



Double Beta Decay:  
Physics, Recollections,  
and Future

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Are Neutrinos  
Majorana Particles?  
(Does  $\bar{\nu} = \nu$ ?)

# What Is the Question?

For each *mass eigenstate*  $\nu_i$ , does —

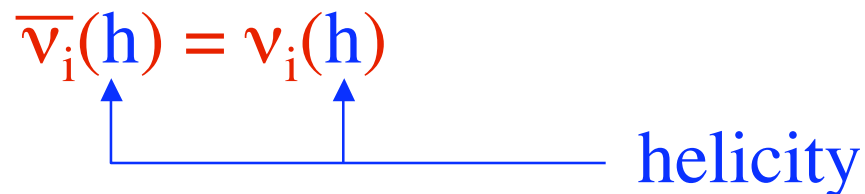
- $\bar{\nu}_i = \nu_i$  (Majorana neutrinos)

or

- $\bar{\nu}_i \neq \nu_i$  (Dirac neutrinos) ?

Equivalently, do neutrinos have *Majorana masses*? If they do, then the mass eigenstates are *Majorana neutrinos*.

We note that  $\bar{\nu}_i = \nu_i$  means —

$$\bar{\nu}_i(\mathbf{h}) = \nu_i(\mathbf{h})$$


The diagram shows the equation  $\bar{\nu}_i(\mathbf{h}) = \nu_i(\mathbf{h})$  in red. Below the equation, a horizontal blue line is labeled "helicity" at its right end. Two vertical blue arrows point upwards from the line to the  $\mathbf{h}$  in both  $\bar{\nu}_i(\mathbf{h})$  and  $\nu_i(\mathbf{h})$ , indicating that the helicity is the same for both terms.

# Majorana Masses

Out of, say, a left-handed neutrino field,  $\nu_L$ , and its charge-conjugate,  $\nu_L^c$ , we can build a Majorana mass term —

$$m_L \bar{\nu}_L \nu_L^c$$


Majorana masses do not conserve the Lepton Number  $L$  defined by —

$$L(\nu) = L(\ell^-) = -L(\bar{\nu}) = -L(\ell^+) = 1.$$



A Majorana mass for any fermion  $f$  causes  $f \leftrightarrow \bar{f}$ .

*Quark* and *charged-lepton* Majorana masses are forbidden by electric charge conservation.

*Neutrino* Majorana masses would make the neutrinos *very* distinctive.

Majorana  $\nu$  masses cannot come from a “Yukawa” coupling  $\bar{\nu}_R \nu_L \times$  (Standard-Model Higgs) like that which leads to the quark and charged-lepton masses.

*Majorana neutrino masses must have a different origin than the masses of quarks and charged leptons.*

## Why Majorana Masses $\longrightarrow$ Majorana Neutrinos

The objects  $\nu_L$  and  $\nu_L^c$  in  $m_L \overline{\nu_L} \nu_L^c$  are not the mass eigenstates, but just the neutrinos in terms of which the model is constructed.

$m_L \overline{\nu_L} \nu_L^c$  induces  $\nu_L \leftrightarrow \nu_L^c$  mixing.

As a result of  $K^0 \leftrightarrow \overline{K}^0$  mixing, the neutral K mass eigenstates are —

$$K_{S,L} \cong (K^0 \pm \overline{K}^0)/\sqrt{2} . \quad \overline{\overline{K}_{S,L}} = K_{S,L} .$$

As a result of  $\nu_L \leftrightarrow \nu_L^c$  mixing, the neutrino mass eigenstate is —

$$\nu_i = \nu_L + \nu_L^c = \text{“} \nu + \overline{\nu} \text{”} . \quad \overline{\overline{\nu}_i} = \nu_i .$$

# Why Most Theorists Expect Majorana Masses

The Standard Model (SM) is defined by the fields it contains, its **symmetries** (notably gauge invariance), and its renormalizability.

Leaving neutrino masses aside, anything allowed by the SM symmetries occurs in nature.

*Majorana masses* are allowed by the SM symmetries.

Then quite likely *Majorana masses* occur in nature too.

To Determine  
Whether  
Majorana Masses  
Occur in Nature



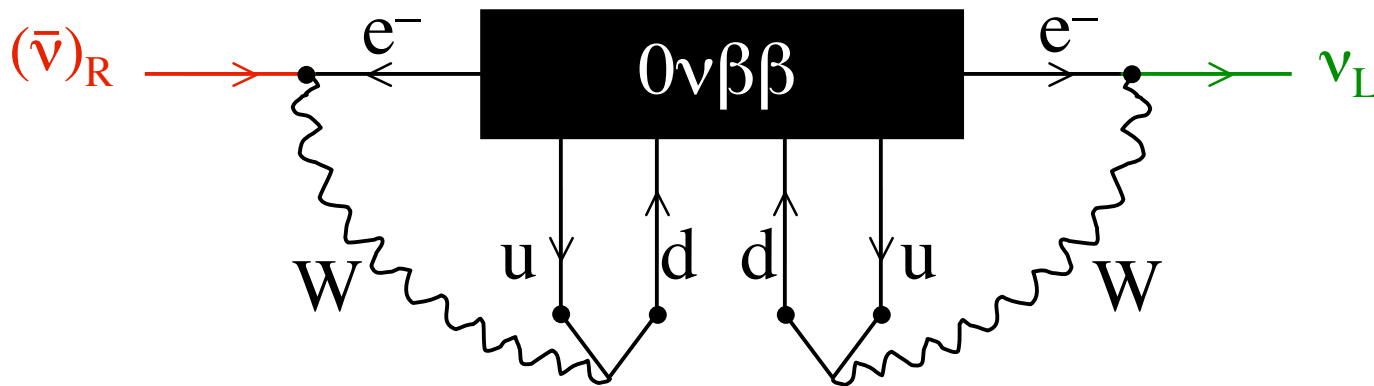
# The Promising Approach — Seek Neutrinoless Double Beta Decay [ $0\nu\beta\beta$ ]



We are looking for a *small* Majorana neutrino mass. Thus, we will need *a lot* of parent nuclei (say, one ton of them).

Whatever diagrams cause  $0\nu\beta\beta$ , its observation would imply the existence of a Majorana mass term:

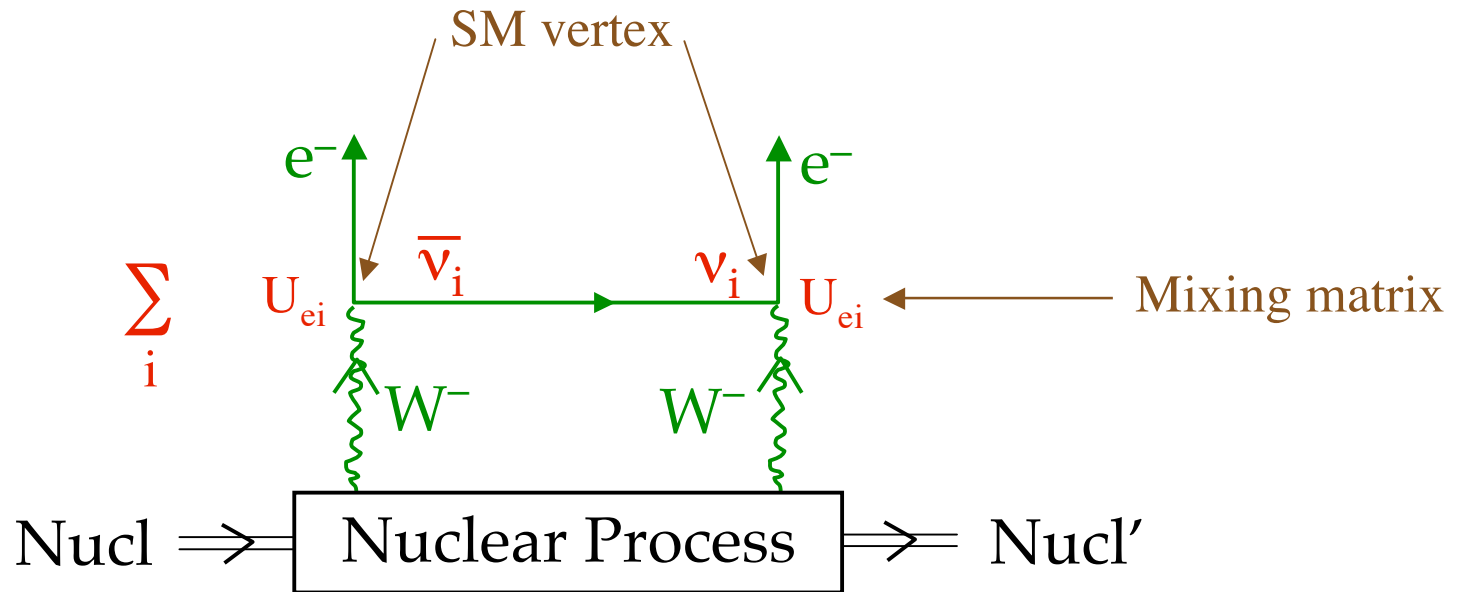
Schechter and Valle



$(\bar{\nu})_R \rightarrow \nu_L$  : A Majorana mass term

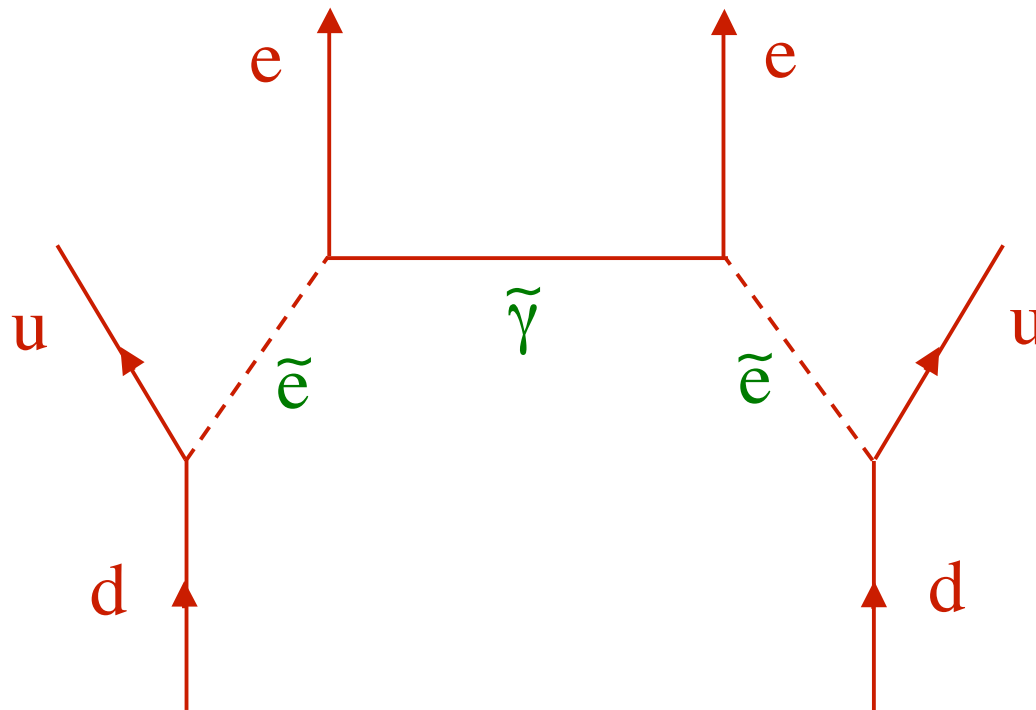
$\therefore 0\nu\beta\beta \rightarrow \bar{\nu}_i = \nu_i$

We anticipate that  $0\nu\beta\beta$  is dominated by a diagram with Standard Model vertices:

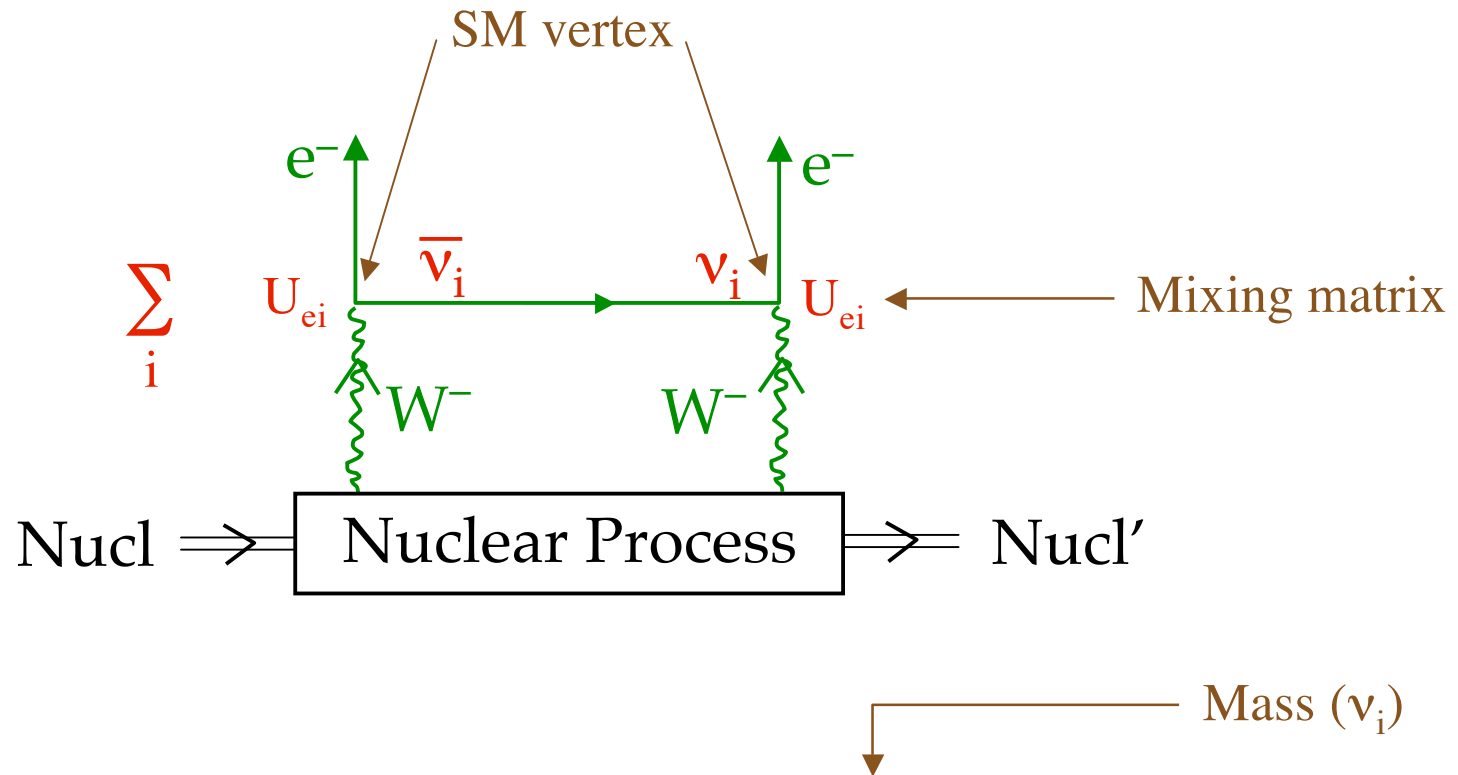


But there could be other contributions to  $0\nu\beta\beta$ ,  
which at the quark level is the process  
 $dd \rightarrow uuee$ .

An example from Supersymmetry:



In —

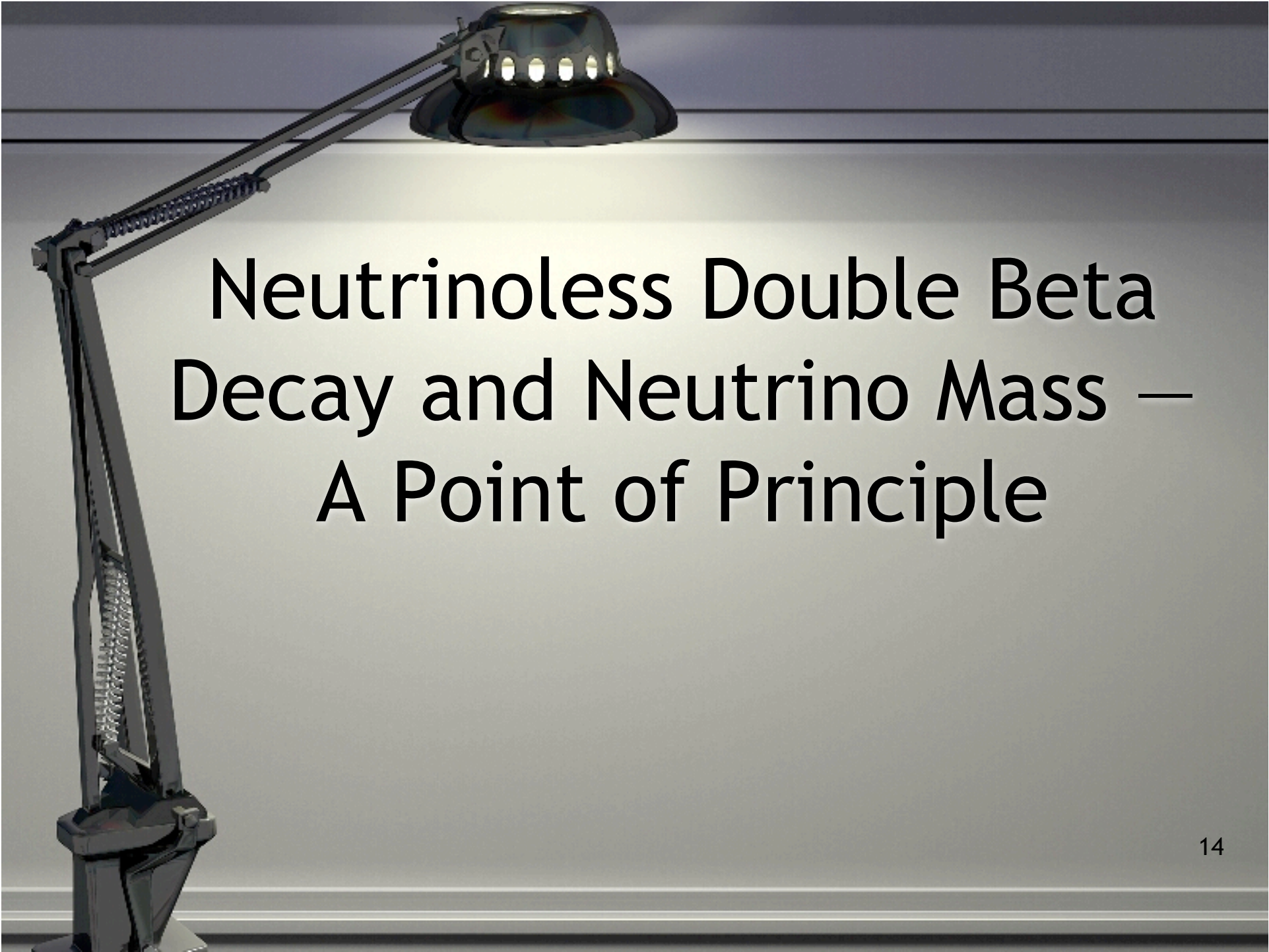


the  $\bar{\nu}_i$  is emitted [RH + O{ $m_i/E$ }LH].

Thus, Amp [ $\nu_i$  contribution]  $\propto m_i$

$$\text{Amp}[0\nu\beta\beta] \propto \left| \sum_i m_i U_{ei}^2 \right| \equiv m_{\beta\beta}$$

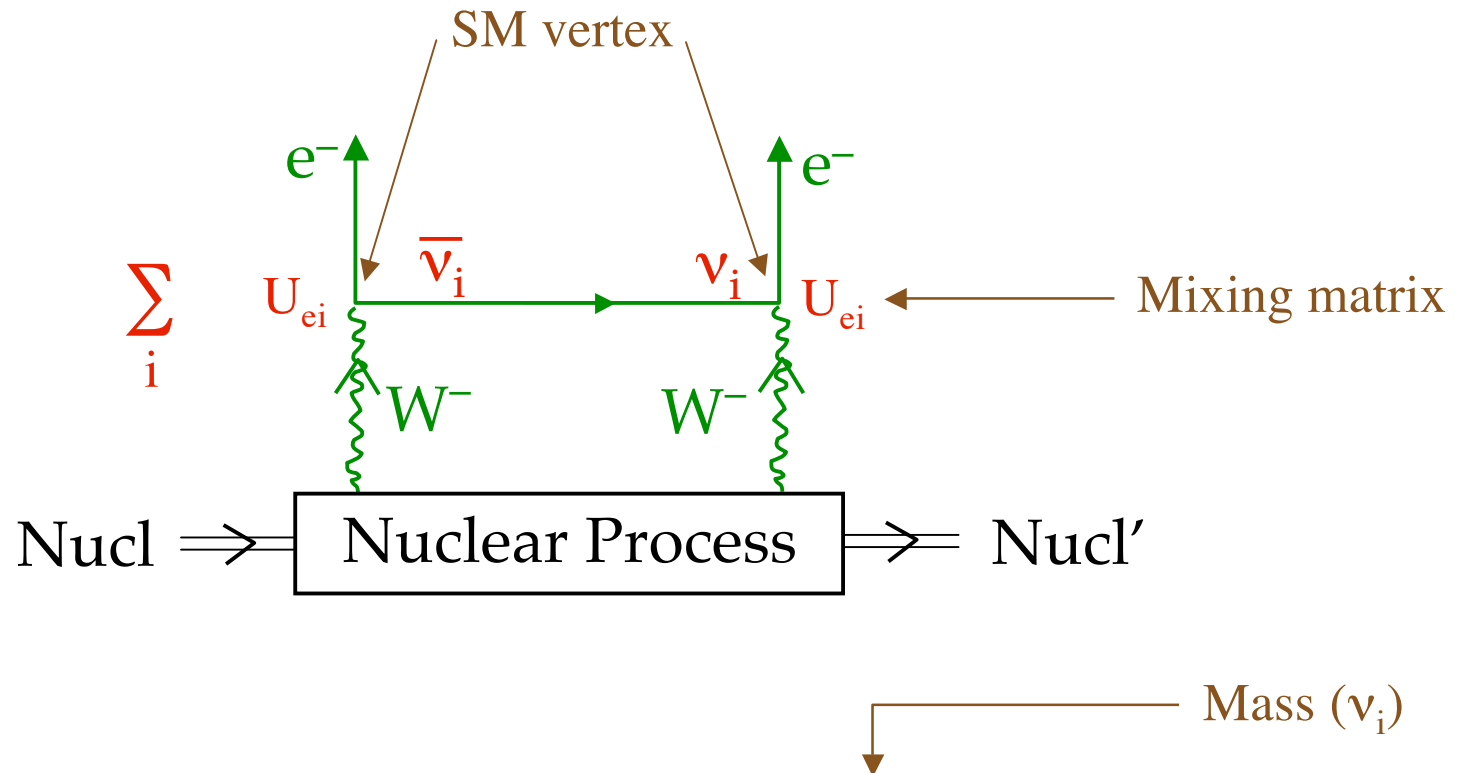




Neutrinoless Double Beta  
Decay and Neutrino Mass —  
A Point of Principle

# — Down the Garden Path —

In —



the  $\bar{\nu}_i$  is emitted [RH +  $O\{m_i/E\}$ LH].

Thus, Amp [ $\nu_i$  contribution]  $\propto m_i$

$$\text{Amp}[0\nu\beta\beta] \propto \left| \sum_i m_i U_{ei}^2 \right| \equiv m_{\beta\beta}$$

# The Trap

This makes it look as if  $0\nu\beta\beta$  needs  $\nu$  mass only because of a helicity mismatch, which a RH current could fix.

Suppose we had a parity-conserving world, that treats right-handed and left-handed particles in the same way.

Couldn't we then have  $0\nu\beta\beta$  without any  $\nu$  mass?

**No!**

**$\nu$  mass is still required.**

# Why?

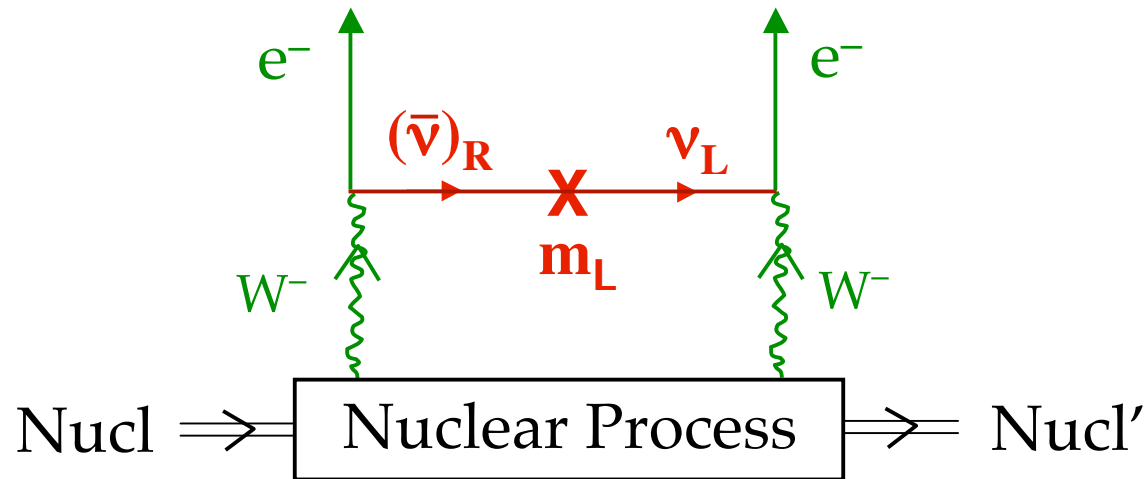


— manifestly does not conserve L.

But the Standard Model (SM) weak interactions *do* conserve L. Absent any non-SM L-violating interactions, the  $\Delta L = 2$  of  $0\nu\beta\beta$  can only come from *Majorana neutrino masses*, such as —

$$m_L(\overline{\nu}_L^c \nu_L + \overline{\nu}_L \nu_L^c) \quad \begin{array}{c} (\overline{\nu})_R \xrightarrow{\quad} \mathbf{X} \xrightarrow{\quad} \nu_L \\ \quad \quad \quad \mathbf{m}_L \end{array}$$

Assuming Standard Model vertices,  $0\nu\beta\beta$  is —



The Majorana neutrino mass term plays two roles:

- 1) Violate L
- 2) Flip handedness

It will be needed for (1) even when not needed for (2).



# A Parity-Conserving Toy-Model Illustration

Suppose there is only one generation.

Suppose the  $W$  couples to the electron and neutrino via a parity-conserving vector current:

$$-L_W = \frac{g}{\sqrt{2}} W_\lambda^- \bar{e} \gamma^\lambda \nu + \text{h.c.} = \frac{g}{\sqrt{2}} W_\lambda^- \left( \bar{e}_L \gamma^\lambda \nu_L + \bar{e}_R \gamma^\lambda \nu_R \right) + \text{h.c.}$$

Suppose the neutrino mass term is also  
 $L \Leftrightarrow R$  invariant:

$$-L_M = \frac{1}{2} m_M \left( \bar{\nu}_L^c \nu_L + \bar{\nu}_R^c \nu_R \right) + m_D \bar{\nu}_R \nu_L + \text{h.c.}$$

We take  $m_{M,D}$  real,  $m_M > m_D$ , and neglect the electron mass.

The neutrino mass eigenstates are the two Majorana particles —

$$\nu_2 = \frac{1}{\sqrt{2}} \left[ (\nu_L + \nu_R) + (\nu_L + \nu_R)^c \right], \text{ with mass } m_2 = m_M + m_D,$$

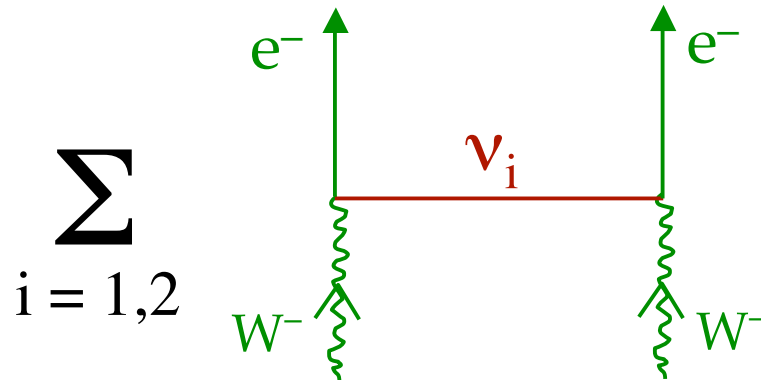
and

$$\nu_1 = \frac{1}{\sqrt{2}} \left[ (\nu_L - \nu_R) + (\nu_L - \nu_R)^c \right], \text{ with mass } m_1 = m_M - m_D.$$

In terms of them —

$$-L_W = \frac{g}{\sqrt{2}} W_\lambda^- \left[ \bar{e}_L \gamma^\lambda \frac{1}{\sqrt{2}} (\nu_{2L} + \nu_{1L}) + \bar{e}_R \gamma^\lambda \frac{1}{\sqrt{2}} (\nu_{2R} - \nu_{1R}) \right] + \text{h.c.}$$

Consider now the particle-physics part of  $0\nu\beta\beta$  —



If the electrons both emerge Left-Handed (LH), only the LH leptonic currents contribute.

For this final state, one finds that the amplitude,  $A_{LL}$ , obeys —

$$A_{LL} \propto \frac{m_2}{q^2 - m_2^2} + \frac{m_1}{q^2 - m_1^2} \cong \frac{m_2 + m_1}{q^2} = \frac{2m_M}{q^2}$$

*The amplitude is proportional to the Majorana  $\nu$  mass  $m_M$ .*

Similarly, if both electrons emerge right-handed, the amplitude,  $A_{RR}$ , obeys —

$$A_{RR} \propto \frac{m_2}{q^2 - m_2^2} + \frac{m_1}{q^2 - m_1^2} \cong \frac{m_2 + m_1}{q^2} = \frac{2m_M}{q^2}$$

Higher-order (in  $\nu$  mass) terms:

Every term in  $A_{LL}$  or  $A_{RR}$  must flip both L and the chirality, so it must be proportional to —

$$m_M^{Odd} m_D^{Even}$$

*Expanding the denominators confirms that every term does have this character.*

If the electrons emerge with opposite handedness, both the LH and RH currents are involved, and one finds that the amplitude,  $A_{LR}$ , obeys —

$$A_{LR} \propto i\not{q} \left( \frac{1}{q^2 - m_2^2} - \frac{1}{q^2 - m_1^2} \right) \cong i\not{q} \left( \frac{m_2^2 - m_1^2}{(q^2)^2} \right) = \frac{i\not{q}}{(q^2)^2} 4m_M m_D$$

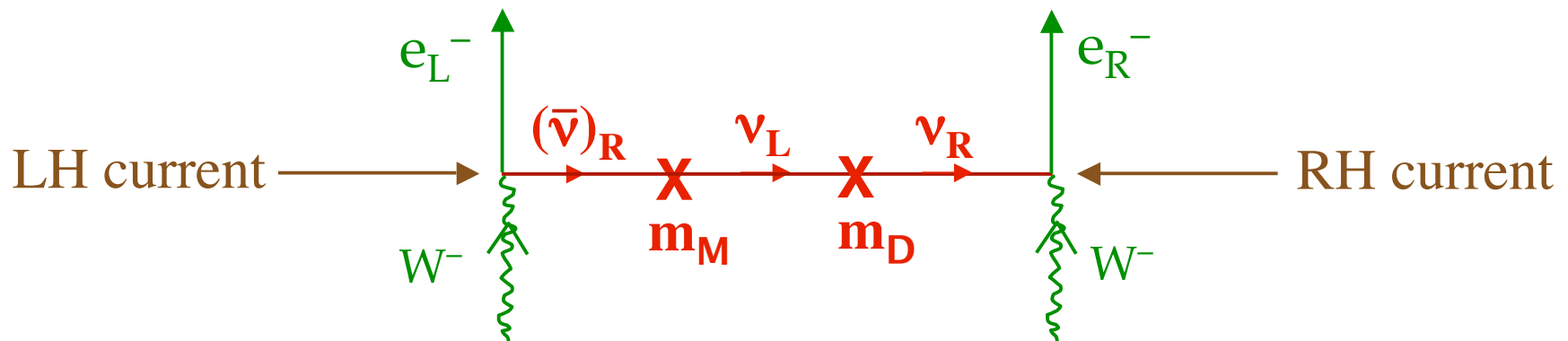
*The amplitude is proportional to the Majorana  $\nu$  mass  $m_M$ .*



Why  $A_{LR}$  is also proportional to the Dirac mass  $m_D$ :

{ BK, Petcov, and Rosen;  
Enqvist, Maalampi, and Mursula }

The process is —



*Two flips of handedness are needed.*

Higher-order (in  $\nu$  mass) terms:

Every term in  $A_{LR}$  must flip L but not chirality, so it must be proportional to —

$$m_M^{Odd} m_D^{Odd}$$

*Expanding the denominators ( $q^2 - m_i^2$ ) confirms that every term does have this character.*

*Including the electron mass doesn't make any difference.*

# Summary of this Point of Principle

Absent L-nonconserving interactions from beyond the Standard Model,  $0\nu\beta\beta$  requires Majorana neutrino mass.

This mass is needed to introduce L-nonconservation, even if it is not needed for any other reason.

# *A Recollection — Primakoff and Rosen*

*Primakoff and Rosen were pioneers of  
the whole field of double beta decay.*

*A half-century ago, they contemplated  $0\nu\beta\beta$  arising  
from non-SM, L-nonconserving interactions.*

*Then Majorana neutrino mass is not needed.*

*We have not yet seen any evidence for such  
interactions, but we do expect  
Majorana neutrino masses.*





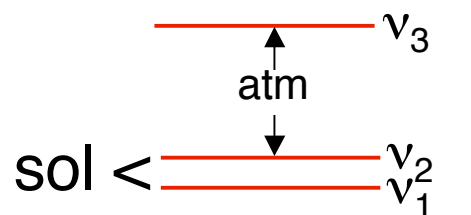
Assuming the Usual  
Diagram Dominates —

# How Large is $m_{\beta\beta}$ ?

How sensitive need an experiment be?

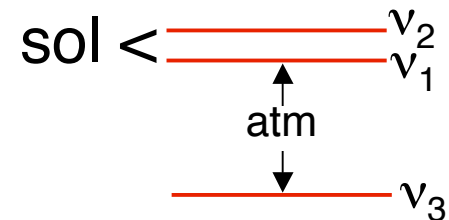
Suppose there are only 3 neutrino mass eigenstates. (More might help.)

Then the spectrum looks like —



Normal hierarchy

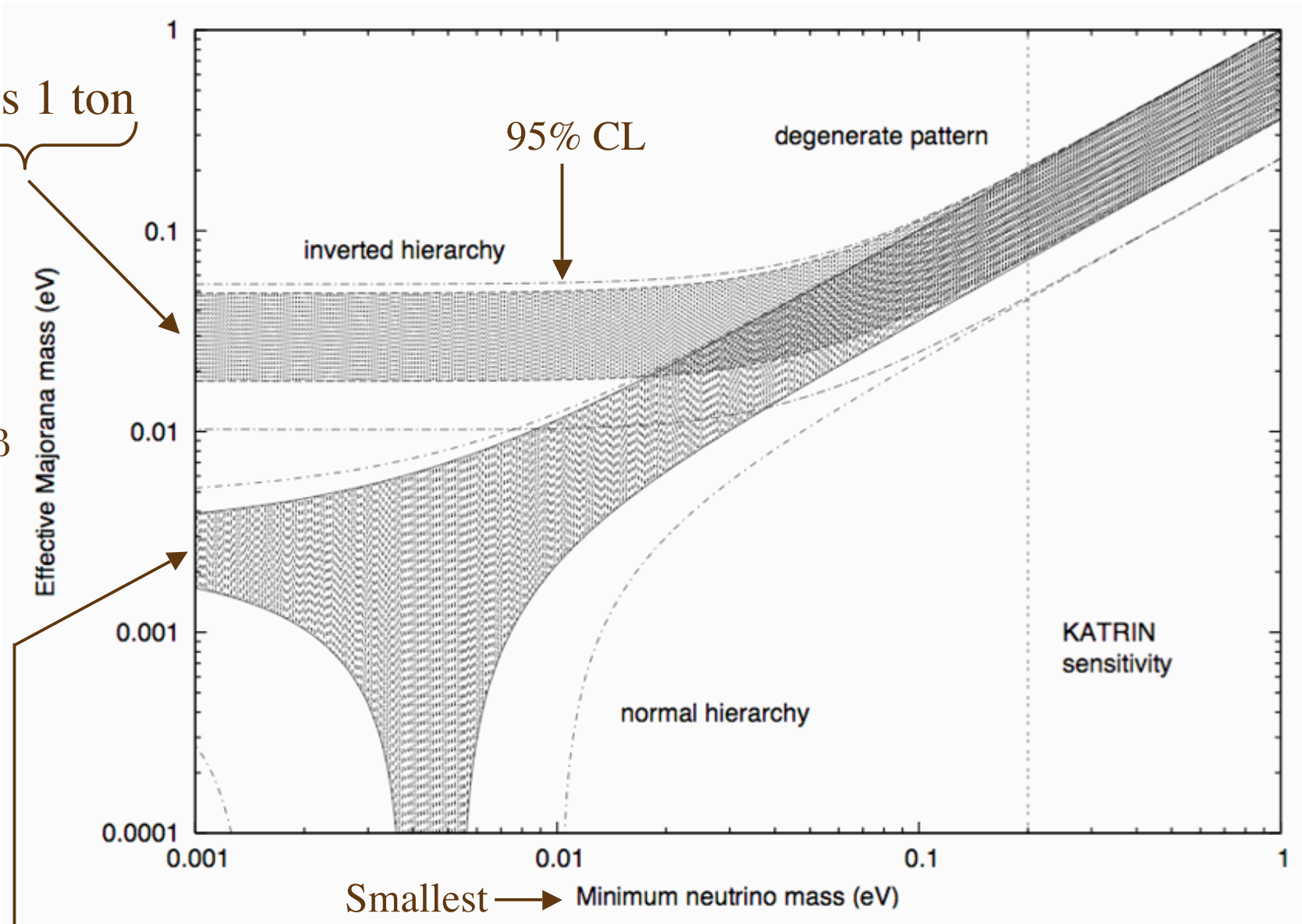
or



Inverted hierarchy

Takes 1 ton

$m_{\beta\beta}$



Takes  
100 tons

$m_{\beta\beta}$  For Each Hierarchy

There is no clear theoretical preference  
for either hierarchy.

Suppose the hierarchy is found, via accelerator  
neutrino experiments, to be **inverted**.

Then  $0\nu\beta\beta$  searches with sensitivity  
to  $m_{\beta\beta} = 0.01$  eV have  
a very good chance to see a signal.

If these  $0\nu\beta\beta$  searches establish that  $m_{\beta\beta} < 0.01$  eV,  
then, barring unlikely cancellations from  
exotic mechanisms, we can say that  
neutrinos are Dirac particles:  $\bar{\nu} \neq \nu$ .



Heartiest congratulations and thanks to  
*Frank Avignone* and *Ettore Fiorini*  
for leading the way to the present very exciting point  
in the quest to find neutrinoless double beta decay.

Thanks, with fond memories, to *Peter Rosen*  
for pioneering contributions to this field,  
and for encouraging this very important quest.

*We eagerly await the results of the  $0\nu\beta\beta$  searches  
with sensitivity at the (0.01 – 0.05) eV scale.*

