

Probing the Universe with Neutrinos



ISAPP2008 Valencia
July 2008

Results from Neutrino Oscillation Experiments

Lecture 1

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Overall Outline

Lecture 1:

Atmospheric neutrino oscillations

Long baseline neutrino oscillation experiments

Lecture 2:

Solving the Solar Neutrino Problem with neutrino oscillations

Status of the 3 flavor effects

Outline - Lecture 1 -

- Production of atmospheric neutrinos
- Atmospheric neutrino anomaly
- Discovery of neutrino oscillations
- Long baseline neutrino oscillation experiments
- Oscillation to ν_τ or ν_{sterile} ?
- Tau neutrino appearance? (brief)
- Summary of Lecture-1

In today's lecture, we mostly discuss 2-flavor vacuum oscillations:

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \cdot \sin^2 \left(\frac{1.27 \Delta m^2 L_\nu}{E_\nu} \right)$$

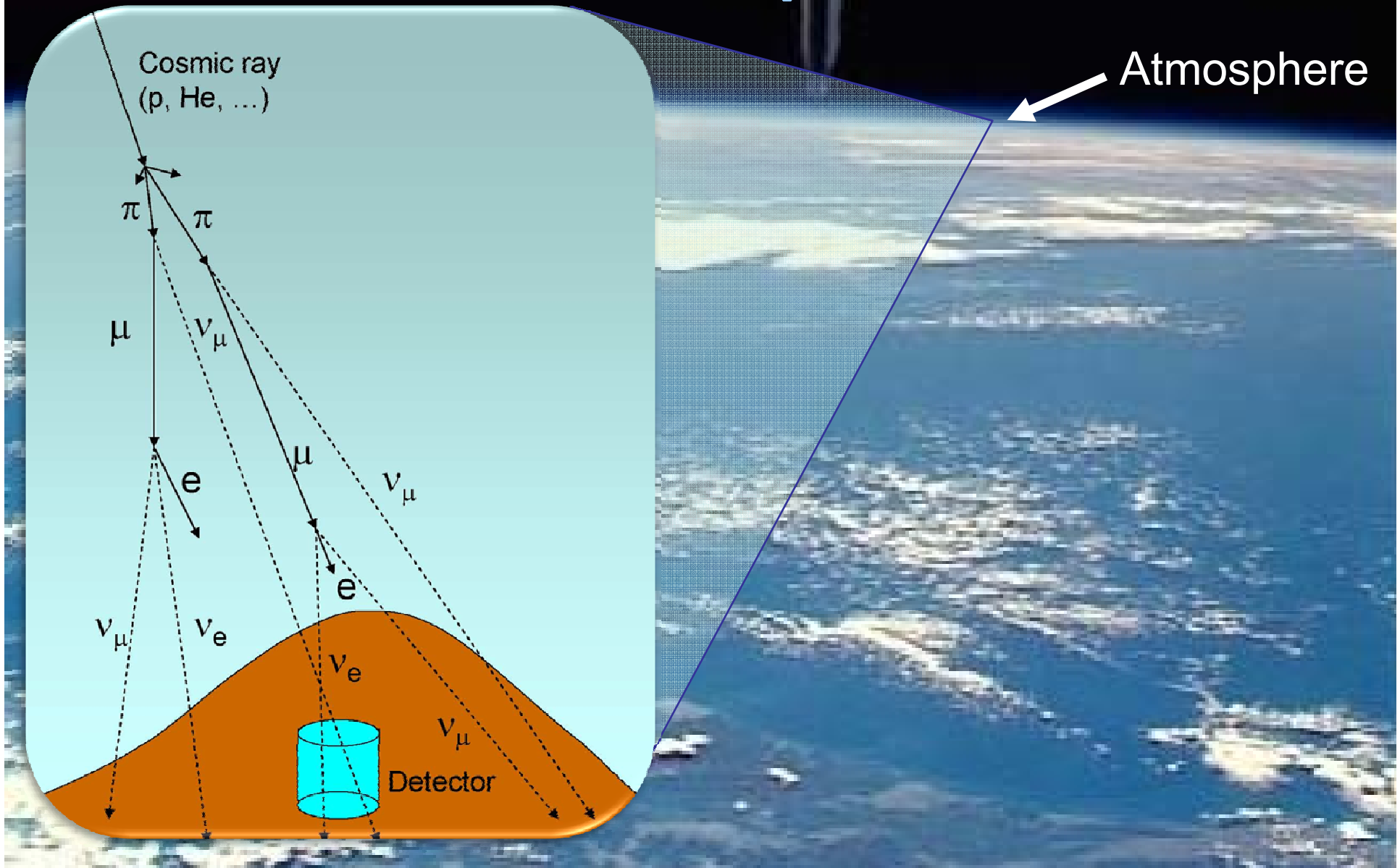
Introduction - motivation -

Reasons for neutrino experiments in 1 page:

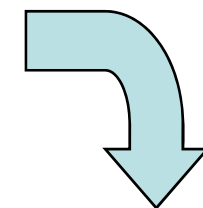
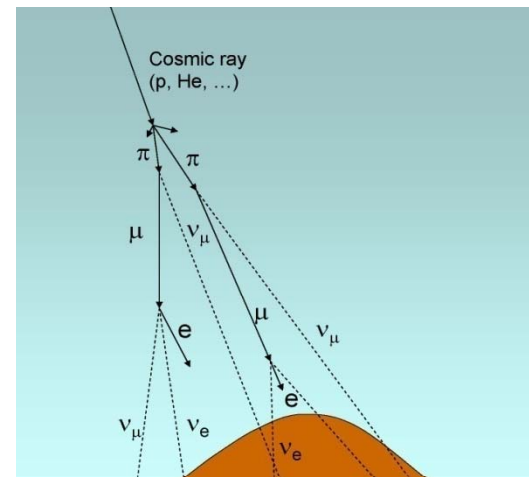
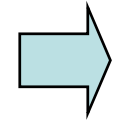
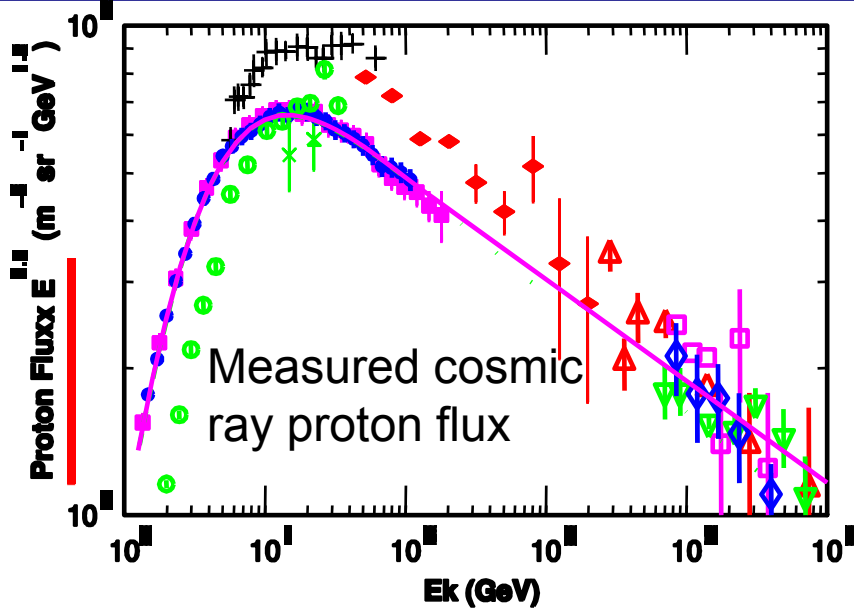
- Small but finite neutrino masses are believed to be related to the physics at the very high energy scale (Seesaw mechanism).
- At present, information from neutrino oscillation experiments gives one of a few experimental evidence for physics “beyond the standard model”.
- The observed large neutrino mixing angles might also suggest some hints for understanding physics at the very high energies.
- Furthermore, the physics of neutrino masses might be related to the baryon asymmetry of the Universe (Leptogenesis).

← “Probing the Universe with Neutrinos”.

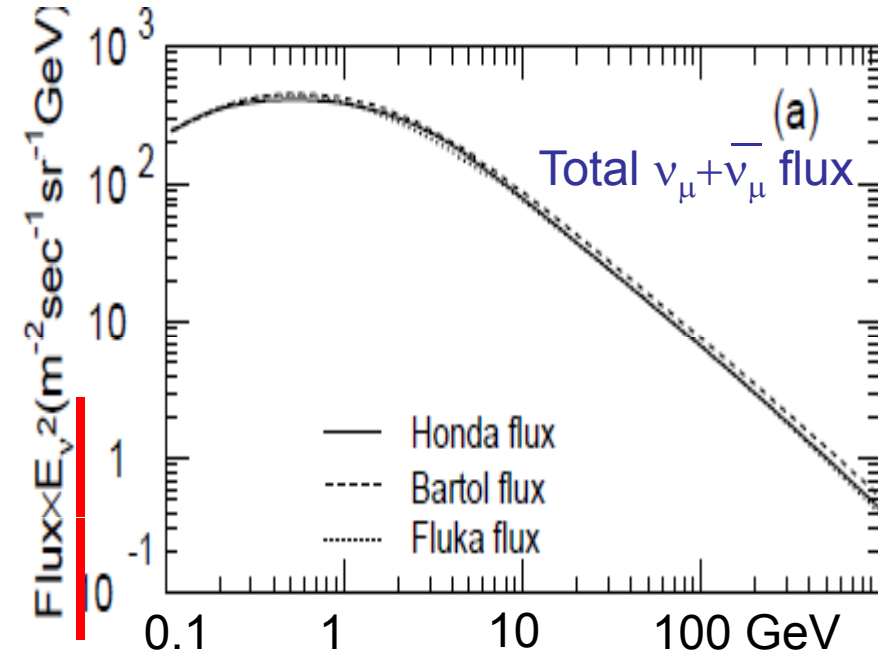
Production of atmospheric neutrinos



Calculating the atmospheric neutrino beam

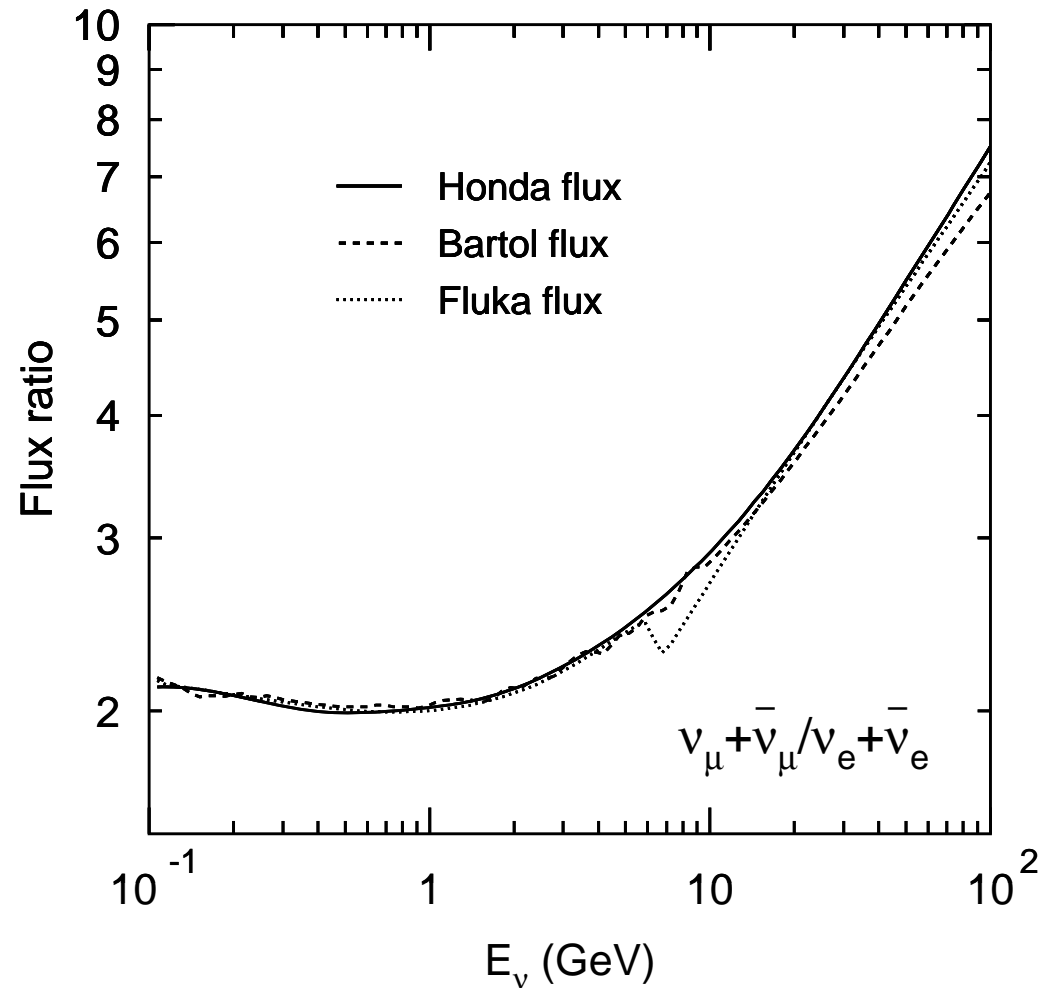
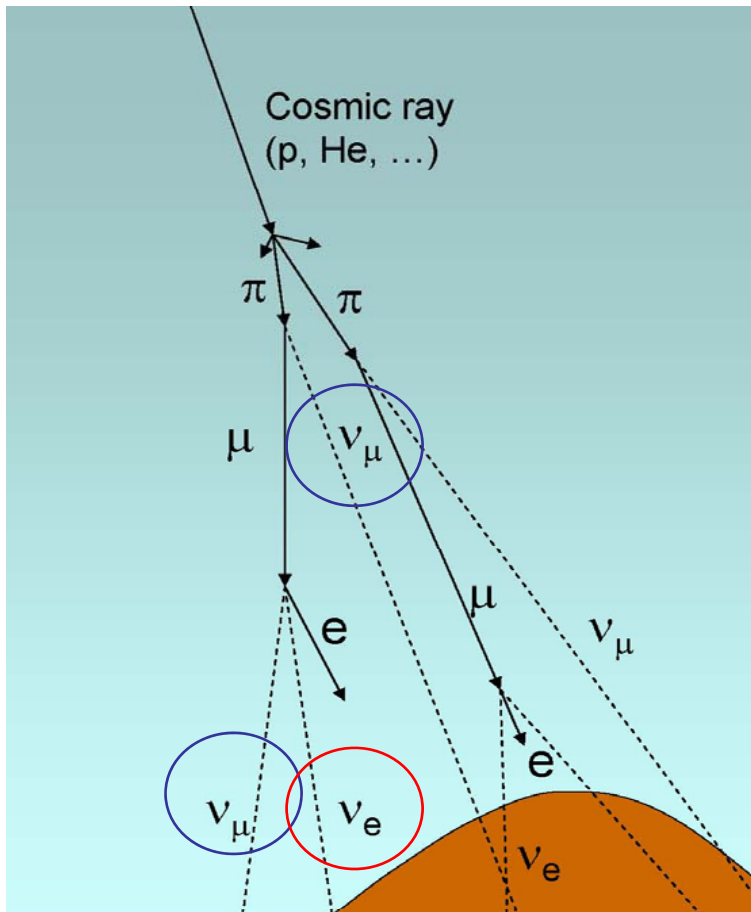


- Do the calculation all over the Earth
- + geomagnetic field
- + (p+(O or N)) int.
- + decay of π or K
- +



Some features of the beam (1)

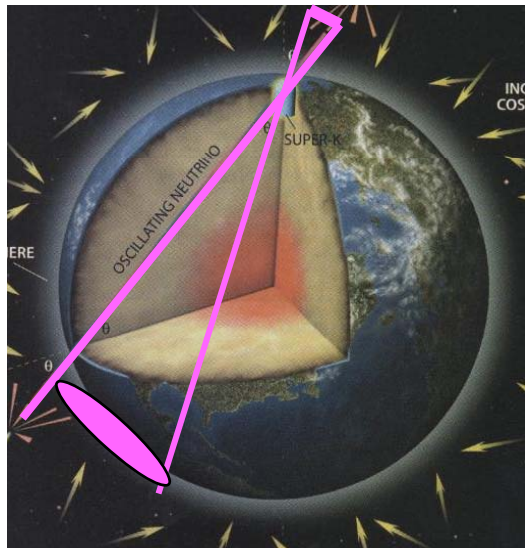
$$(v_{\mu} + \bar{v}_{\mu}) / (v_e + \bar{v}_e)$$



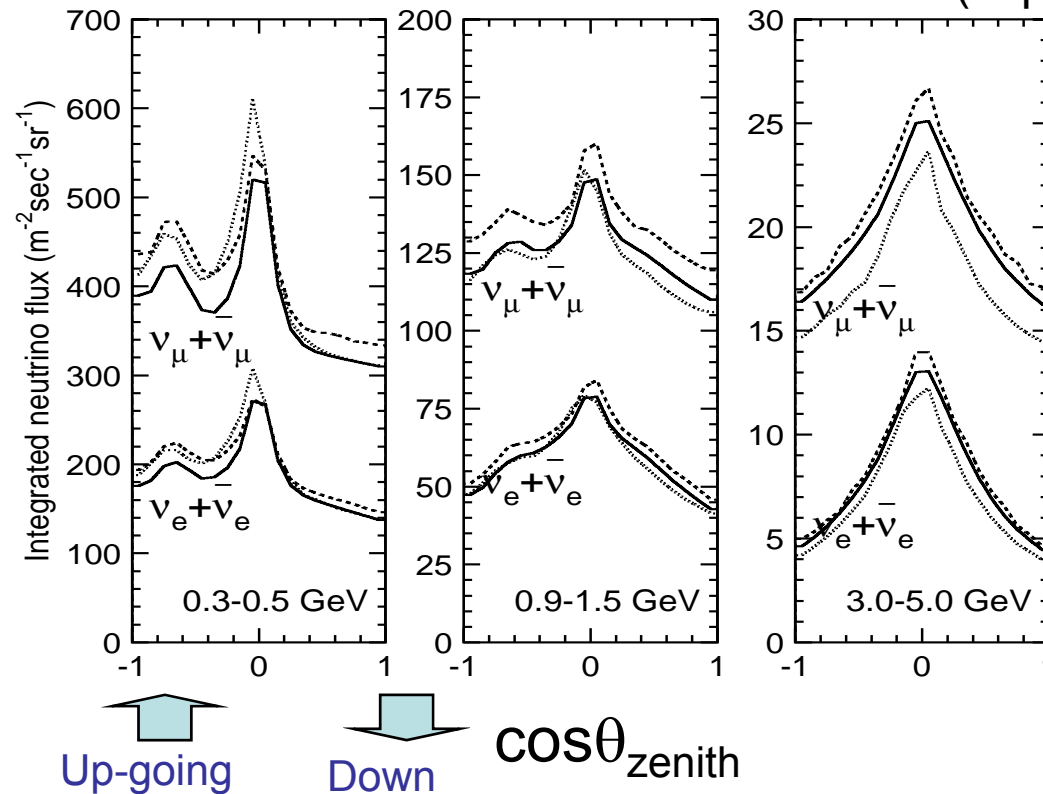
ν_{μ}/ν_e ratio is calculated to an accuracy of better than 3% below ~ 5 GeV.

Some features of the beam (2)

Zenith angle



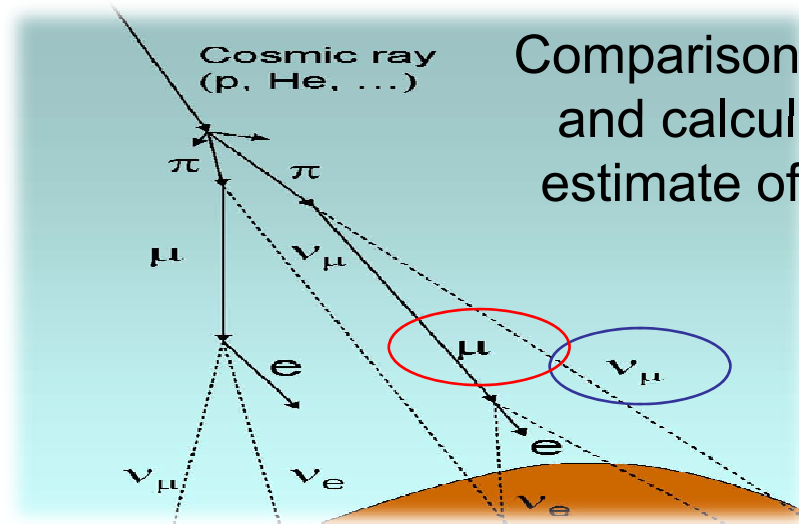
@Kamioka (Japan)



Up/down ratio very close to 1.0 and accurately calculated (1% or better) above a few GeV.

Comment: How accurate is the absolute normalization of the flux ?

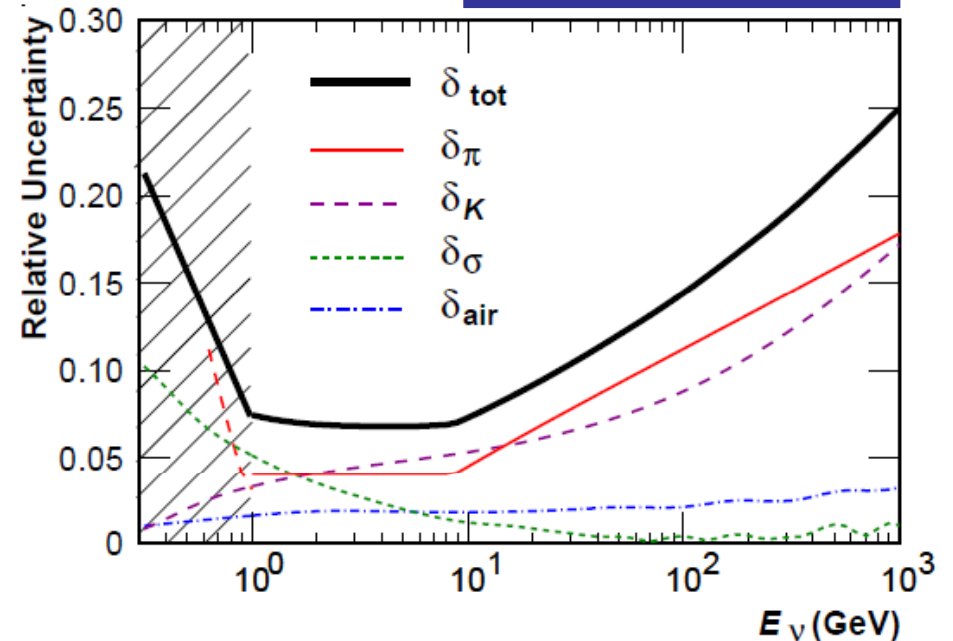
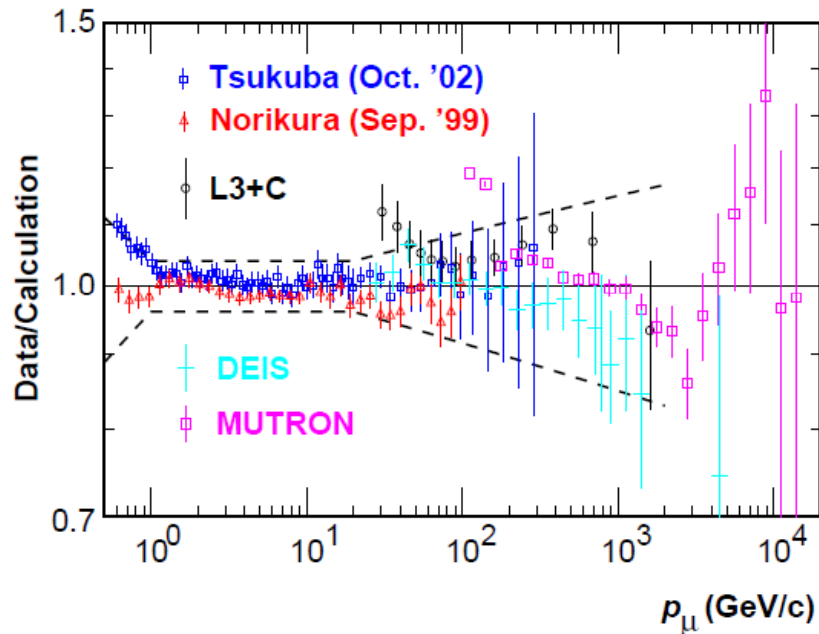
astro-ph/0611201
astro-ph/0611418



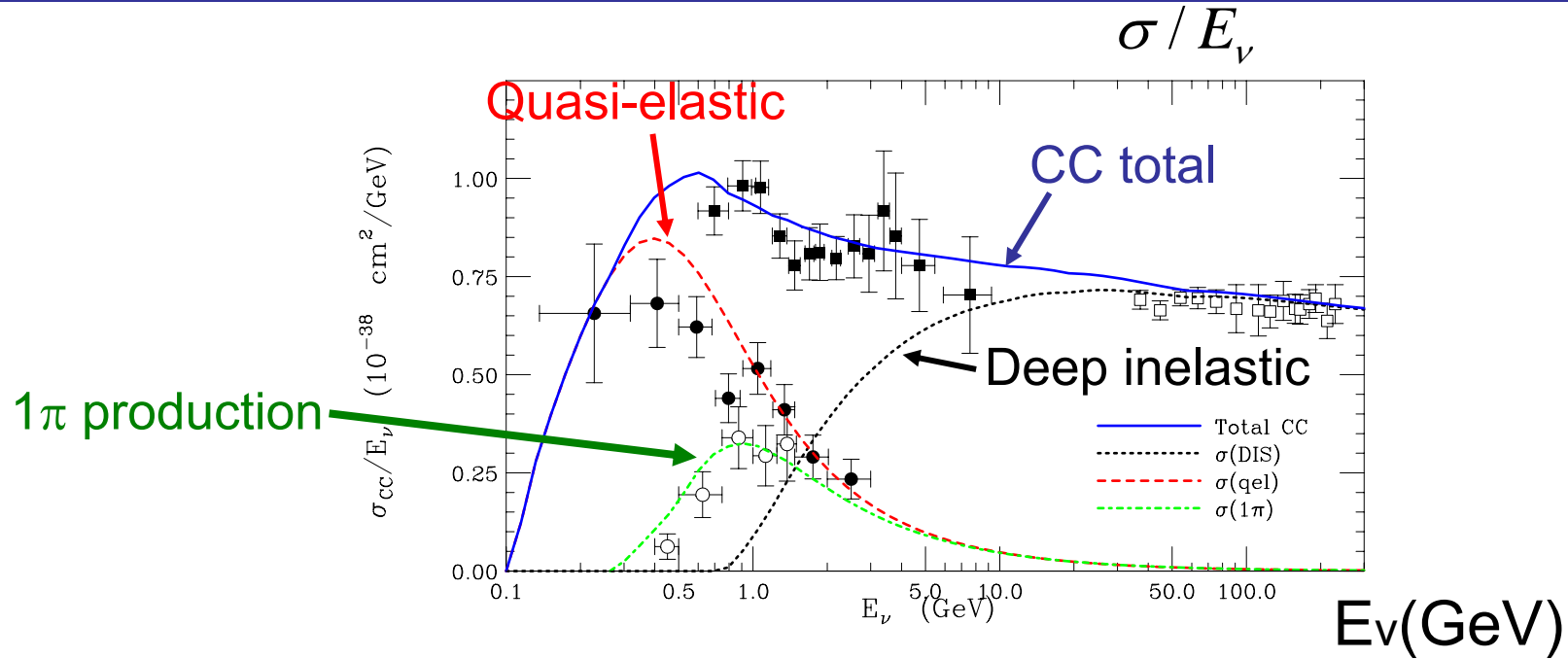
Comparison of the muon flux data and calculation results gives the estimate of the absolute neutrino flux uncertainty.

Data/Calculation
(muon flux)

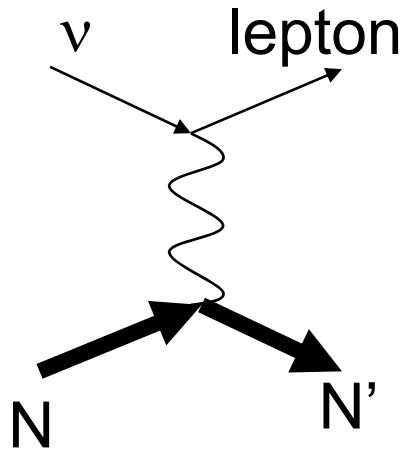
Systematic error
for the absolute
neutrino flux



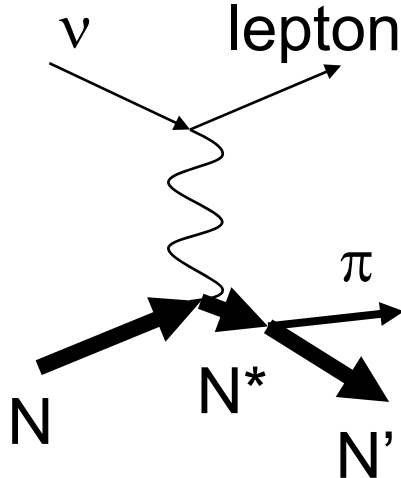
Neutrino interactions



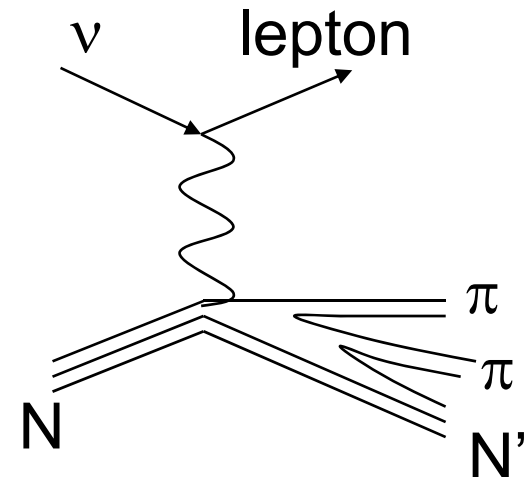
Quasi-elastic



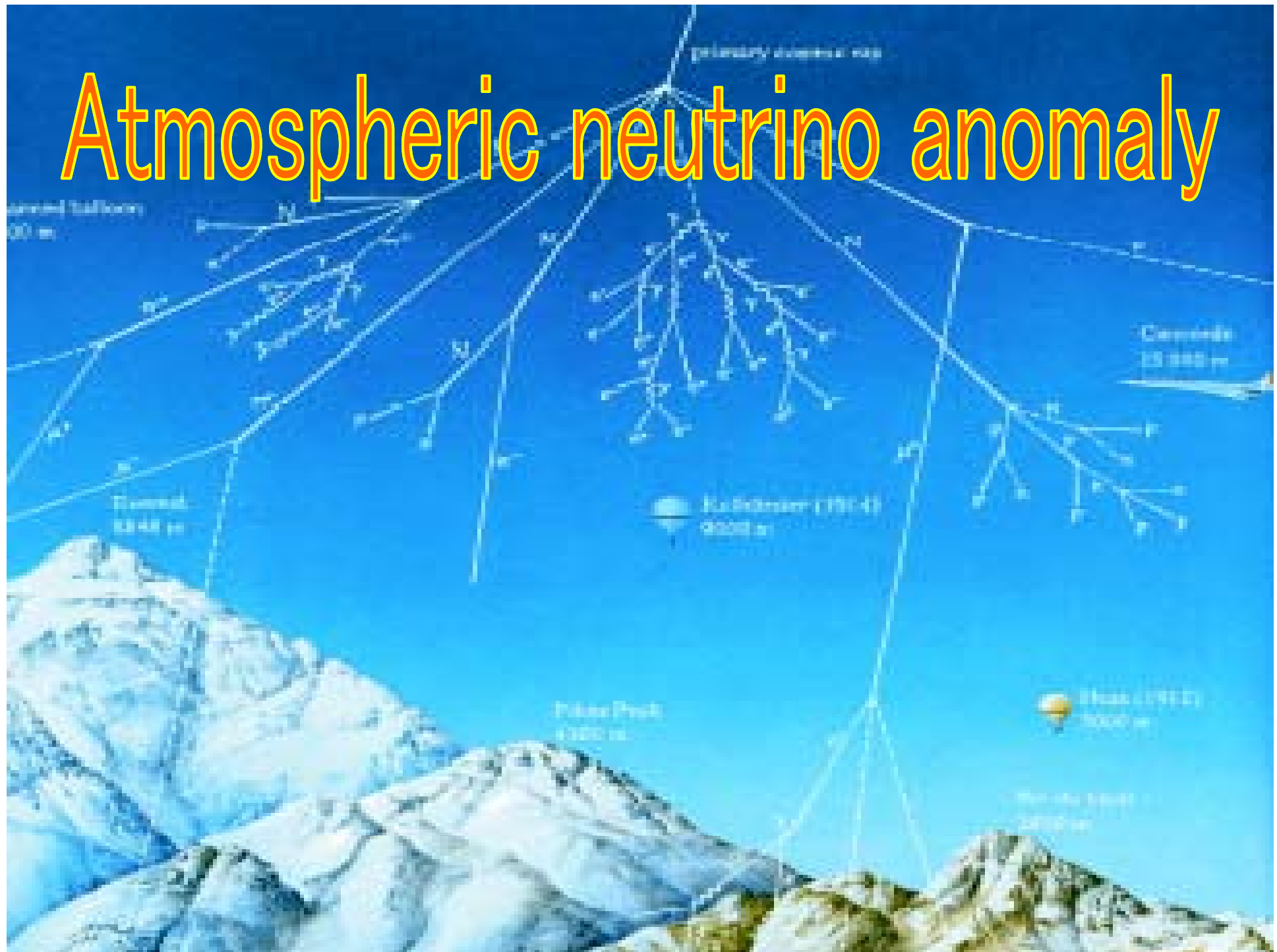
1 π production



Deep inelastic

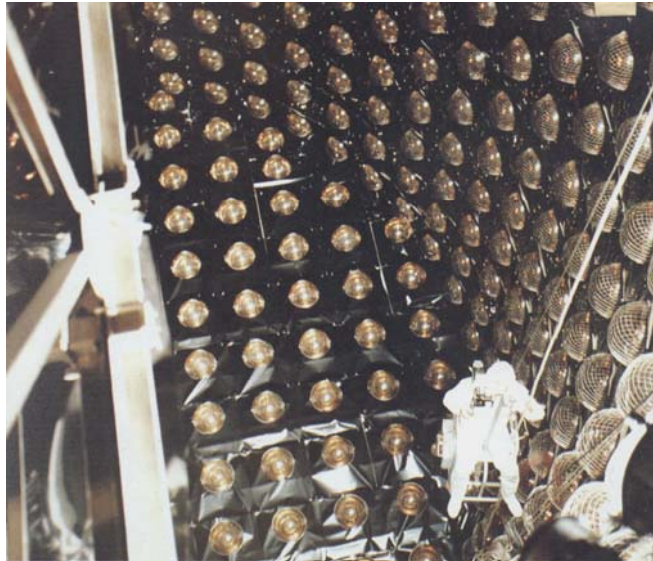


Atmospheric neutrino anomaly



“Proton decay” experiments

Grand Unified Theories $\rightarrow \tau_p = 10^{30 \pm 2}$ years



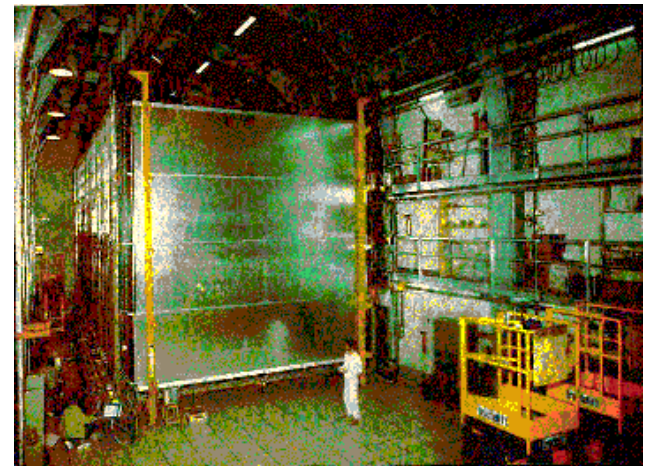
Kamiokande
(1000ton)

IMB
(3300ton)



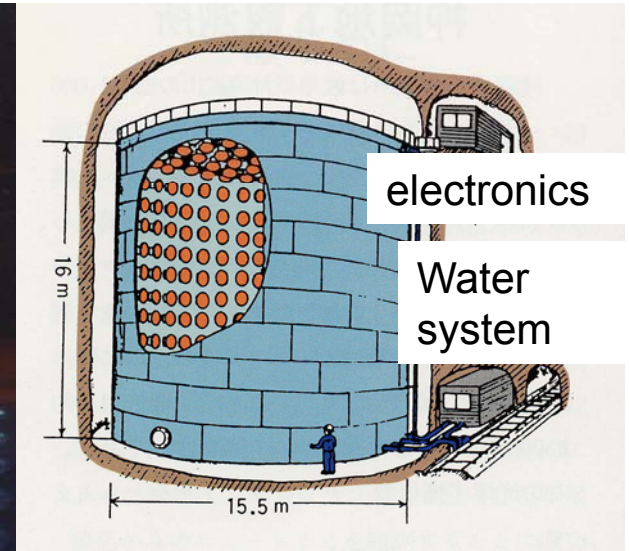
NUSEX
(130ton)

Frejus
(700ton)



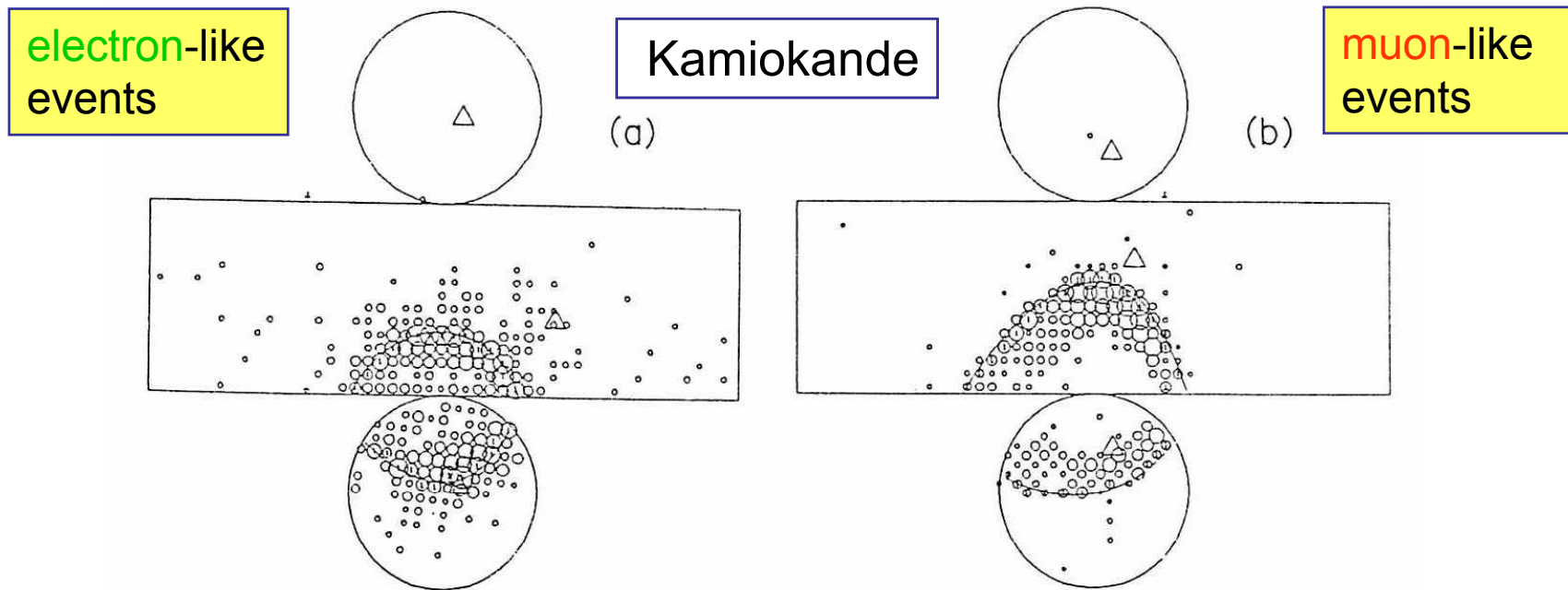
These experiments observed many contained atmospheric neutrino events (background for proton decay).

μ/e ratio measurement in Kamiokande

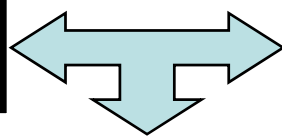


1983 (Kamiokande construction)

Electrons, muons and particle identification



e: electromagnetic shower, multiple Coulomb scattering



μ: propagate almost straightly, loose energy by ionization loss

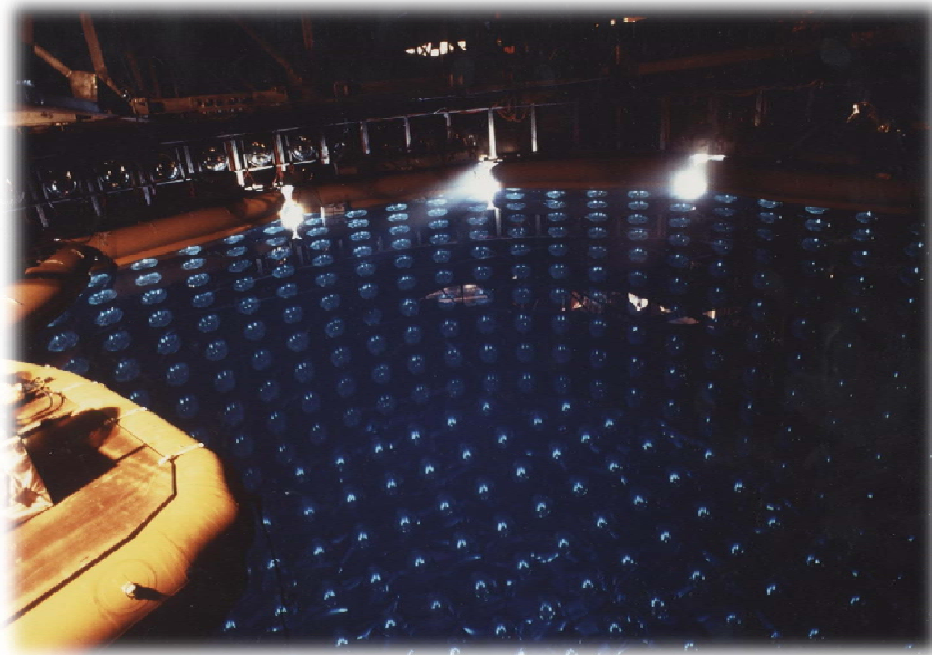
Difference in the event pattern

Particle ID

$$\chi^2 = \sum_{\theta < 70 \text{ deg}} \left(\frac{p.e.(obs'd) - p.e._{e \text{ or } \mu}(expected)}{\sigma_{p.e.}} \right)^2$$

98% efficiency

First result on the μ/e ratio (1988)



Kamiokande

(3000ton Water Ch.
~ 1000ton fid. Vol.)

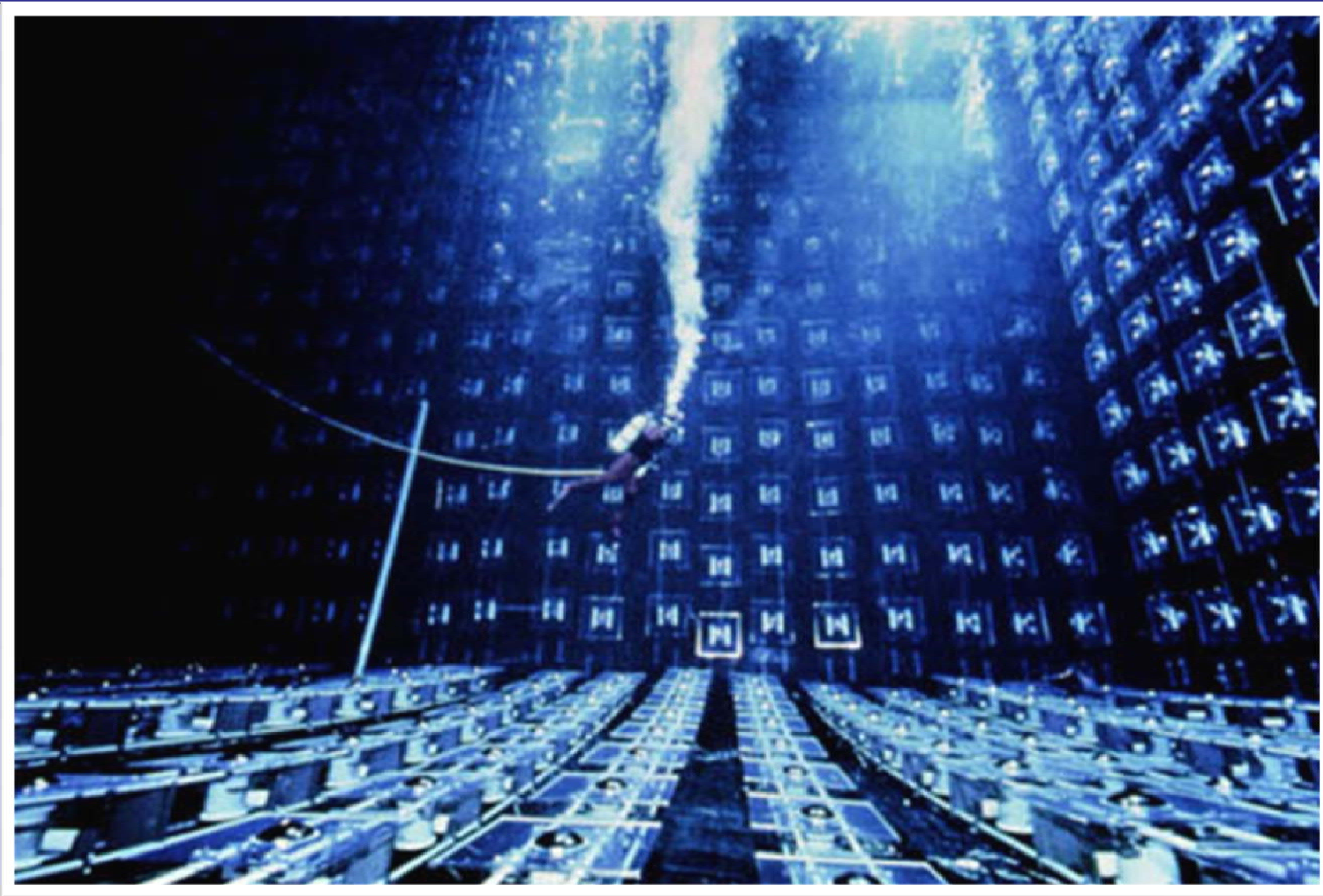
2.87 kton·year

	Data	MC prediction
e-like (\sim CC ν_e)	93	88.5
μ -like (\sim CC ν_μ)	85	144.0

“We are unable to explain the data as the result of systematic detector effects or uncertainties in the atmospheric neutrino fluxes. Some as-yet-unaccounted-for physics such as **neutrino oscillations** might explain the data.”

K. Hirata et al (Kamiokande)
Phys.Lett.B 205 (1988) 416.

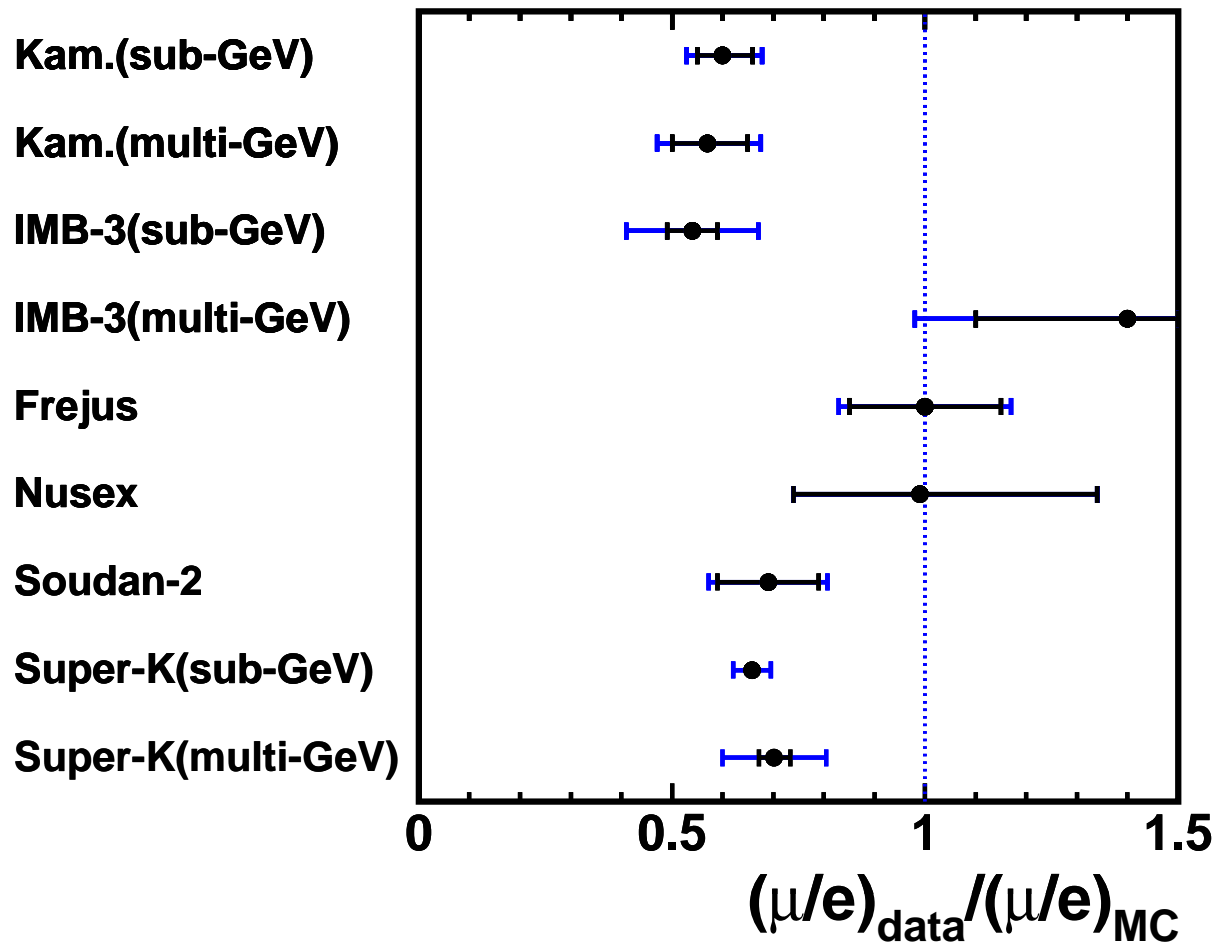
First supporting evidence for small μ/e



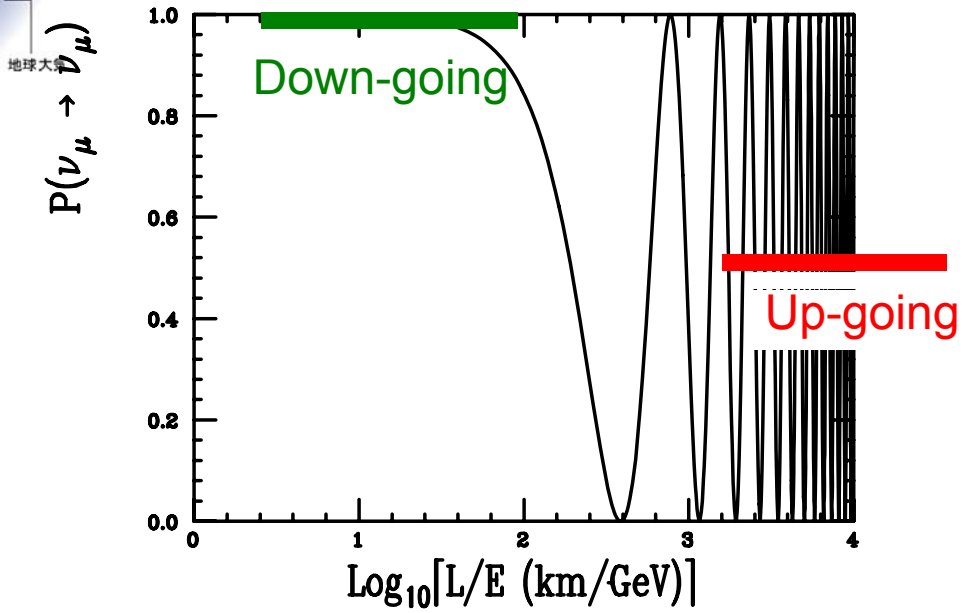
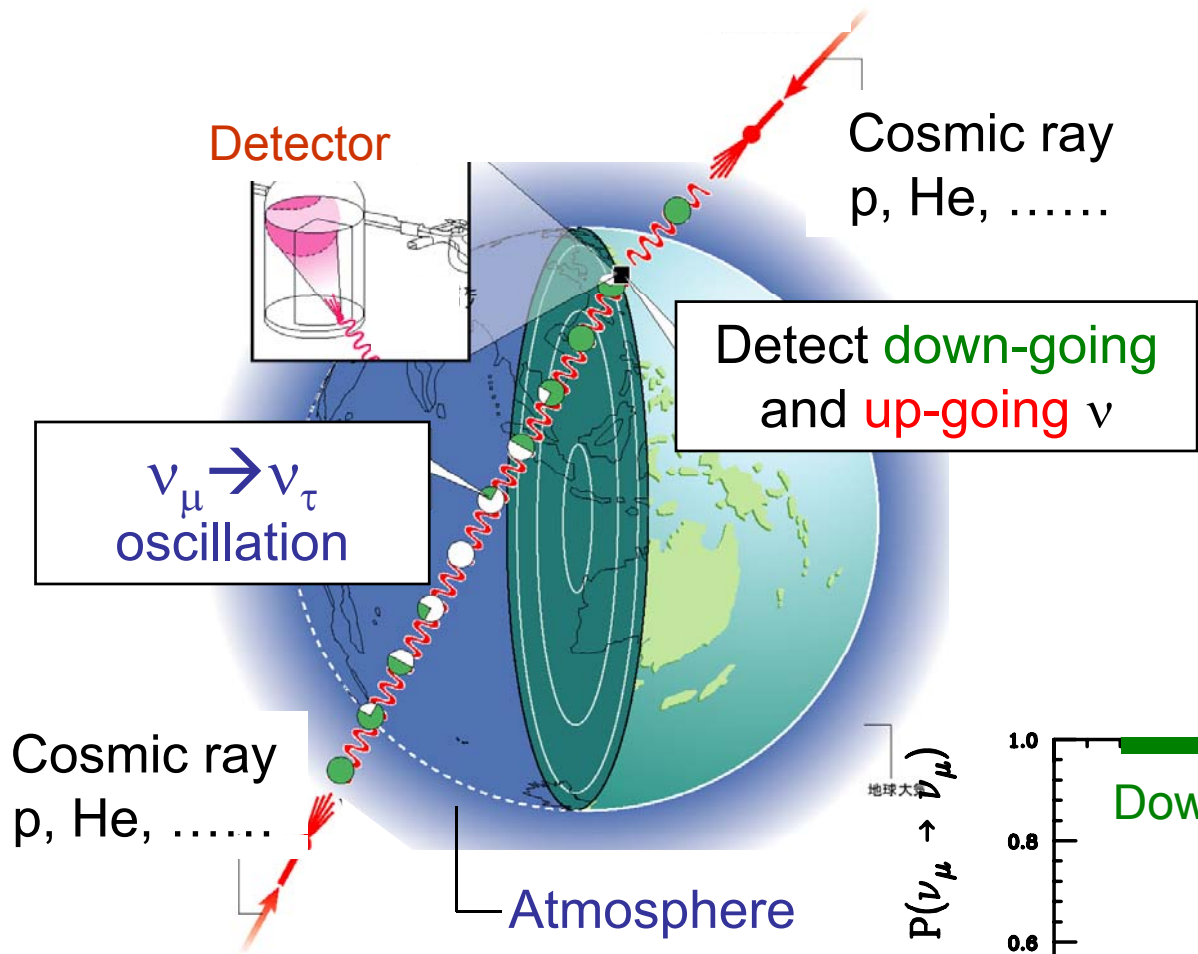
IMB experiment also observed smaller (μ/e) in 1991 and 1992.

μ/e ratio measurement: summary

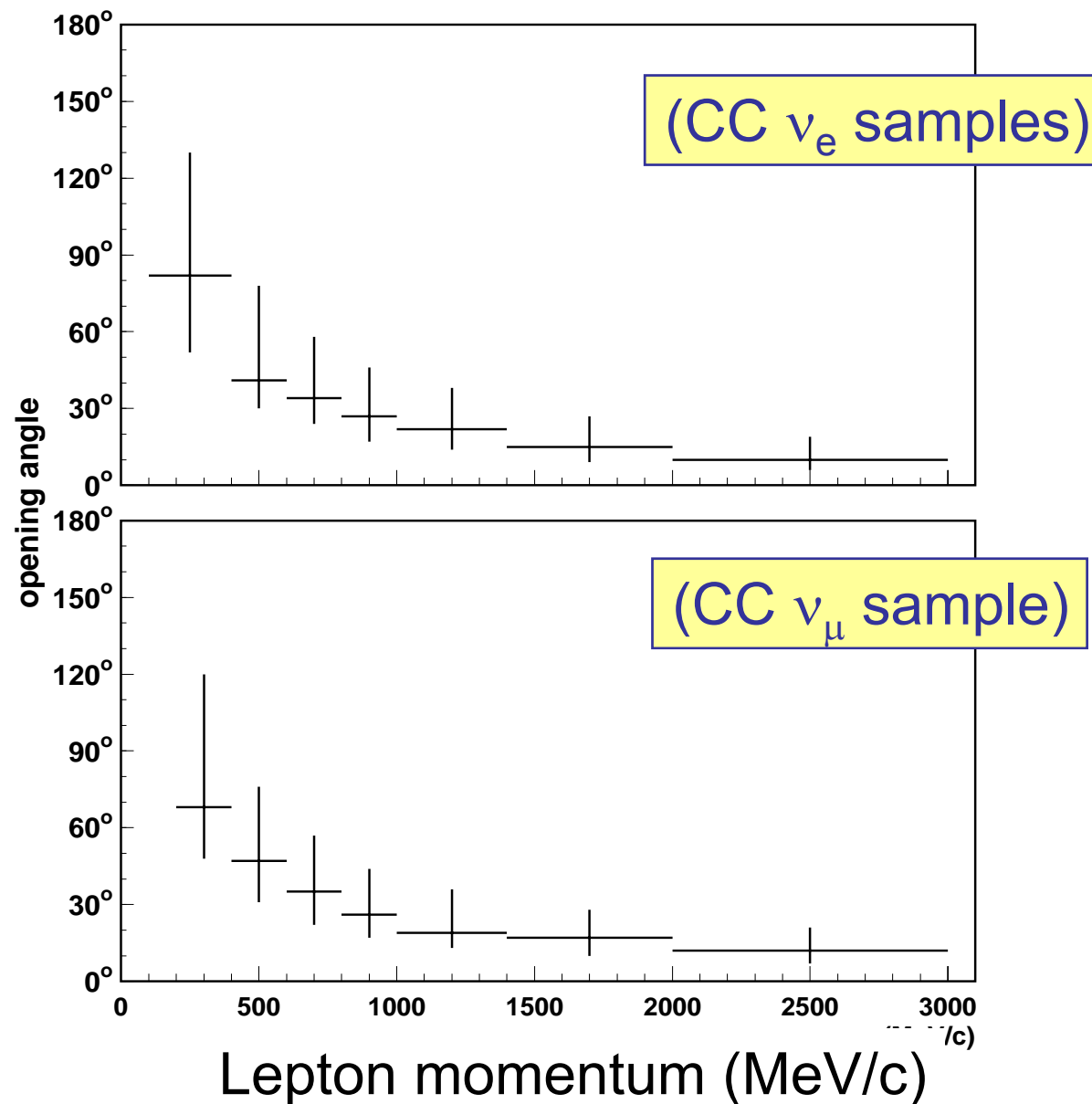
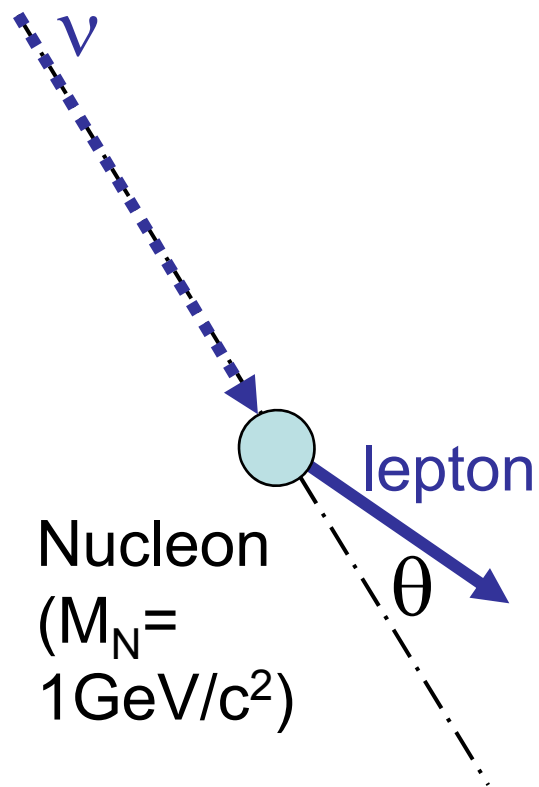
Let's write the atmospheric ν_μ deficit by $(\mu/e)_{\text{data}}/(\mu/e)_{\text{MC}}$



Atmospheric neutrinos and neutrino oscillations

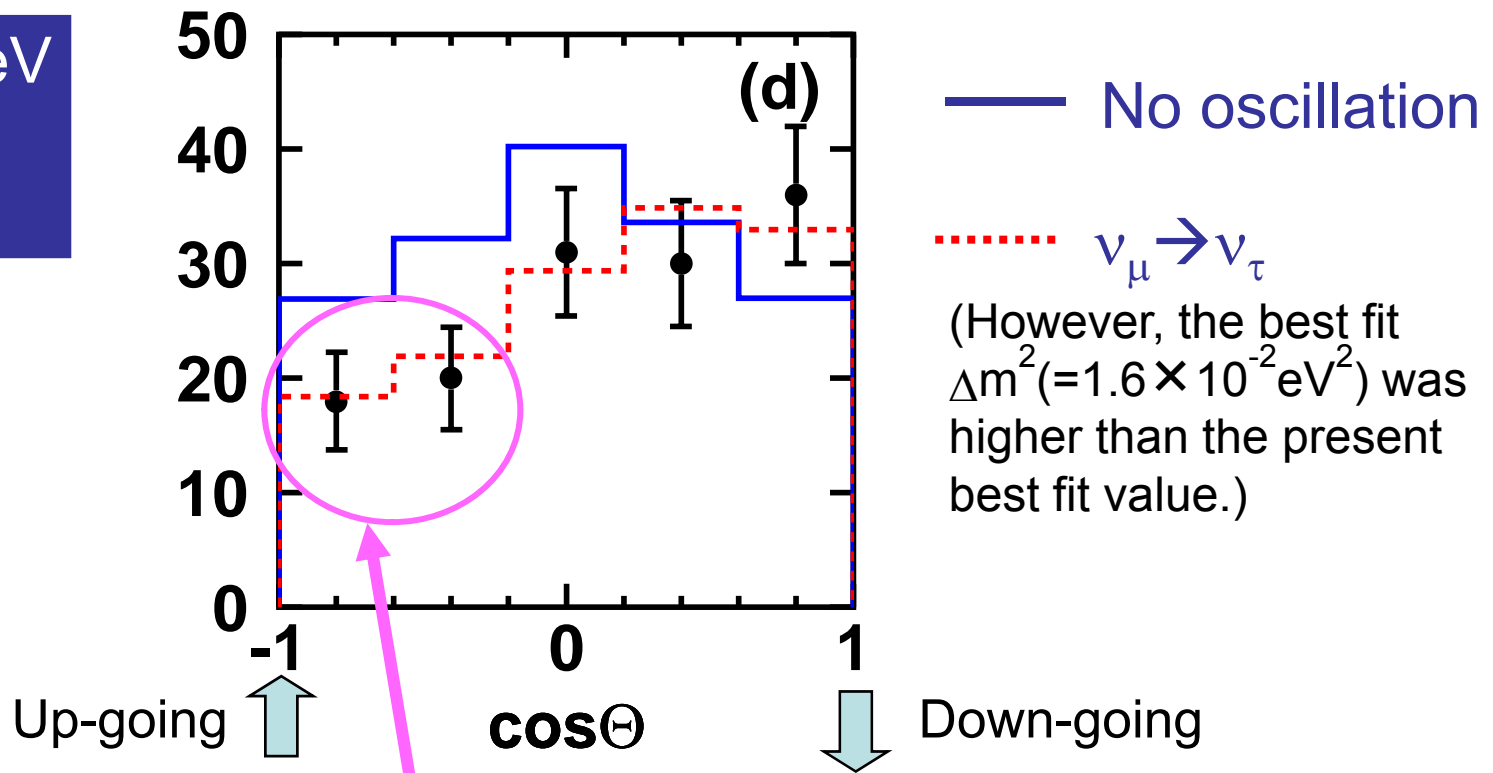


Angular correlation



Next: zenith angle...(Kamiokande, 1994)

multi-GeV
 μ -like
events

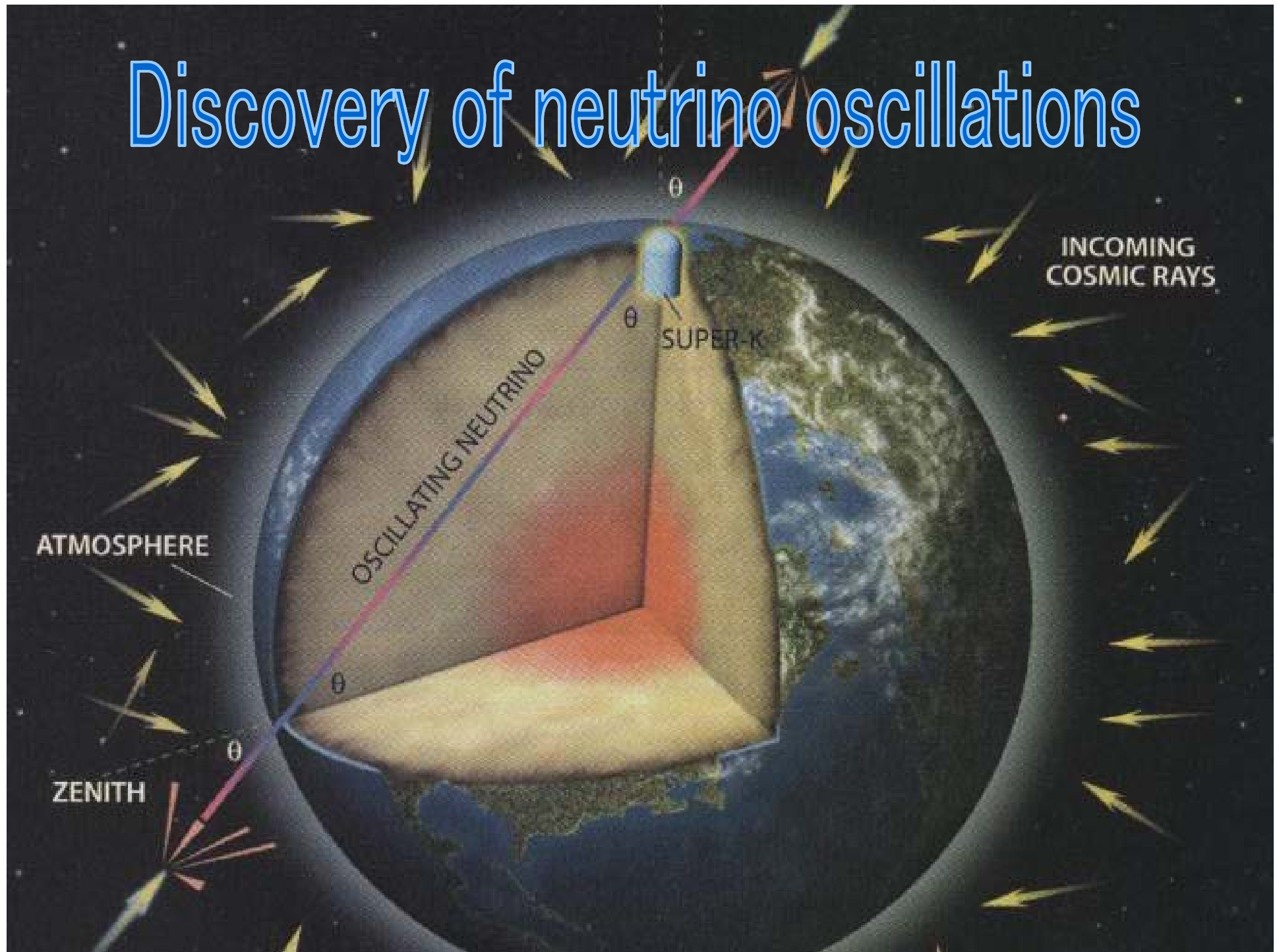


Deficit of upward-going
 μ -like events

$$\text{Up/Down} = 0.58^{+0.13}_{-0.11} \quad (2.9 \sigma)$$

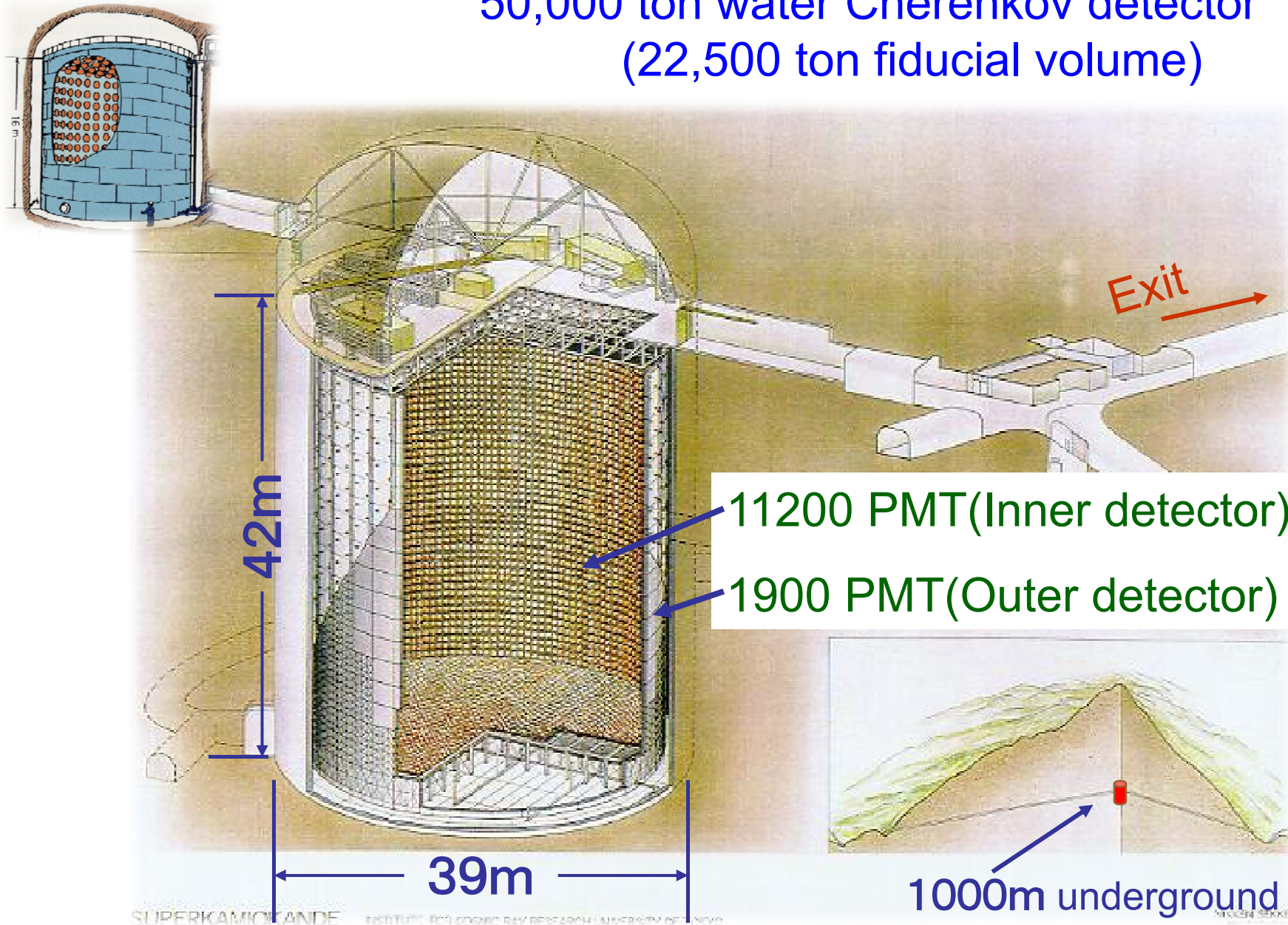
Not high enough statistics
to conclude ...

Discovery of neutrino oscillations

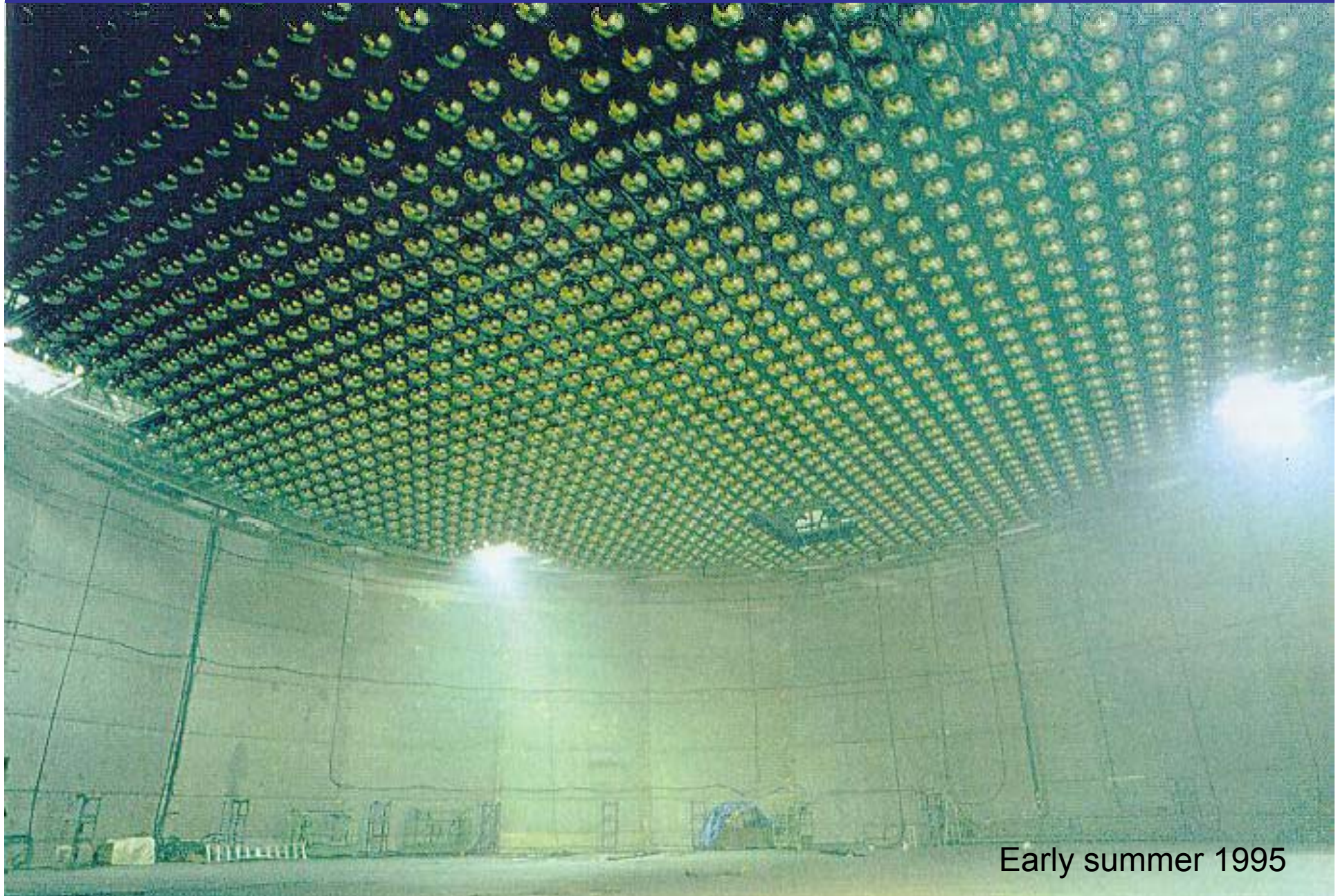


Super-Kamiokade detector

50,000 ton water Cherenkov detector
(22,500 ton fiducial volume)

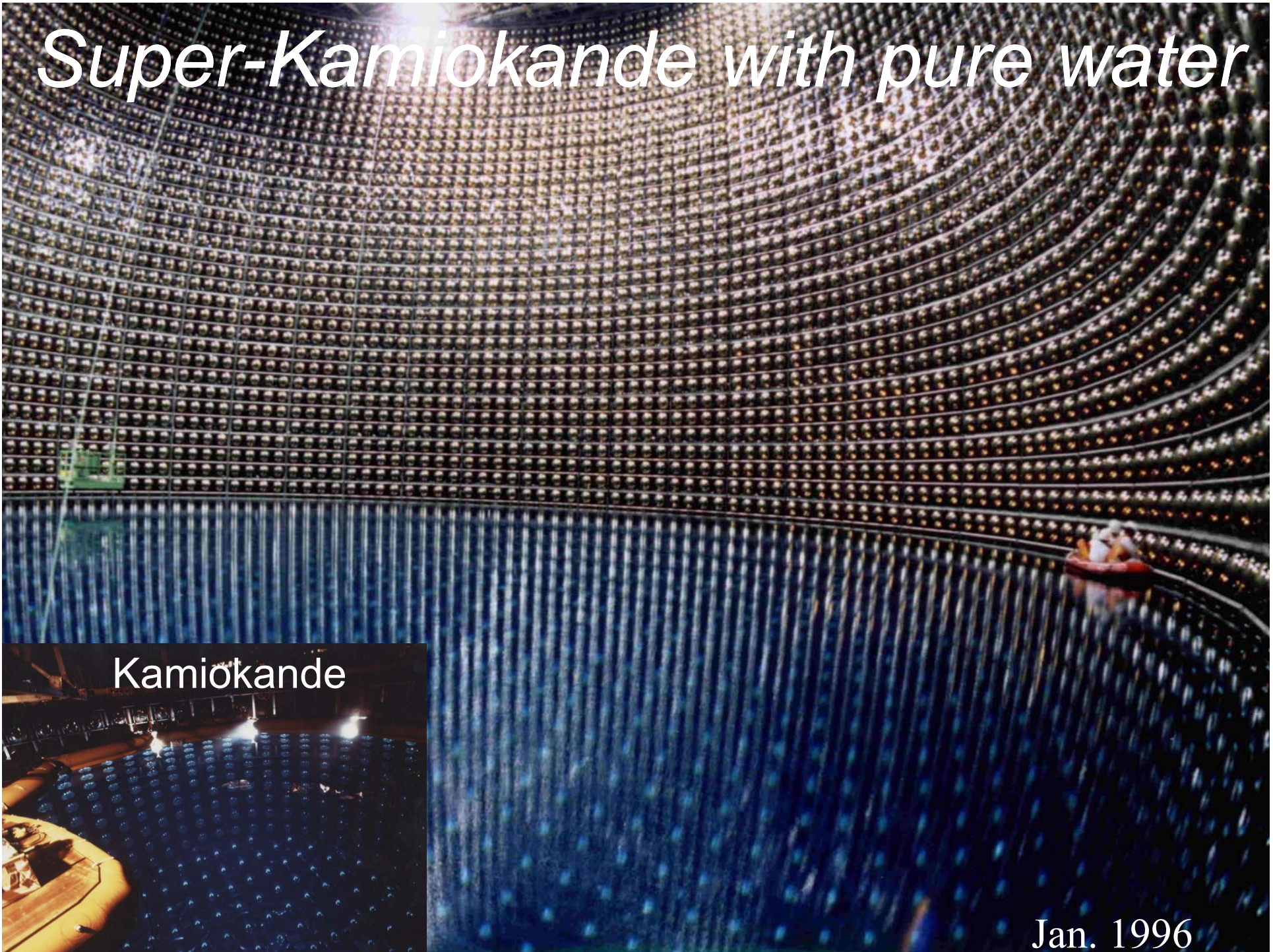


Super-Kamiokande detector under construction



Early summer 1995

Super-Kamiokande with pure water

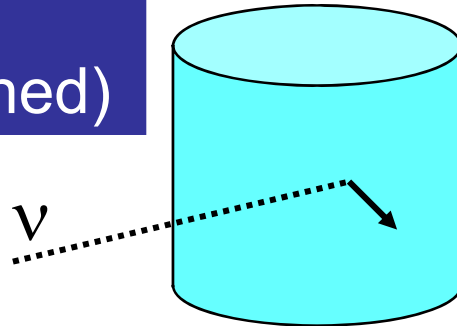


Kamiokande

Jan. 1996

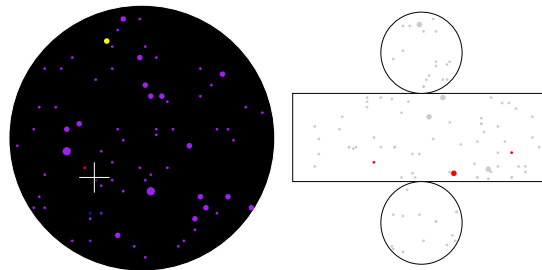
Various types of atmospheric ν events (1)

FC
(fully contained)

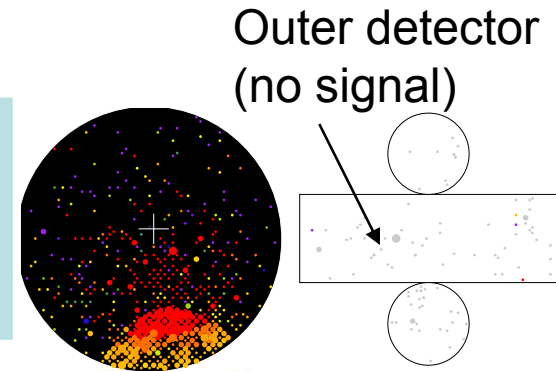


- Both CC ν_e and ν_μ (+NC)
- Particle identification separates **electrons** and **muons** with $\varepsilon=99\%$.

Single Cherenkov ring electron-like event

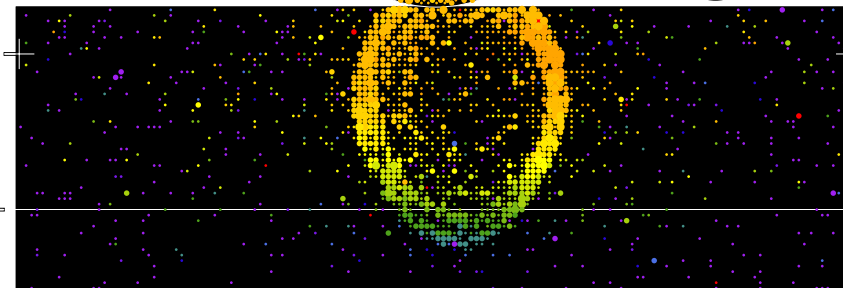
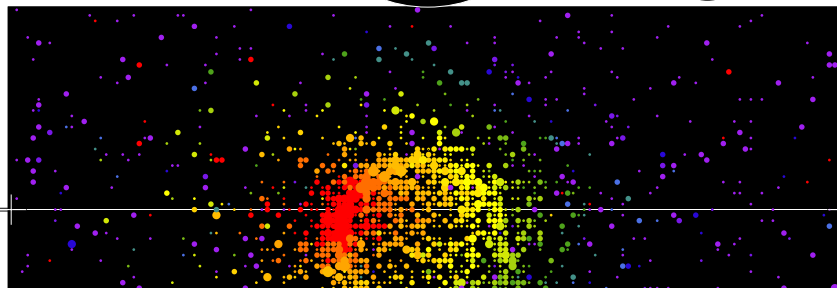


Single Cherenkov ring muon-like event

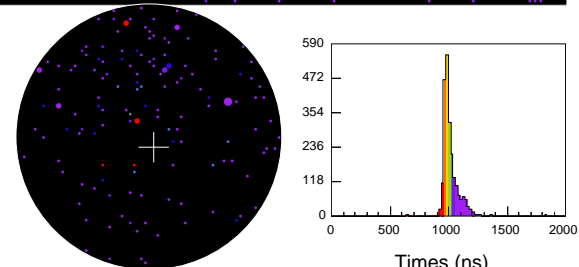
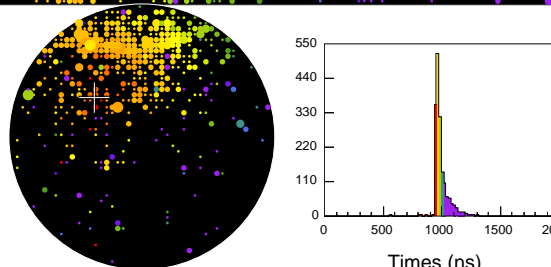


Time (ns)

- < 958
- 958- 963
- 963- 968
- 968- 973
- 973- 978
- 978- 983
- 983- 988
- 988- 993
- 993- 998
- 998-1003
- 1003-1008
- 1008-1013
- 1013-1018
- 1018-1023
- 1023-1028
- >1028

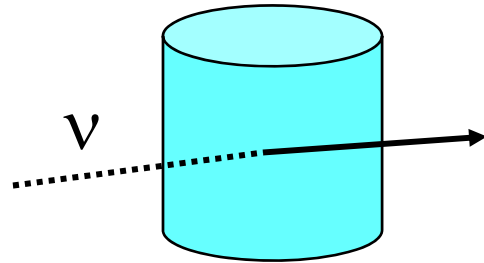


Color: timing
Size: pulse height



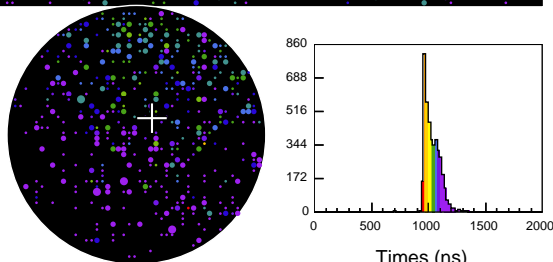
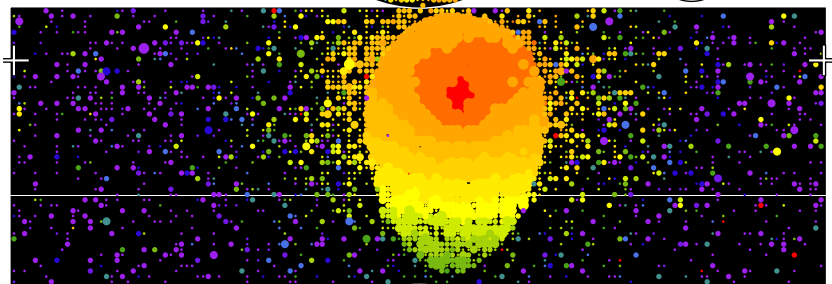
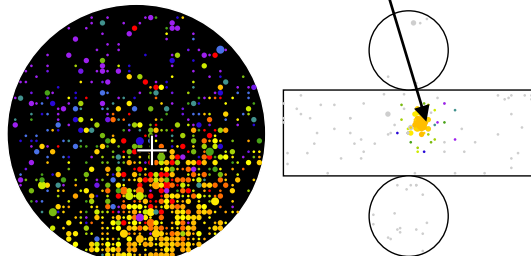
Various types of atmospheric ν events (2)

PC
(partially
contained)

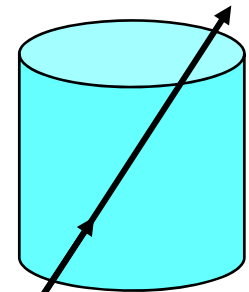


▪ 97% CC ν_μ

niokande
ent 52520892
:0:45
.ts, 33894 pE
i, 0 pE (in-time)
:0f
:d

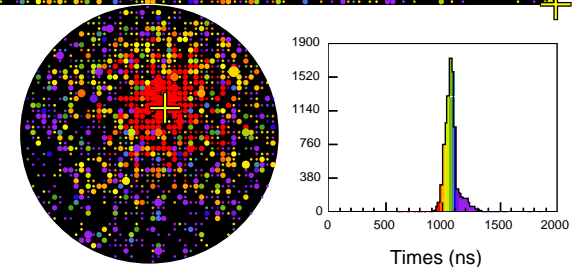
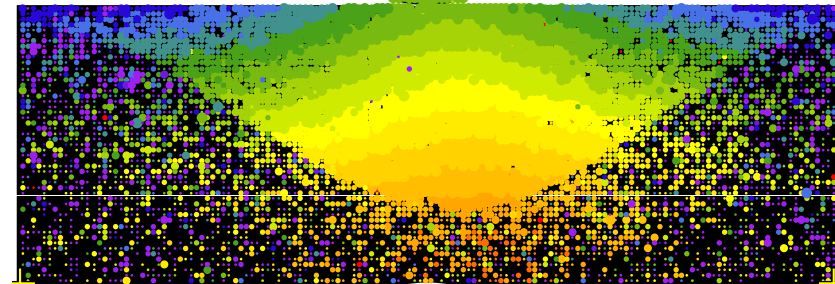
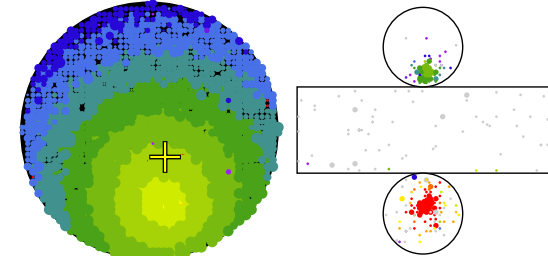


Upward going
muon



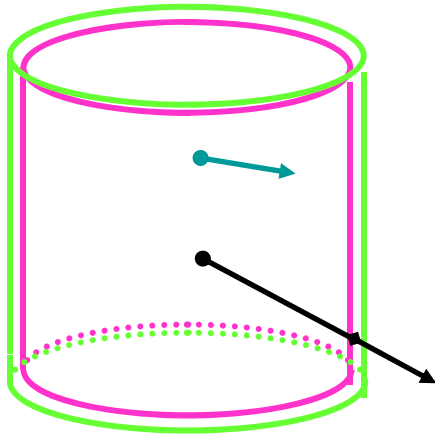
▪ almost pure CC ν_μ

niokande
ent 7678869
:48
.ts, 85718 pE
i, 0 pE (in-time)
:0f
:d

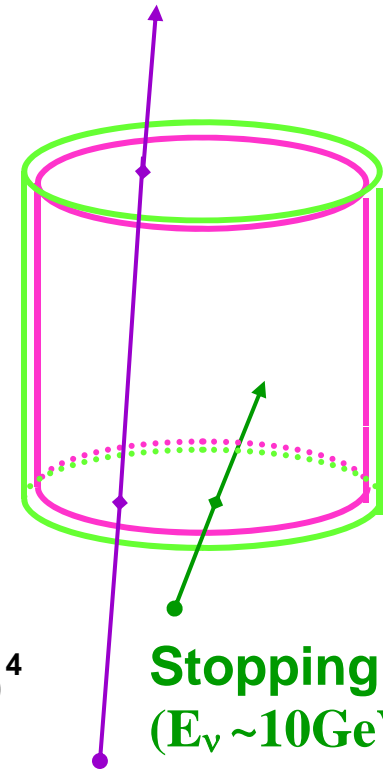
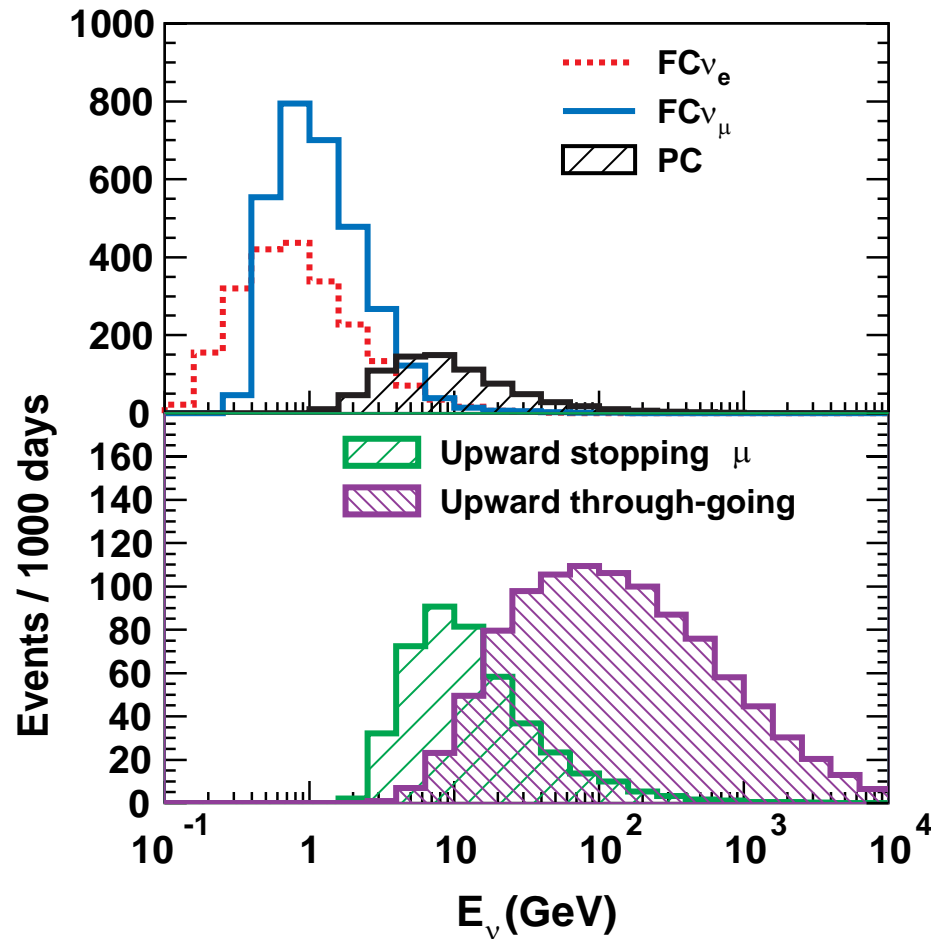


Event type and neutrino energy

Fully Contained
(FC) ($E_\nu \sim 1\text{GeV}$)



Partially Contained
(PC) ($E_\nu \sim 10\text{GeV}$)



Stopping μ
($E_\nu \sim 10\text{GeV}$)

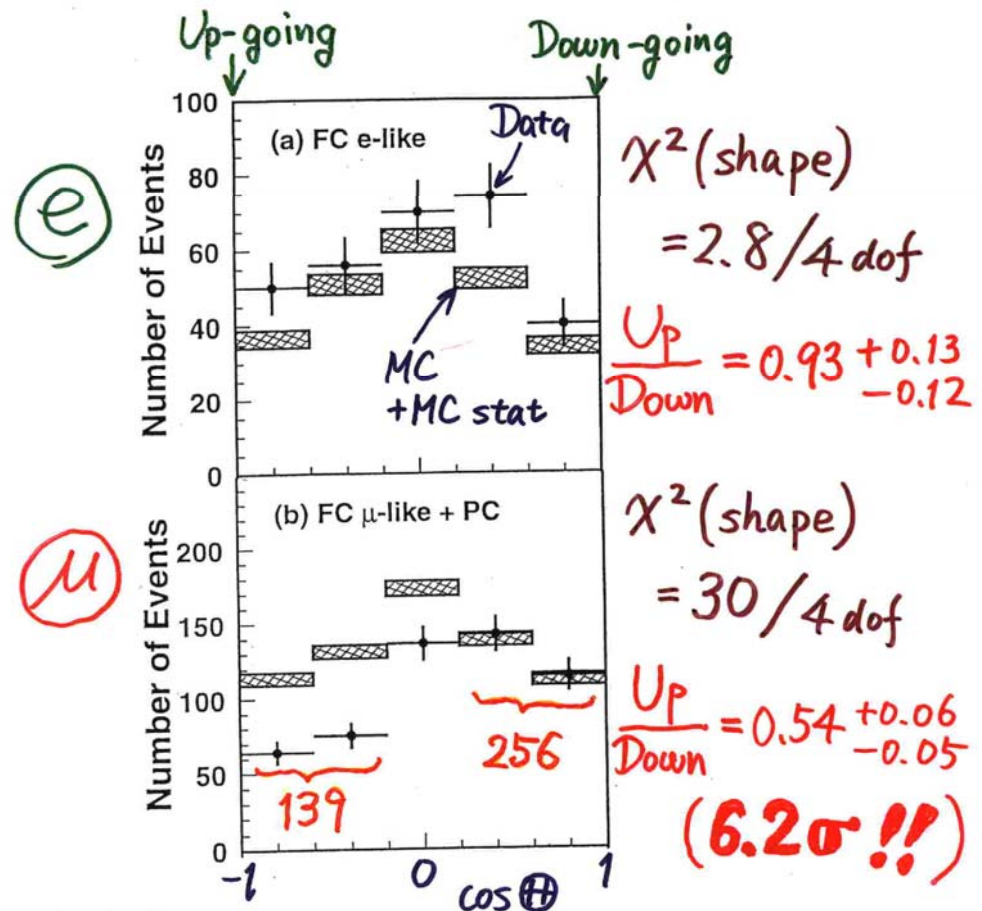
Through-going μ
($E_\nu \sim 100\text{GeV}$)

Super-K @Neutrino98

Fully contained, 1-ring events
with $E_{vis} > 1.33\text{GeV}$
plus partially contained events

SK concluded that the
observed zenith angle
dependent deficit (and
the other supporting
data) gave evidence for
neutrino oscillations.

Zenith angle dependence (Multi-GeV)



* Up/Down syst. error for μ -like

Prediction (flux calculation $\dots \lesssim 1\%$
1km rock above SK $\dots 1.5\%$) 1.8%

Data (Energy calib. for $\uparrow\downarrow \dots 0.7\%$
Non ν Background $\dots < 2\%$) 2.1%

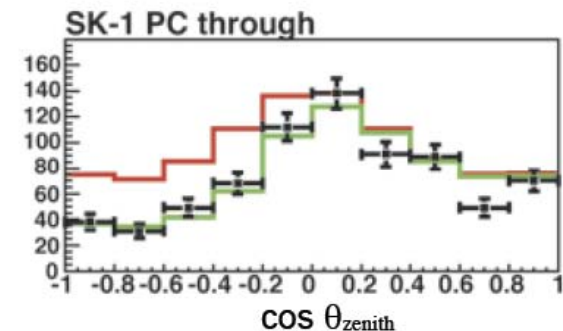
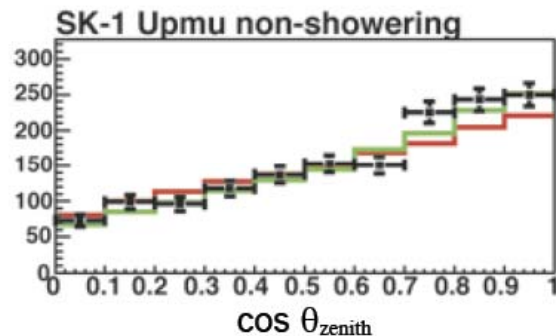
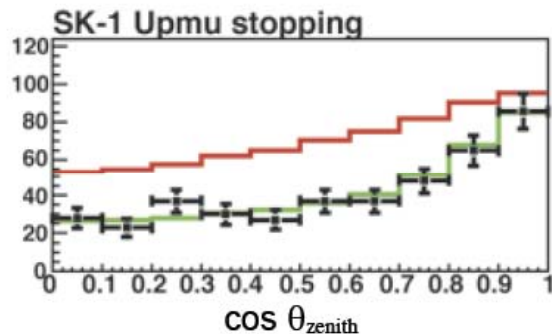
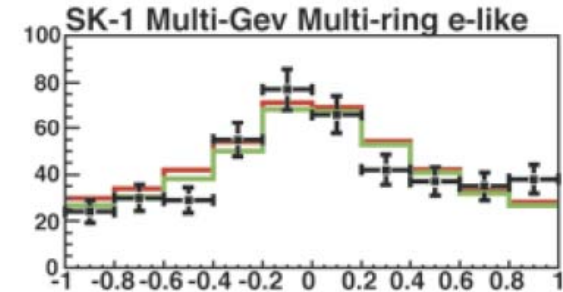
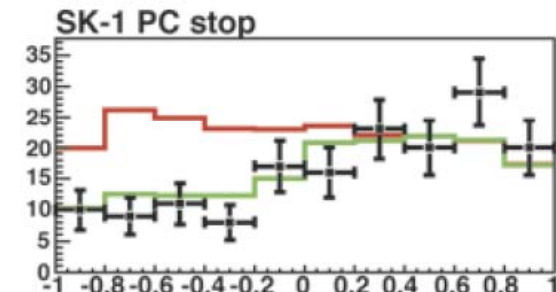
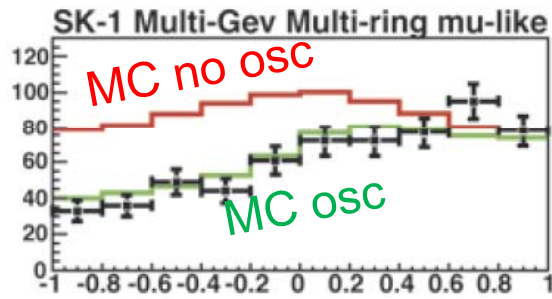
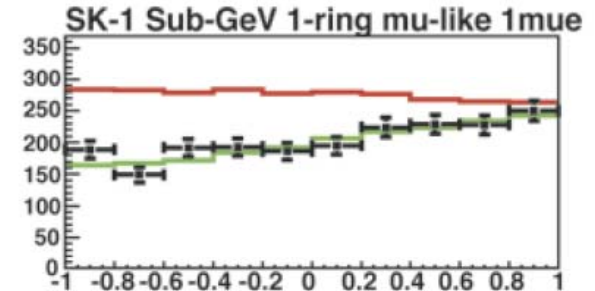
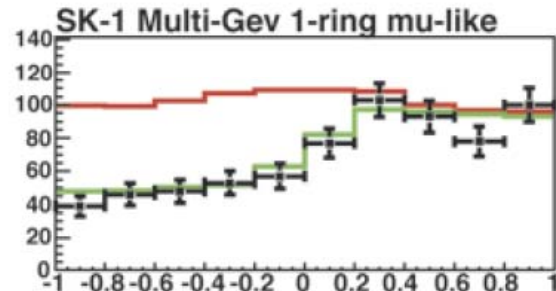
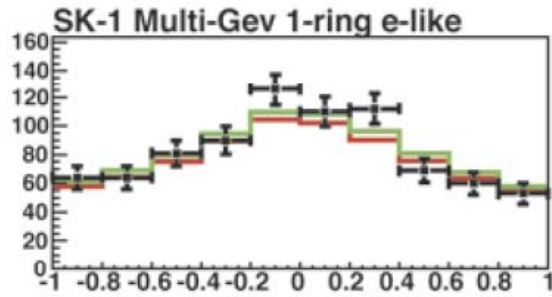
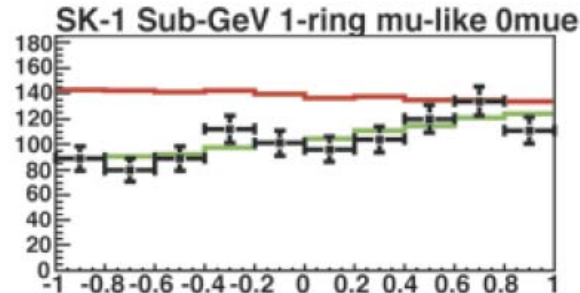
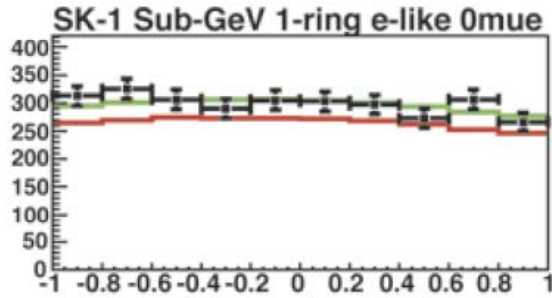
Atmospheric neutrino data now (SK-I)

SK-I: hep-ex/0501064 + SK-II 799 day

SK-I: 92 kton·yr

SK-II: 49 kton·yr

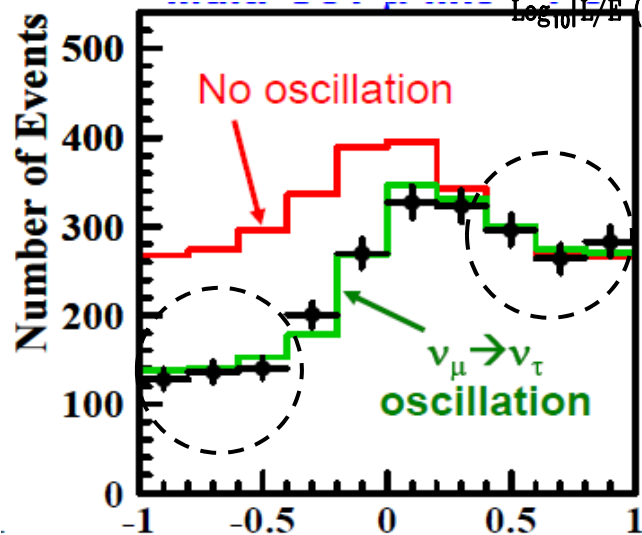
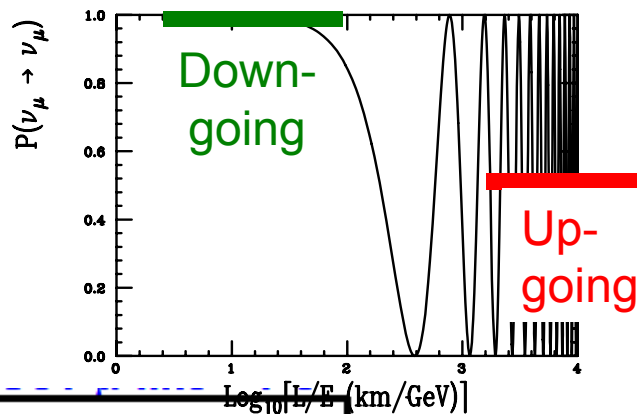
Total: 141 kton·yr



$\nu_\mu \rightarrow \nu_\tau$ allowed parameter region

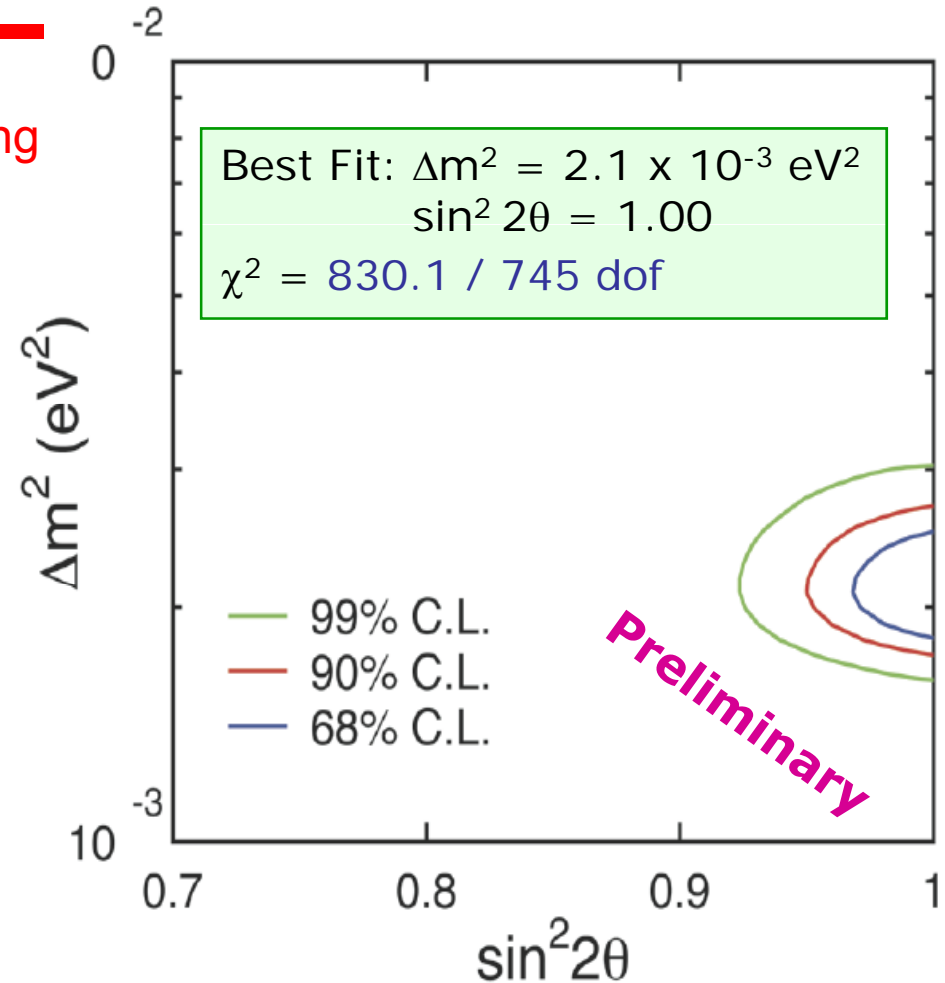
J. Raaf, talk @Nu2008

1489 days (SK-I) + 799 days (SK-II)

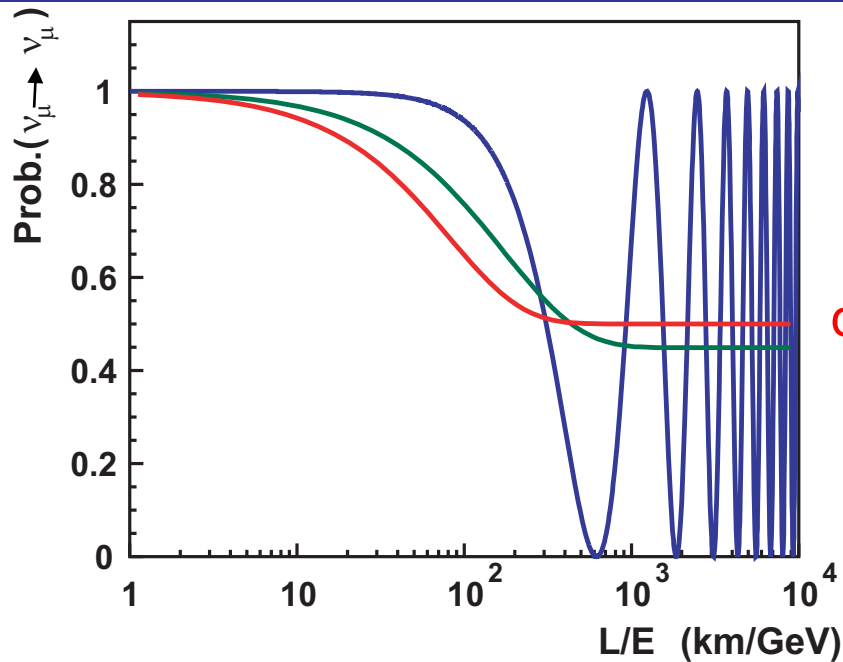


$$\frac{Up}{Down} = 1 - \sin^2 2\theta$$

Accurate measurement possible due to small syst. in up/down (2% or less)



Really oscillation?



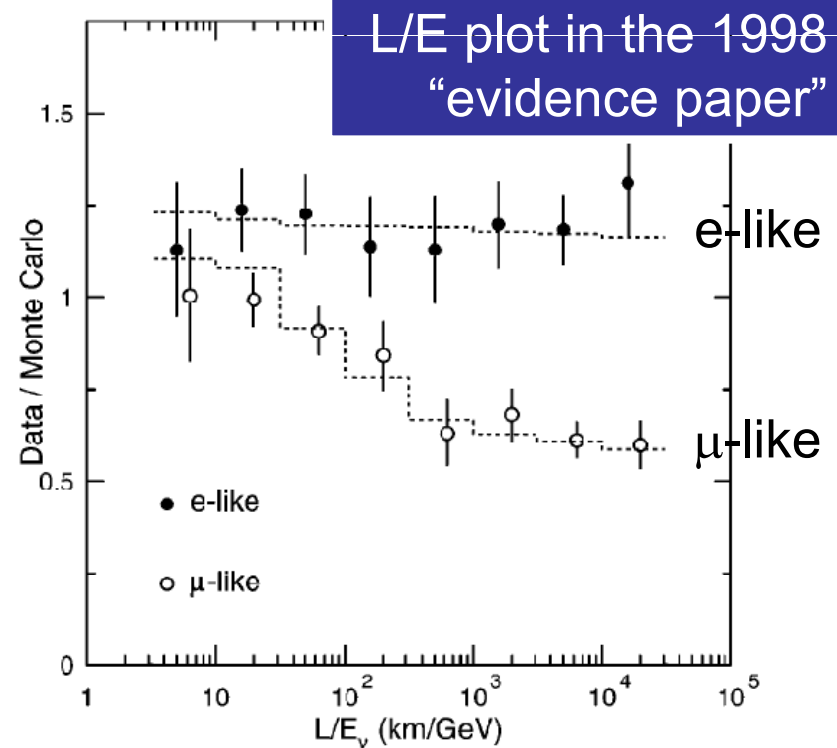
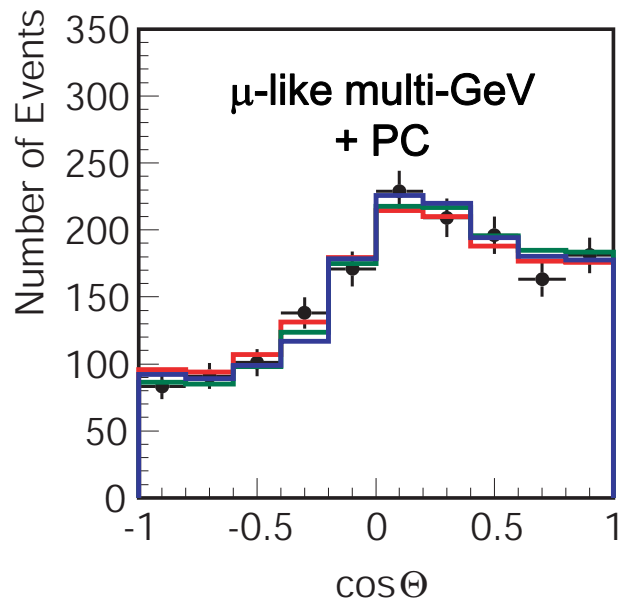
oscillation

$$P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \cdot (1 - \exp(-\gamma_0 \frac{L}{E}))$$

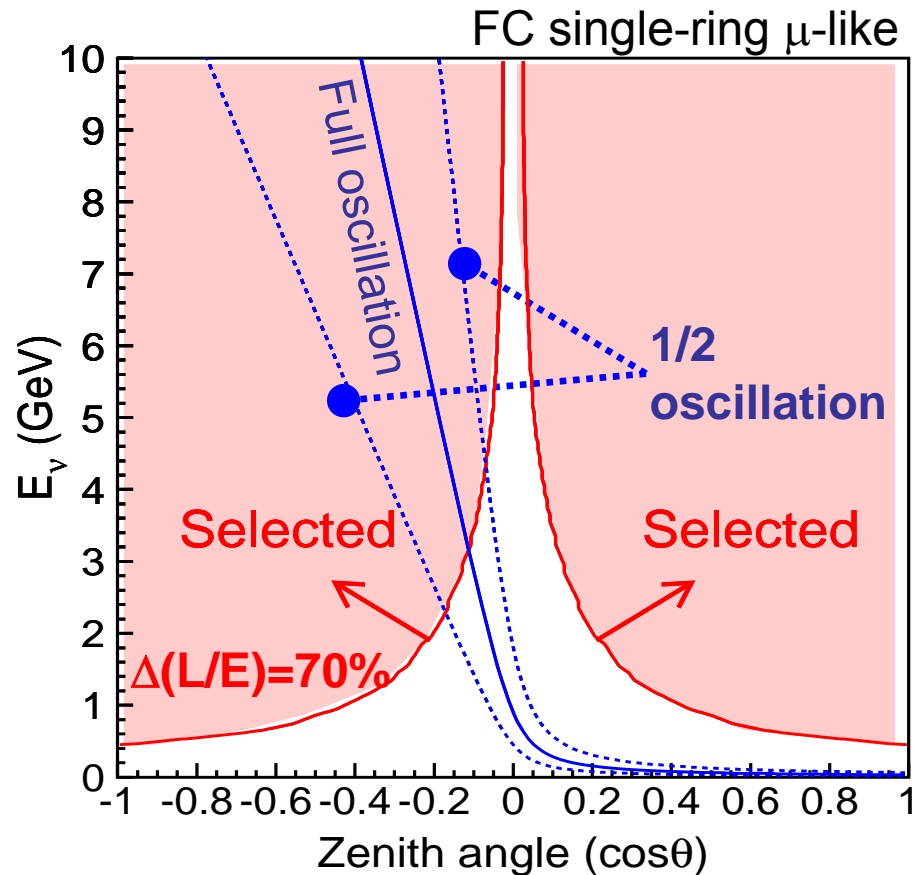
decoherence

decay

$$P_{\mu\mu} = (\cos^2\theta + \sin^2\theta \cdot \exp(-\frac{m}{2\tau} \frac{L}{E}))^2$$



L/E: Selection criteria



Select events with high L/E resolution

$$(\Delta(L/E) < 70\%)$$

Events are not used, if:

★ horizontally going events

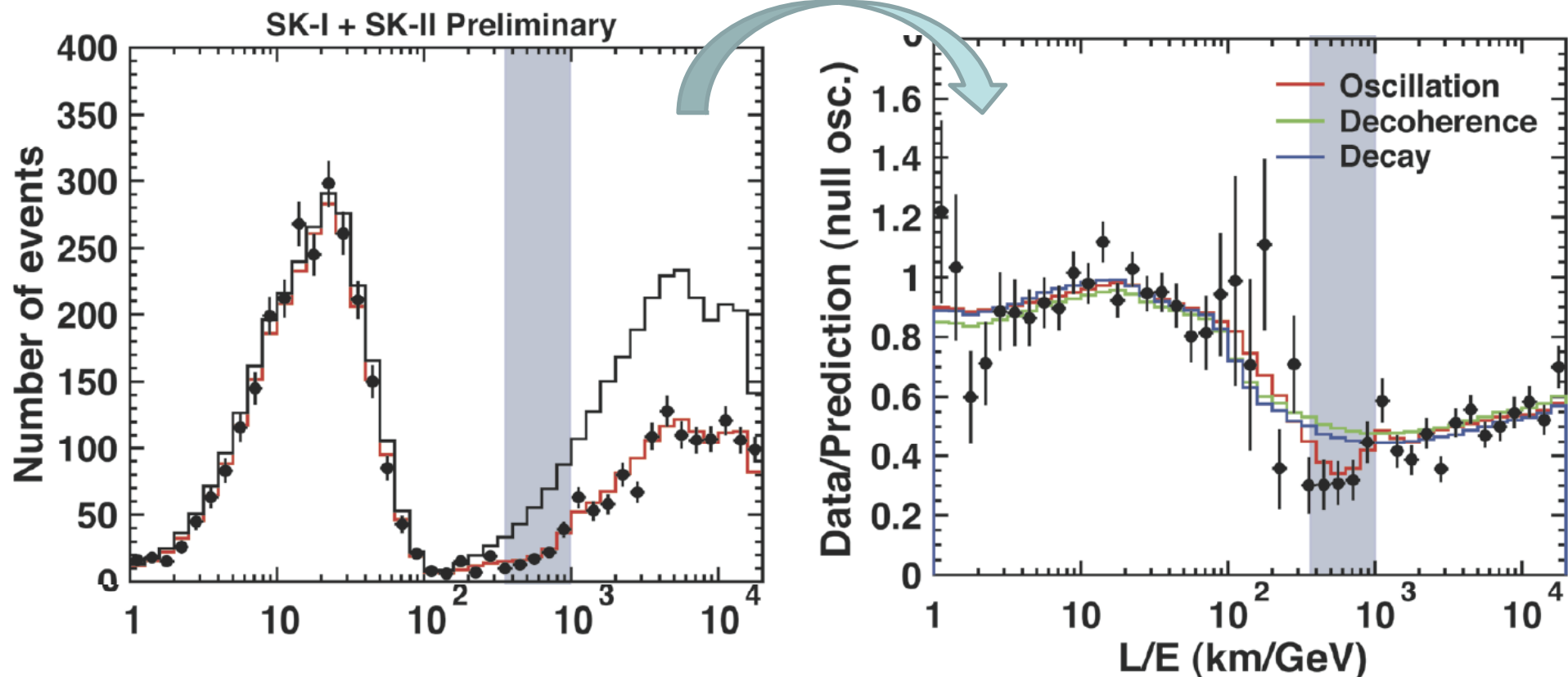
★ low energy events

Similar cuts for: FC multi-ring μ -like,
OD stopping PC, and
OD through-going PC

L/E analysis: Really oscillation!

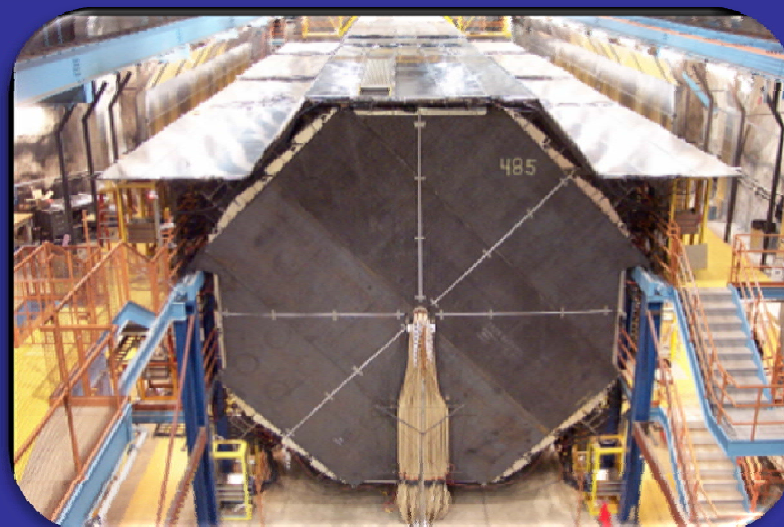
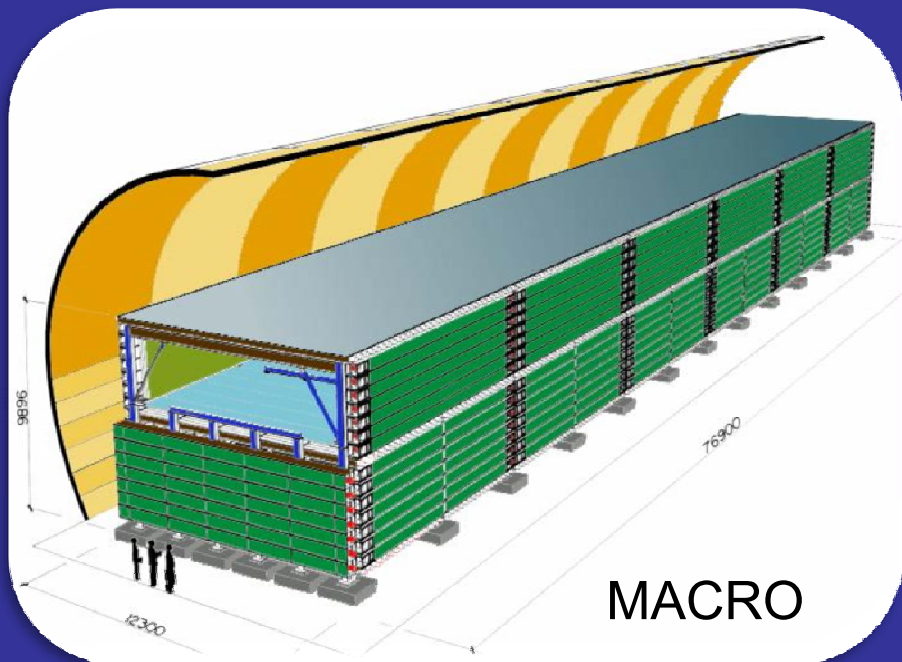
Special analysis with high L/E resolution events.
($\sigma(L/E) < 70\%$)

Initial results hep-ex/0404034,
J. Raaf, talk @Nu2008



A dip is seen around $L/E = 500$ km/GeV (first oscillation minimum).
Oscillation gives the best fit to the data.
Decay and decoherence models disfavored at 4.1 and 5.0 σ , resp.

Other atmospheric ν experiments



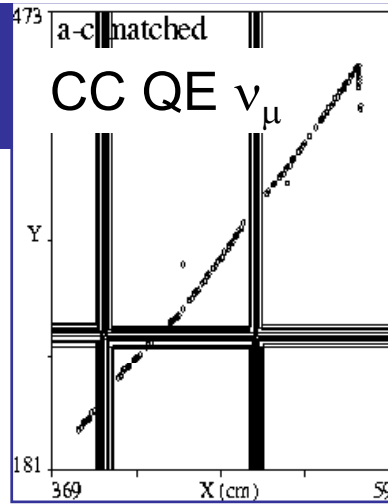
Soudan-2

MINOS
(atmospheric ν)

Soudan2

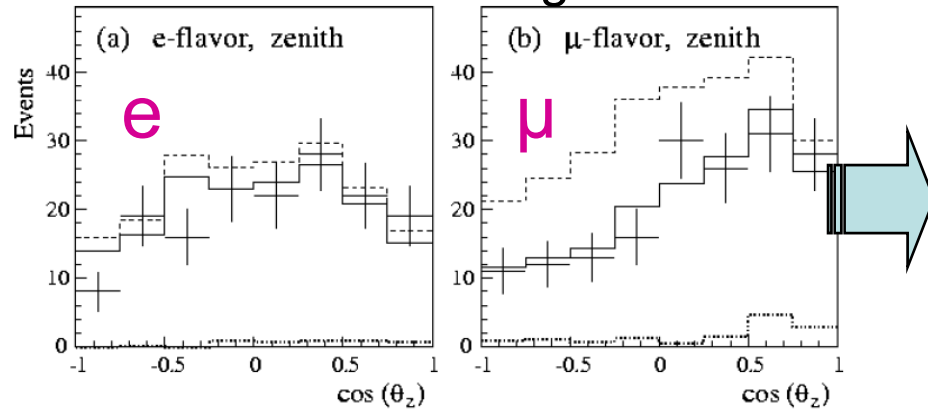
1 kton fine grain tracking calorimeter

5.9 kton·yr exposure
(Experiment: finished)



Phys.Rev. D68 (2003) 113004

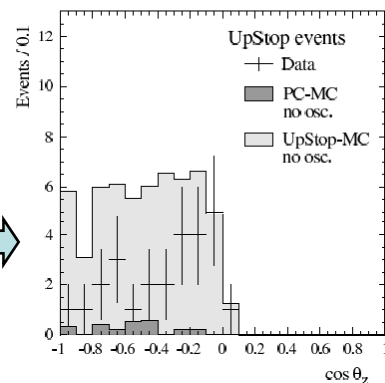
Zenith angle



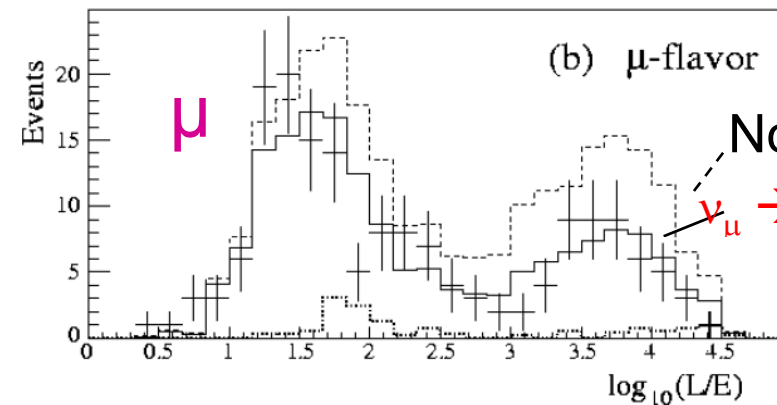
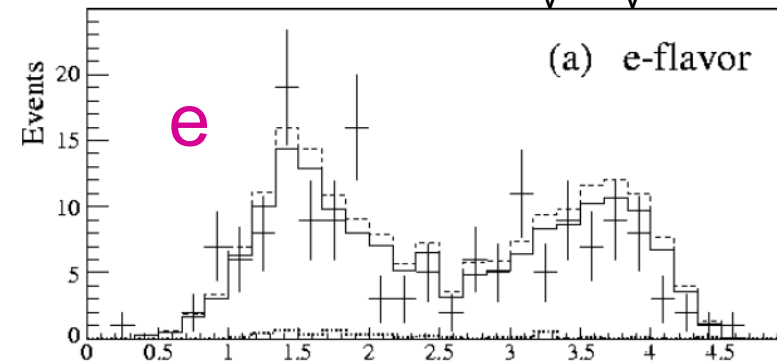
Up-going

Down-going

Upward stopping muons



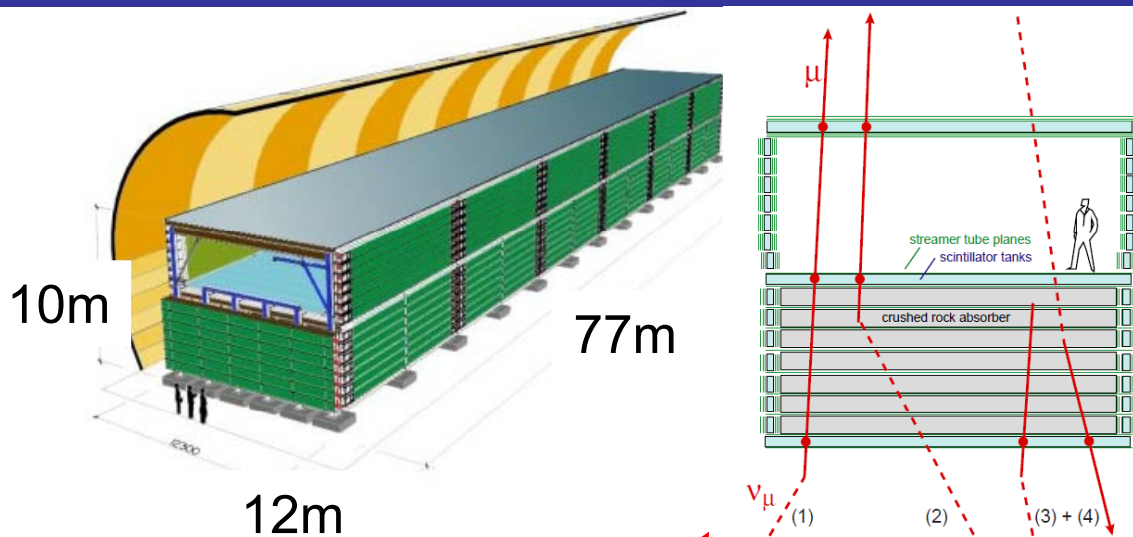
Reconstructed L_ν / E_ν dist.



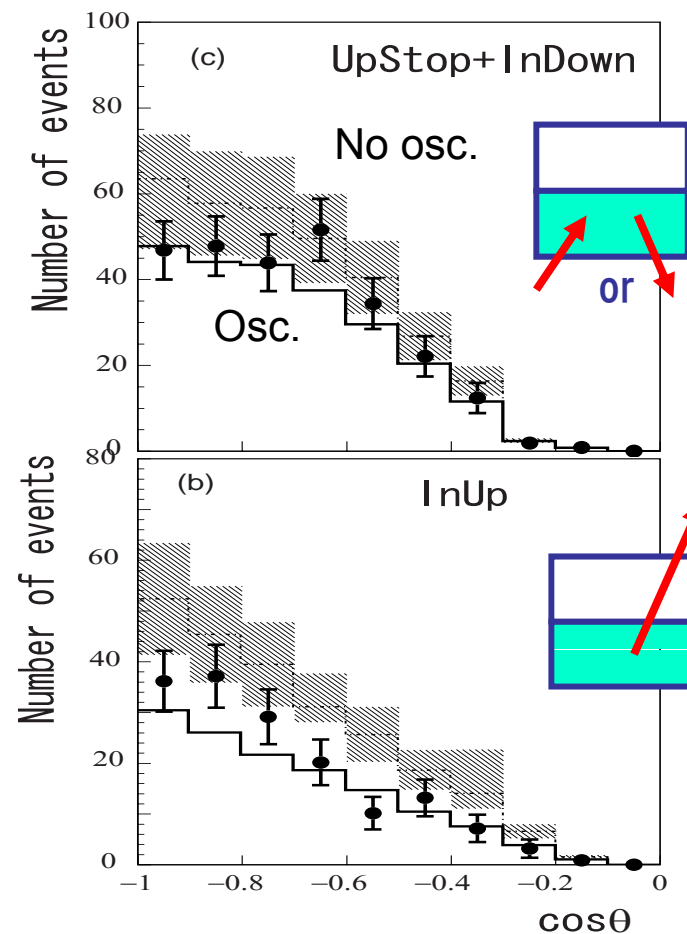
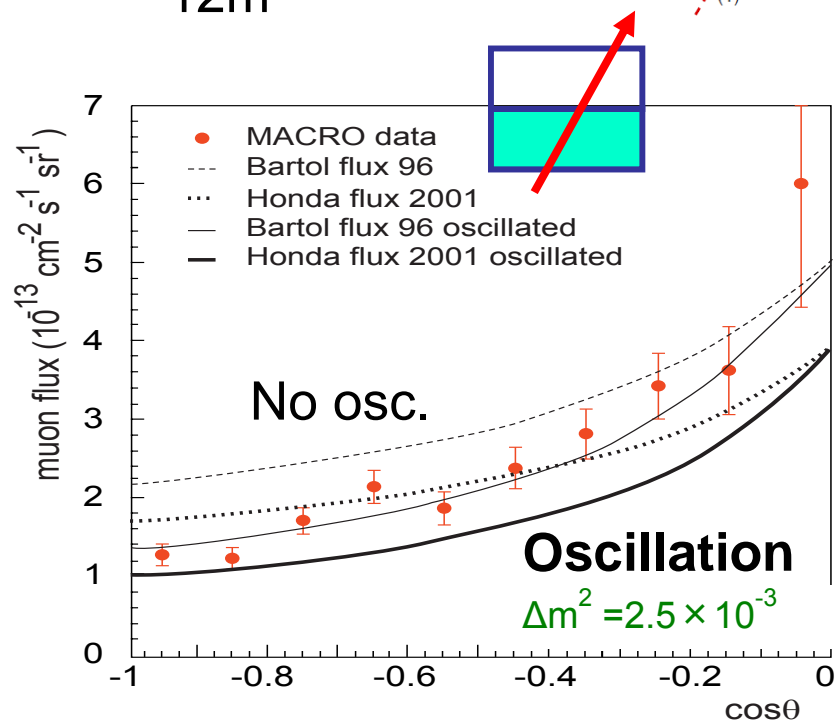
No osc.
 $\nu_\mu \rightarrow \nu_\tau$ OSC.

MACRO

PLB 566 (2003) 35
EPJ C36(2004)323



(Experiment; finished)

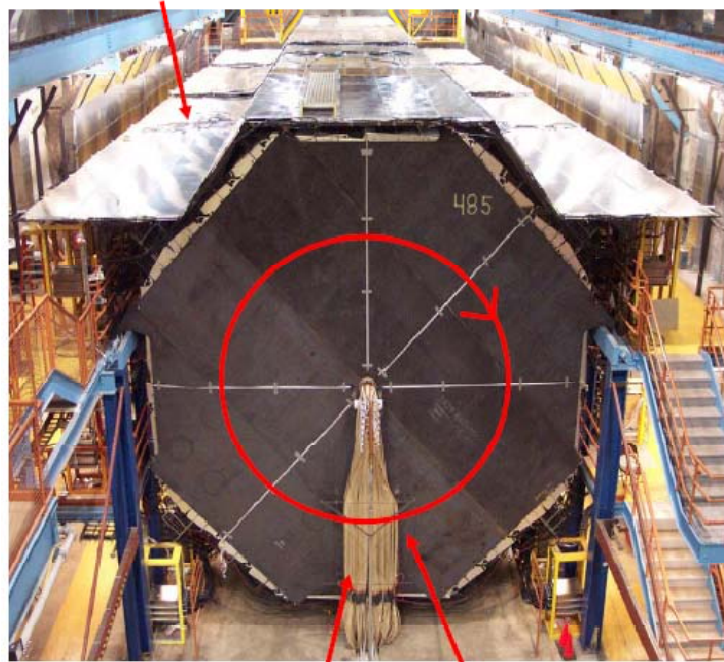


Upward

horizontal

MINOS (atmospheric)

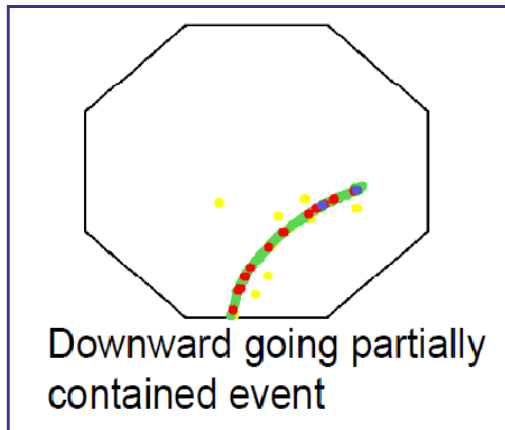
Veto shield



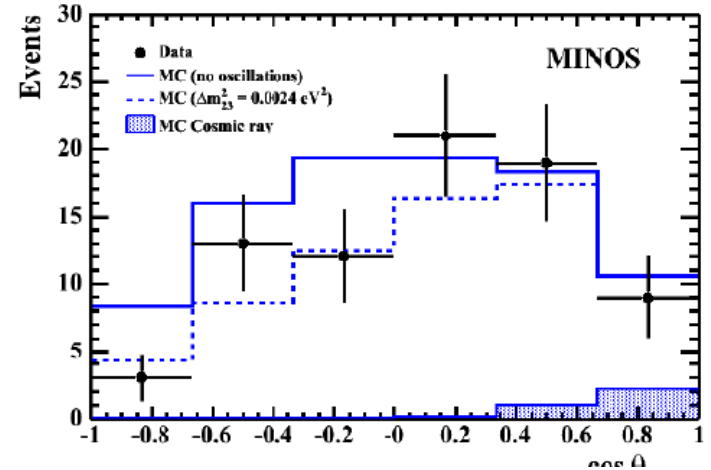
5.4 kton tracking detector with magnetic field

ν_μ zenith-angle

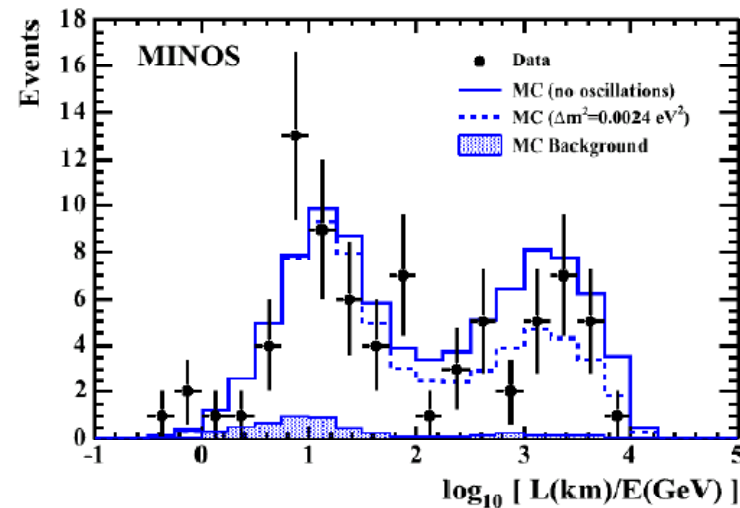
Coil Toroidal Field



PRD73, 072002 (2006)
6.18 kton·yr (418days)

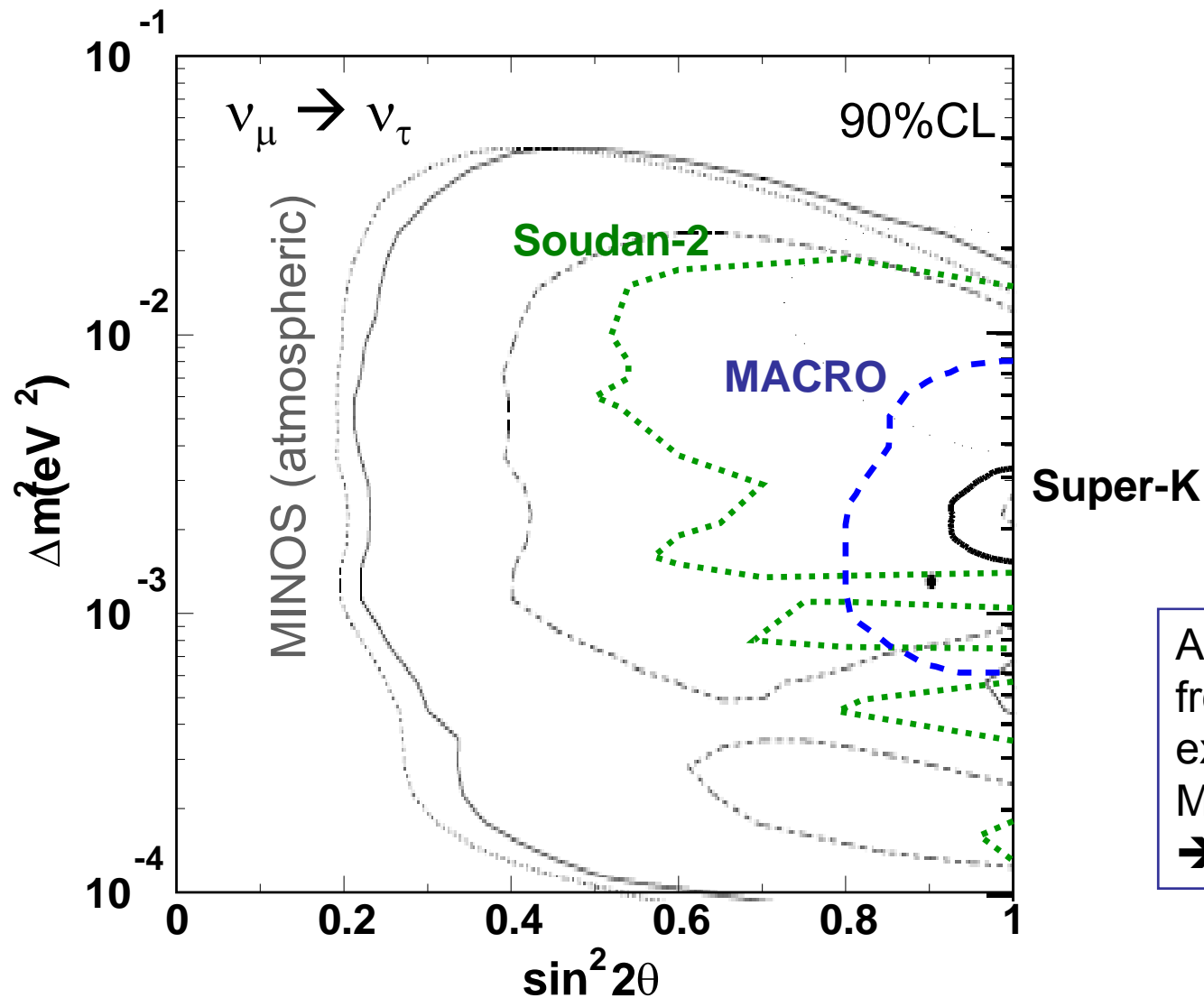


L/E



Separation of ν_μ and anti- ν_μ

$\nu_\mu \rightarrow \nu_\tau$ oscillation parameters from atmospheric ν experiments



Atmospheric neutrino results after 2000.

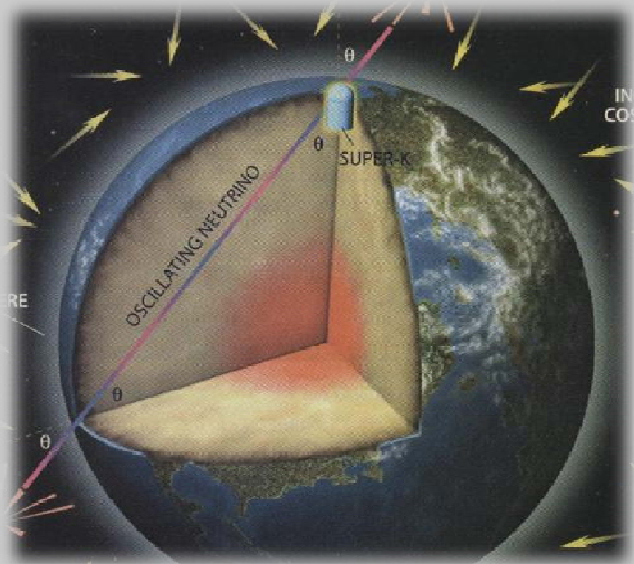
Allowed regions in this page might be slightly old...

Also, consistent results from long baseline experiments (K2K & MINOS)
→ Next

***Long baseline neutrino
oscillation experiments***

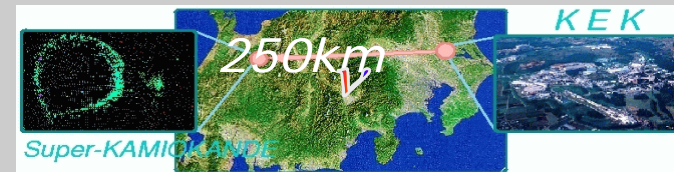
Why long baseline experiments?

Atmospheric neutrinos



- Very wide neutrino flight length
- Wide neutrino energy
- Mixture of ν_μ , anti- ν_μ , ν_e and anti- ν_e

Long baseline Experiments



- Single flight length
- Controlled neutrino energy
- almost pure ν_μ (or anti- ν_μ)

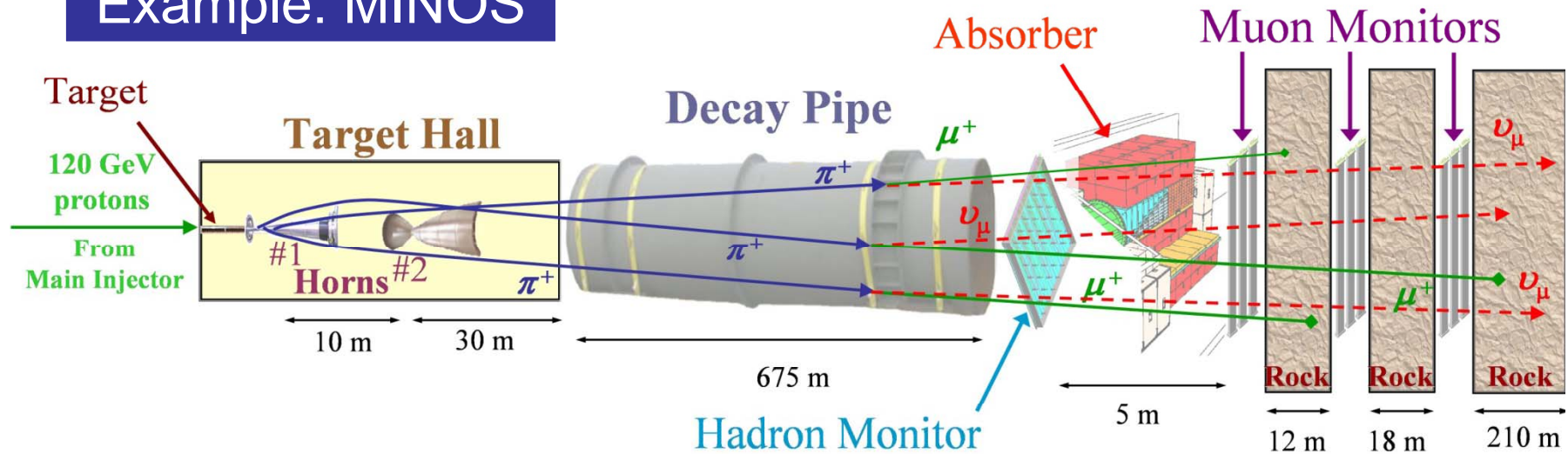
Initial discovery



Precise studies

Producing the neutrino beam

Example: MINOS

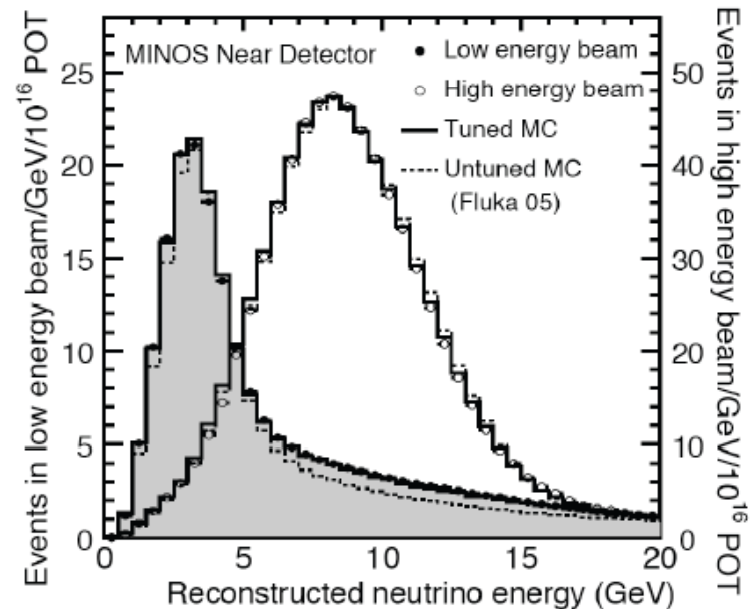


In the LE configuration, interactions are:

$$92.9\% \nu_\mu, \quad 5.8\% \bar{\nu}_\mu, \quad 1.3\% \nu_e + \bar{\nu}_e$$

↑
 π^- 's not focused

↑
 μ^- 's not decay



Beam line

Example: K2K

To Super-Kamiokande

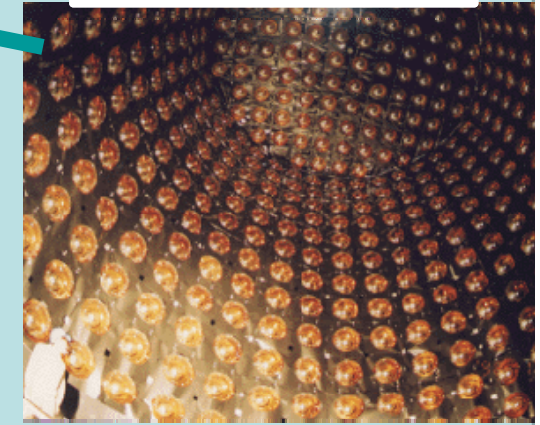
250km

Near ν detector

12GeV proton
accelerator



KEK



Target region (Horn)



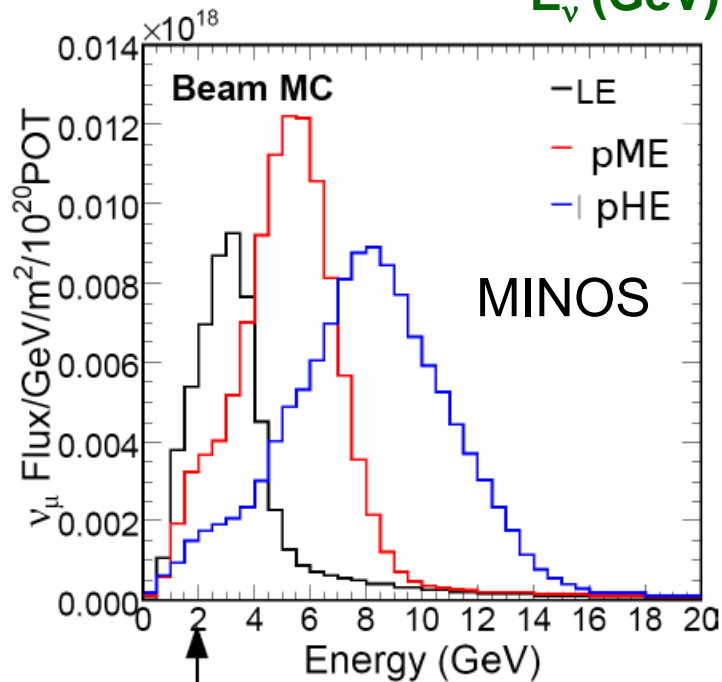
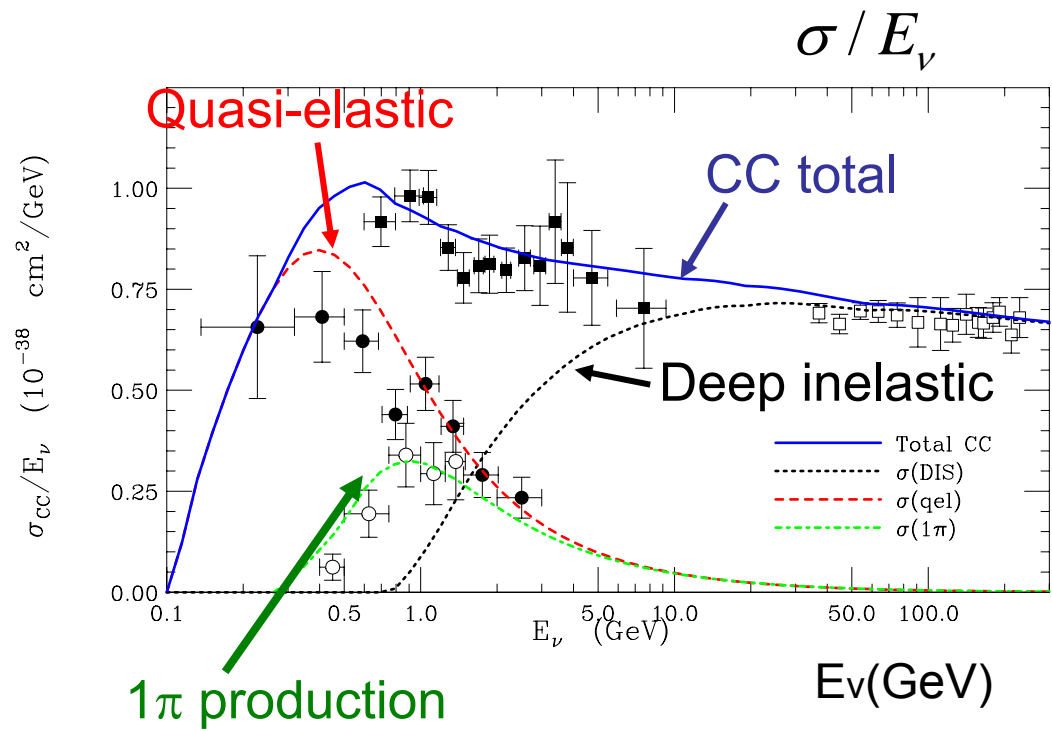
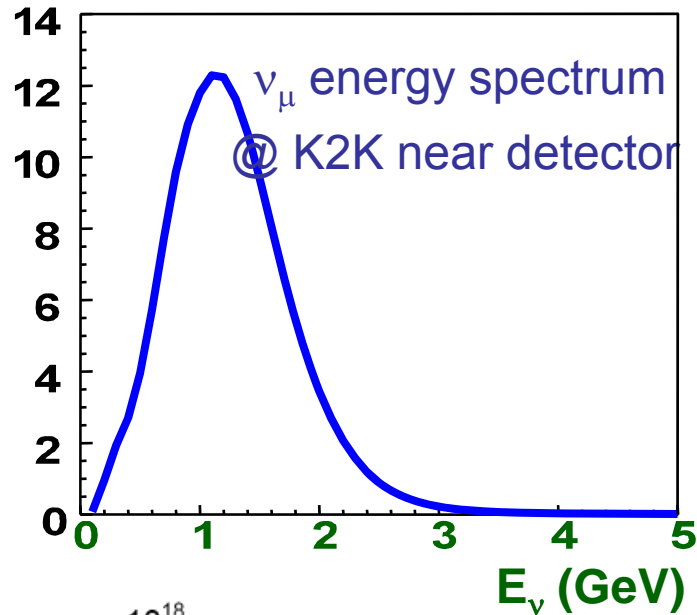
(about 30 years old,
already shut down)



Proton beam line

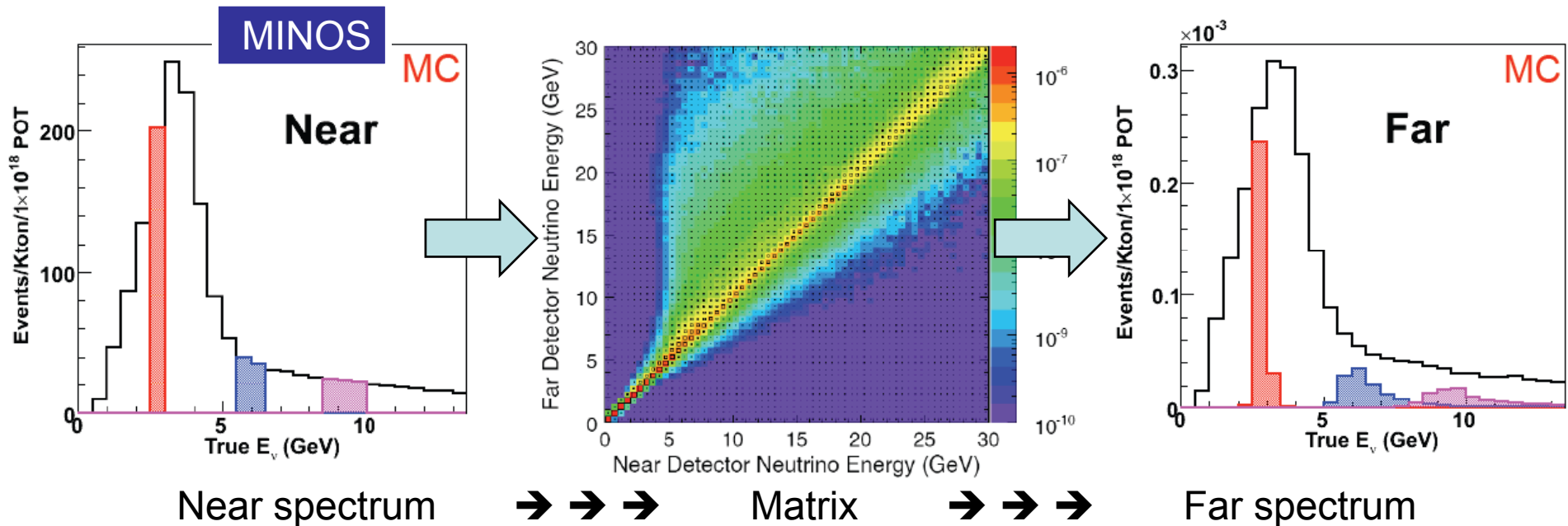
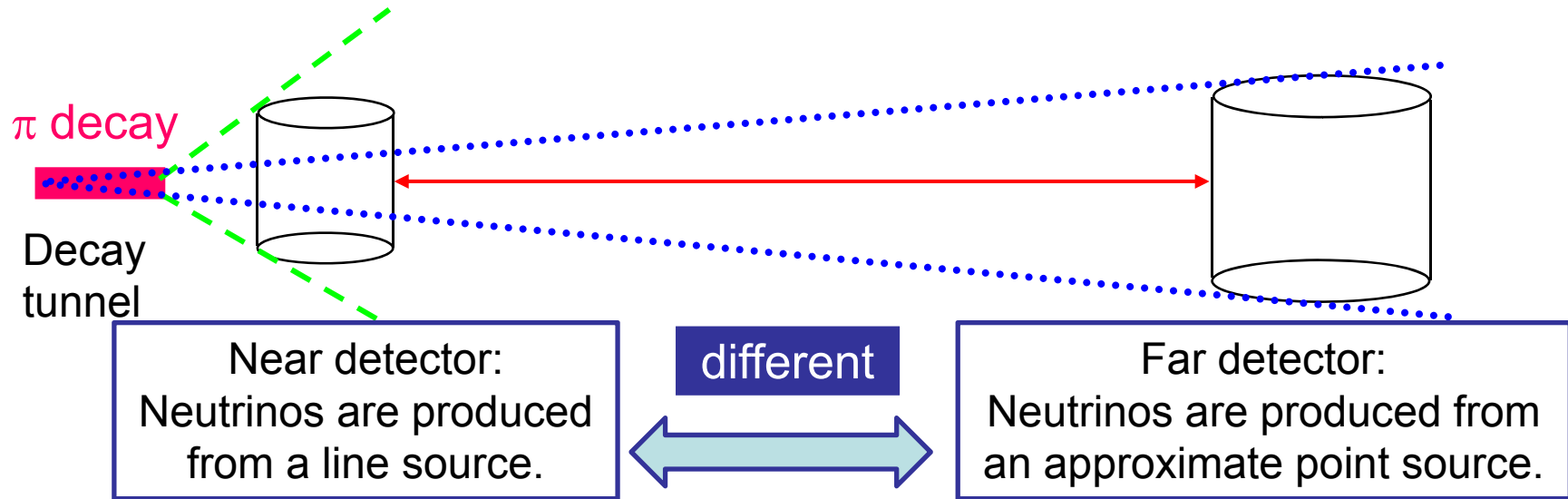


Neutrino spectrum and neutrino interactions



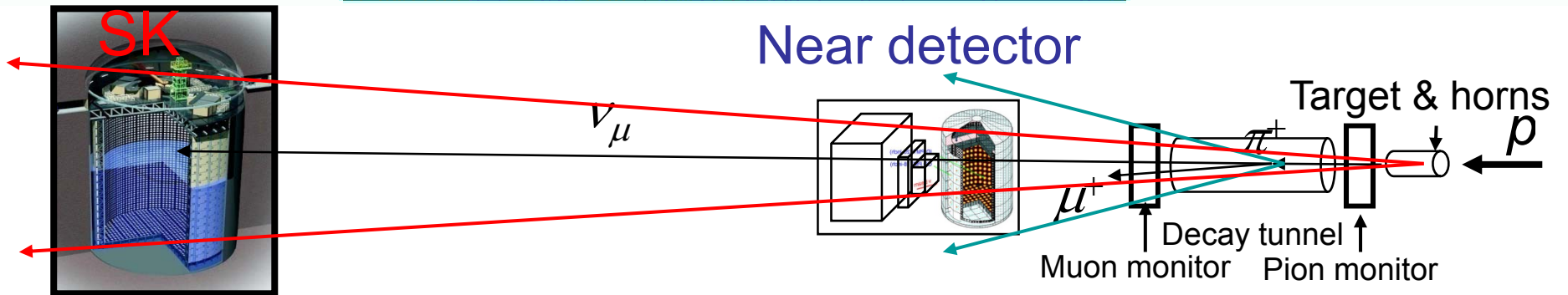
Various neutrino interaction modes are important.
 → Good understanding of the neutrino interactions necessary.

Neutrino spectrum and the far/near ratio

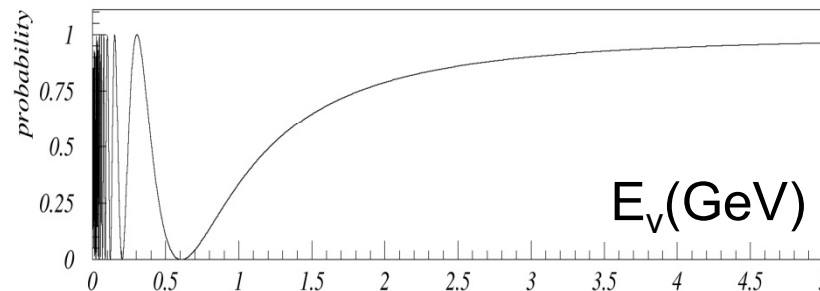


K2K experiment and its results

hep-ex/0606032



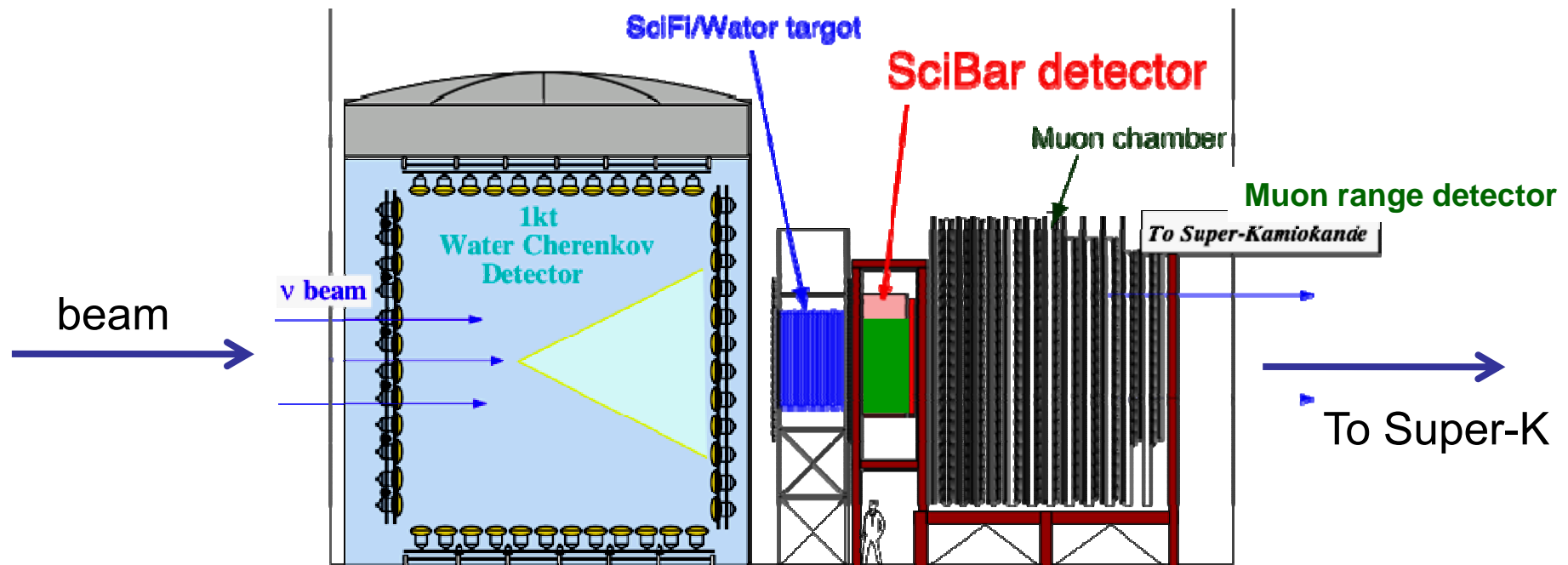
Neutrino oscillation probability for $\Delta m^2=0.003\text{eV}^2$ and at 250km.



Near detector measurements

- 1KT Water Cherenkov Detector (1KT)
- Scintillating-fiber/Water sandwich Detector (SciFi)
- Lead Glass calorimeter (LG) before 2002
- Scintillator Bar Detector (SciBar) after 2003
- Muon Range Detector (MRD)

They predict the event rate and spectrum @ Super-K



K2K events in Super-Kamiokande

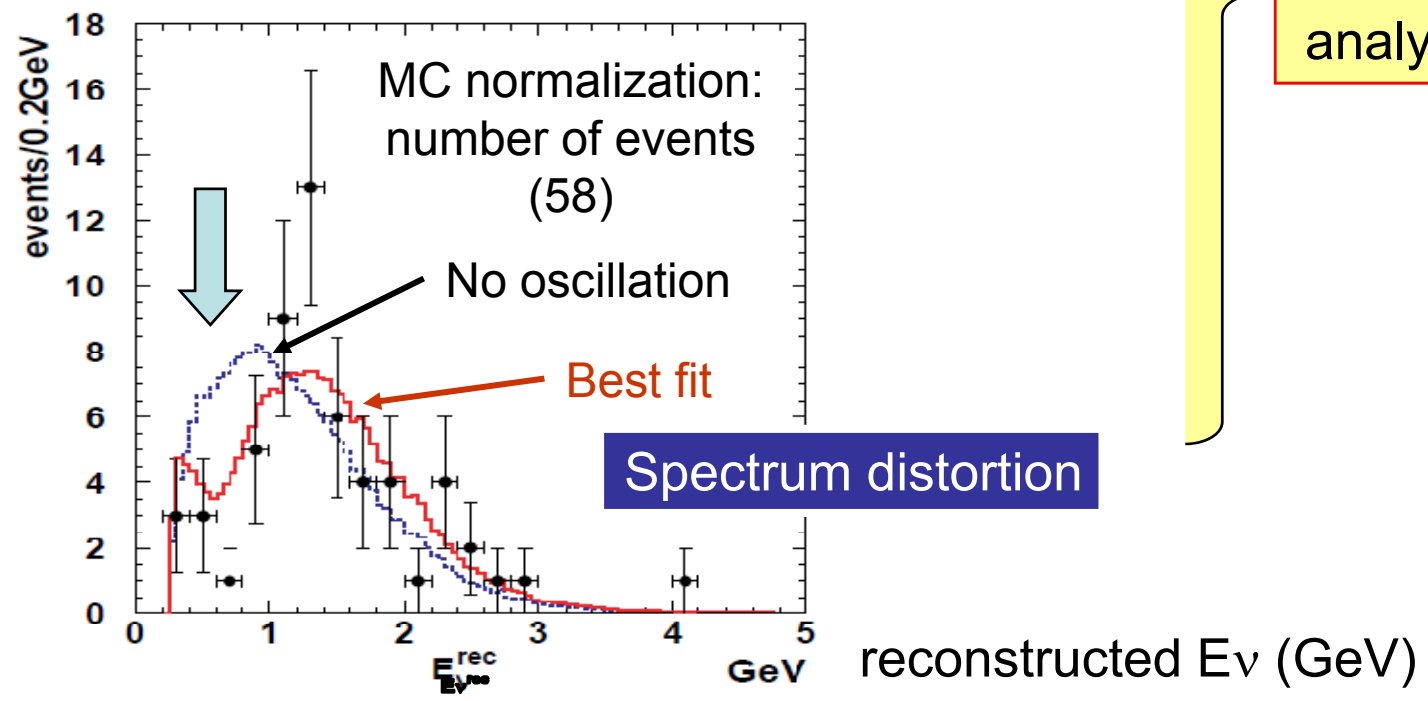
K2K-I + II	DATA	MC
FC 22.5kt	112	$158.1^{+9.2}_{-8.6}$
1ring	67	
μ -like	58	
e-like	9	
Multi Ring	45	

Number

Event deficit

Energy spectrum

Osc. analysis



The MINOS experiment and its results



5.4 kton MINOS far detector

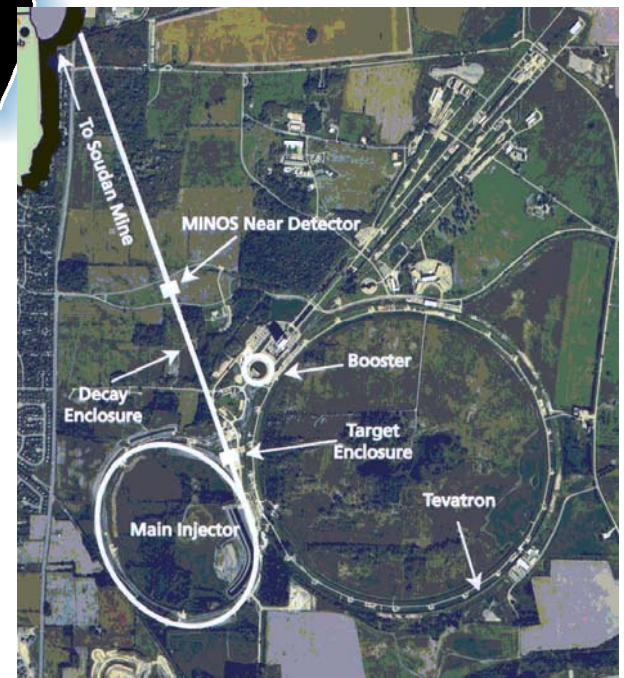
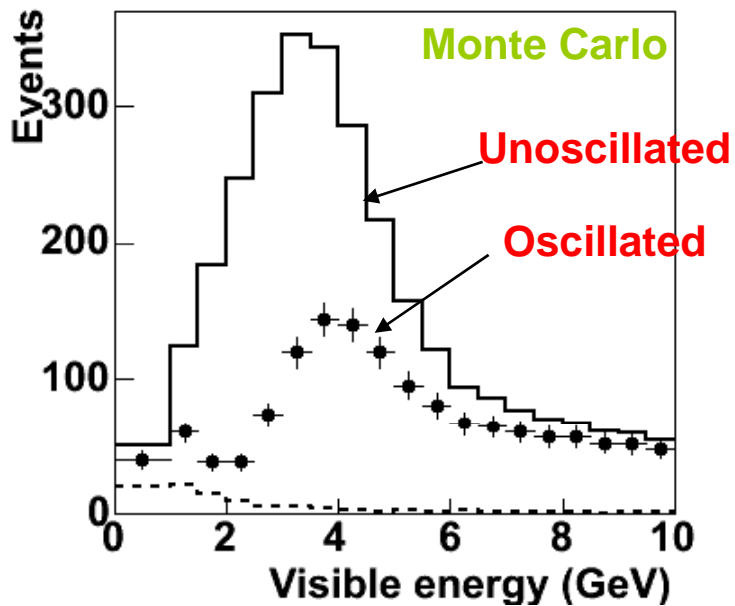


1 kton near detector



735km

NuMI beam line



MINOS near and far detectors

NEAR DETECTOR



FAR DETECTOR



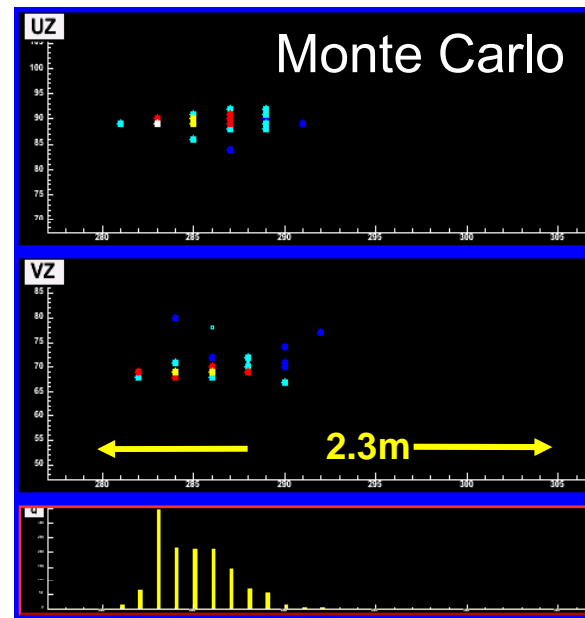
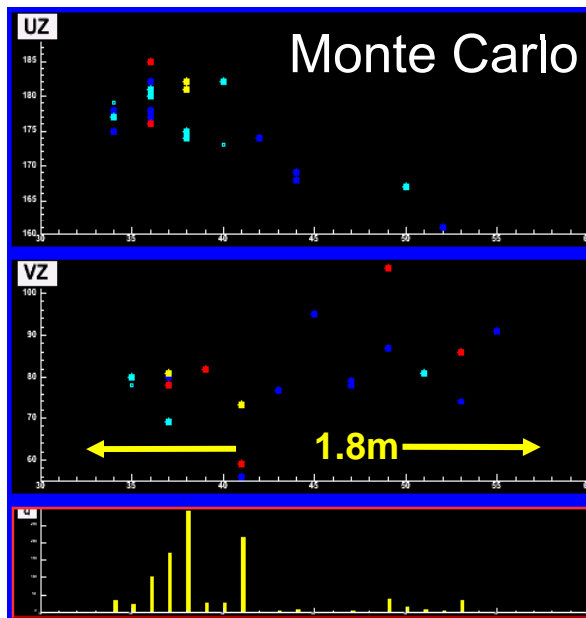
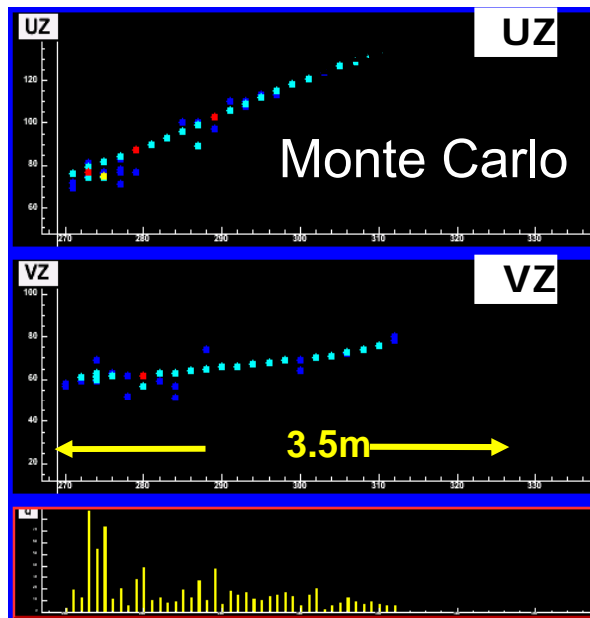
1	mass (kt)	5.4
3.8x4.8	plane size (m ²)	8x8
282/153	# steel/scint pl.	486/484
front: all pl. instrumented back: 1/5 pl. instrumented fast QIE electronics	specifics	veto shield for cosmics 8x optical multiplexing

MINOS event topologies

ν_μ CC event

NC event

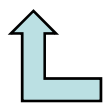
ν_e CC event



- Long muon track + hadronic activity at vertex

- Short showering event, often diffuse

- Short event with typical EM shower profile

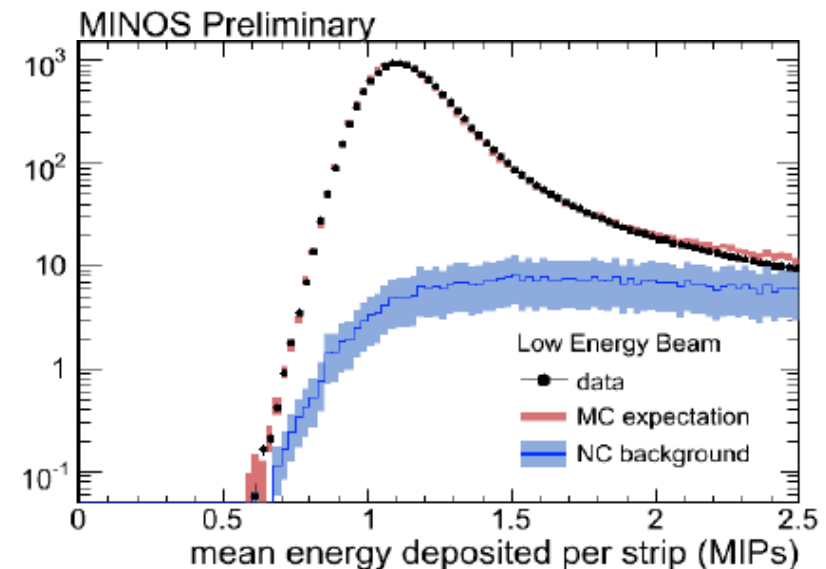
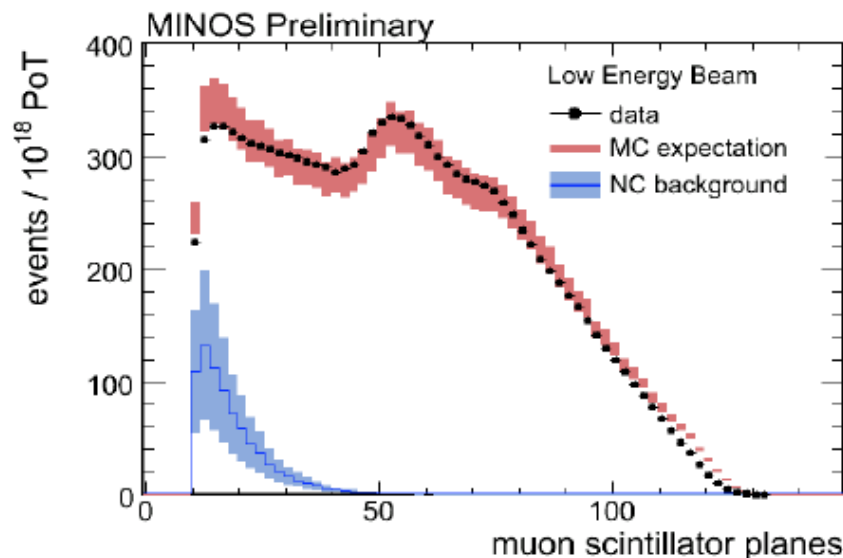
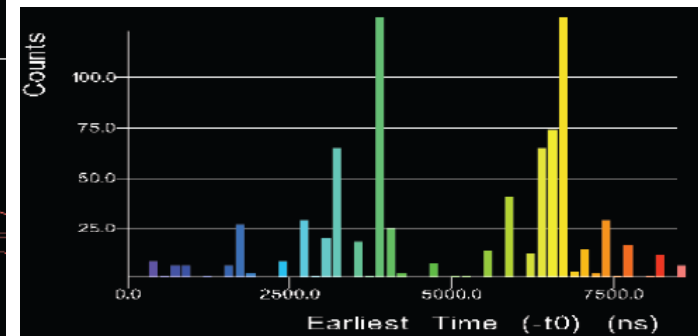
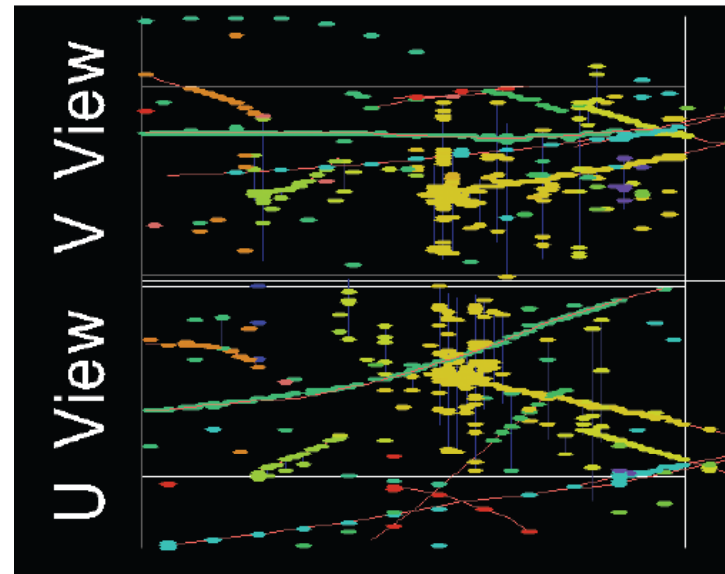


These events must be selected for the $\nu_\mu \rightarrow \nu_\mu$ studies

Checking neutrino events with the near detector data

Typical near detector data.

(Multiple events per spill are separated based on topology and timing.)

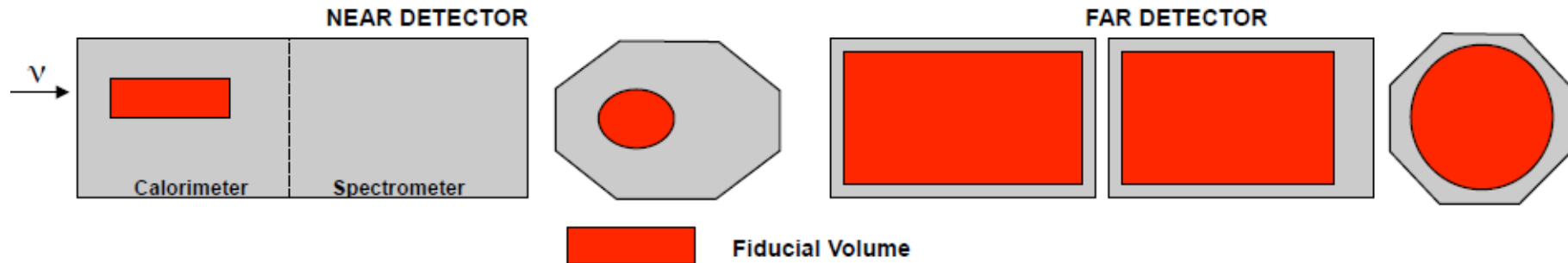


MINOS ν_μ event selection

ν_μ CC is selected by;

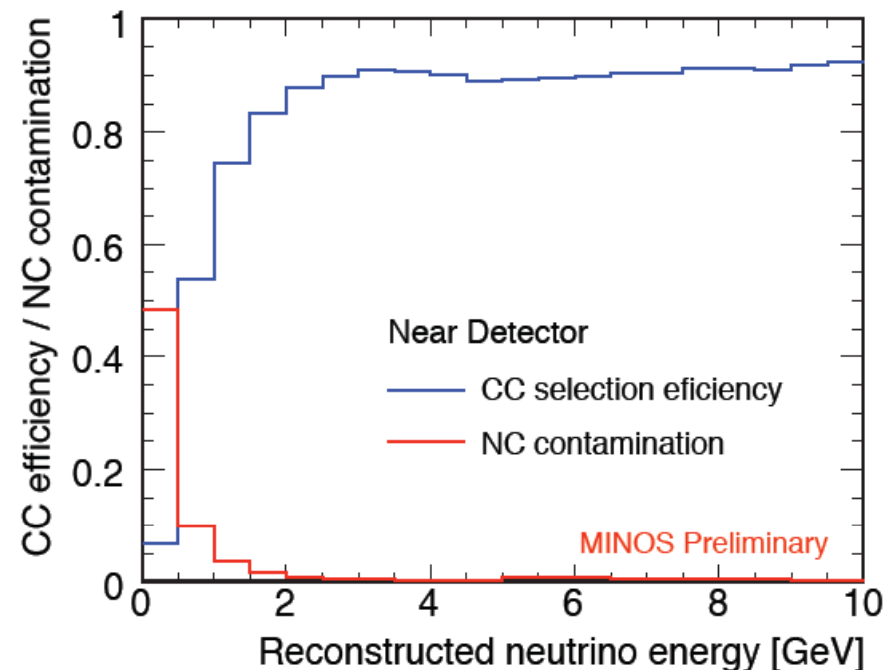
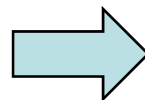
- ≥ 1 track
- Fiducial volume

Total mass: 5.4kton
Fiducial mass: 3.9kton



- Negative charge (curvature)
- k-nearest neighbor (kNN) based on
 - Track length
 - Mean pulse height
 - Fluctuation in pulse height
 - Transverse track profile

CC efficiency and
NC contamination

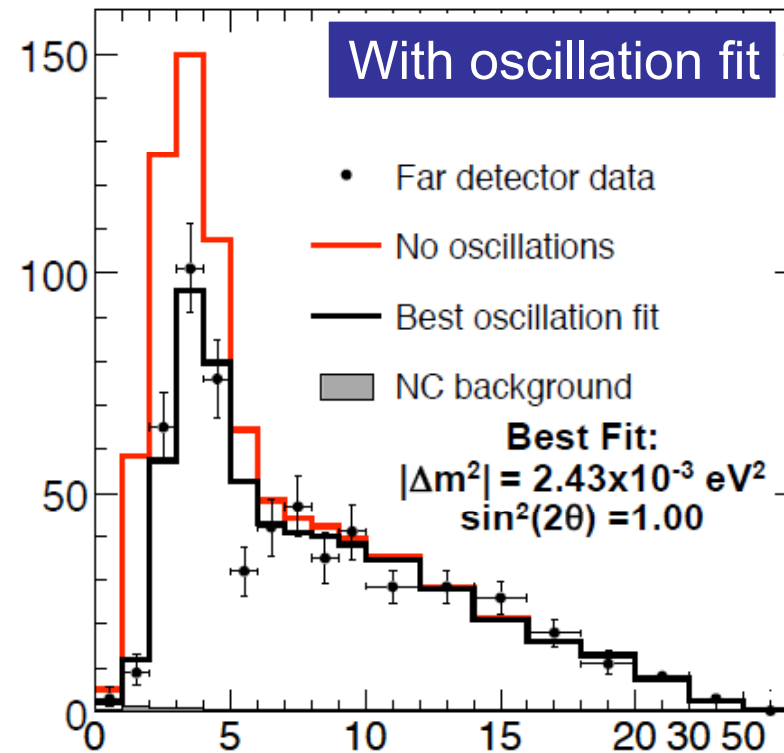
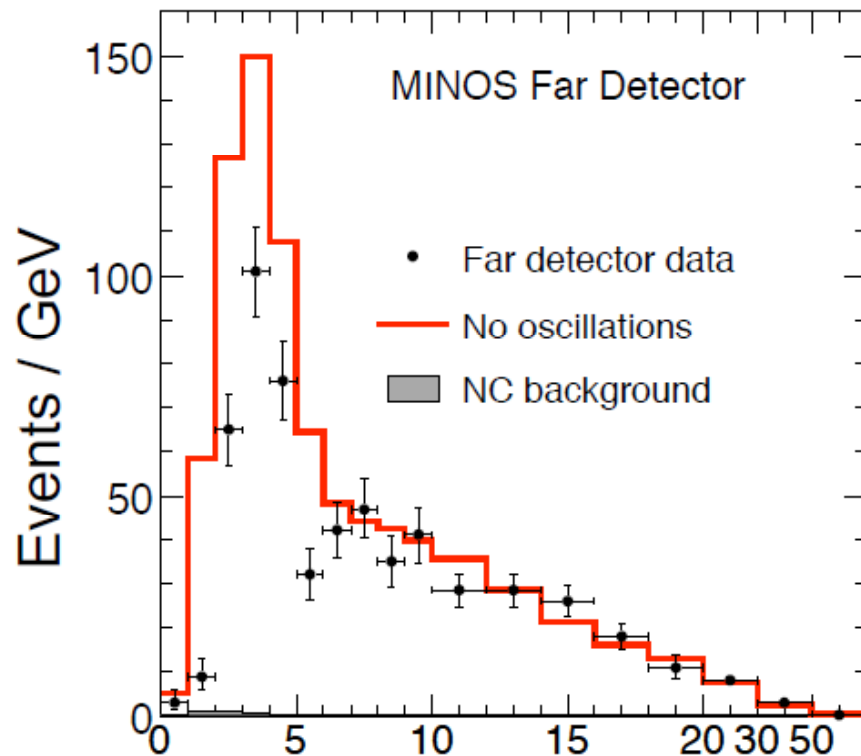


MINOS updated results

H. Gallagher(MINOS collab.) talk at Nu2008

3.2×10^{20} pot (\sim Aug. 2007)

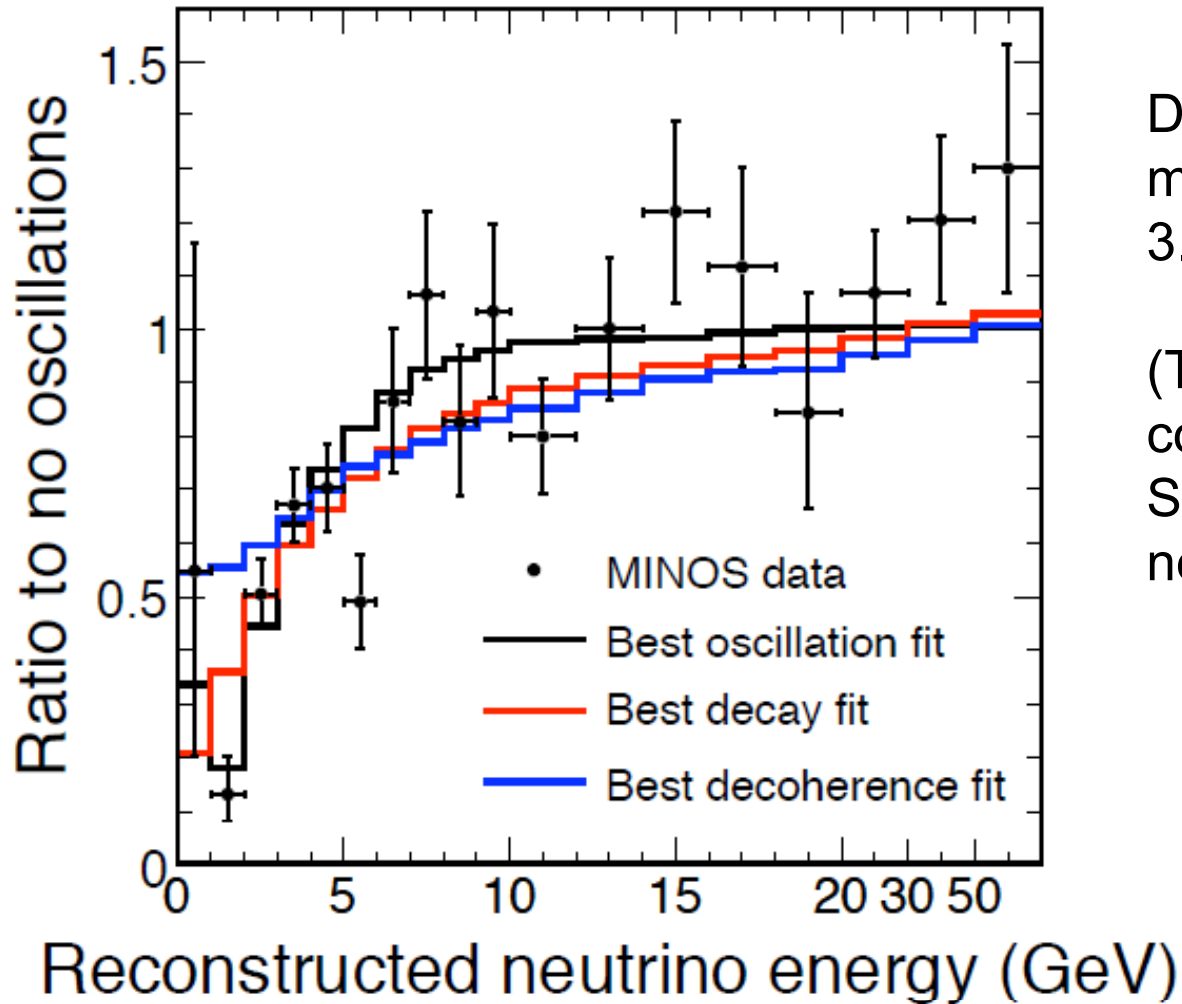
848 CC ν_μ candidates \leftrightarrow $1065 \pm 60(\text{syst})$ no-osc. prediction



Reconstructed neutrino energy (GeV)

Clear energy dependent ν_μ deficit, which is completely consistent with $\nu_\mu \rightarrow \nu_\tau$.

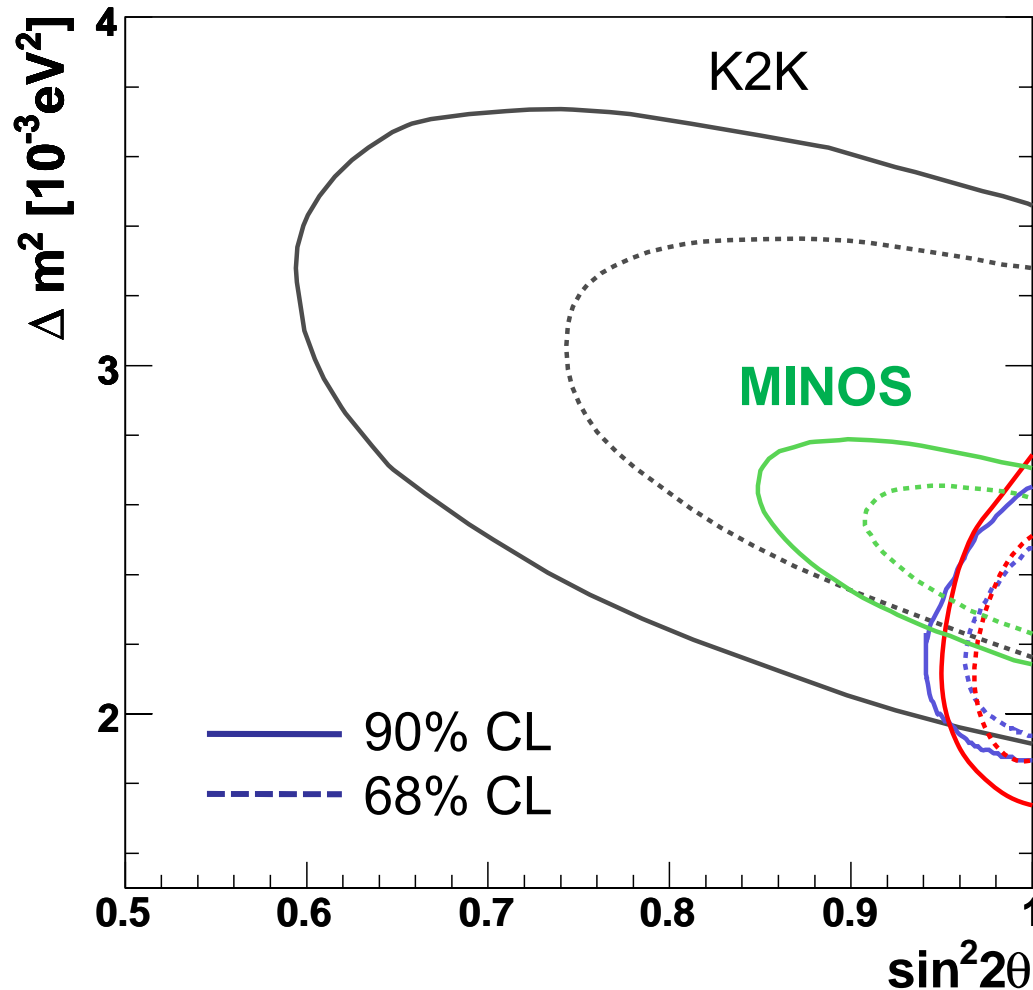
Testing alternative hypotheses @MINOS



Decay and decoherence models are disfavored at 3.7 and 5.7 σ , resp.

(These results are consistent with those from Super-K atmospheric neutrino experiment.)

Allowed parameter space from present experiments



$$\Delta m_{23}^2 = 2.43 \pm 0.13 \times 10^{-3} \text{ eV}^2$$

(5% accuracy, MINOS)

IF we take $\Delta\chi^2 = 1$,
 $\sin^2 2\theta_{23} > \sim 0.98$
 $\rightarrow \theta_{23} = \sim 45 \pm 4$
 (~10% accuracy, Super-K)

SK (L/E)
 SK (Zenith)

These results agree well!

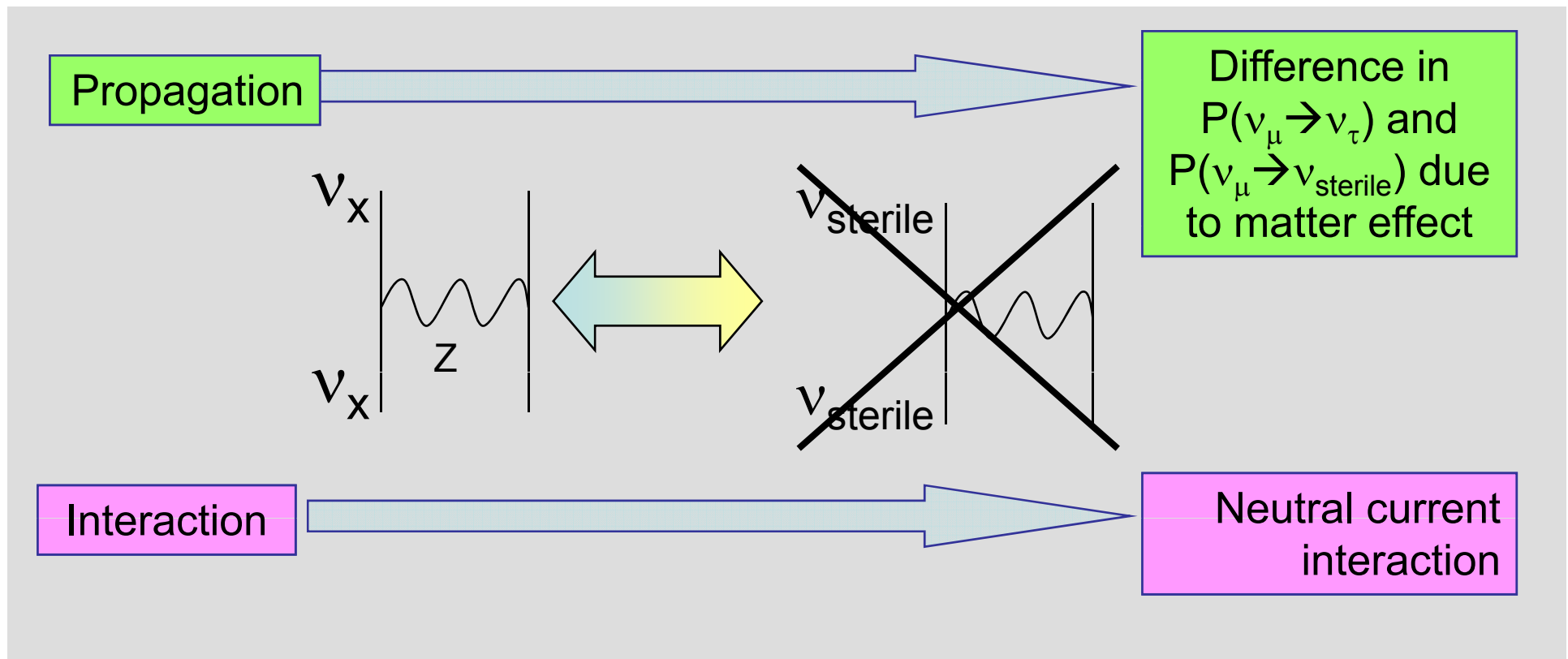
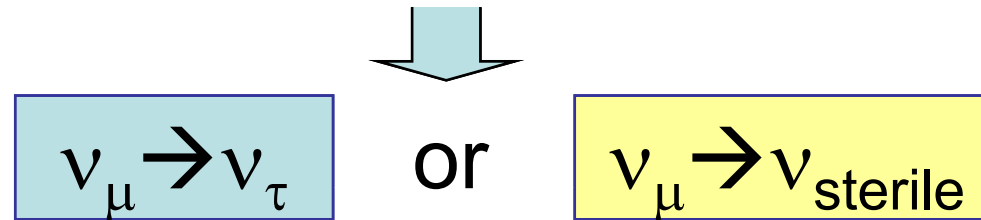
(consistent with maximal mixing!)

Accuracy: Δm^2 : Atm \rightarrow LBL, $\sin^2 2\theta$: still atm.

Oscillation to ν_τ or ν_{sterile} ?

Oscillation to ν_τ or $\nu_{sterile}$?

μ -like data show zenith-angle and energy dependent deficit of events, while e-like data show no such effect.



Testing $\nu_\mu \rightarrow \nu_\tau$ vs. $\nu_\mu \rightarrow \nu_{sterile}$

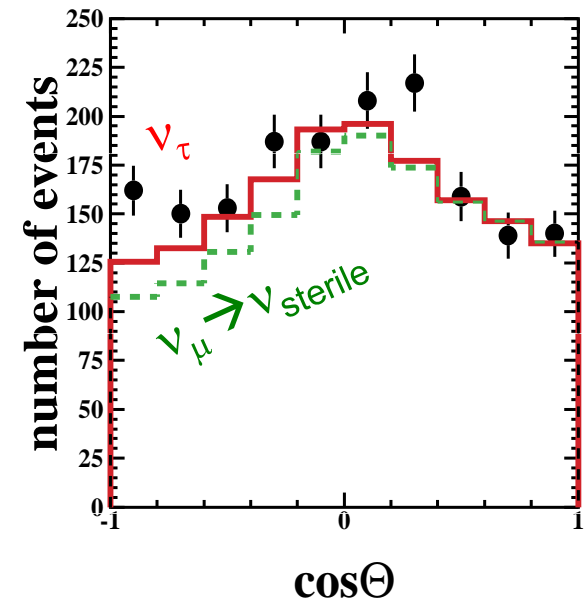
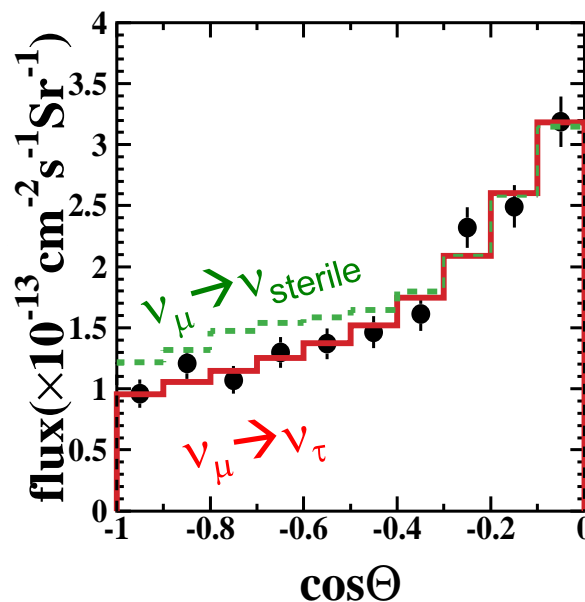
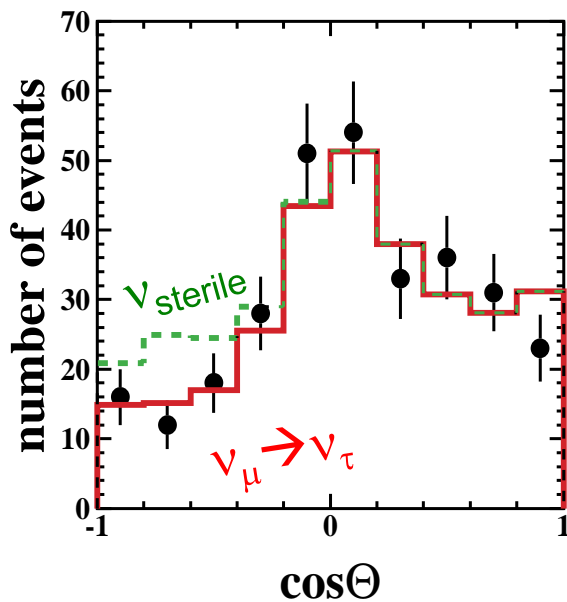
Matter effect

High E PC events
($E_{vis} > 5\text{GeV}$)

Up through muons

Neutral current

Multi-ring e-like,
with $E_{vis} > 400\text{MeV}$



Pure $\nu_\mu \rightarrow \nu_{sterile}$ excluded

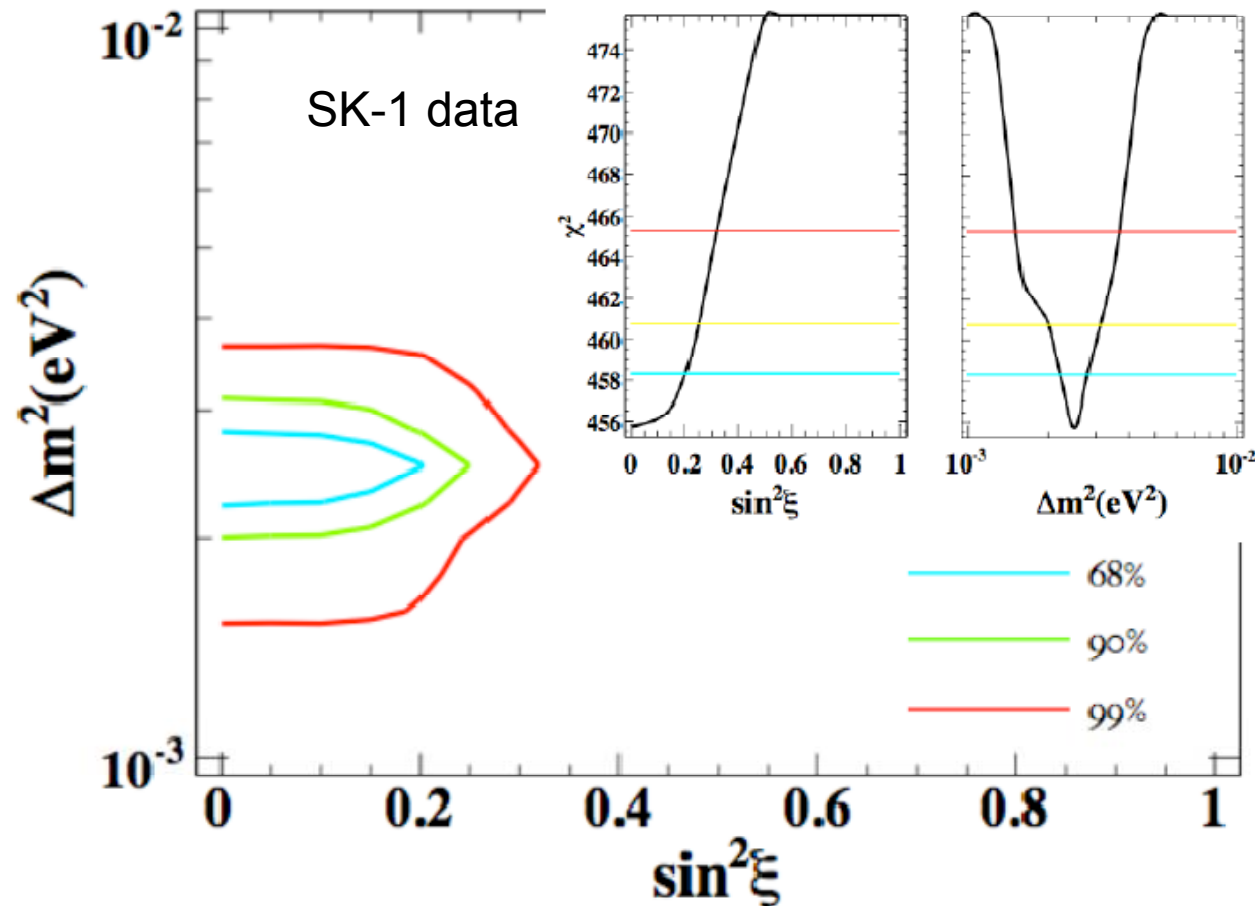
(PRL85,3999
(2000))

Limit on oscillations to ν_{sterile}

$$\nu_{\mu} \rightarrow (\sin\xi \cdot \nu_{\text{sterile}} + \cos\xi \cdot \nu_{\tau})$$

If pure $\nu_{\mu} \rightarrow \nu_{\tau}$, $\sin^2\xi=0$

If pure $\nu_{\mu} \rightarrow \nu_{\text{sterile}}$, $\sin^2\xi=1$



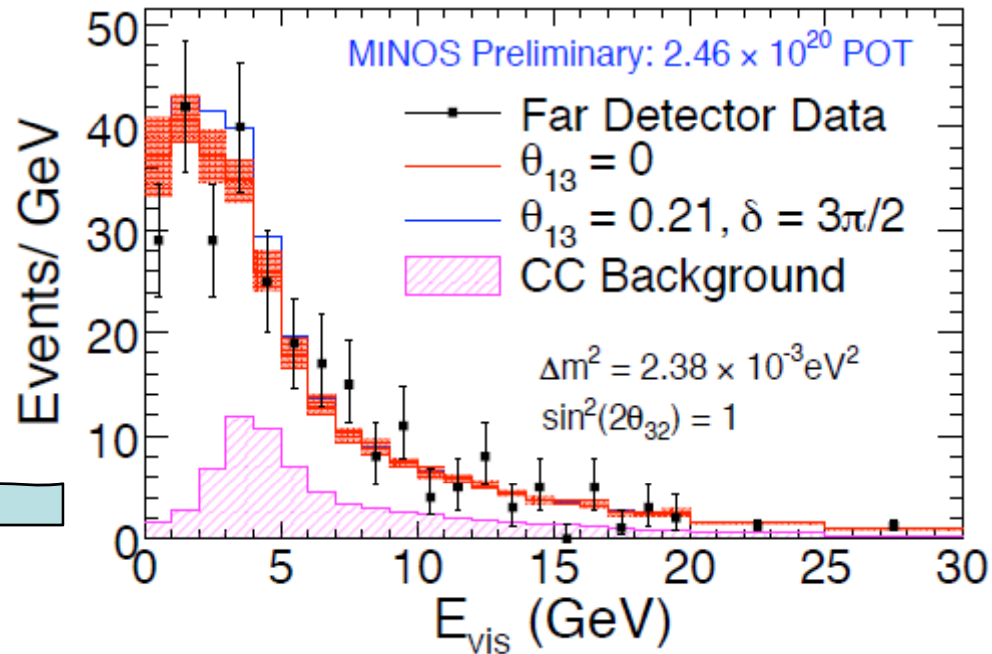
Consistent
with pure
 $\nu_{\mu} \rightarrow \nu_{\tau}$

SK collab. draft in
preparation

(This figure is slightly
old)

MINOS NC analysis

Energy spectra
for NC-like
events



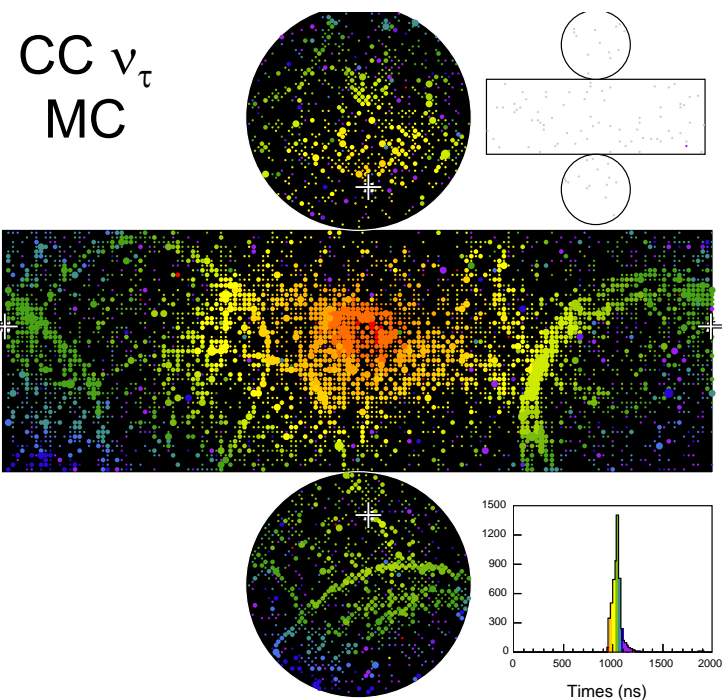
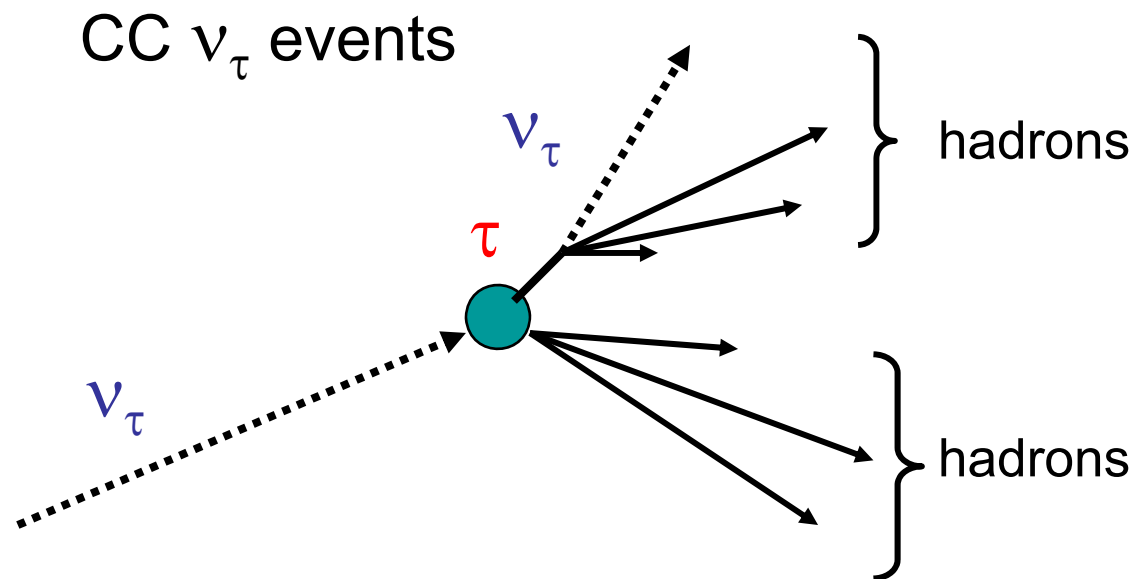
No evidence
for NC
disappearance

(Consistent with
atmospheric
neutrino data)

Energy Range (GeV)	Data	MC	Significance (σ)
0-3	100	115.16 ± 7.67	1.15
0-5	165	175.92 ± 10.42	0.65
0-120	291	292.63 ± 15.02	0.10

Tau neutrino appearance ?

Detecting CC ν_τ events (SK-I)



- Many particles (hadrons)
(But no big difference with the other (NC) events.)

↳ τ -likelihood or NN analysis

- Upward going only

↳ Zenith angle

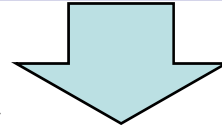
Only ~ 1.0 CC ν_τ
FC events/kton \cdot yr



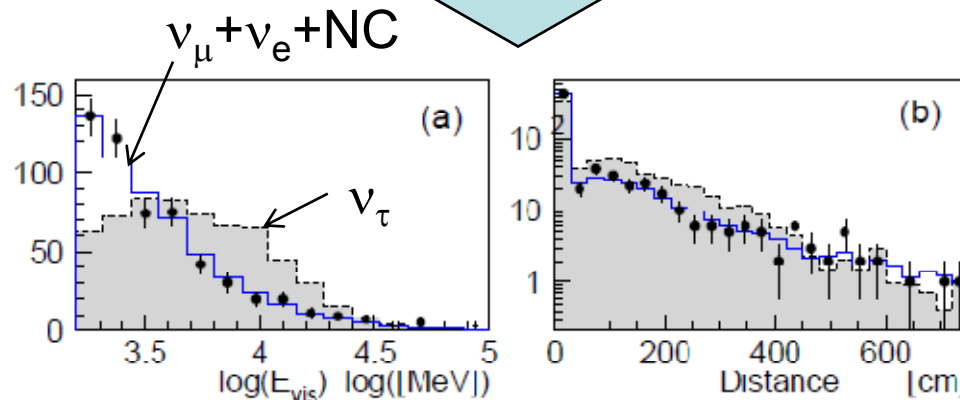
(BG (other ν events)
 ~ 130 ev./kton \cdot yr)

Selecting ν_τ candidates

Pre-cuts: $E(\text{visible}) > 1,33\text{GeV}$, most-energetic ring = e-like

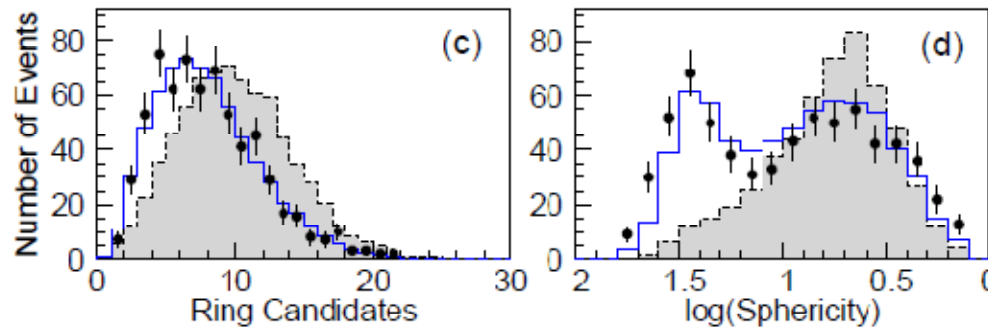


$E(\text{visible})$



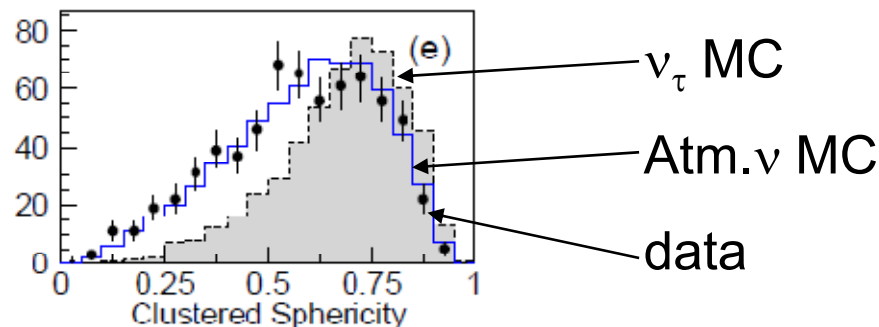
Max. distance between primary vertex and the decay-electron vertex

Number of ring candidates



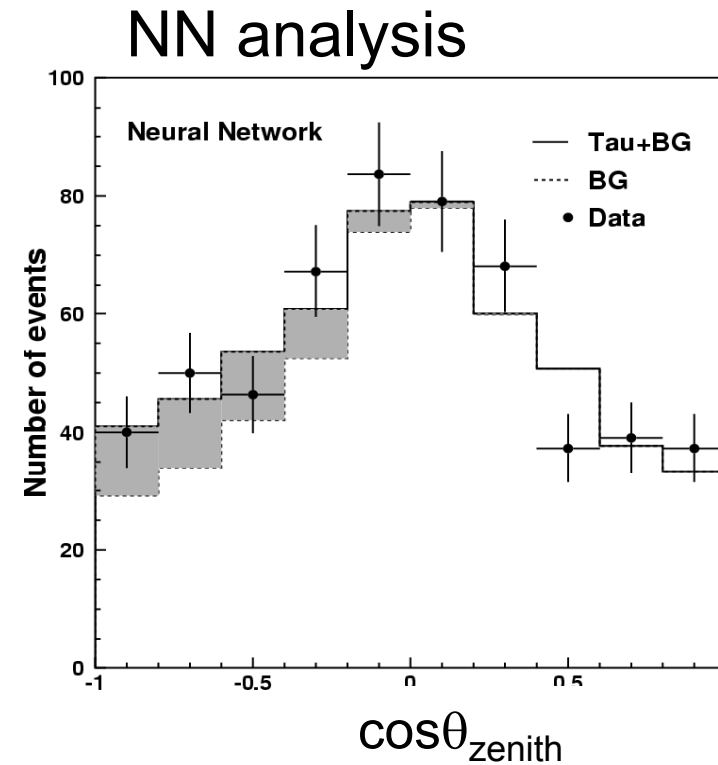
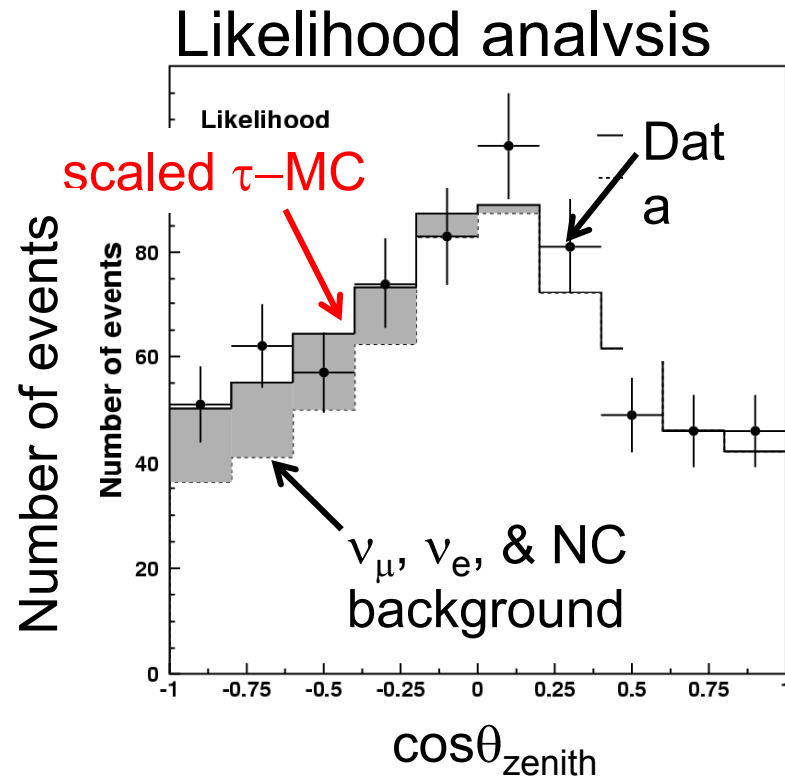
Sphericity in the lab frame

Sphericity in the CM frame



Zenith angle dist. and fit results

SK-collab. hep-ex/0607059



Fitted number
of τ events

Exp'd number
of τ events

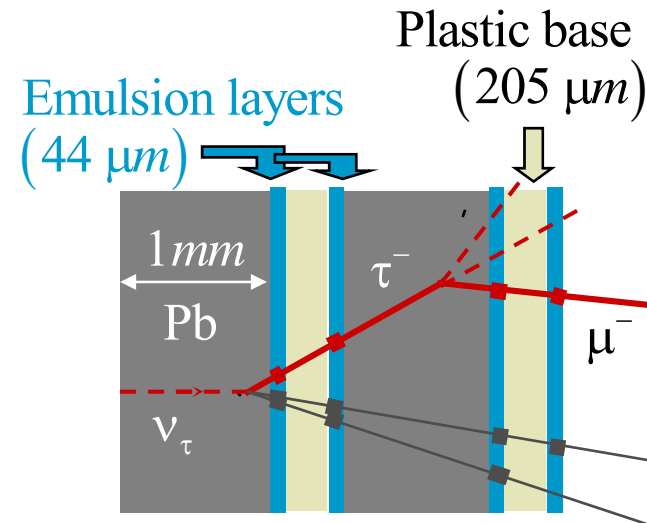
$138 \pm 48(\text{stat}) + 15 / -32(\text{syst})$	$134 \pm 48(\text{stat}) + 16 / -27(\text{syst})$
$78 \pm 26(\text{syst})$	$78 \pm 27(\text{syst})$

Zero tau neutrino interaction is disfavored at 2.4σ .

Future of ν_τ detection

G.Wilquet, EPS2007

OPERA



Channels	Signal		Background
	$\Delta m^2=0.0025$	$\Delta m^2=0.0030$	
$\tau \rightarrow \mu$	2.9	4.2	0.17
$\tau \rightarrow e$	3.5	5.0	0.17
$\tau \rightarrow h^-$	3.1	4.4	0.24
$\tau \rightarrow 3h$	0.9	1.3	0.17
All	10.4	15.0	0.76

● The 2008 run started.

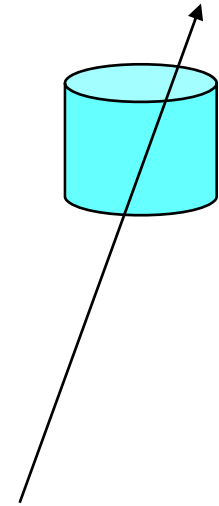
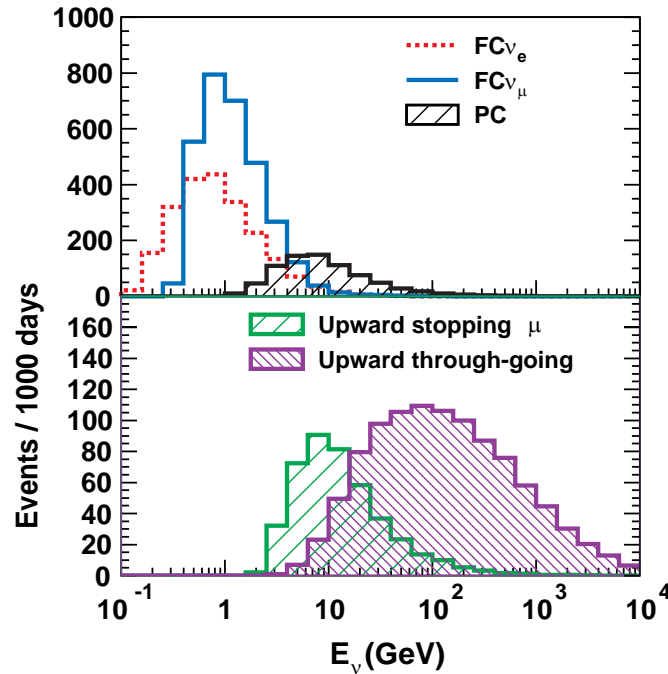
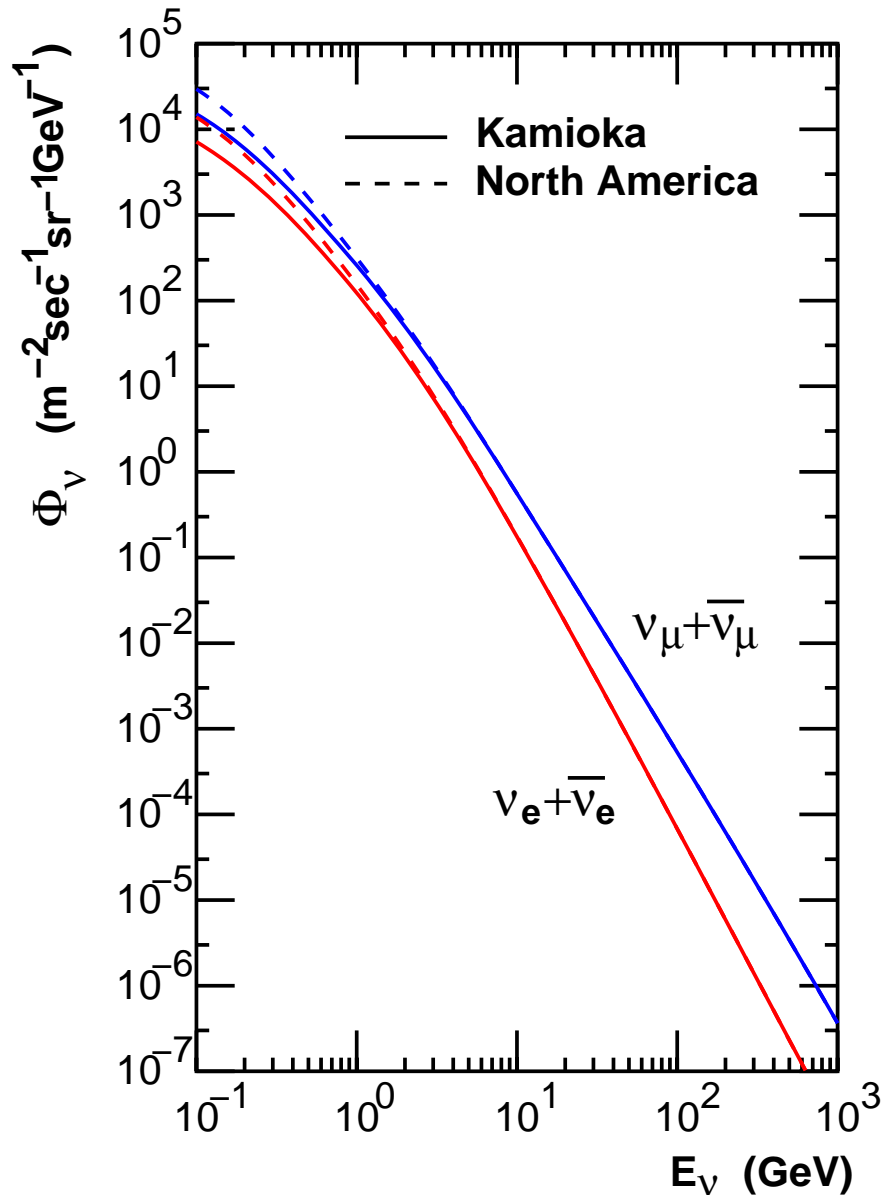
5 yrs with
 $4.5 \cdot 10^{19}$ p.o.t./yr

Summary of Lecture-1

- Study of the background for proton decay found unexpected atmospheric ν_μ deficit.
- In 1998, the ν_μ deficit was concluded as evidence for neutrino oscillations.
- Recent atmospheric neutrino data are consistently explained by $\nu_\mu \rightarrow \nu_\tau$ oscillations.
- Long baseline accelerator experiments clearly observed $\nu_\mu \rightarrow \nu_\tau$ oscillations.
- Next step in the $\nu_\mu \rightarrow \nu_\tau$ oscillation: unambiguous measurement of tau appearance.

End

Comment: upward-going muons



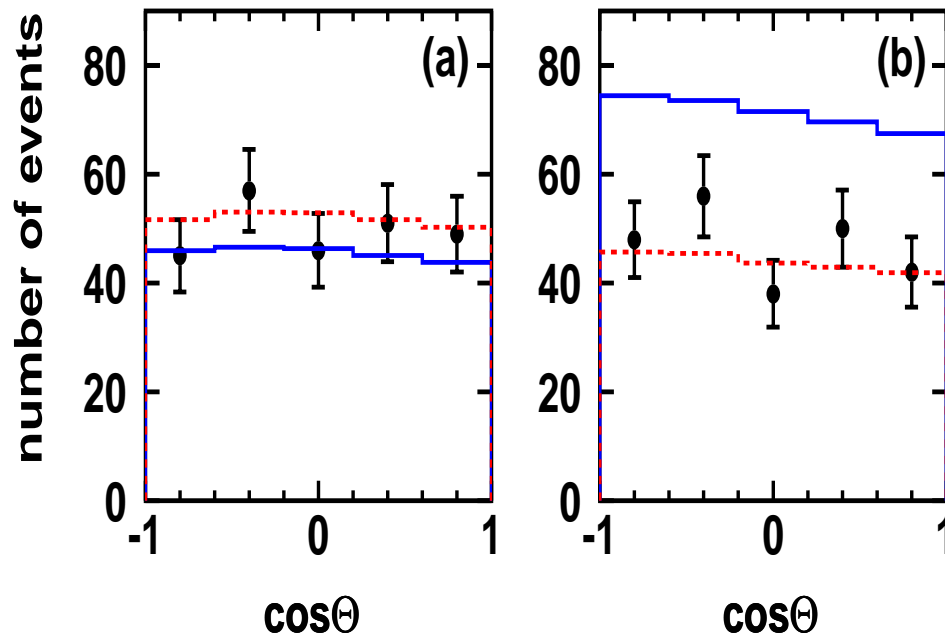
$$\sigma(\nu N) \propto E_\nu$$

$$\text{Range } E_\mu, \langle E_\mu \rangle \propto E_\nu$$

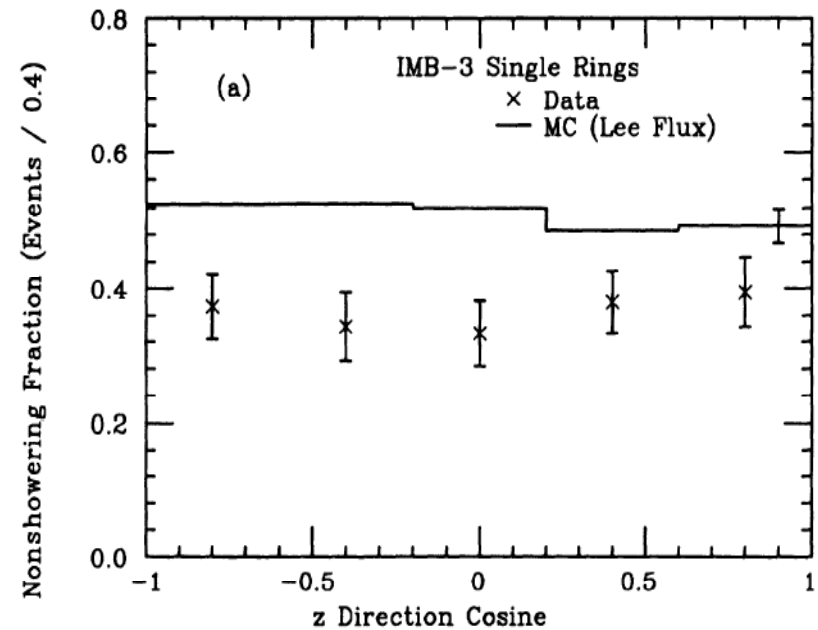
Wide energy range

Zenith angle distributions

Kamiokande (Evis <1.3 GeV)



IMB (<1.5GeV)

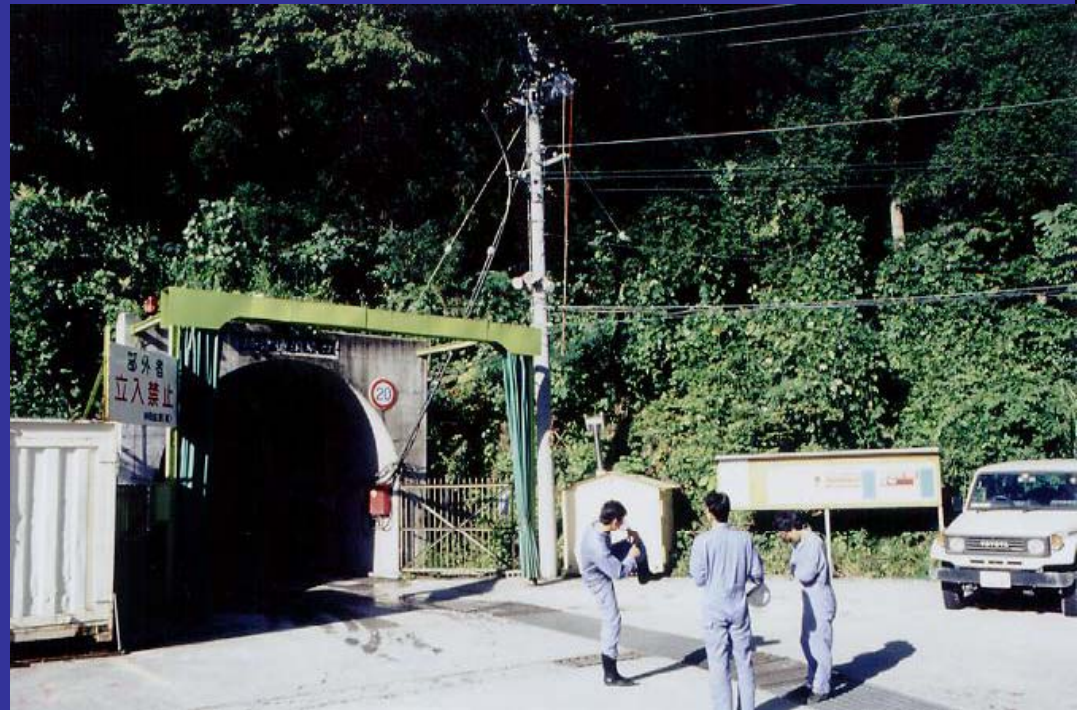


Consistent with no zenith angle dependence...



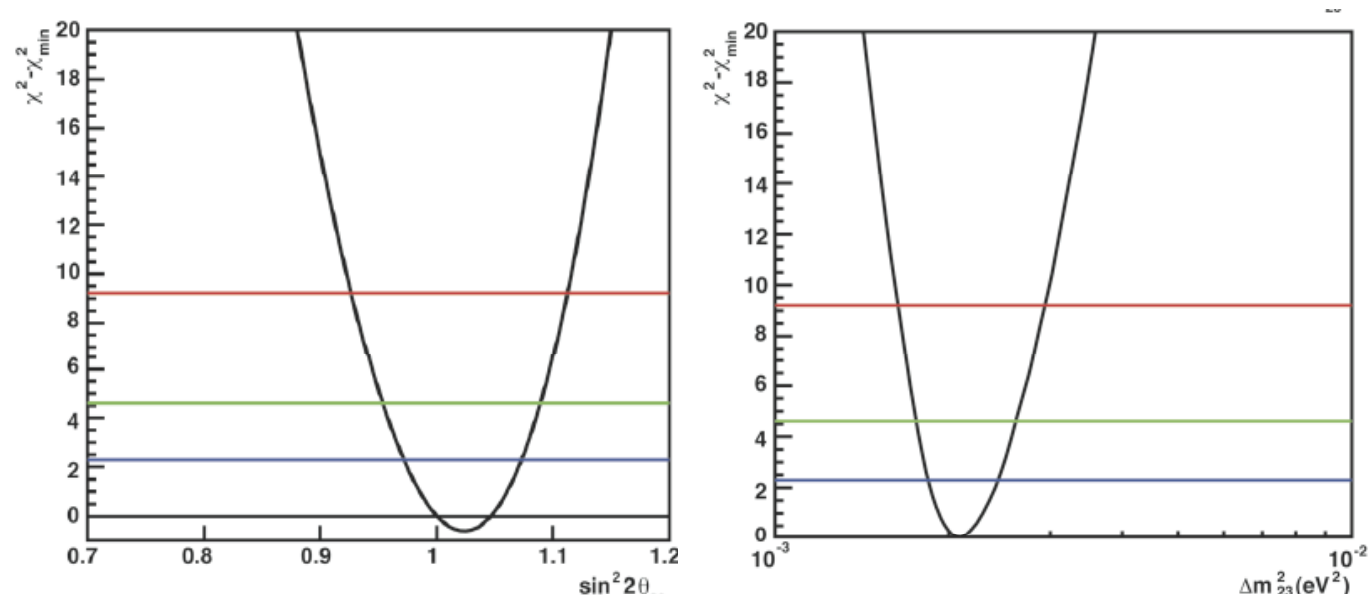
Around Super-K

Entrance to the mine



χ^2 's: Super-K atmospheric

zenith



L/E

