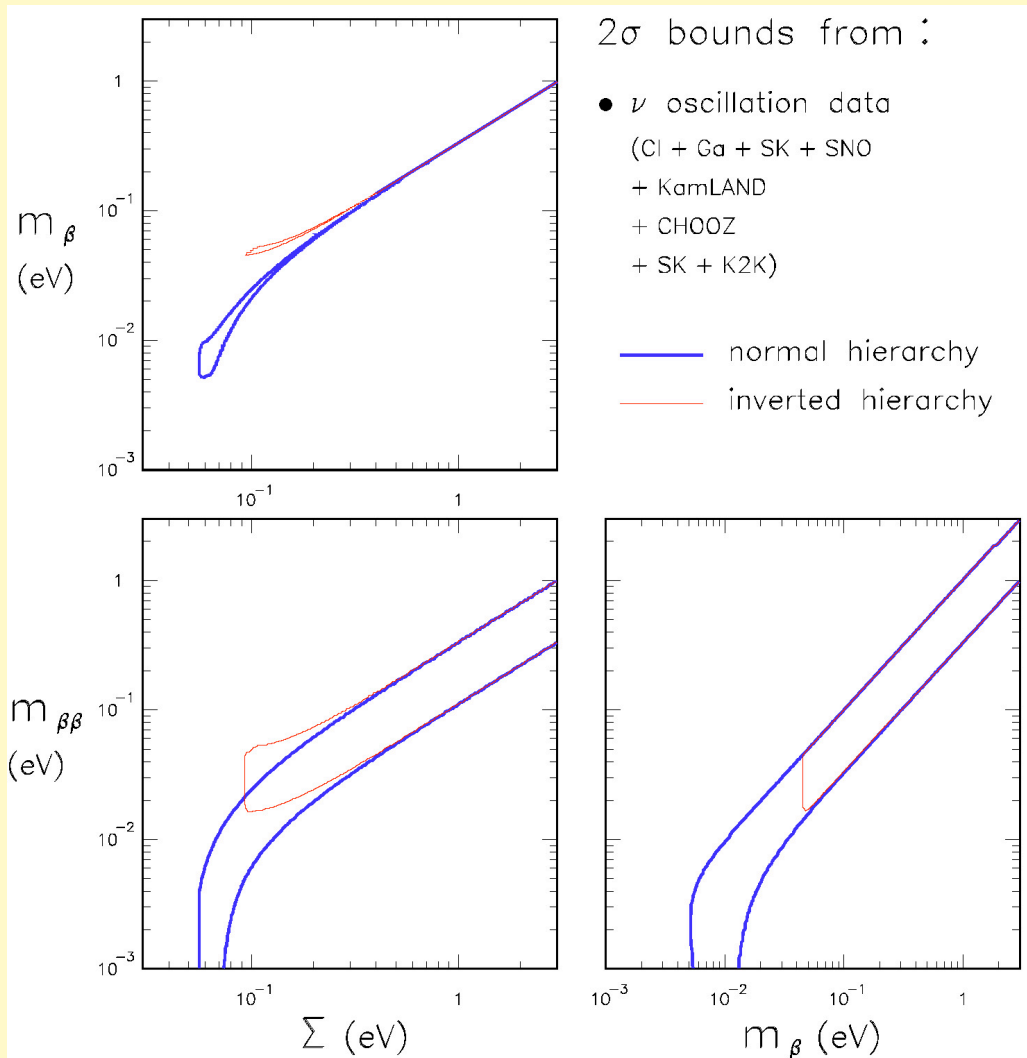
- 3) **Cosmology**: $m_i^2 \neq 0$ can affect large scale structures in (standard) cosmology constrained by CMB+other data. Probes:

$$\Sigma = m_1 + m_2 + m_3$$

$$m_\nu = \begin{pmatrix} 0 & 1 \\ 7 & 4 \end{pmatrix} \text{ eV}$$



Even without non-oscillation data, the $(m_\beta, m_{\beta\beta}, \Sigma)$ parameter space is constrained by previous oscillation results:



Significant covariances

Partial overlap between the two hierarchies

Large $m_{\beta\beta}$ spread due to unknown Majorana phases

But we do have information from non-oscillation experiments:

1) β decay: no signal so far. Mainz & Troitsk expts: $m_\beta < O(\text{eV})$

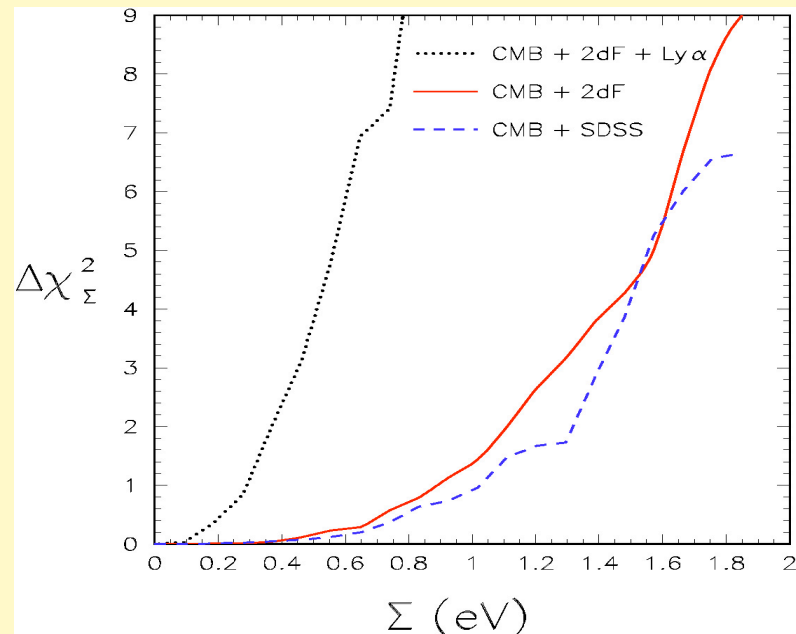
2) $0\nu 2\beta$ decay, no signal in all experiment, except in the most sensitive one (*Heidelberg-Moscow*). Rather debated claim.

Claim accepted: $m_{\beta\beta}$ in sub-eV range (with large uncertainties)

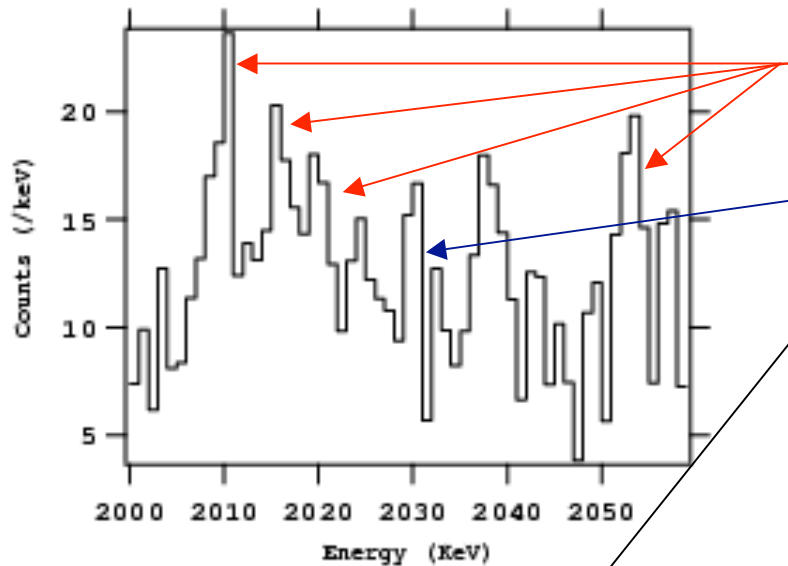
Claim rejected: $m_{\beta\beta} < O(\text{eV})$.

3) Cosmology. Upper bounds:

$\Sigma < \text{eV/sub-eV range}$,
depending on several
inputs and priors. E.g.,



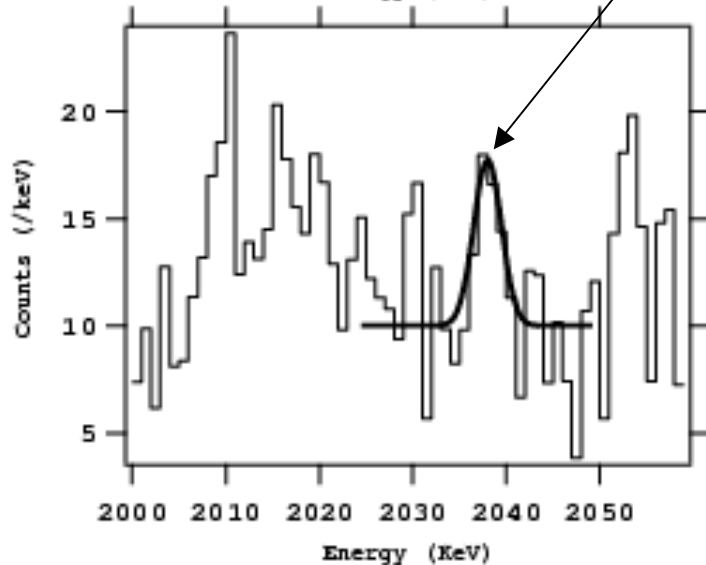
$0\nu 2\beta$ decay: Heidelberg-Moscow experiment final analysis (March 2004)



Four lines at 2010, 2017, 2022, 2053 keV are identified as due to ^{214}Bi decay

One possible line at 2030 keV is not identified

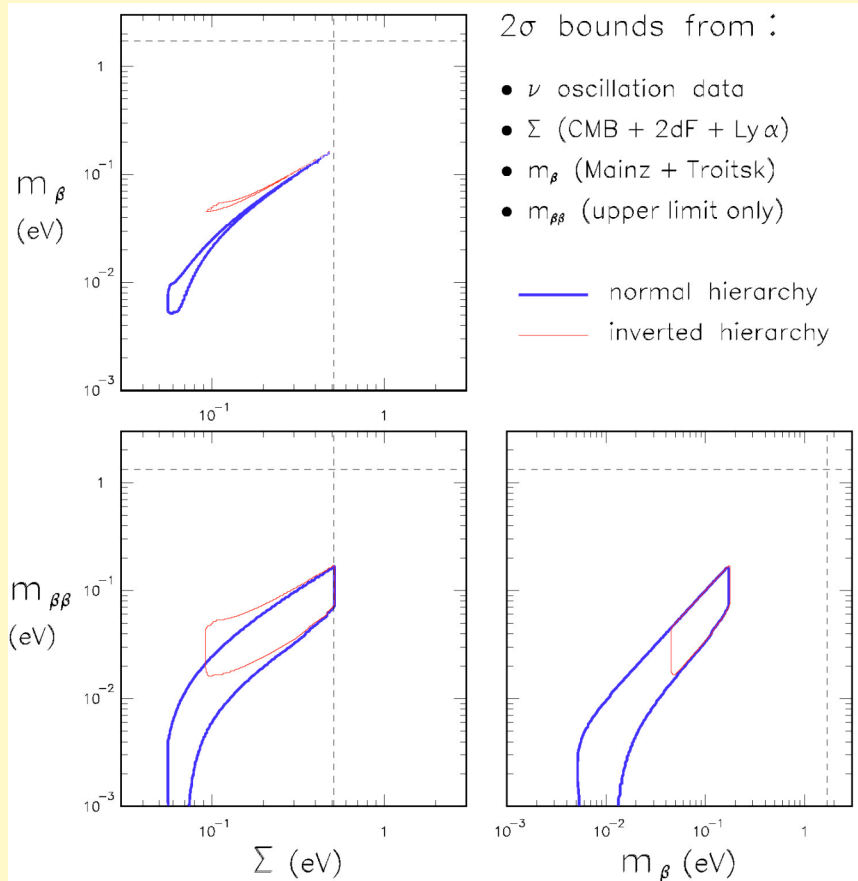
Claimed $0\nu\beta\beta$ line at ~ 2039 keV is now more clearly seen "by eye". Statistically, it emerges at about 4σ C.L. (~ 23 events)



We might have reached an "LSND-like" situation:

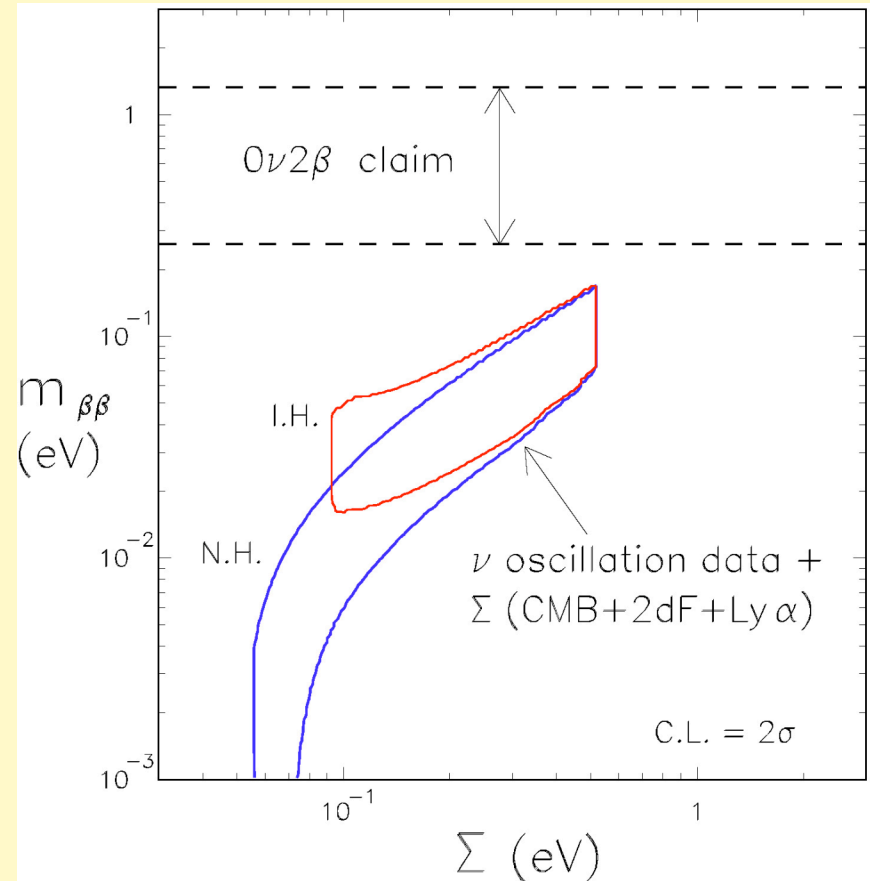
- Initial claim is rather controversial
- Then, further data/analysis strengthen it
- No current experiment can disprove it
- It will stay with us for a long time and will demand more sensitive expt. checks

$0\nu 2\beta$ claim rejected



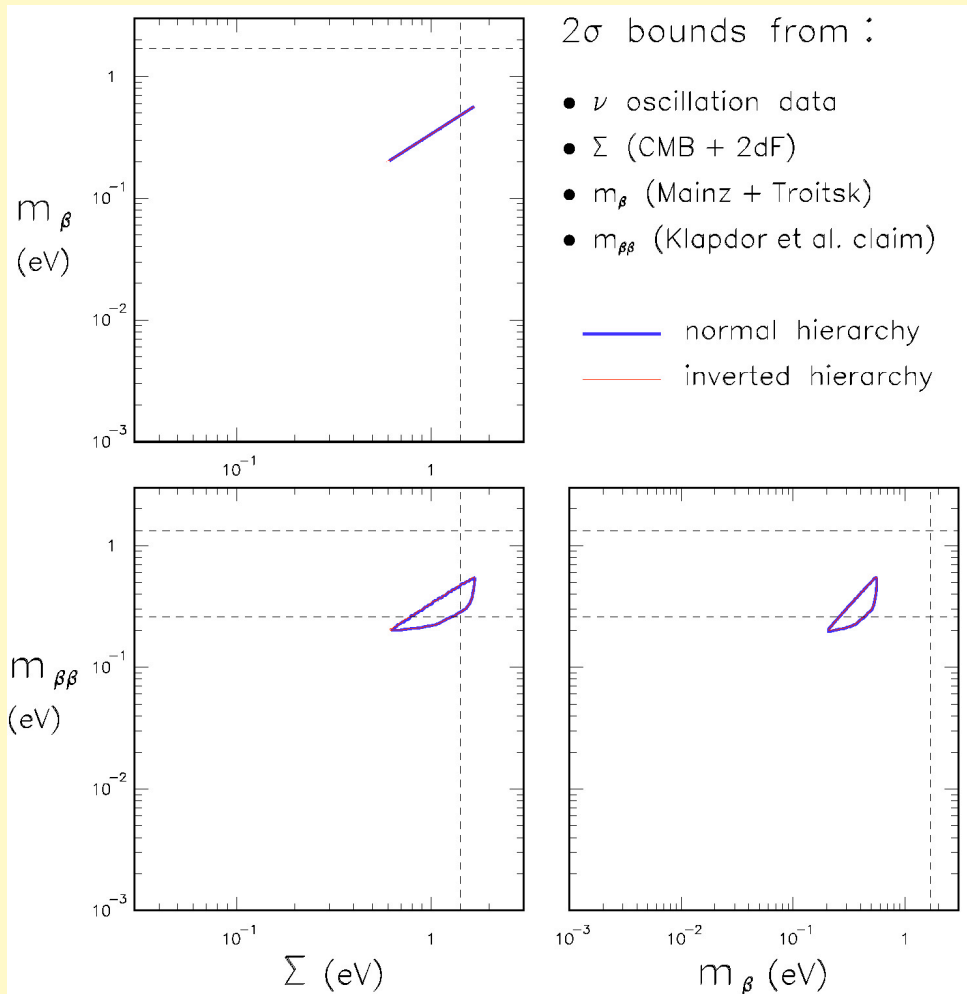
Cosmological bound dominates, but
does not probe hierarchy yet

$0\nu 2\beta$ claim accepted



Tension with cosmological bound
(no combination possible at face value)
But: too early to draw definite conclusions

E.g., if $0\nu2\beta$ claim accepted & cosmological bounds relaxed:



Combination of all data
(osc+nonosc.) possible

Complete overlap of
the two hierarchies
(degenerate spectrum
with "large" masses)

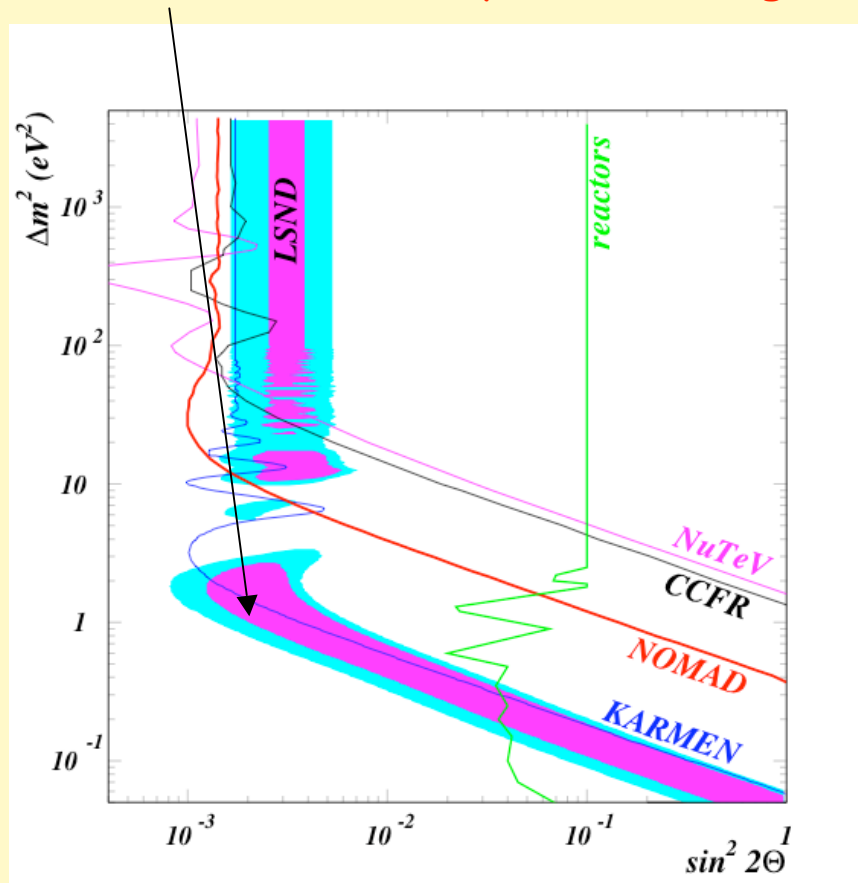
High discovery potential
in future (m_β , $m_{\beta\beta}$, Σ)
searches

Beyond three-neutrino mixing: LSND

Many theoretical reasons to go beyond the standard 3 ν scenario

A purely experimental reason: the puzzling LSND oscillation claim

$\Delta m^2 \sim O(eV^2)$ with very small mixing?



Solutions invented so far
(new sterile states, new
interactions or properties)
seem rather "ad hoc"
and/or in poor agreement
with world neutrino data

If MiniBoone confirms
LSND this year (2006),
many ideas will be revised,
and neutrino physics will
be fun again!

Conclusions

Great
progress
in recent
years ...

Neutrino mass & mixing: established fact

Determination of $(\delta m^2, \theta_{12})$ and $(\Delta m^2, \theta_{23})$

Upper bounds on θ_{13}

Oscillation-induced spectral distortions

Direct evidence for solar ν flavor change

Evidence for matter effects in the Sun

Upper bounds on ν masses in (sub)eV range

.....

Determination of θ_{13}

Leptonic CP violation

Absolute m_ν from β -decay and cosmology

Test of $0\nu 2\beta$ claim and of Dirac/Majorana ν

Matter effects in the Earth

Normal vs inverted hierarchy

Beyond the standard 3ν scenario

Deeper theoretical understanding

.....

... and great
challenges
for the
future!

Dottorato di Ricerca

Bari 2006

Physics of massive ν_s

Eligio Lisi, INFN, Bari, Italy

LECTURE II (2nd part)

2005 most up-to-date global analysis
of 3-neutrino mass and mixing parameters

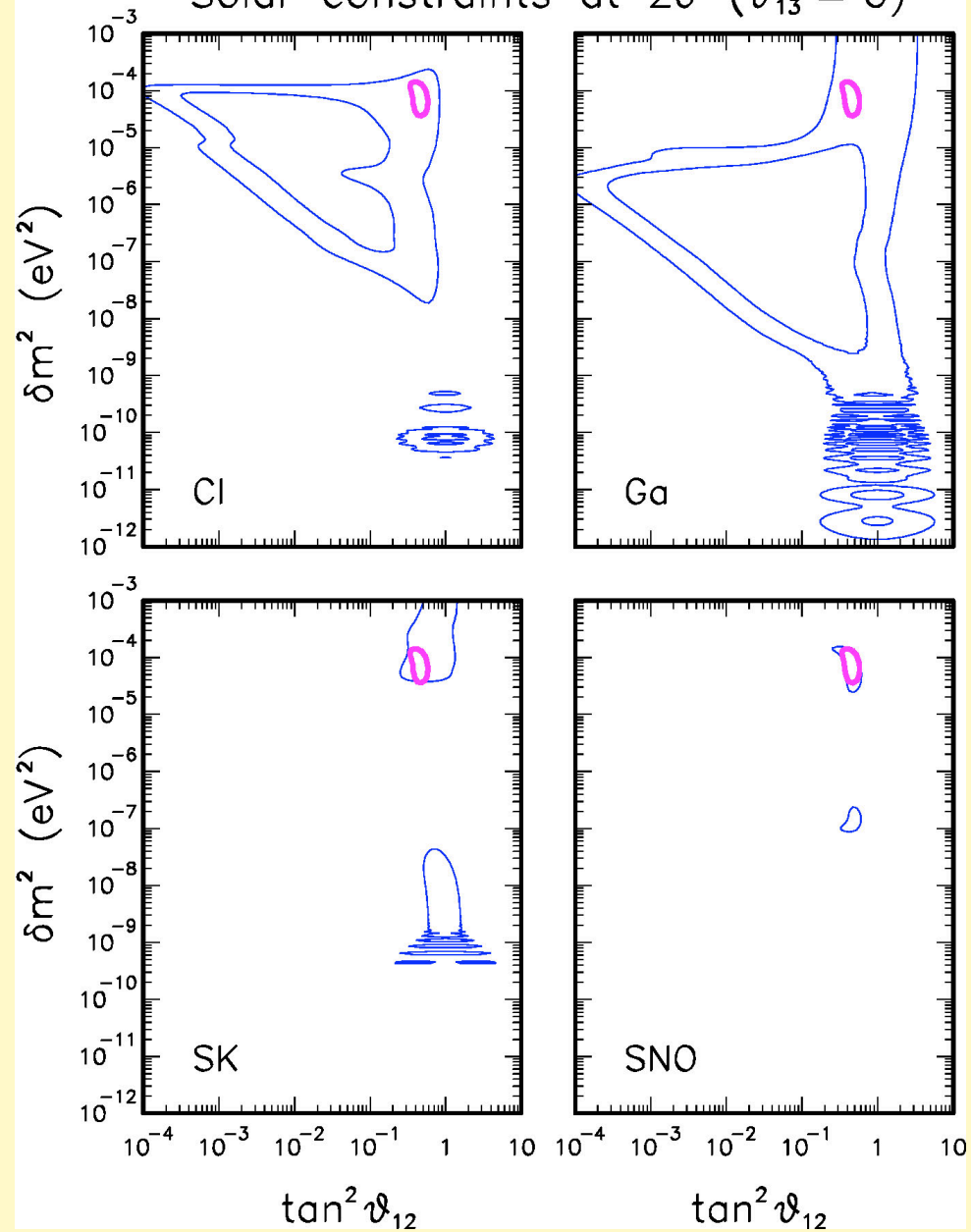
(G.L.Fogli, E.L., A. Marrone, A. Palazzo)

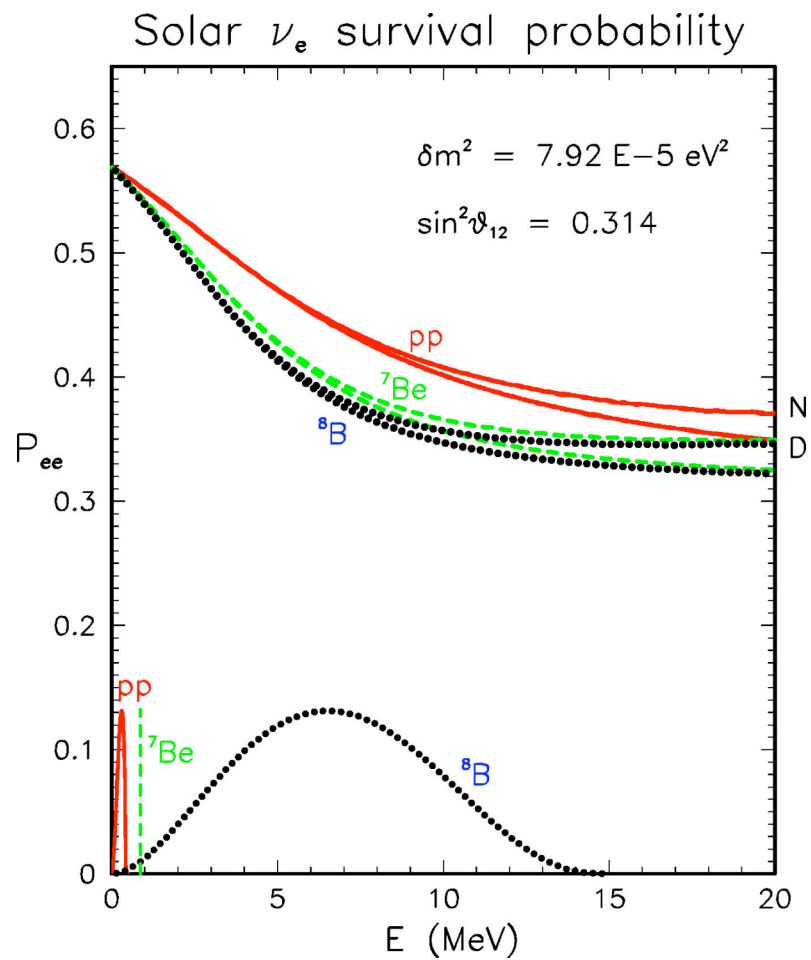
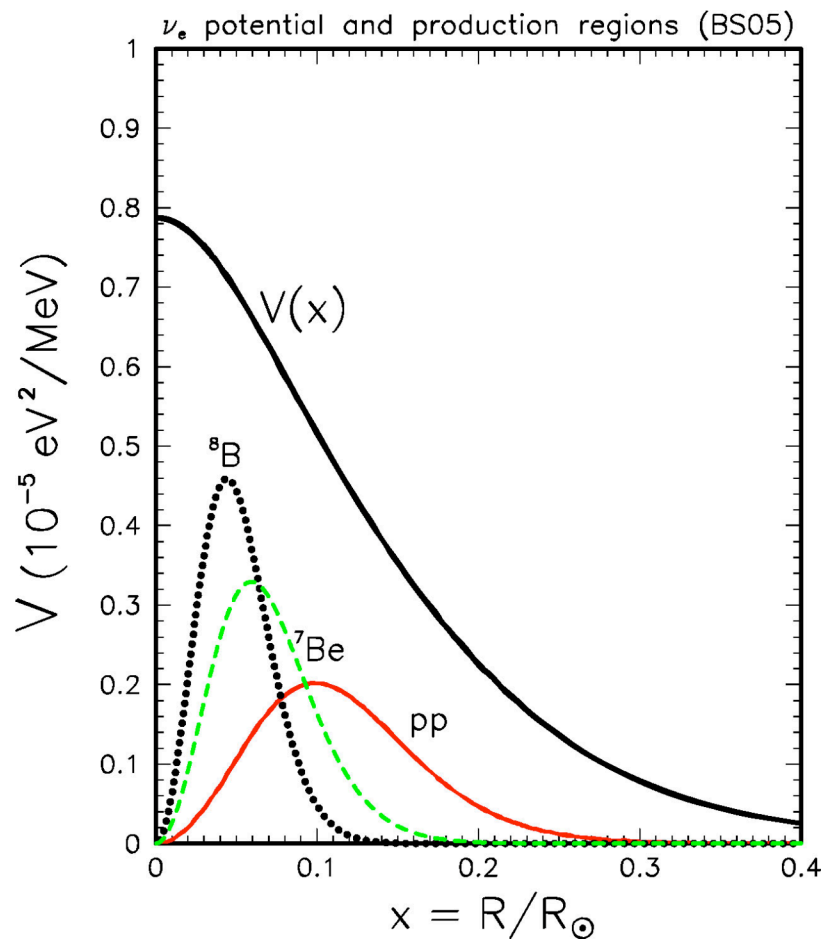
Review in press on Prog. Part. Nucl. Phys.

Outline:

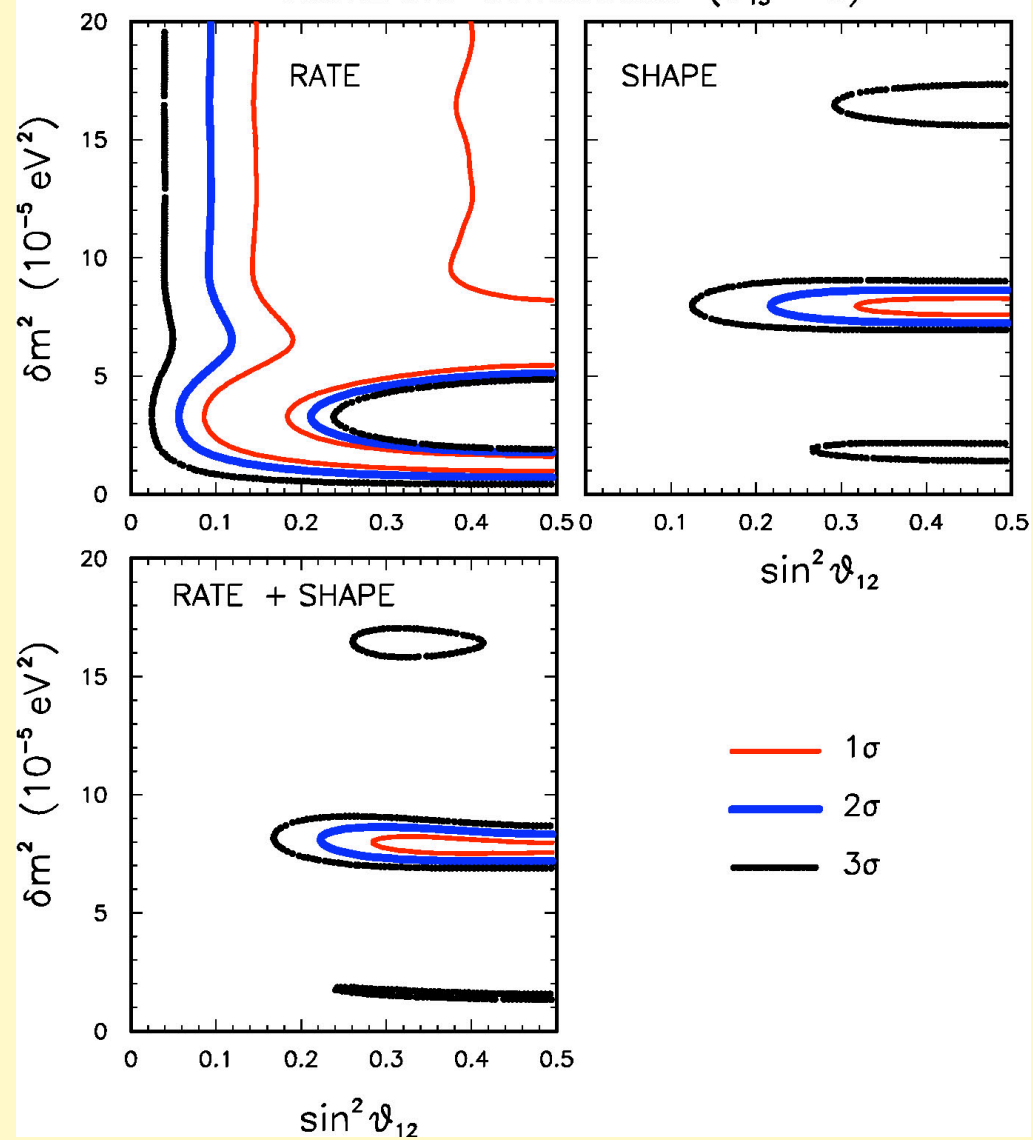
- Solar + KamLAND oscillations
- SK_{ATM} + K2K oscillations
- Constraints from all oscillation data
- Combination with non-oscillation data
- Conclusions

Solar constraints at 2σ ($\vartheta_{13} = 0$)

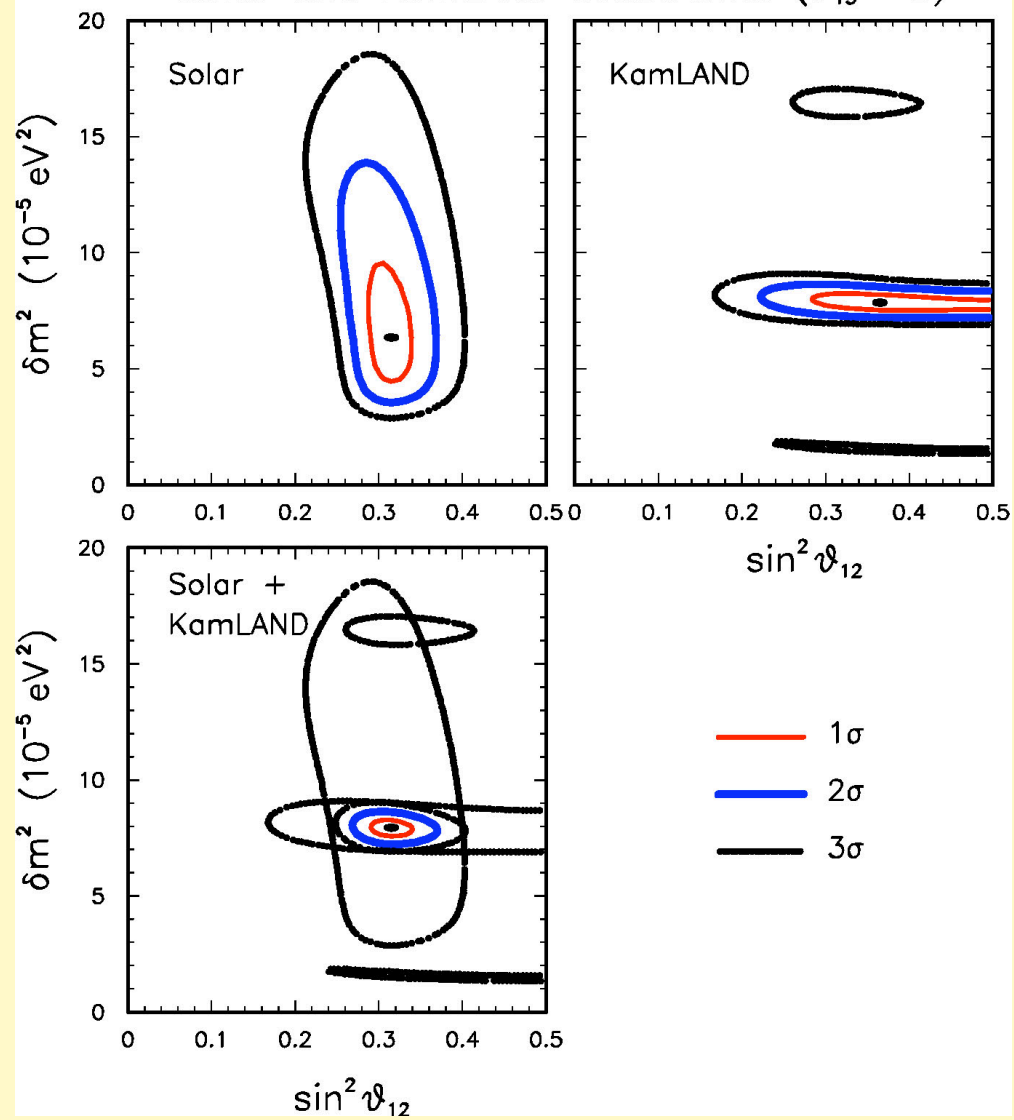


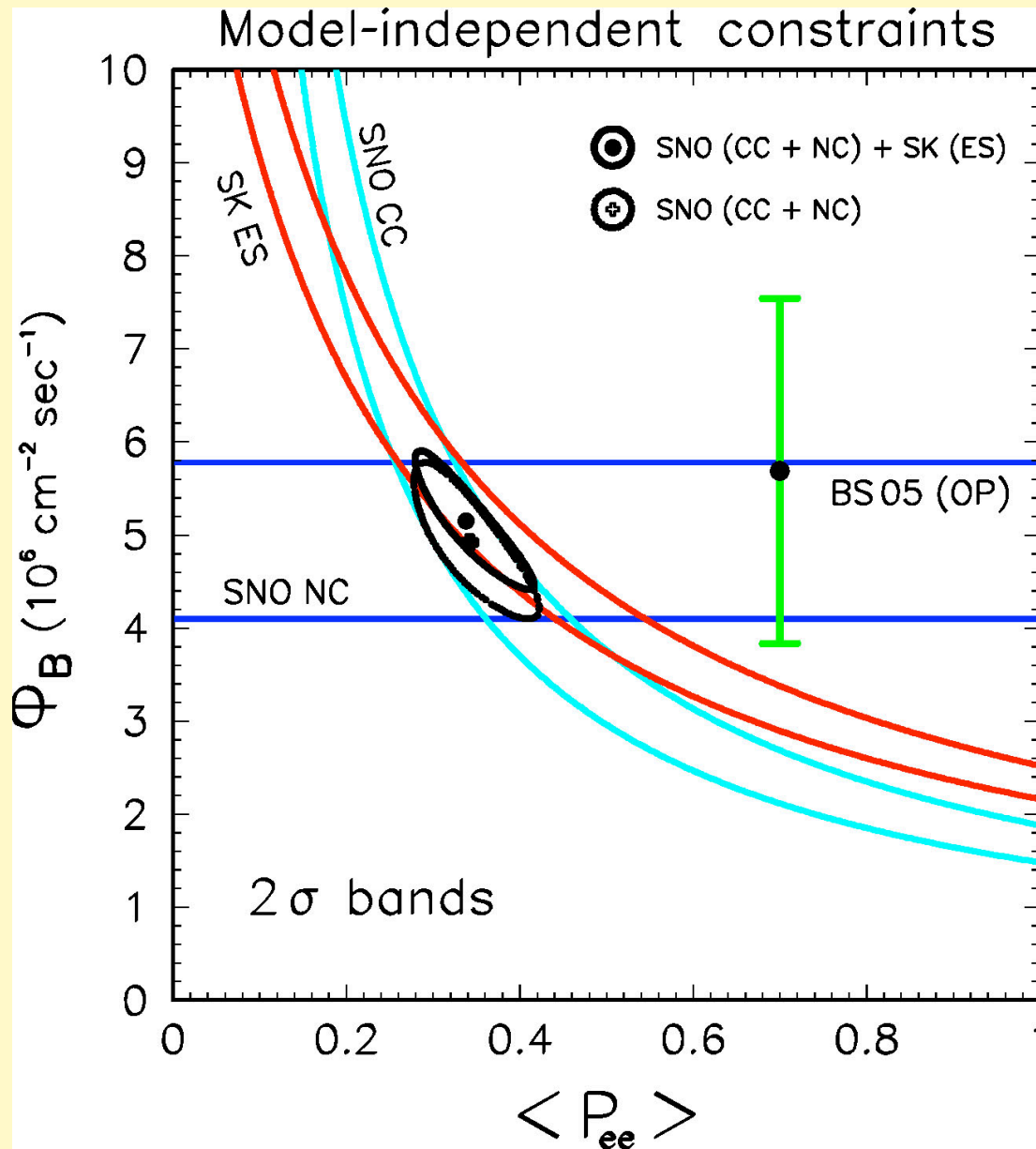
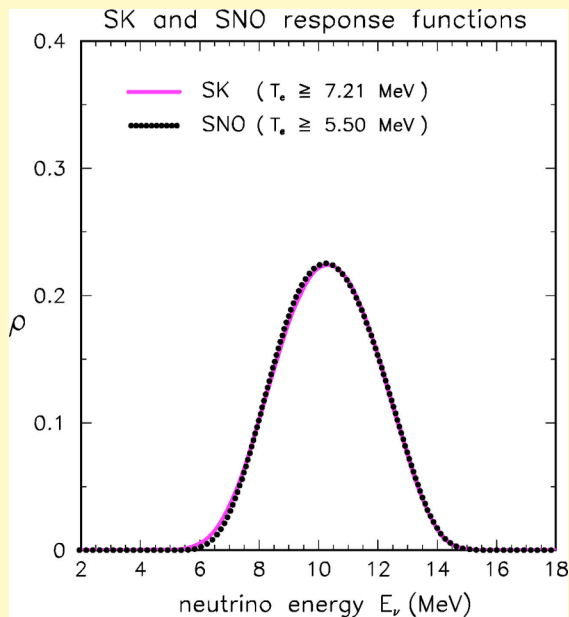


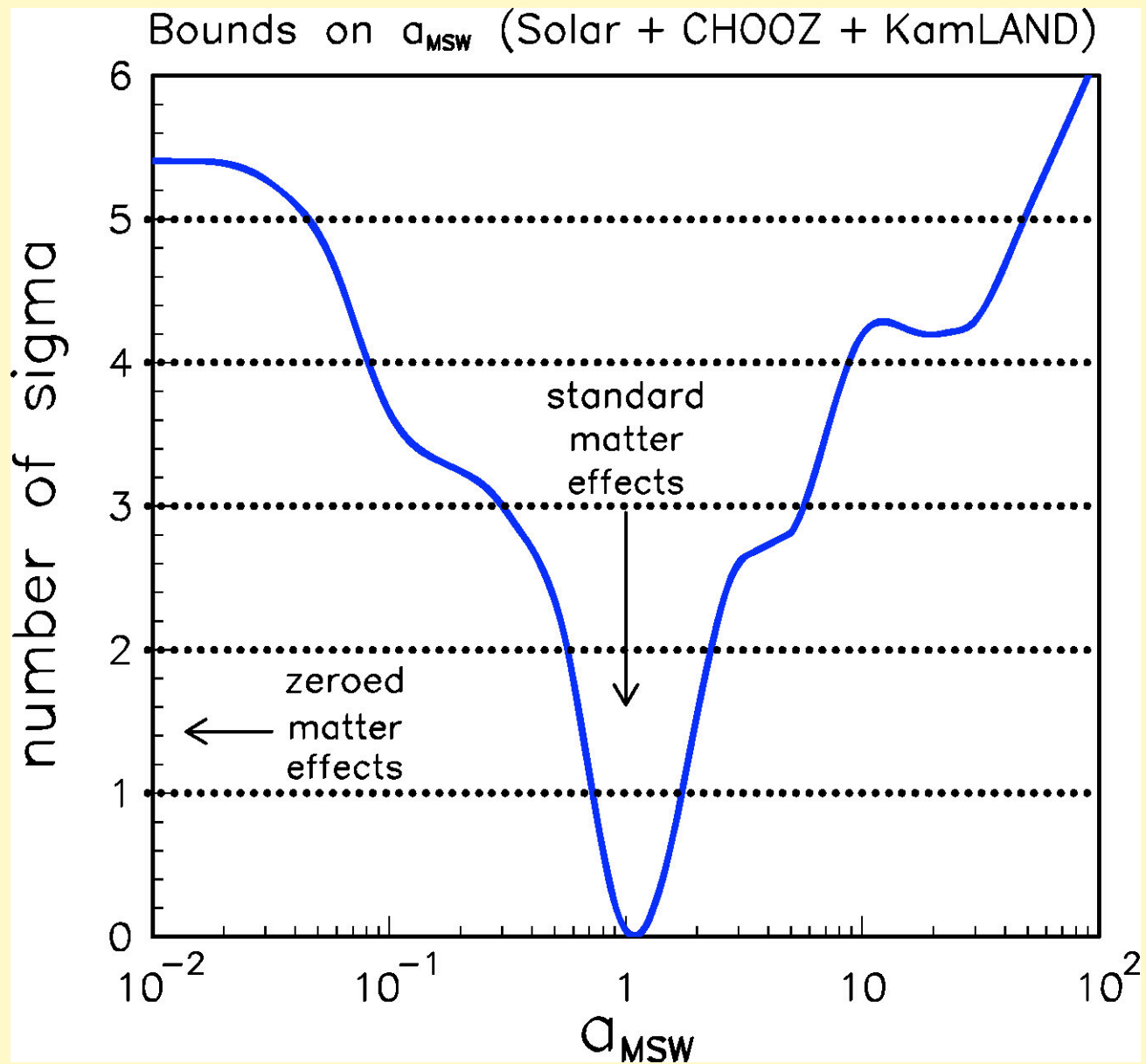
KamLAND constraints ($\vartheta_{13} = 0$)

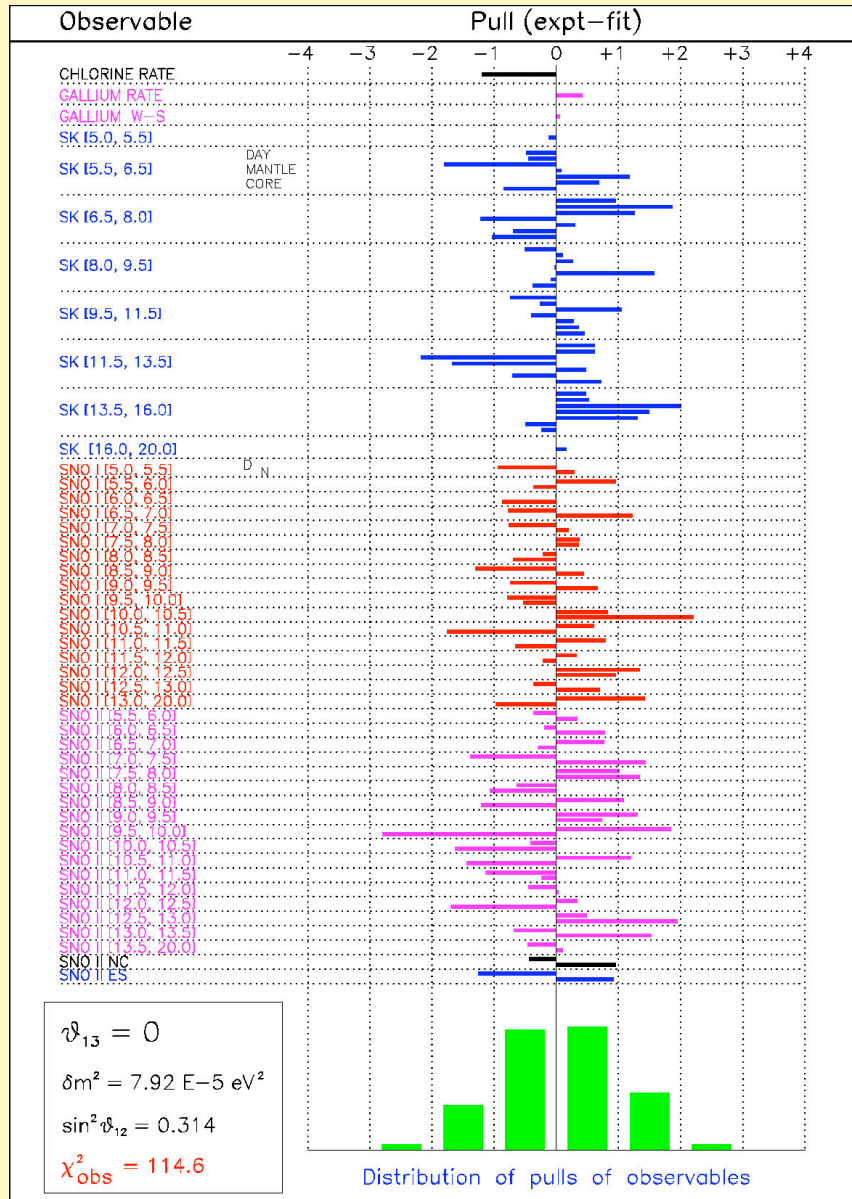


Solar and KamLAND constraints ($\vartheta_{13} = 0$)

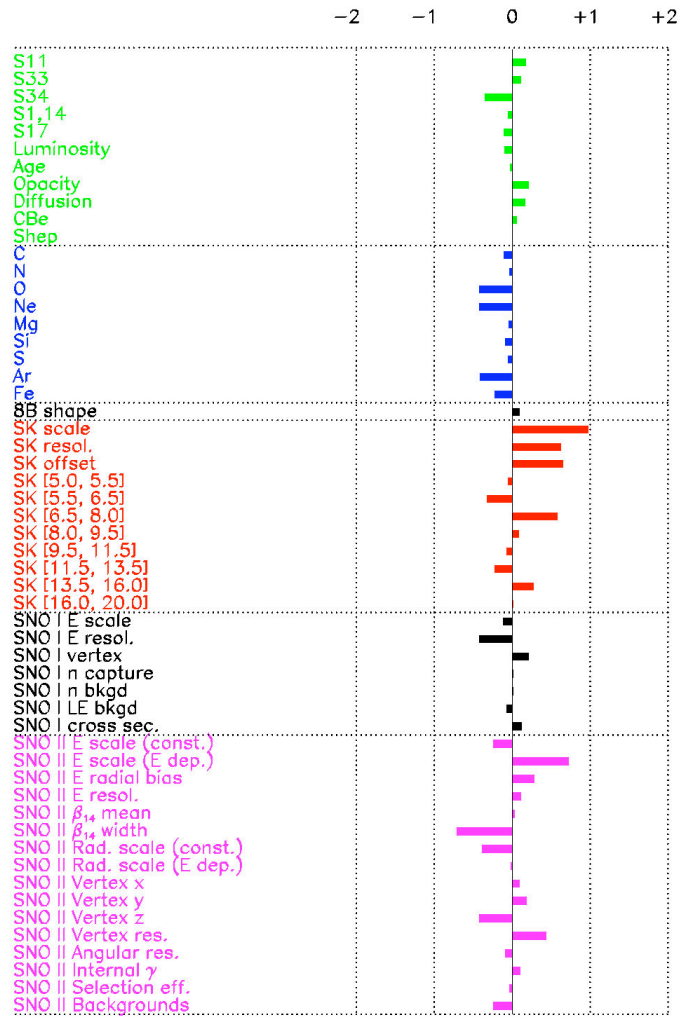








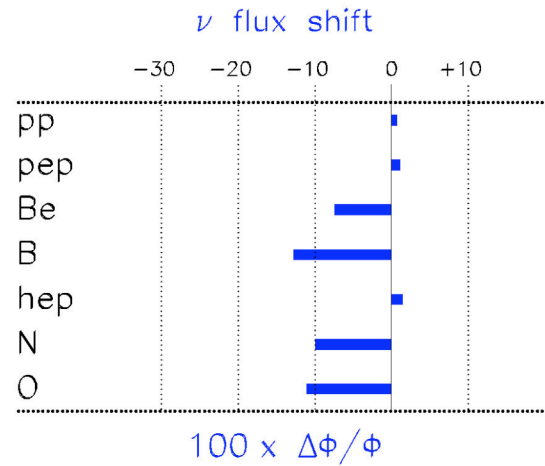
Systematic pulls (solar ν analysis)

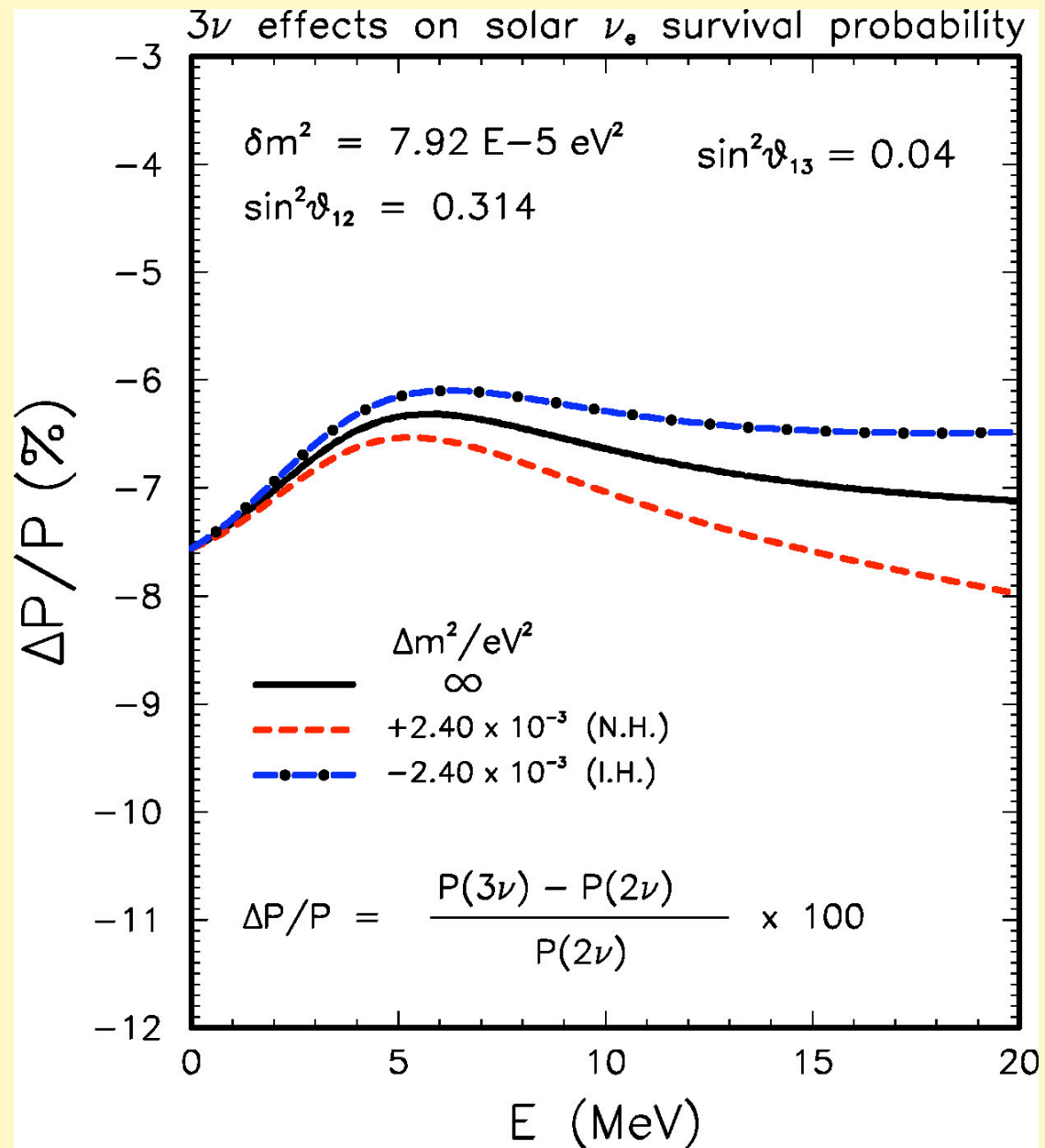


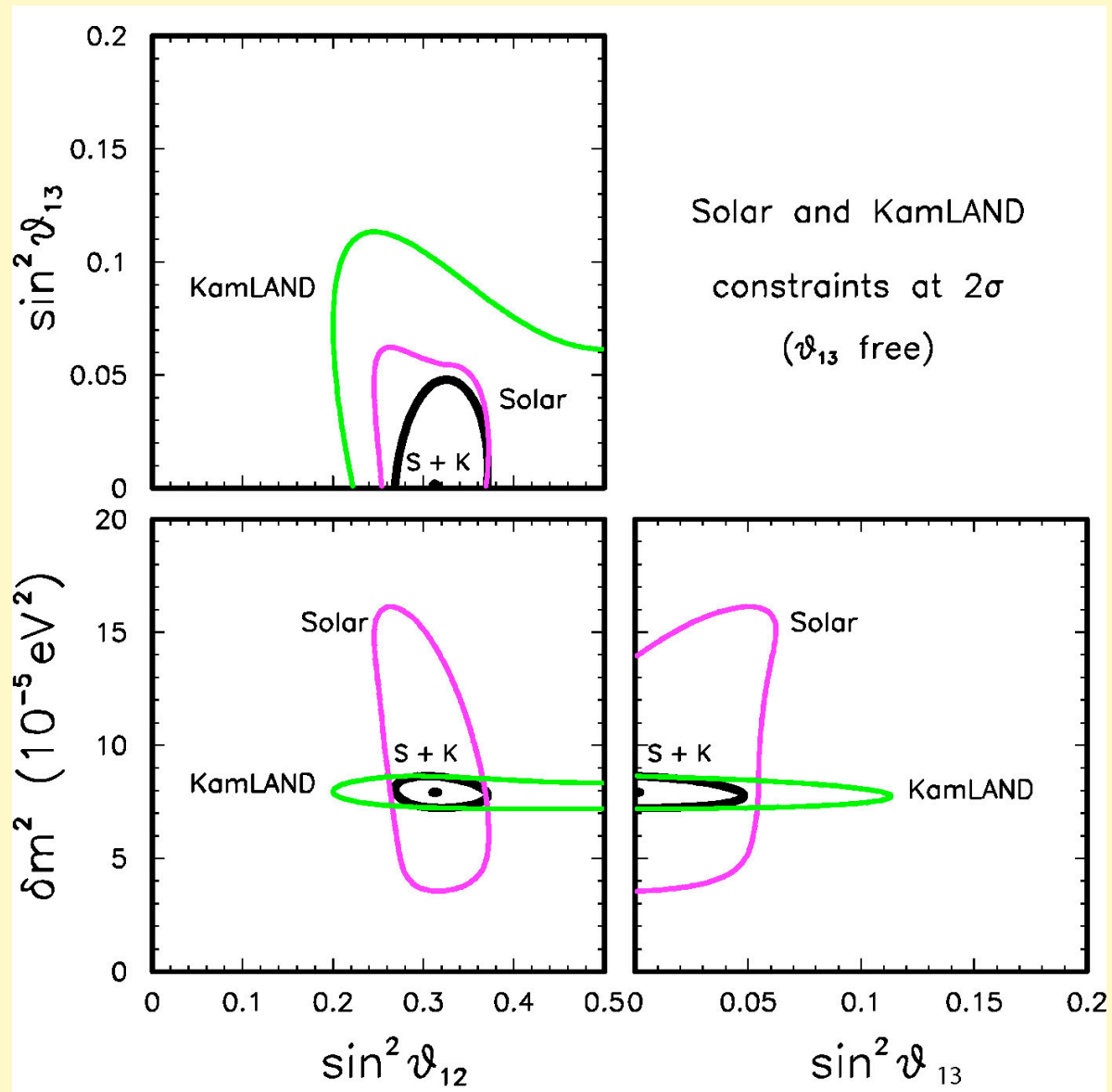
$$\chi^2_{\text{sys}} = 4.9$$

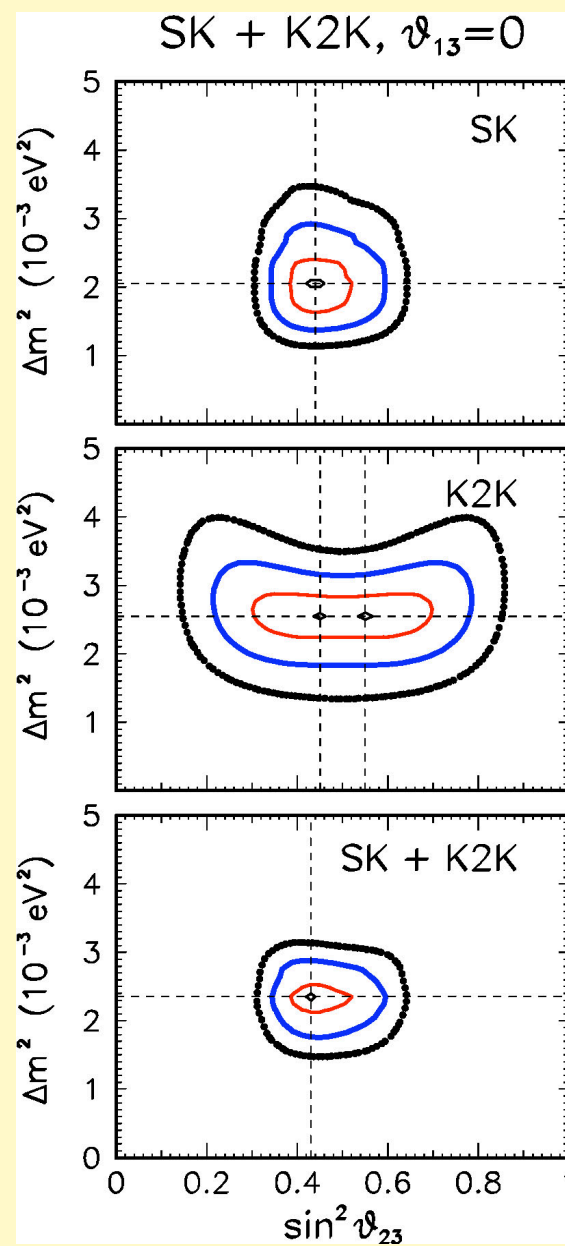
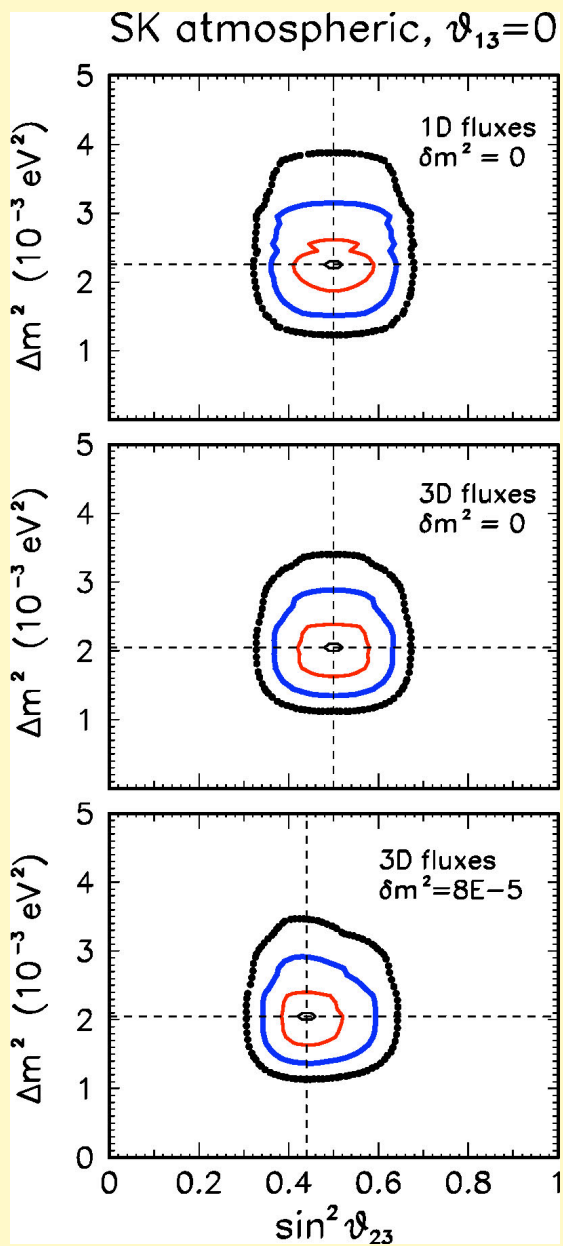
Shifts from SSM BS05(OP)

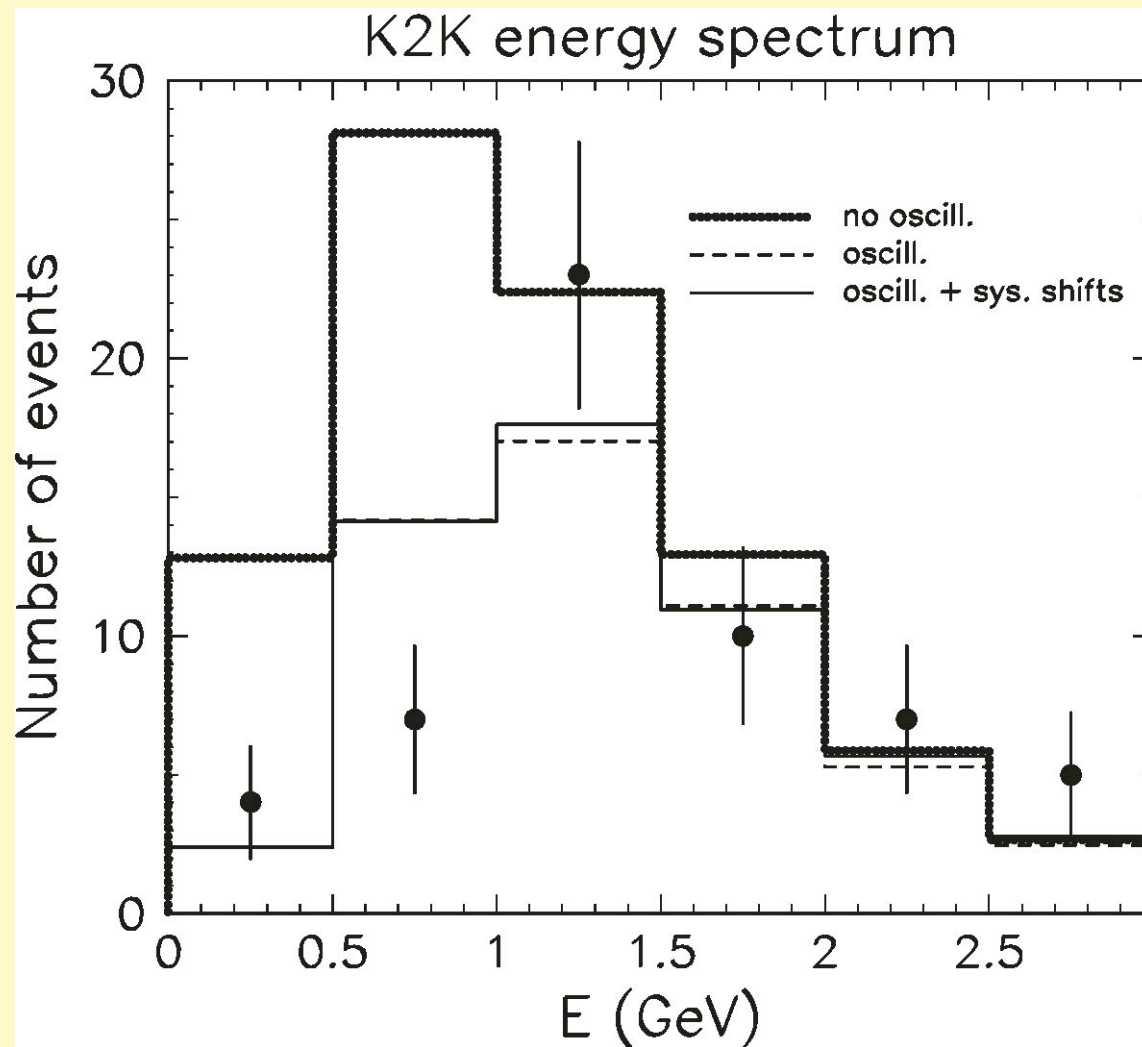
Solar + KamLAND best fit







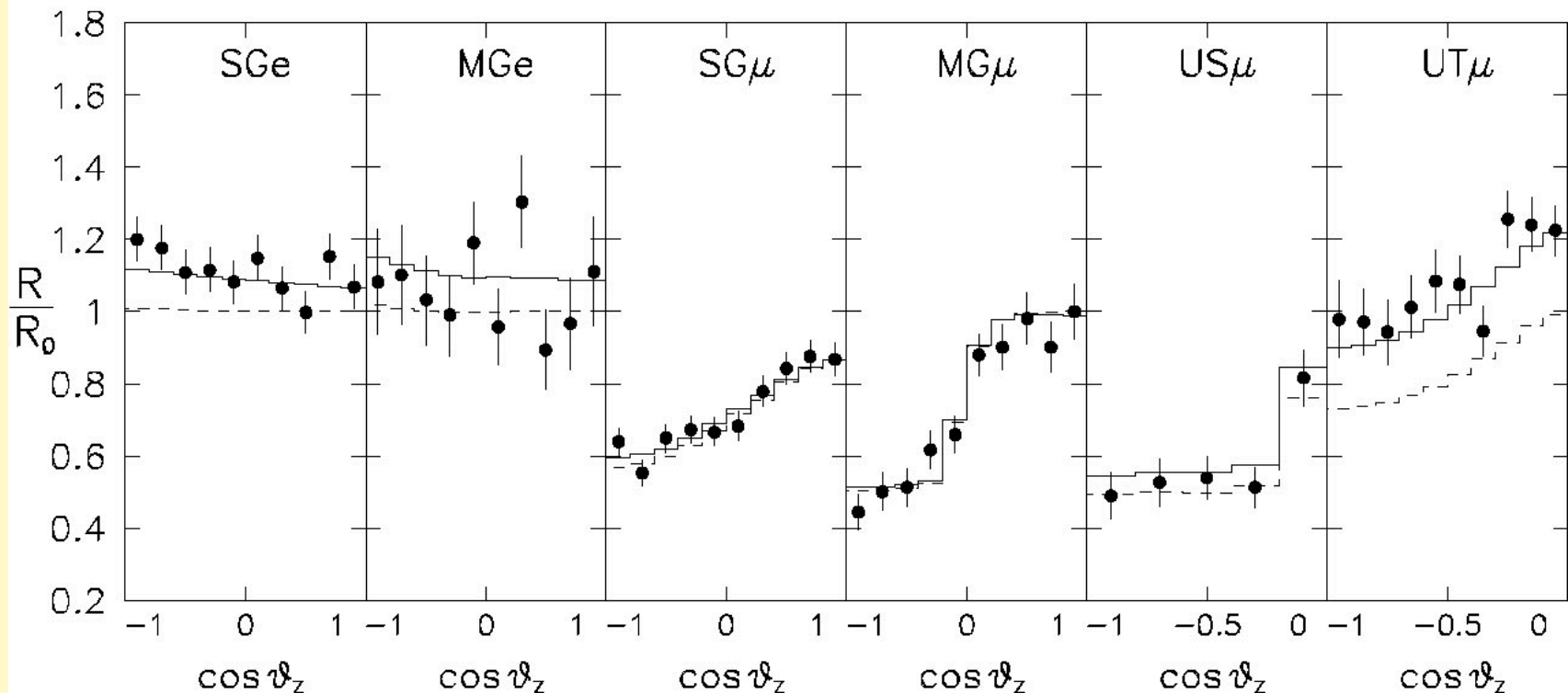


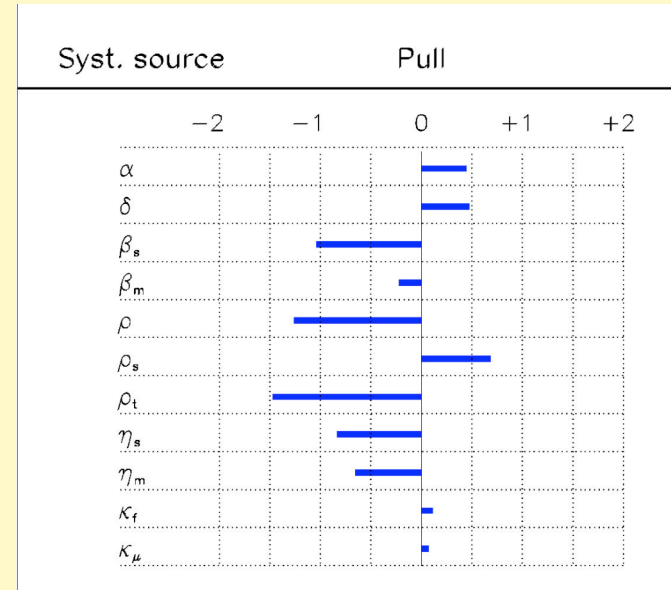
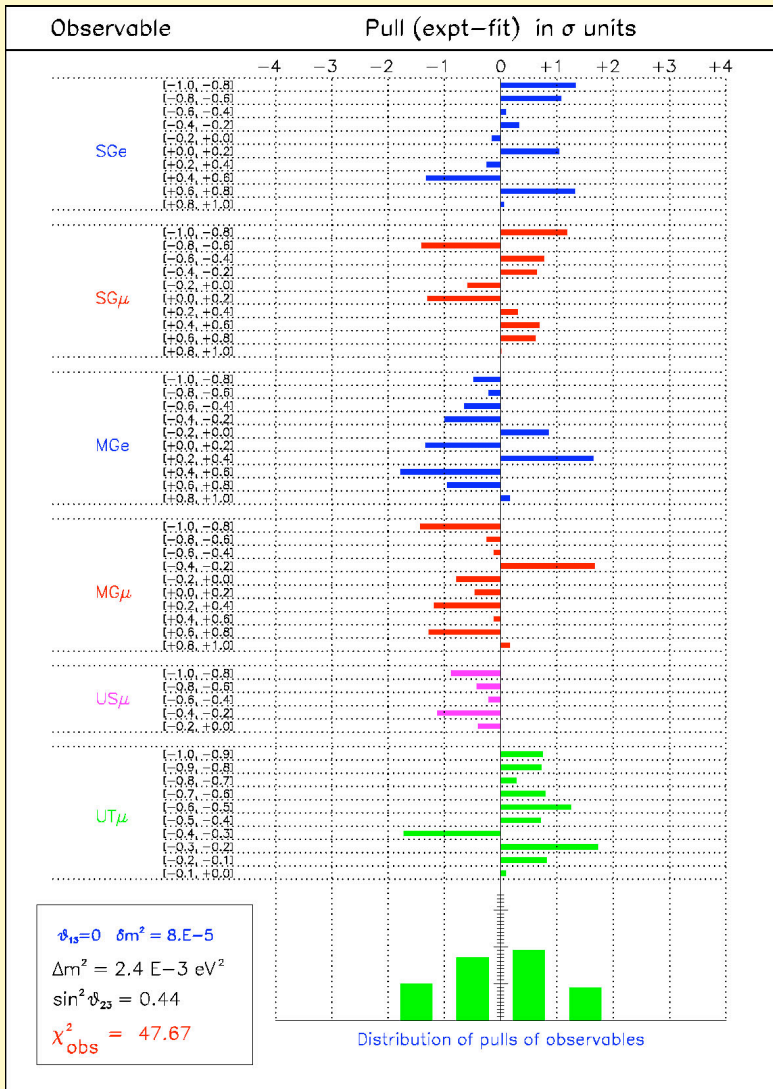


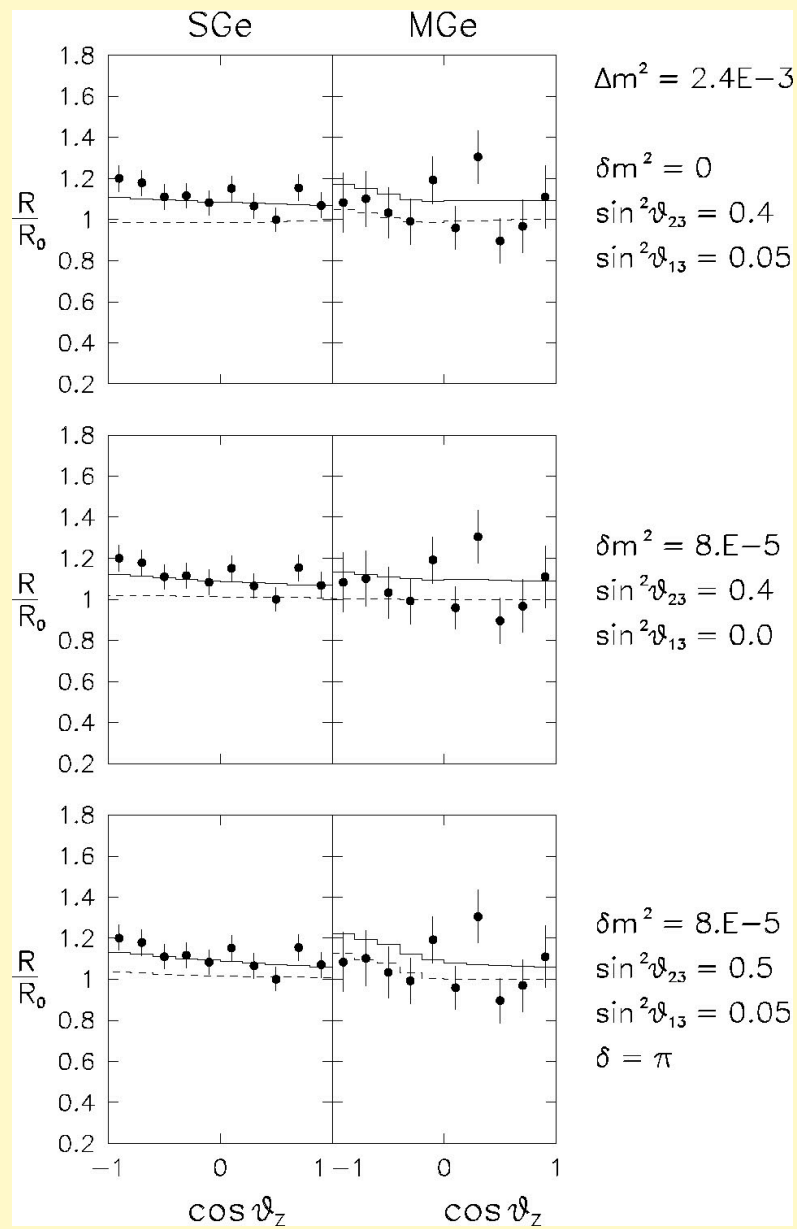
Super-Kamiokande (92 kTy)
e, μ zenith distributions
normalized to no oscillation

• SK data
--- theo. calc.
— theo. + shifts

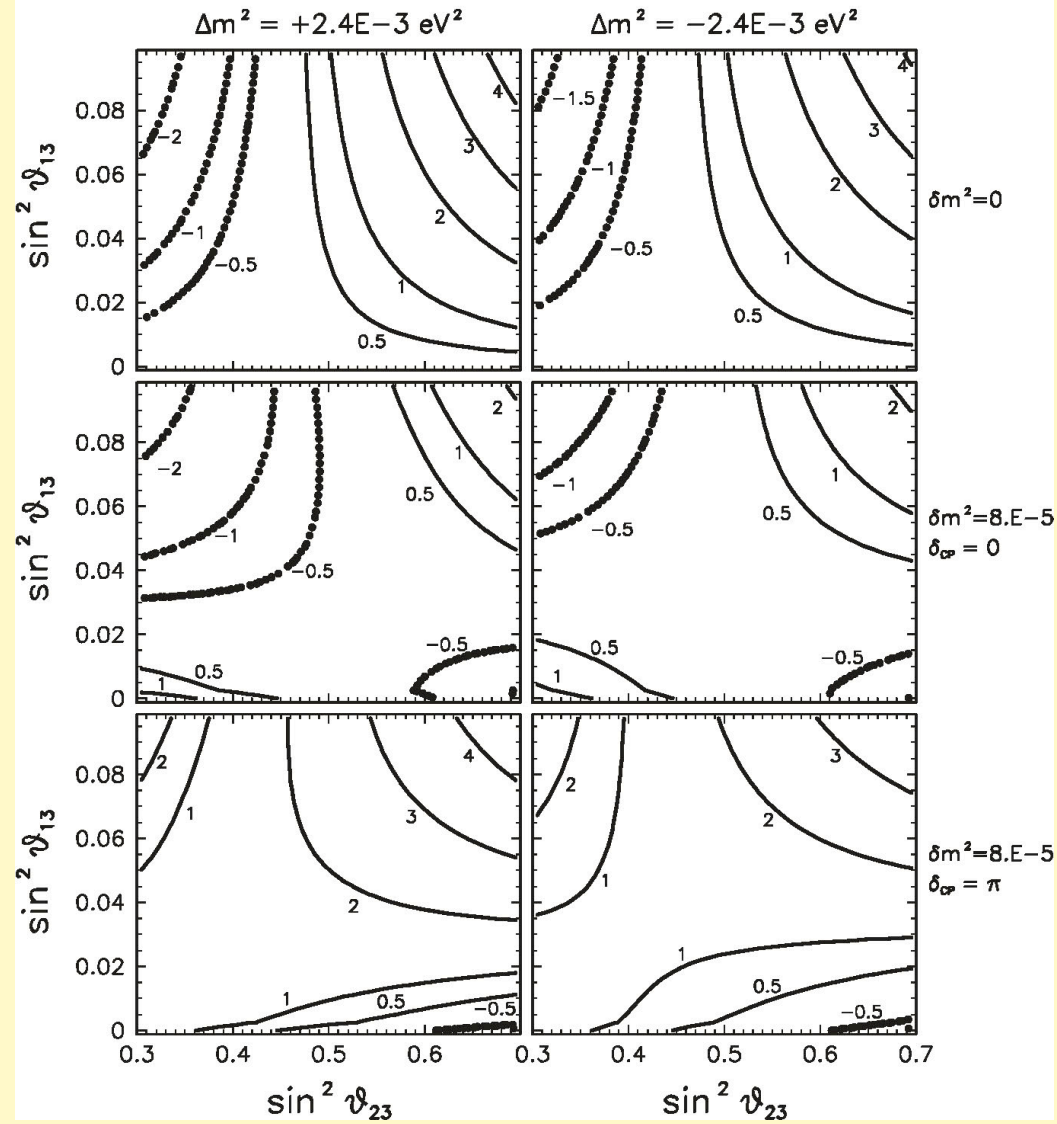
$\Delta m^2 = +2.4E-3$
 $\delta m^2 = 8.E-5$
 $\sin^2 \vartheta_{23} = 0.44$
 $\sin^2 \vartheta_{13} = 0$







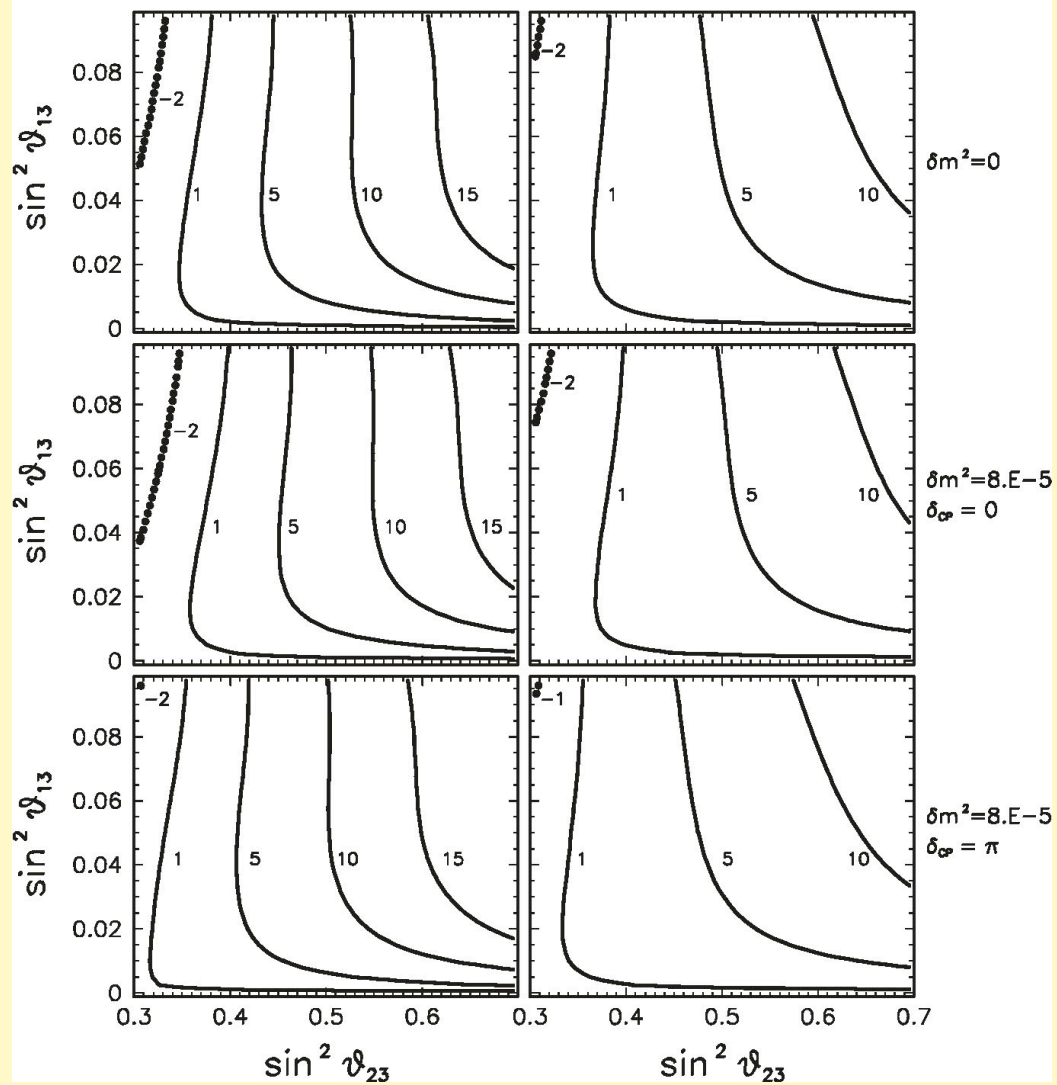
SGe asymmetry $[(U/D)/(U_0/D_0)-1] \times 100$

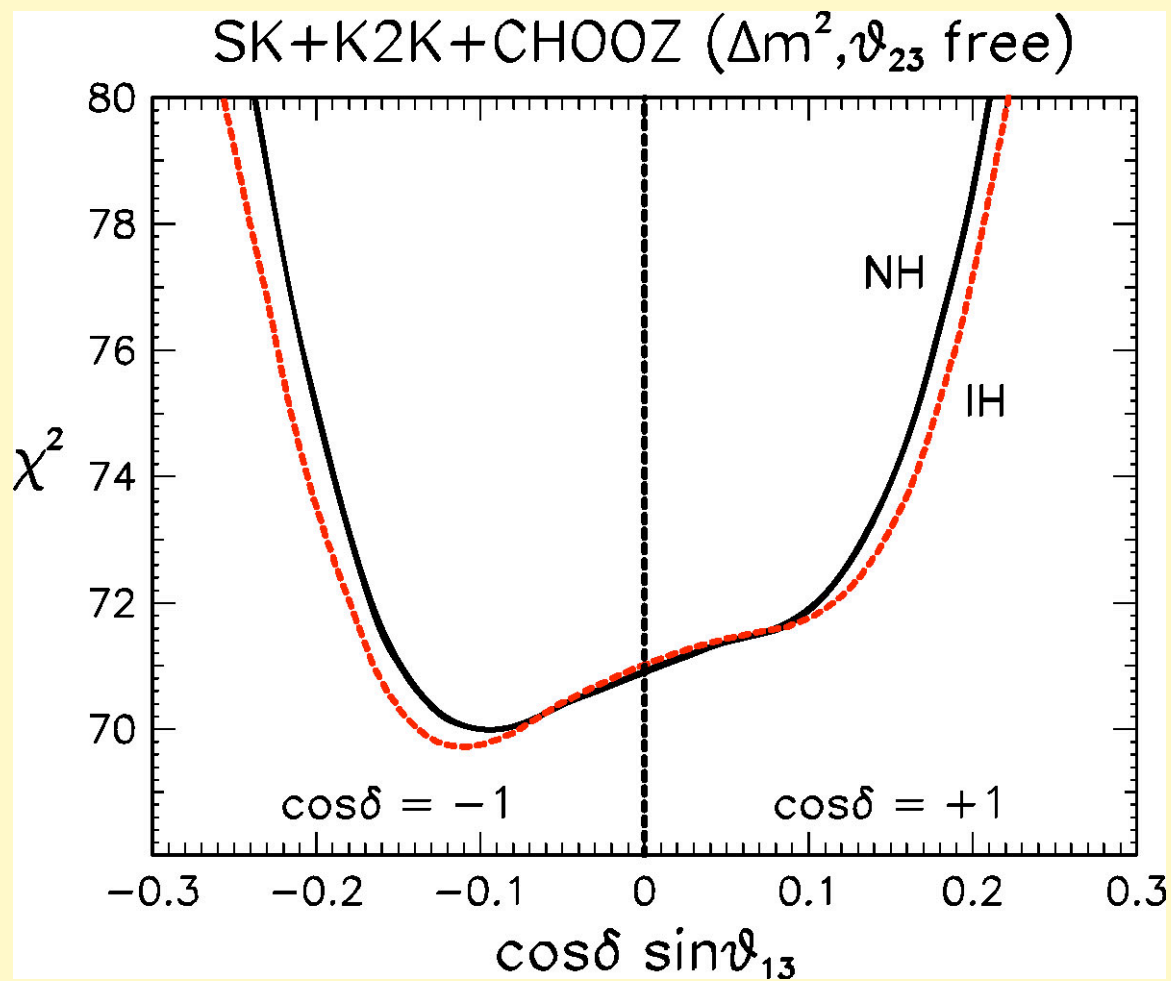


MGe asymmetry $[(U/D)/(U_0/D_0)-1] \times 100$

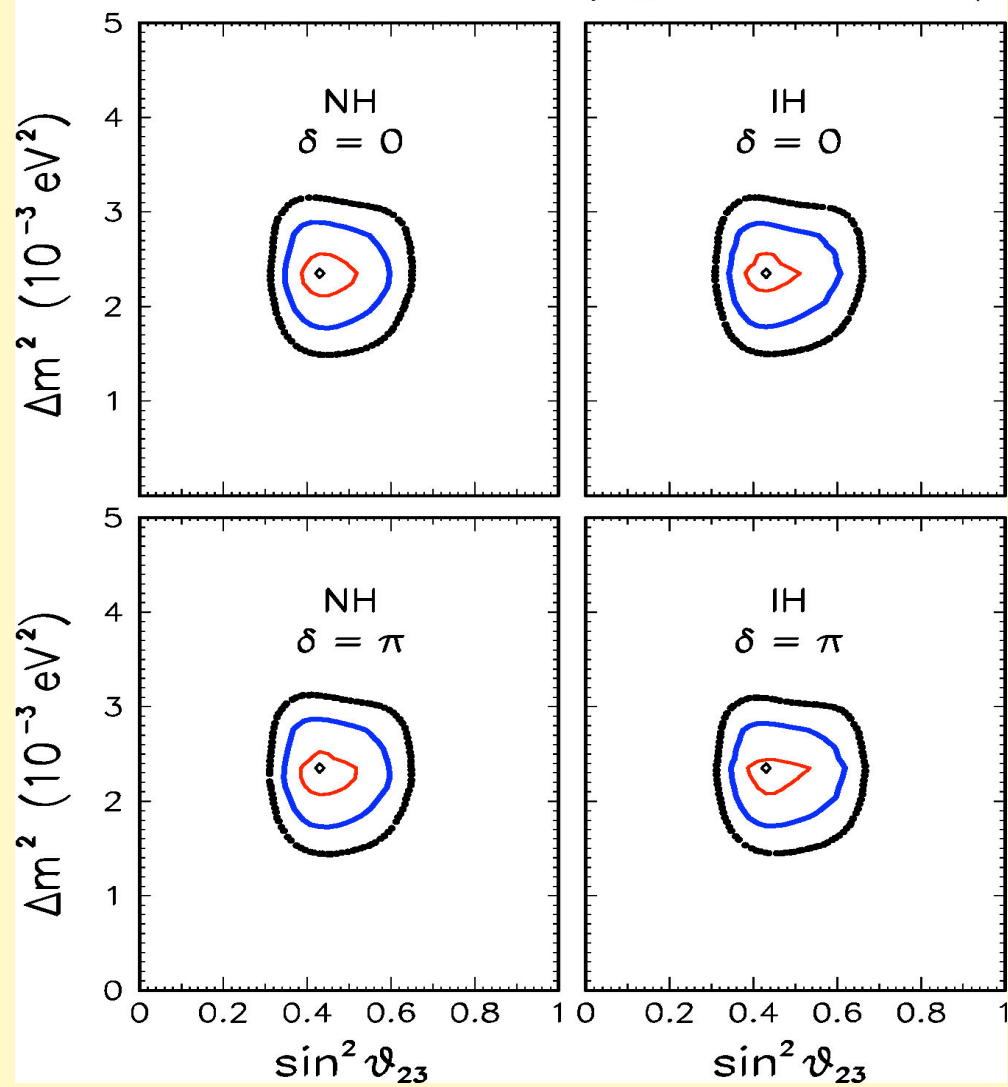
$$\Delta m^2 = +2.4E-3 \text{ eV}^2$$

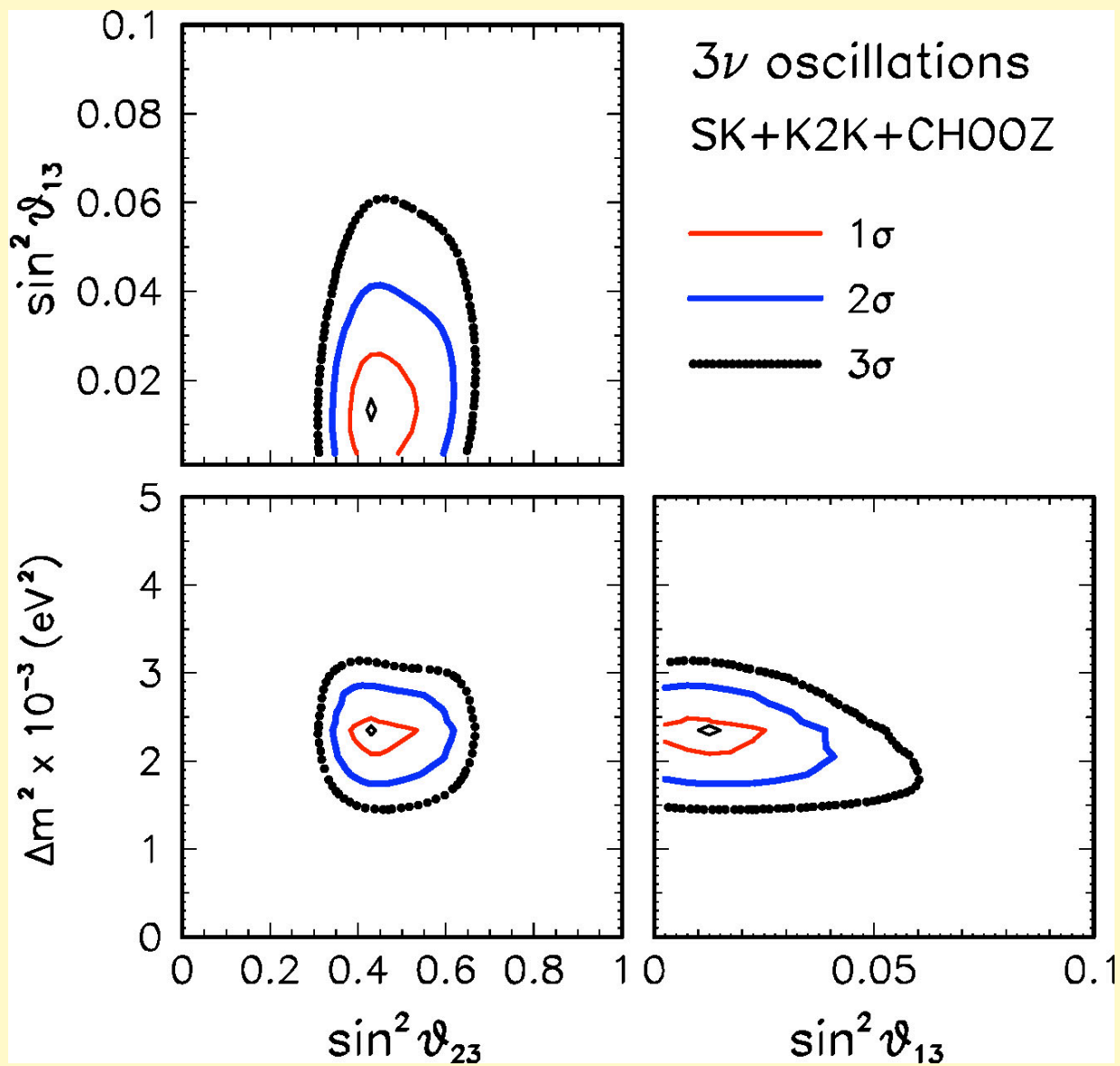
$$\Delta m^2 = -2.4E-3 \text{ eV}^2$$

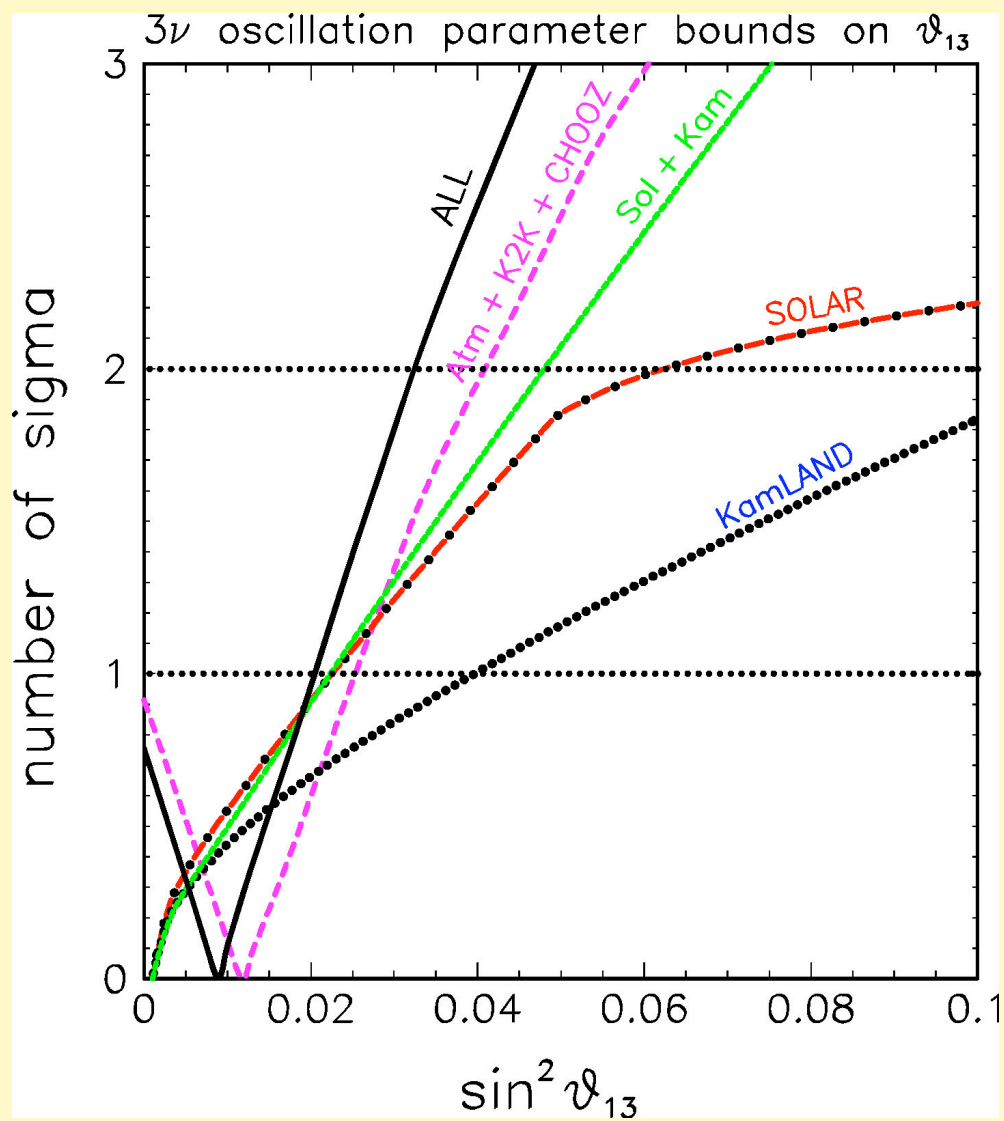




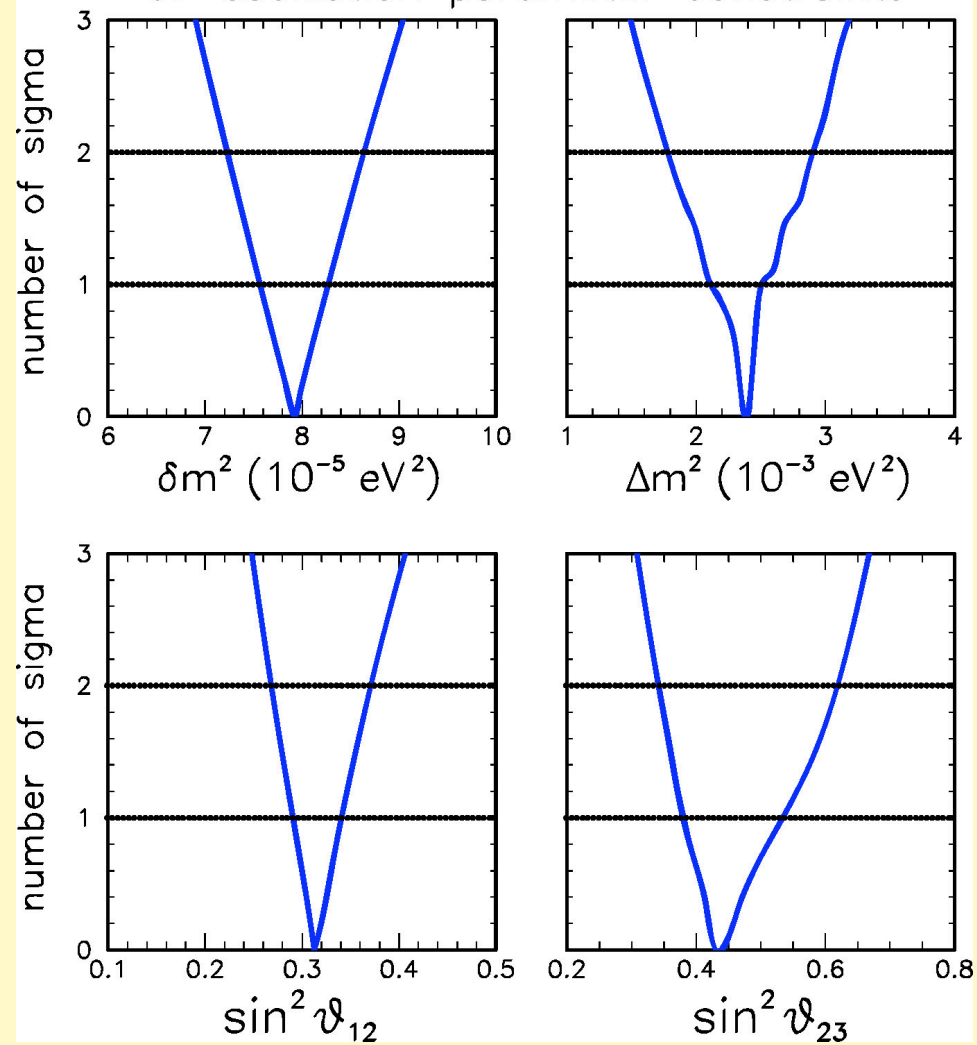
SK + K2K + CHOOZ (ϑ_{13} unconstrained)







3ν oscillation parameter constraints



Oscillations Grand Total: 2σ (95% C.L.) ranges

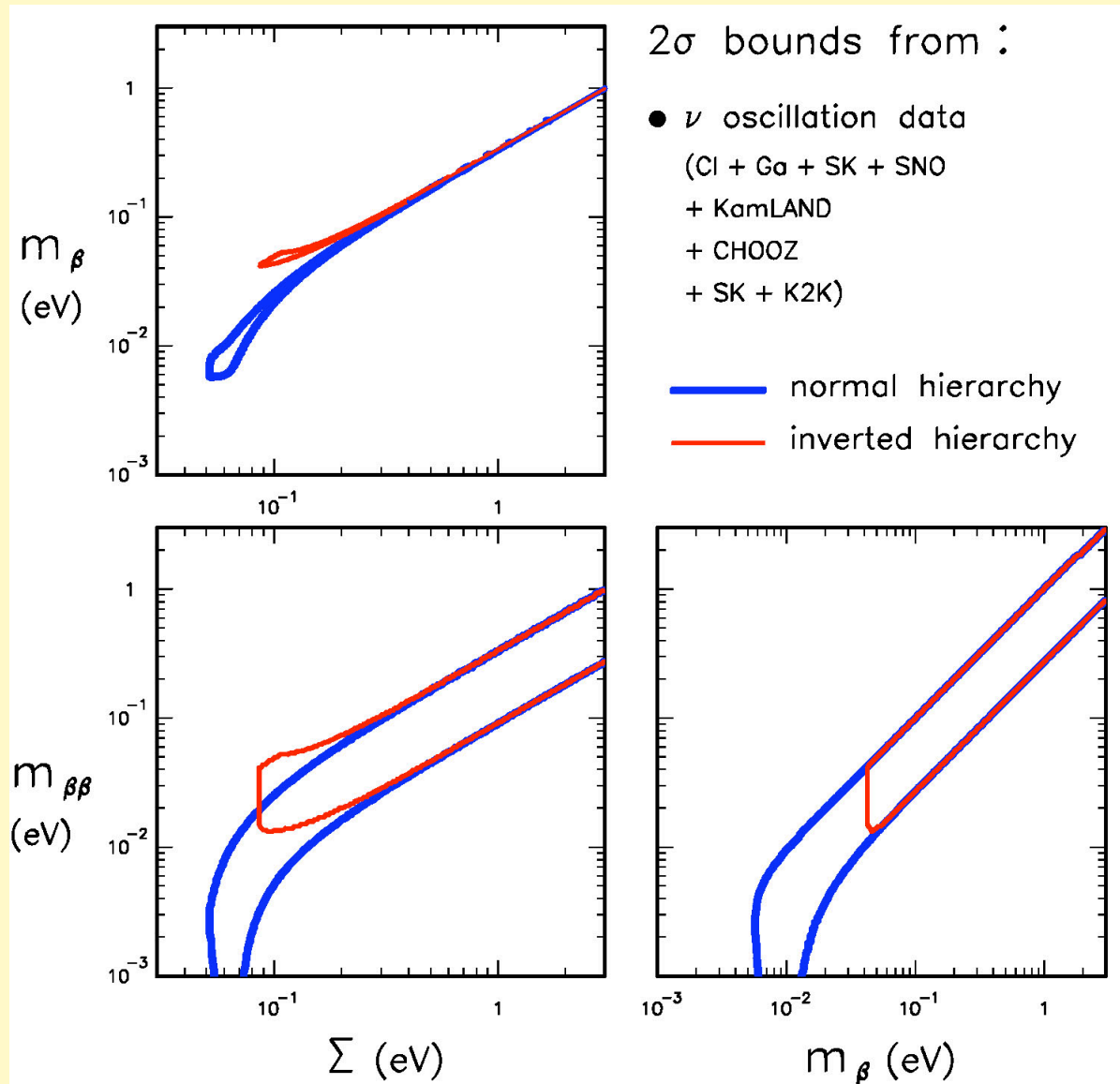
$$\sin^2 \theta_{13} = 0.9_{-0.9}^{+2.3} \times 10^{-2} ,$$

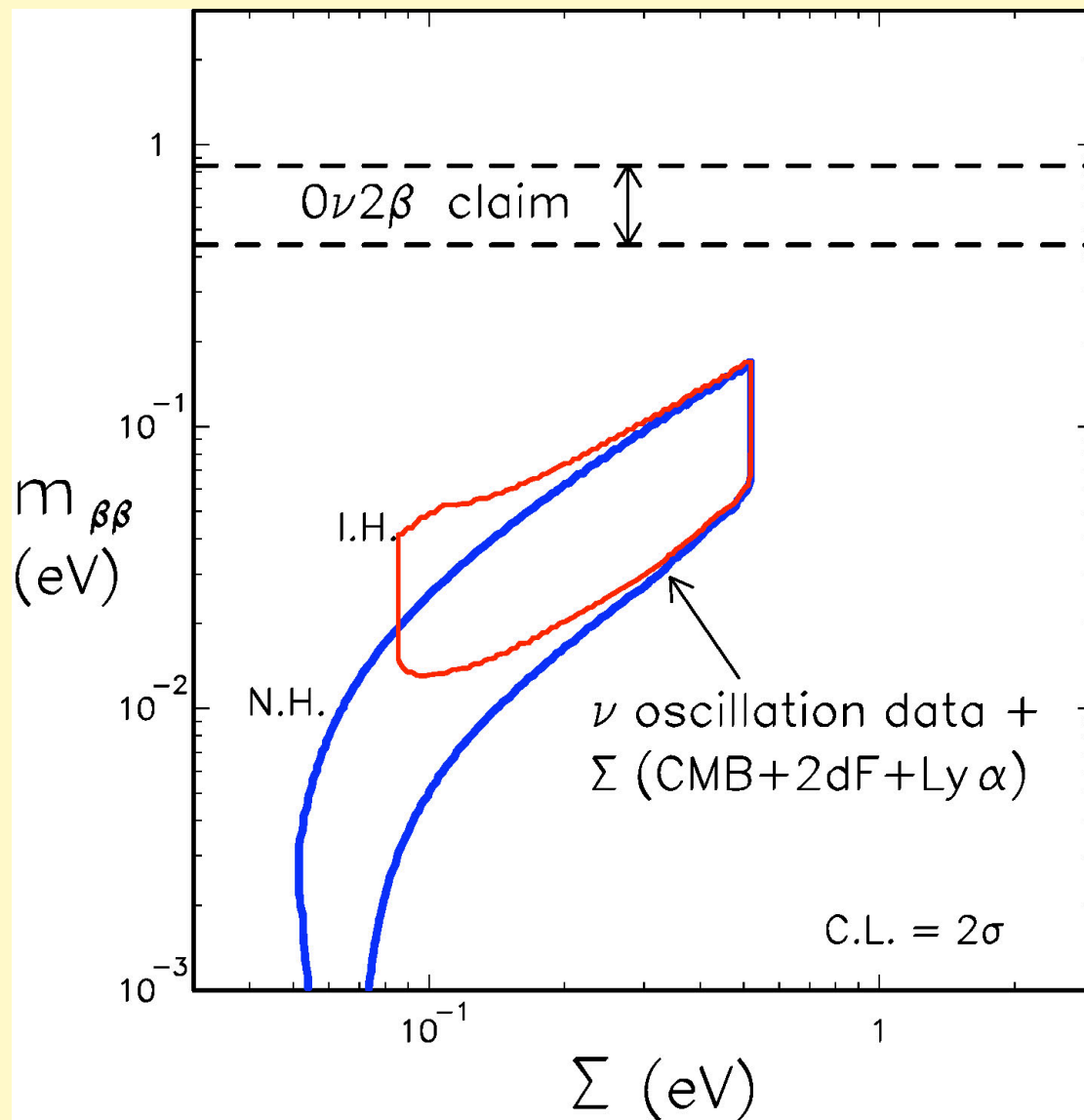
$$\delta m^2 = 7.92 (1 \pm 0.09) \times 10^{-5} \text{ eV}^2 ,$$

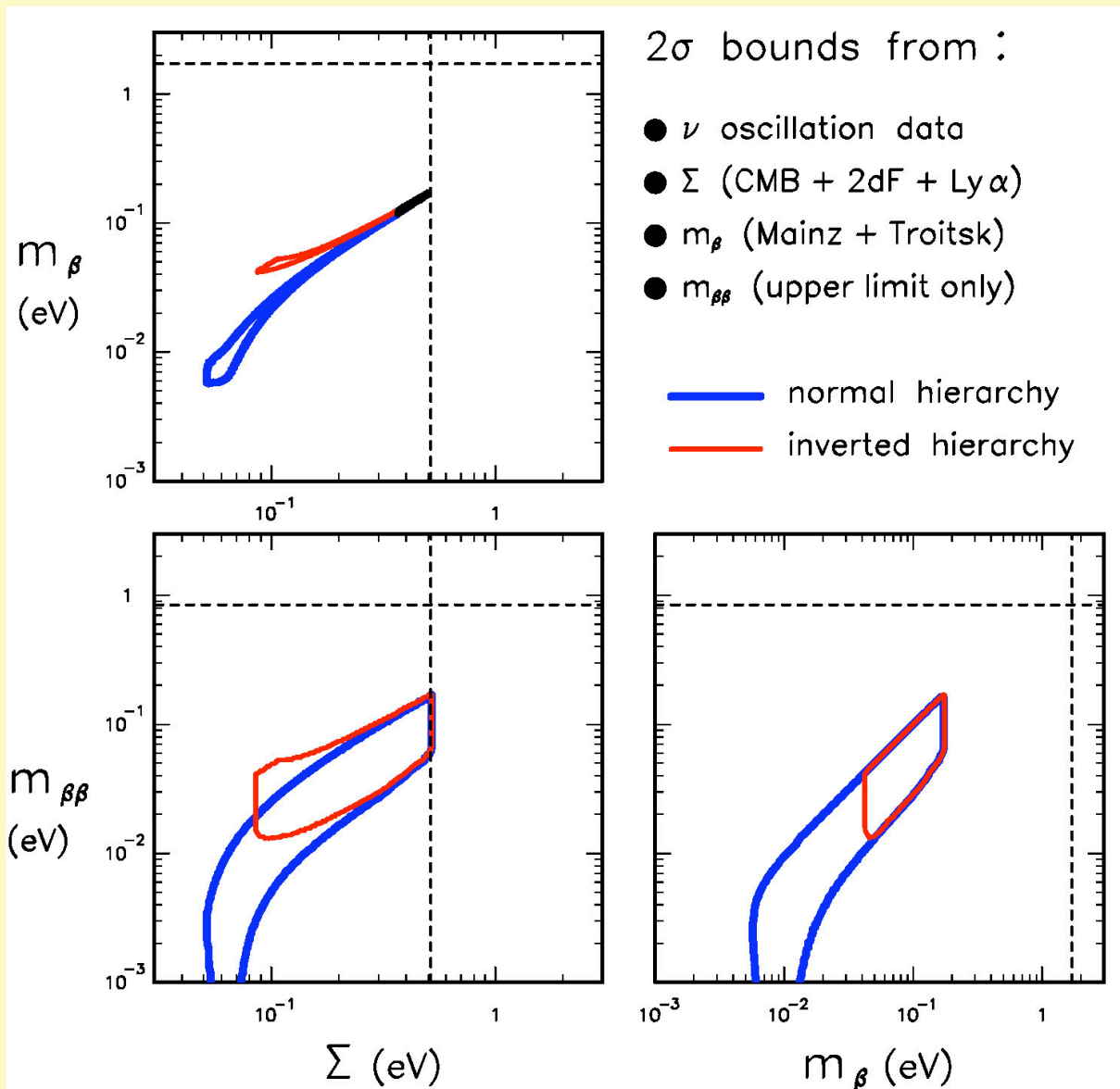
$$\sin^2 \theta_{12} = 0.314 (1_{-0.15}^{+0.18}) ,$$

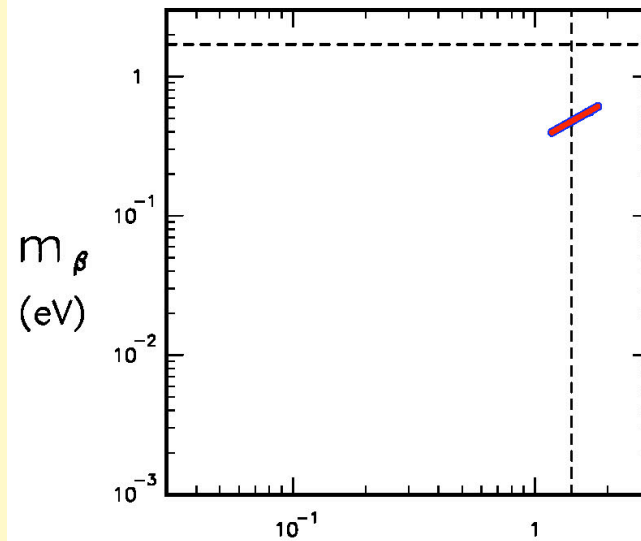
$$\Delta m^2 = 2.4 (1_{-0.26}^{+0.21}) \times 10^{-3} \text{ eV}^2 ,$$

$$\sin^2 \theta_{23} = 0.44 (1_{-0.22}^{+0.41}) .$$





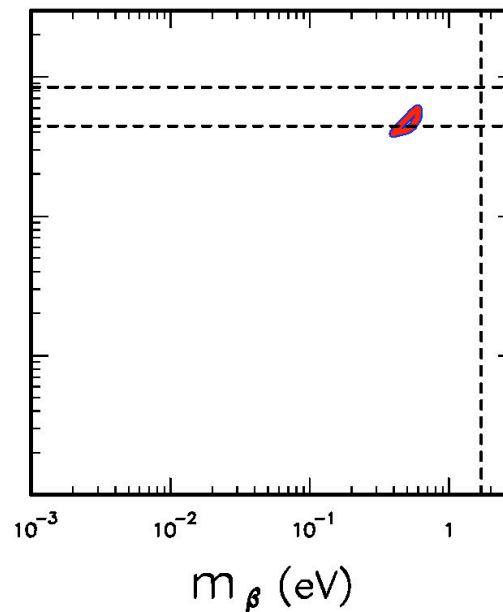
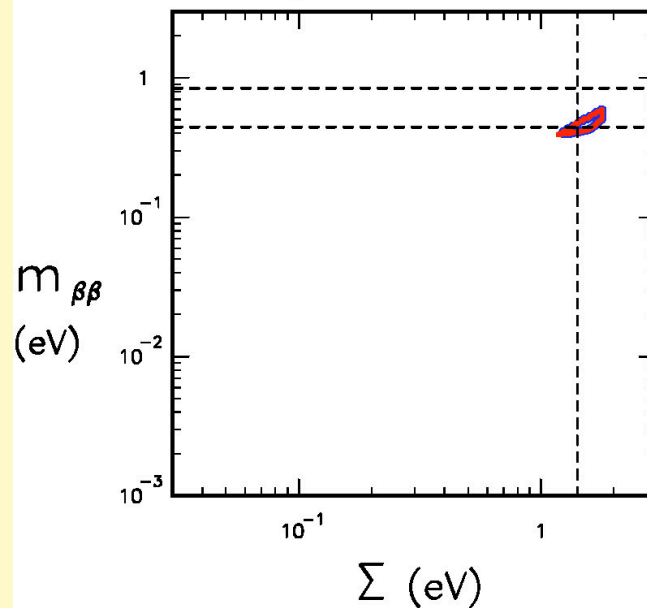




2σ bounds from :

- ν oscillation data
- Σ (CMB + 2dF)
- m_{β} (Mainz + Troitsk)
- $m_{\beta\beta}$ (Klapdor et al. claim)

— normal hierarchy
— inverted hierarchy



Planisphaerium

Neutrinorum

