

# Neutrino masses and mixings and...

cosmology, astrophysics, LHC

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# Present

Two direct evidences for violation of lepton flavour.

Anomaly	Solar	Atmospheric
first hint confirmed evidence for seen by	1968 2002 $13\sigma$ $\nu_e \rightarrow \nu_{\mu,\tau}$ Cl, 2Ga, SK, SNO, KL, Bo	1986 1998 $20\sigma$ $\nu_\mu \rightarrow \nu_\tau$ SK, Macro, K2K, NuMi
disappearance appearance oscillations	seen seen seen	seen partly seen $\approx$ seen
$\sin^2 2\theta$	$0.88 \pm 0.03$	$1.02 \pm 0.04$
$\Delta m^2$	$(7.58 \pm 0.21)10^{-5} \text{ eV}^2$	$(2.40 \pm 0.15)10^{-3} \text{ eV}^2$

# Theory

# Neutrino oscillations

Ultrarelativistic neutrinos with  $3 \times 3$  mass matrix:

$$m_\nu = V^* \text{diag}(m_1 e^{-2i\beta}, m_2 e^{-2i\alpha}, m_3) V^\dagger$$

where

$$V = R_{23}(\theta_{23}) \cdot R_{13}(\theta_{13}) \cdot \text{diag}(1, e^{i\phi}, 1) \cdot R_{12}(\theta_{12})$$

is the neutrino mixing matrix, oscillate in normal matter as dictated by

$$i \frac{d}{dx} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}, \quad \text{where} \quad H = \frac{m_\nu^\dagger m_\nu}{2E} + \sqrt{2} G_F N_e \text{diag}(1, 0, 0)$$

**Main facts can be understood in terms of  $2\nu$  vacuum oscillations.**

# $2\nu$ vacuum oscillations

(Derivation as simple as the well-known  $e^{iE_it}$  hand-waving, and correct)  
Oscillations from interference between states with different mass and same  $E$   
Often stationary fluxes. Always energy resolution  $\Delta E \gg 1/\Delta t$ :  $\langle e^{i\Delta E \cdot t} \rangle = 0$

At the production region  $x \approx 0$

$$|\nu(x \approx 0)\rangle = |\nu_\mu\rangle = \cos \theta |\nu_1\rangle + \sin \theta |\nu_2\rangle$$

At a generic  $x$

$$|\nu(x)\rangle = e^{ip_1 x} \cos \theta |\nu_1\rangle + e^{ip_2 x} \sin \theta |\nu_2\rangle.$$

Since  $p_i^2 = \sqrt{E^2 + m_i^2} \simeq E - m_i^2/2E$  at the detection region  $x \approx L$

$$P(\nu_\mu \rightarrow \nu_\mu) = |\langle \nu_\mu | \nu(L) \rangle|^2 \simeq 1 - S_{12} \sin^2 2\theta$$

$$S_{ij} \equiv \sin^2 \frac{c^3 \Delta m_{ij}^2 L}{\hbar 4E} = \sin^2 1.27 \frac{\Delta m_{ij}^2}{\text{eV}^2} \frac{L}{\text{Km}} \frac{\text{GeV}}{E}.$$

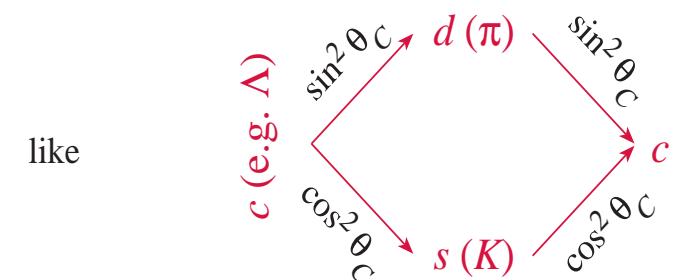
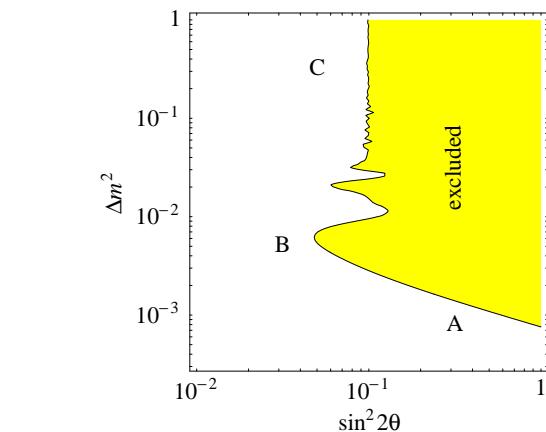
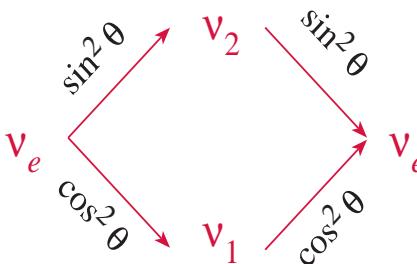
Need low  $E$  and big  $L$  to see this macroscopic quantum phenomenon

# Limiting cases

A **Oscillations with short base-line:**  $S \ll 1$ ,  
 reduces to perturbation theory  $P(\nu_e \rightarrow \nu_\mu) \propto L^2$ :  
 enough to fix factor-2 ambiguity!

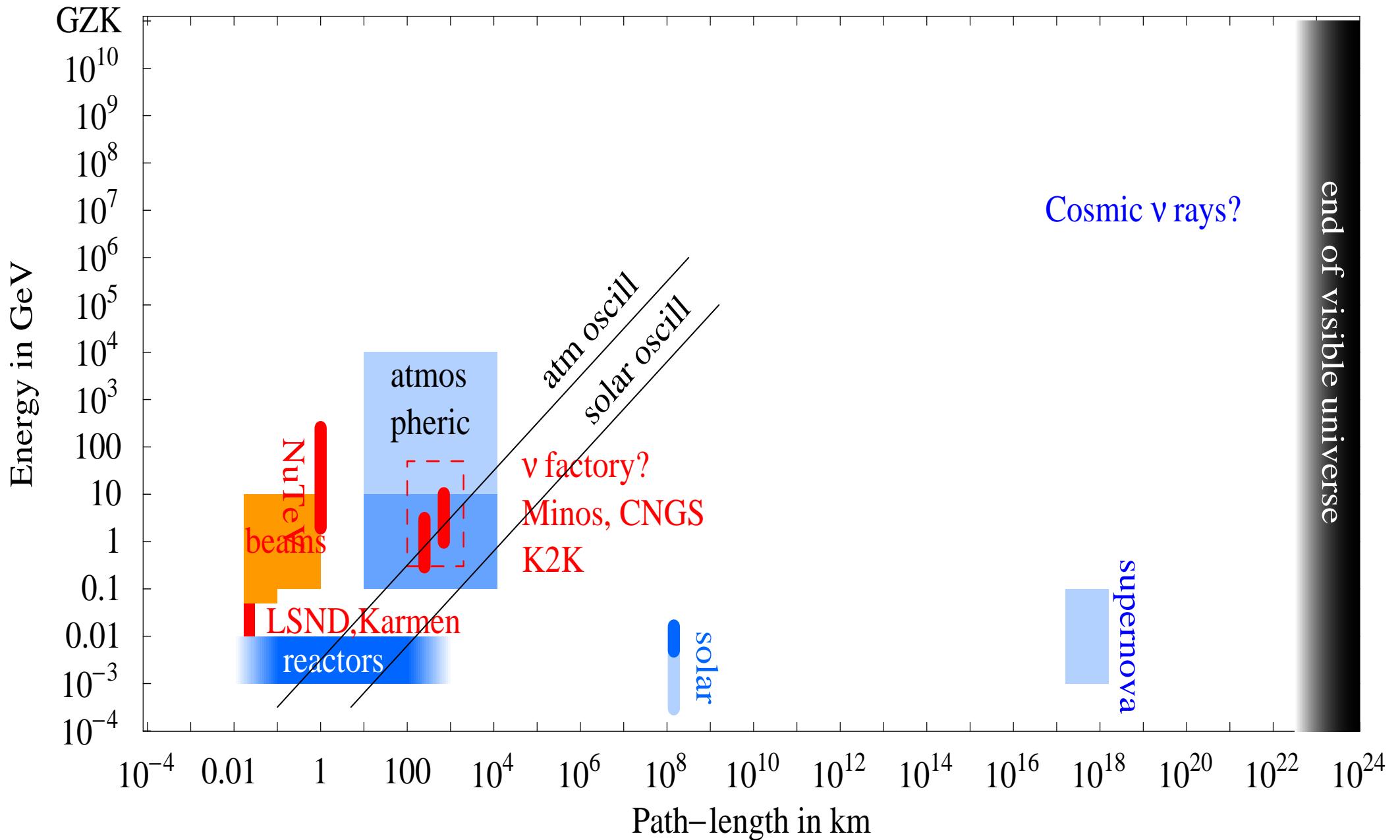
C  **$\Delta E$ ,  $\Delta L$  averaged oscillations:**  $\langle S \rangle = 1/2$

$$P(\nu_e \rightarrow \nu_e) = 1 - \frac{1}{2} \sin^2 2\theta \\ = \sin^4 \theta + \cos^4 \theta =$$



The information on the phase is lost: combine probabilities, not amplitudes

B **The intermediate region.** Coherence is lost when neutrinos with different  $E$  have too different oscillation phases  $\phi \sim \Delta m^2 L/E$ , i.e. when  $\Delta\phi \approx n\phi \gtrsim 1$ . With energy resolution  $\Delta E$  one can see  $n \sim E/\Delta E$  oscillations.



Atmospheric and solar discoveries based on careful study of natural  $\nu$  sources

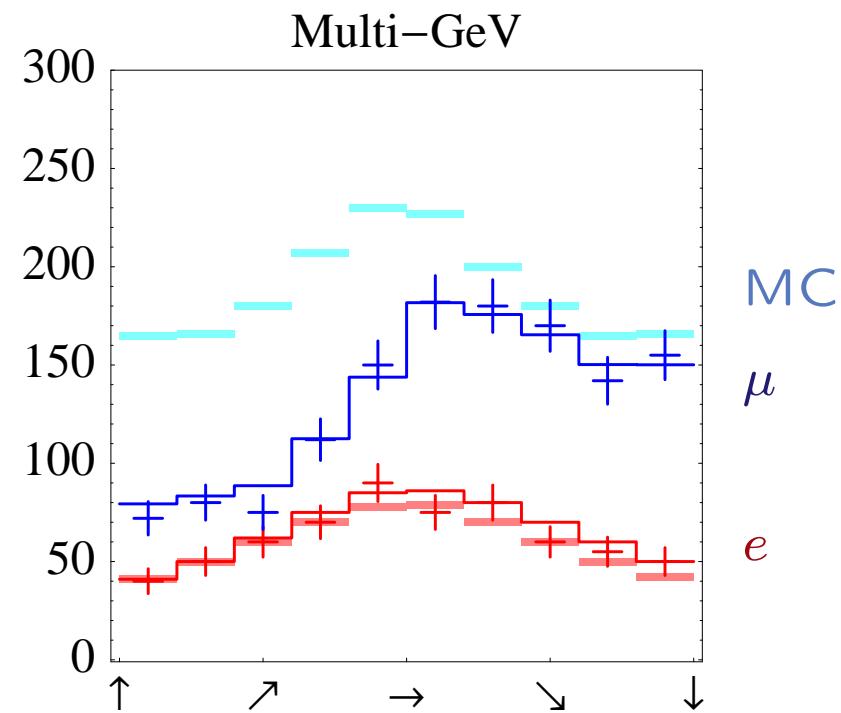
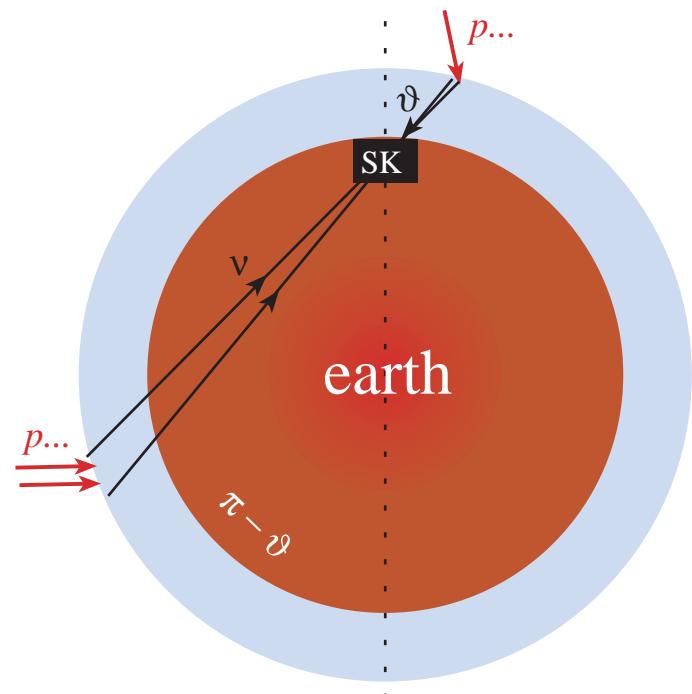
# The atmospheric anomaly

# The atmospheric anomaly

SK detects  $\nu_\ell N \rightarrow \ell N$  distinguishing  $\mu$  from  $e$ . In the multi-GeV sample

$$E_\ell \lesssim E_\nu \sim 3 \text{ GeV}, \quad \vartheta_\ell \sim \vartheta_\nu \pm 10^\circ$$

Without oscillations  $N(\cos \vartheta_{\text{zenith}})$  is up/down symmetric

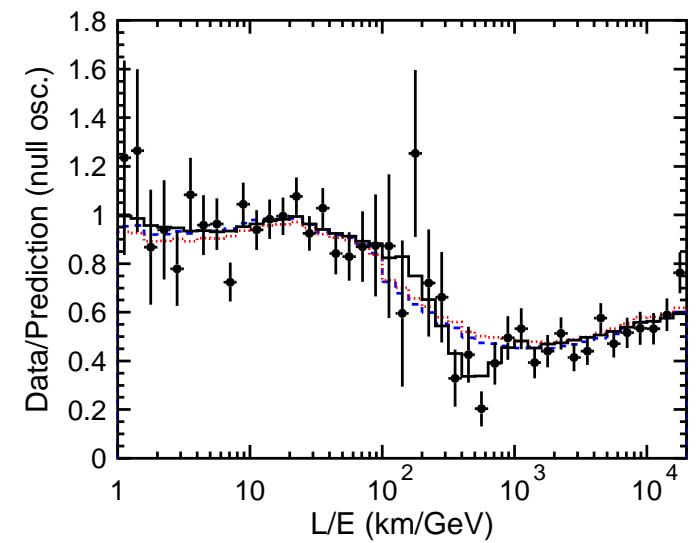
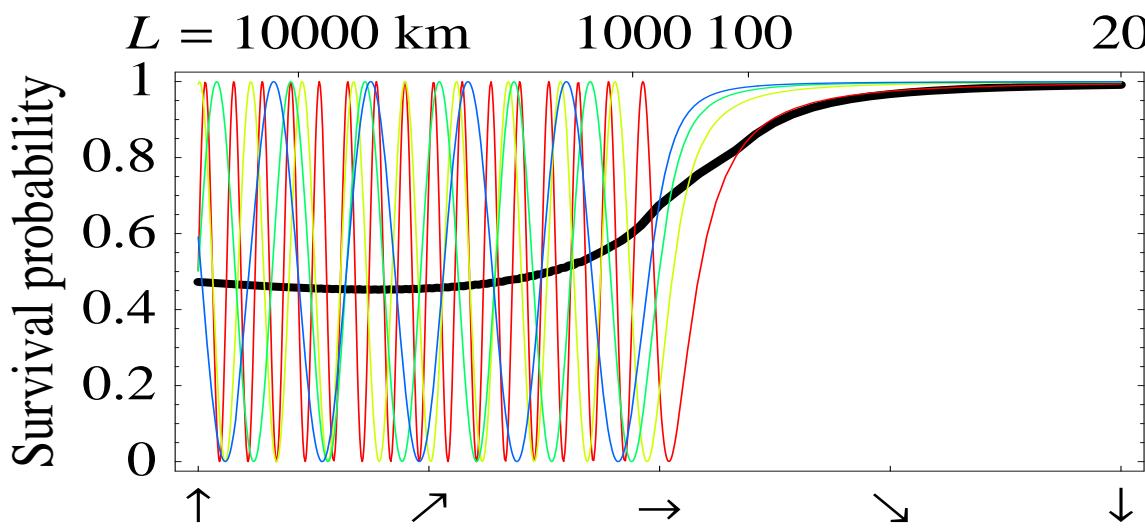


No doubt that there is an anomaly

# Atmospheric oscillations?

$$P_{ee} = 1 \quad P_{e\mu} = 0 \quad P_{\mu\mu} = 1 - \sin^2 2\theta_{\text{atm}} \sin^2 \frac{\Delta m_{\text{atm}}^2 L}{4E_\nu}$$

- $\sin^2 2\theta_{\text{atm}} = 2 - 2 \frac{N_\uparrow}{N_\downarrow} = 1 \pm 0.1$  i.e.  $\theta_{\text{atm}} \sim 45^\circ$
- oscillations start ‘horizontal’,  $L \sim 1000 \text{ km}$ :  $\Delta m_{\text{atm}}^2 \sim \frac{E_\nu}{L} \sim 3 \cdot 10^{-3} \text{ eV}^2$
- $P_{\mu\mu}(E_\nu)$  : the anomaly disappears at high energy, as predicted by oscillations.
- $P_{\mu\mu}(L)$  : at SK  $\sigma_{E_\nu} \sim E_\nu$ : **oscillation dip** averaged out: SK sees a hint

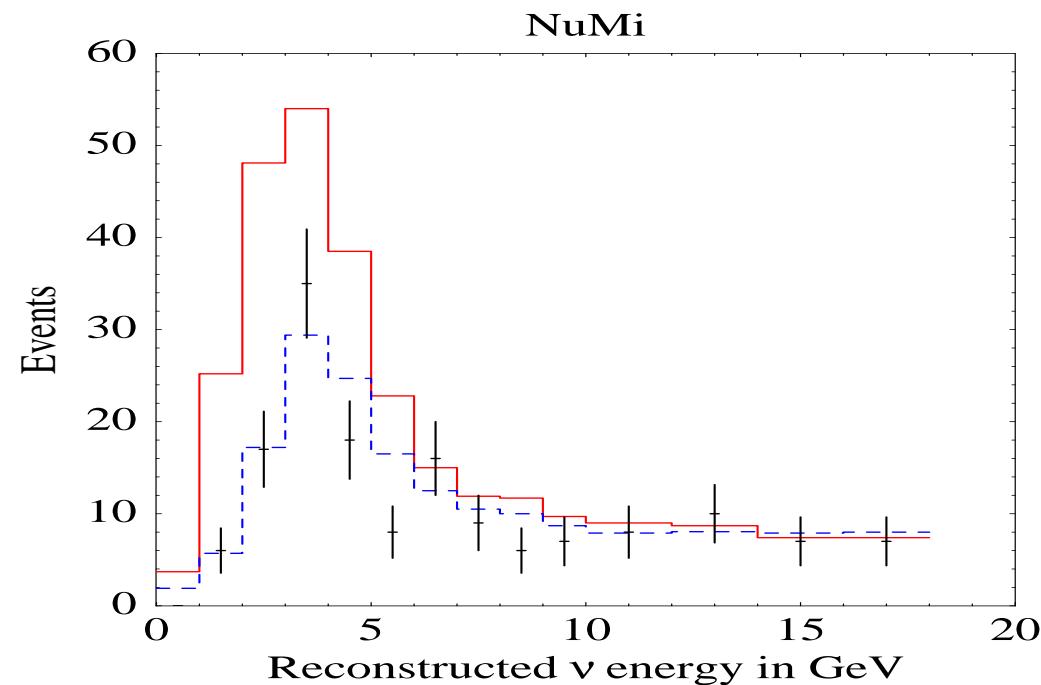
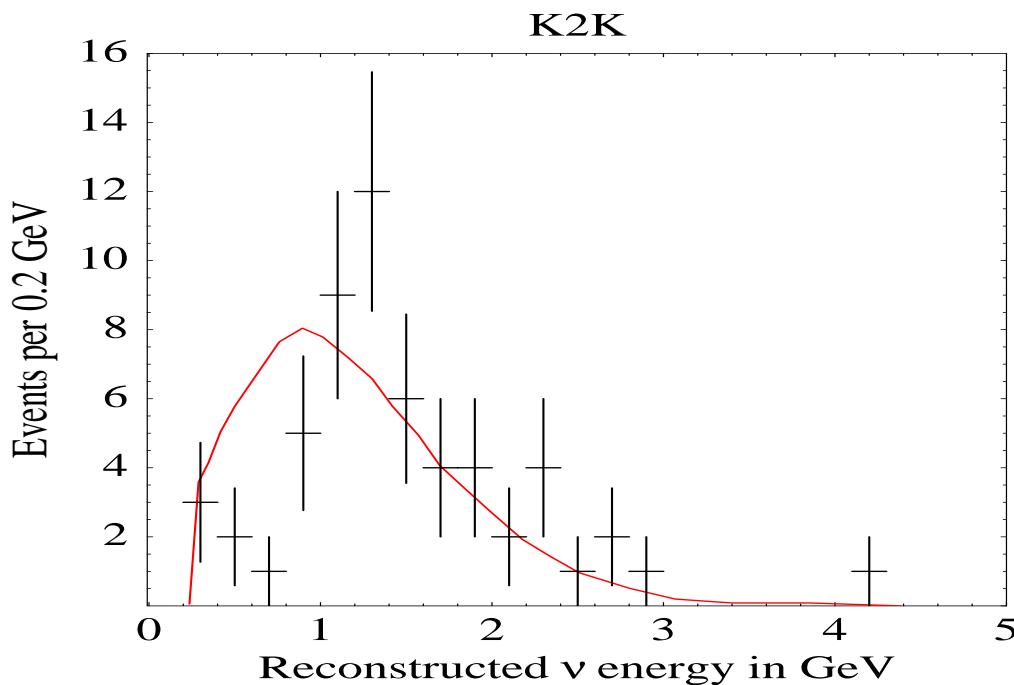


# K2K and NuMi

$\nu_\mu$  beam. Gosplan:

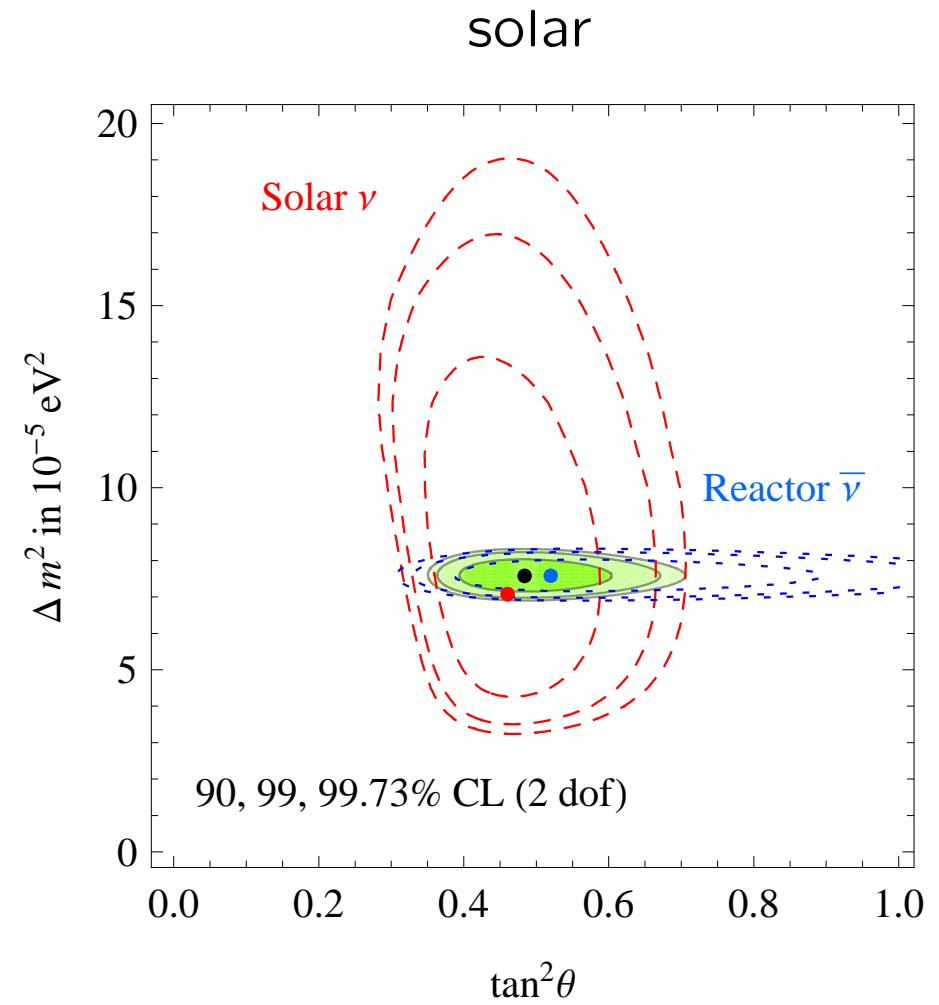
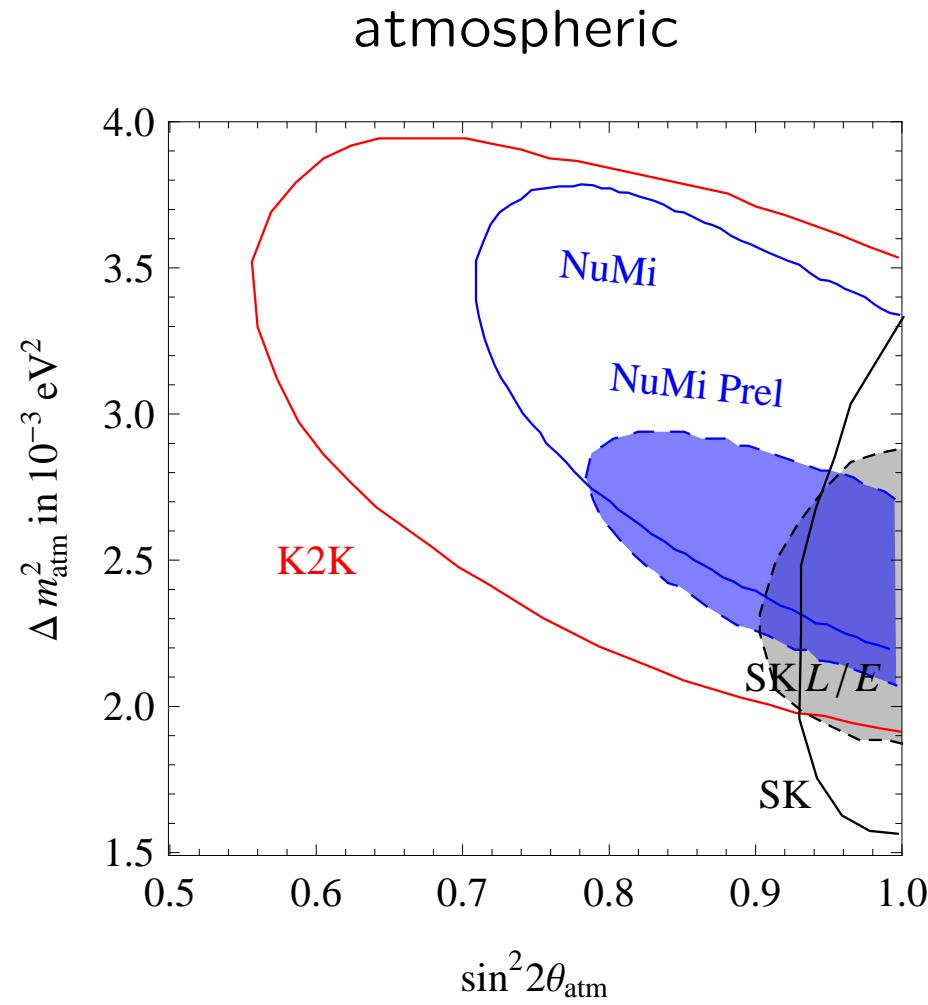
- Energy  $E_\nu \sim$  few GeV  $\sim m_p$  chosen such that  $\Delta\theta_\mu \sim 1$ .
- $E_\nu$  **reconstructed** from  $E_\mu, \Delta\theta_\mu$  since  $\nu$  source known.
- Distances  $L = 250$  km, 735 km chosen such that  $\Delta m_{\text{atm}}^2 L / E_\nu \sim 1$ .

$4\sigma \oplus 6\sigma$  deficit, with (?) spectral distortion: good sensitivity to  $\Delta m_{\text{atm}}^2$ .



... and CNGS is running at higher energy to see a few  $\tau$  events from  $\nu_\mu \rightarrow \nu_\tau$

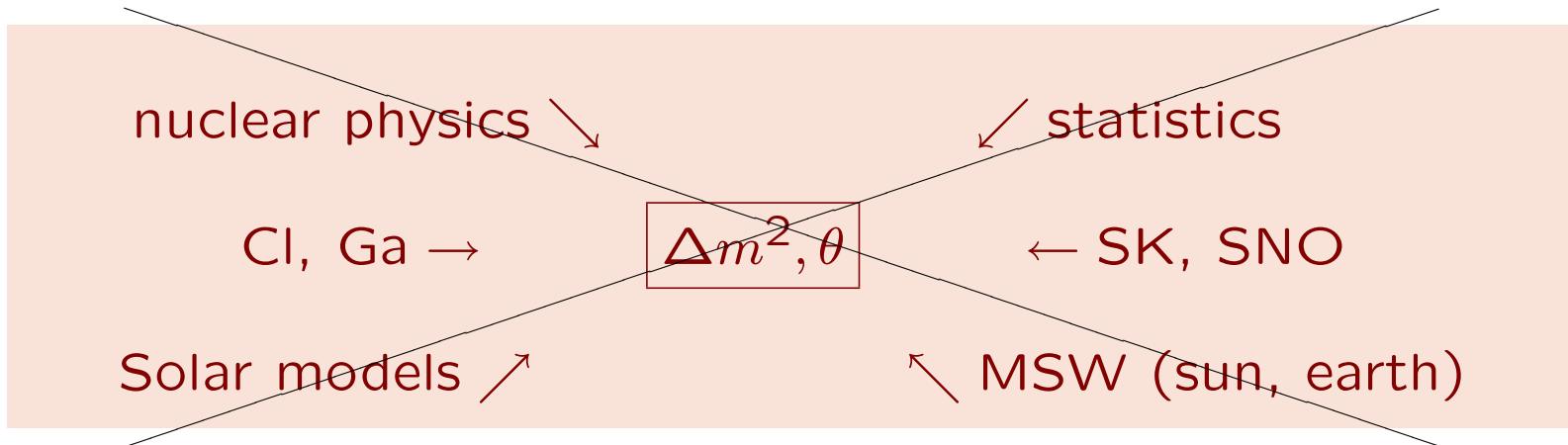
# Global fits



# The solar anomaly

# The solar $\nu$ anomaly

Previously based on global fits of many ingredients:



Today we can choose best and simpler pieces of data

**KamLAND** confirms the solar anomaly with reactor  $\bar{\nu}_e$ .

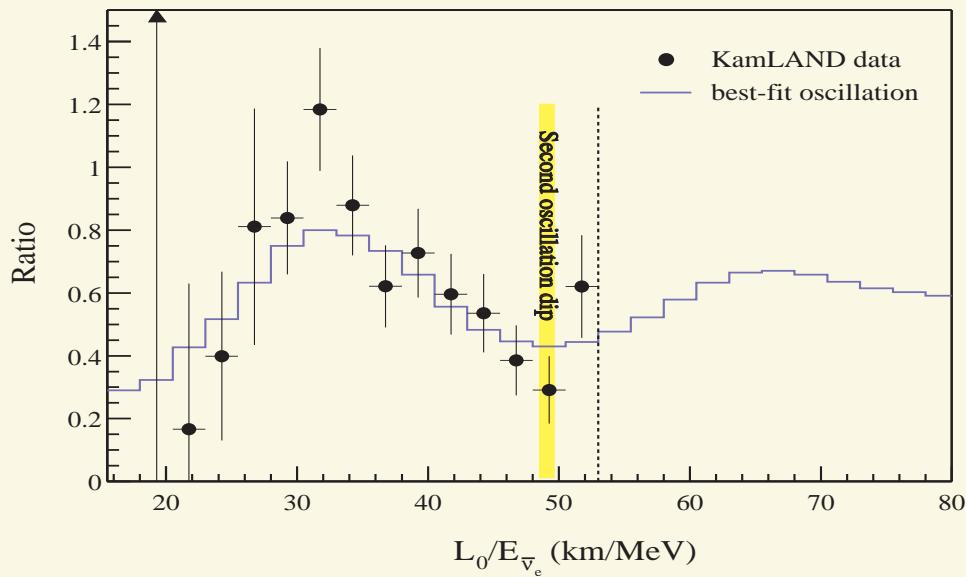
**SNO** measures  $\nu_e$  and  $\nu_{\mu,\tau}$  solar rates at  $E_\nu \sim 10$  MeV.

Simple arguments allow to extract results quantitatively.

# Fit without fit

## Solar mass splitting

Data dominated by KamLAND:



Theory: II dip of vacuum oscillations:

$$\Delta m^2 = 6\pi \frac{E}{L} \Big|_{\text{dip}} = (8.0 \pm 0.3) 10^{-5} \text{ eV}^2$$

## Solar mixing angle

Data dominated by SNO:

$$\langle P(\nu_e \rightarrow \nu_e) \rangle = 0.357 \pm 0.030.$$

Theory: at largest energies

$$P(\nu_e \rightarrow \nu_e) \simeq |\langle \nu_2 | \nu_e \rangle|^2 = \sin^2 \theta.$$

Small correction due to

$$\nu_e(\text{center of sun}) \neq \nu_2 :$$

$$\langle P(\nu_e \rightarrow \nu_e) \rangle \approx 1.15 \sin^2 \theta$$

So:

$$\tan^2 \theta = 0.45 \pm 0.05$$

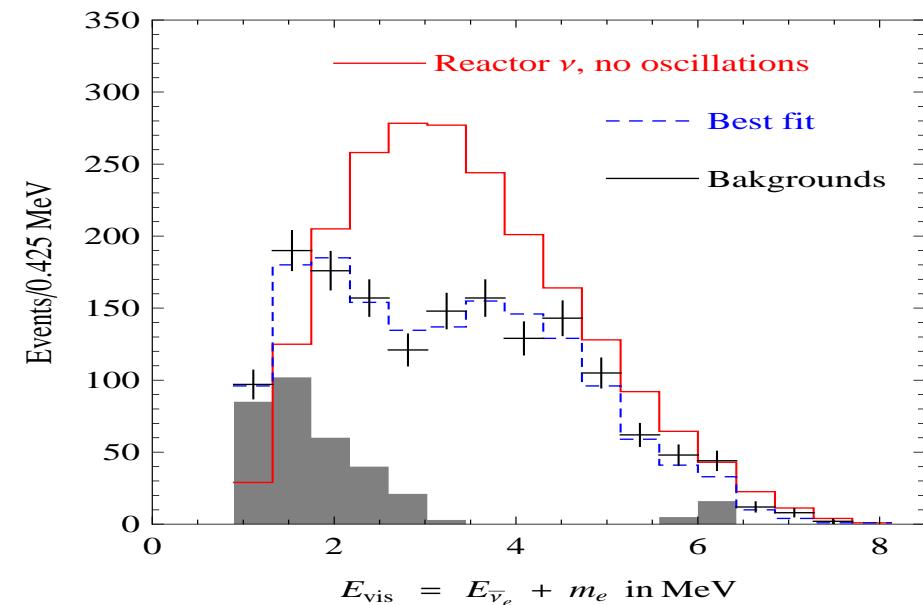
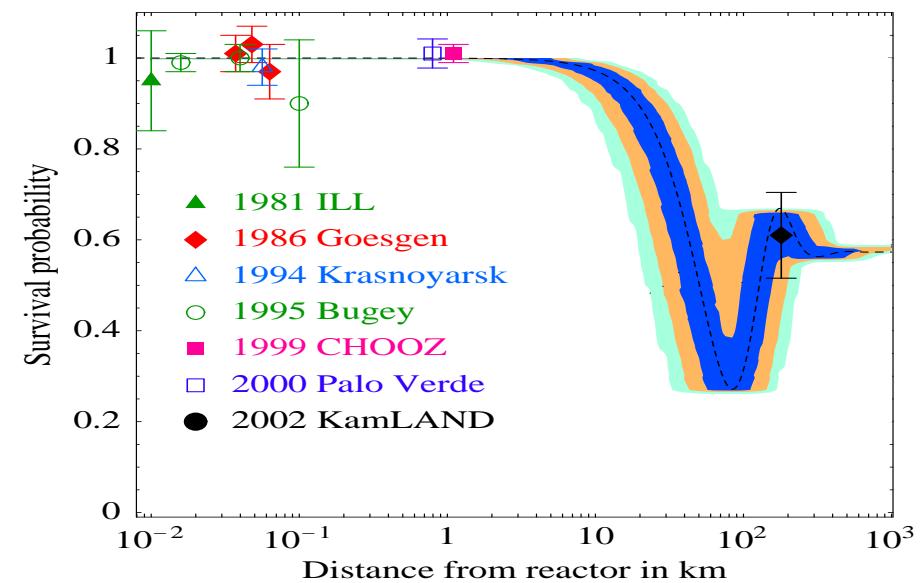
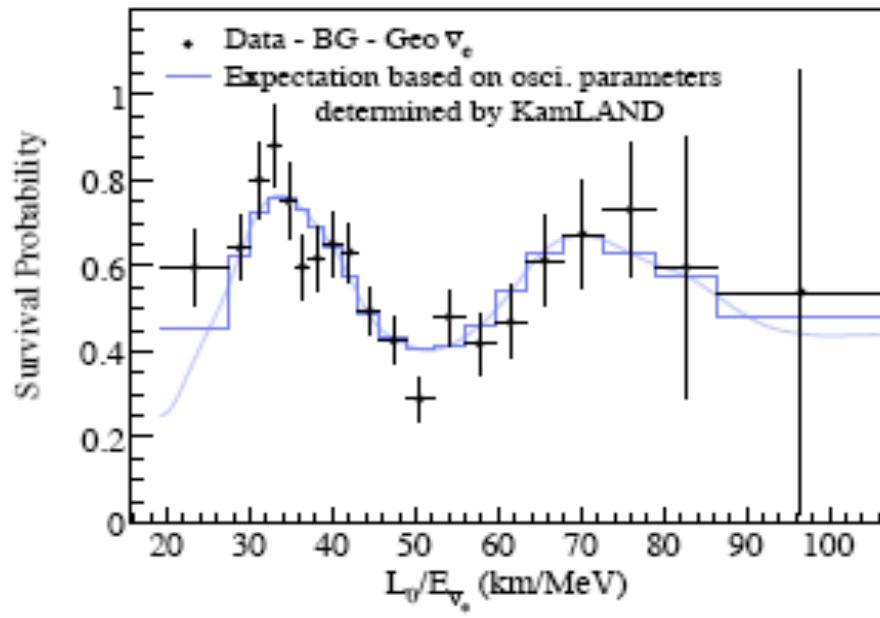
Global fits needed to check if all the rest is consistent... and for movies

# KamLAND

素晴らしい結果

Čerenkov scintillator that detects  $\bar{\nu}_e$  from terrestrial (japanese) reactors using  $\bar{\nu}_e p \rightarrow \bar{e} n$

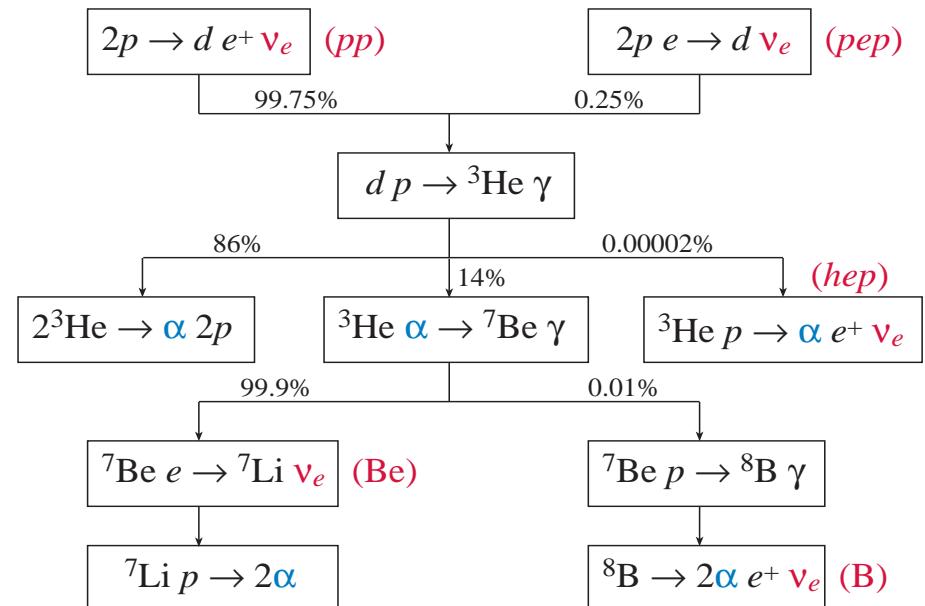
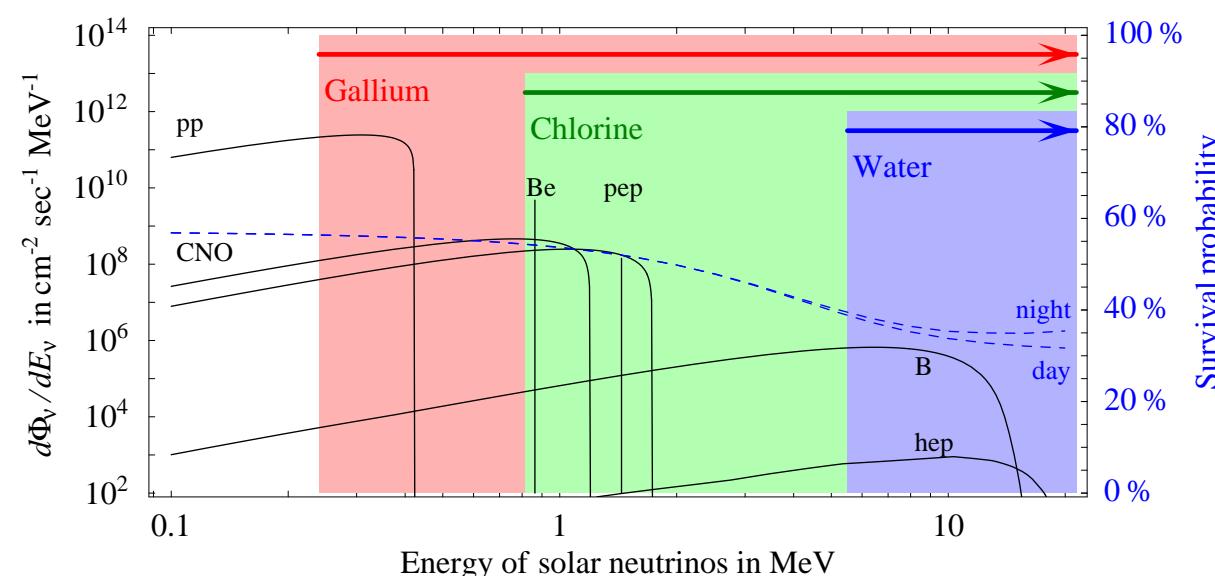
- Delayed  $\bar{e}n$  coincidence:  $\sim$  no bck (geo $\bar{\nu}_e$  background at  $E_{\text{vis}} < 2.6 \text{ MeV}$ )
  - 1609 events seen,  $2179 \pm 89$  expected**
  - Most reactors at  $L \sim 180 \text{ km}$ .
- $E_{\bar{\nu}} \ll m_p$ :  $E_{\bar{\nu}} \approx E_e + m_n - m_p$ :
- $L/E$  distortion seen at  $5\sigma$**



# Solar $\nu$ fluxes

The sun shines as  $4p + 2e \rightarrow {}^4\text{He} + 2\nu_e$  ( $Q = 26.7 \text{ MeV}$ ).

Proceeds in steps giving a complex  $\nu$  spectrum



- $pp$ : energy  $< 0.42 \text{ MeV} \sim 2m_p - m_d - m_e$ : too small for most experiments.  
Precisely known flux  $\Phi \sim 2K_\odot/Q \sim 6.5 \cdot 10^{10}/\text{cm}^2\text{s}$ .  
Vacuum oscillations:  $P(\nu_e \rightarrow \nu_e) = 1 - \frac{1}{2} \sin^2 2\theta$ .
- $B$ : highest energy, small flux predicted to  $\pm 20\%$ .  
Adiabatic MSW resonance:  $P(\nu_e \rightarrow \nu_e) = \sin^2 \theta$ .

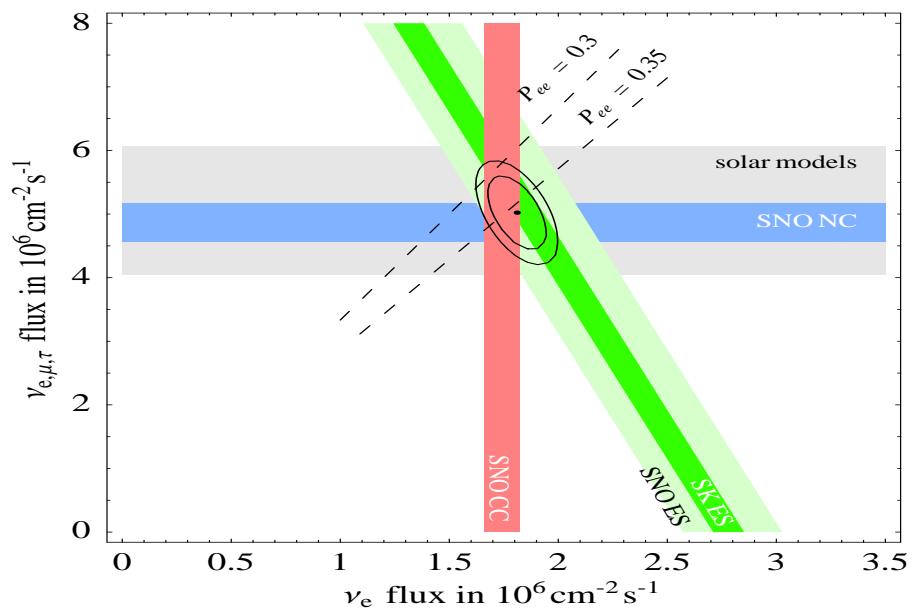
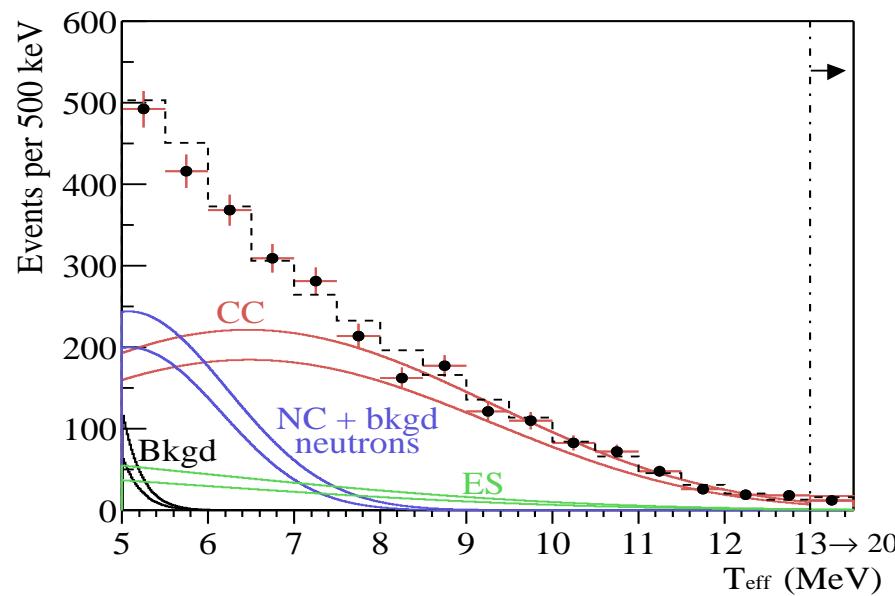
# SNO

Čerenkov detector similar to SK (smaller, cleaner) with  $\text{H}_2\text{O} \rightarrow \text{D}_2\text{O}$

$$\text{CC} + \frac{1}{6}\text{NC} : \nu e \rightarrow \nu e$$

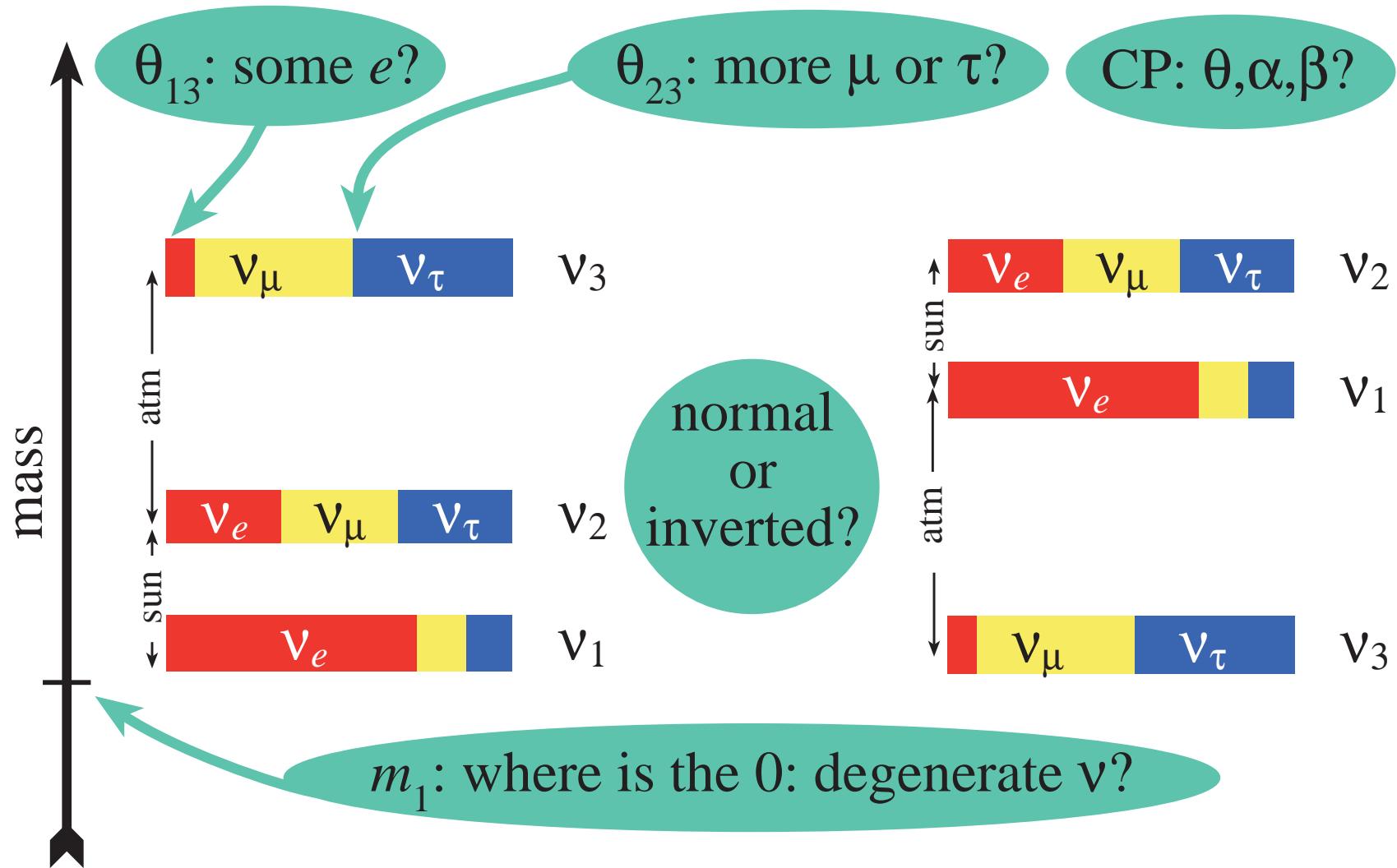
$$\text{CC} : \nu_e d \rightarrow p p e$$

$$\text{NC} : \nu d \rightarrow \nu p n$$

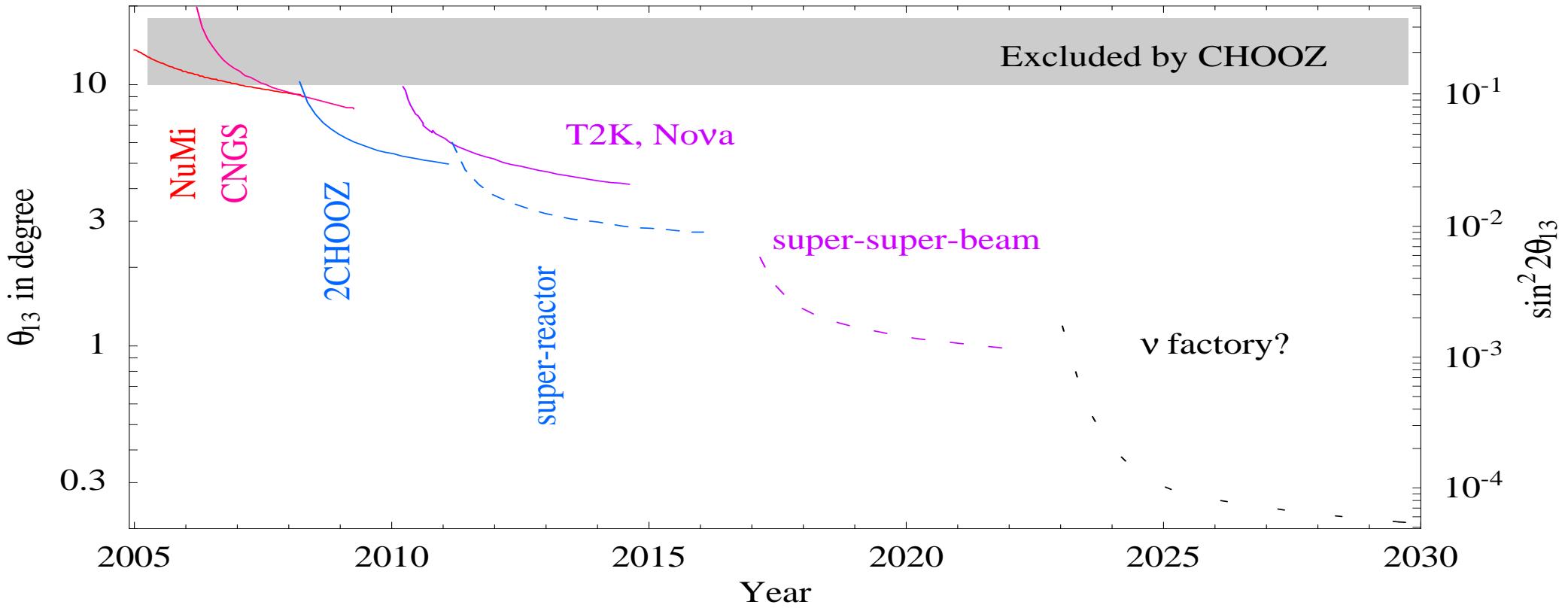


**More oscillations?**

# Known unknowns



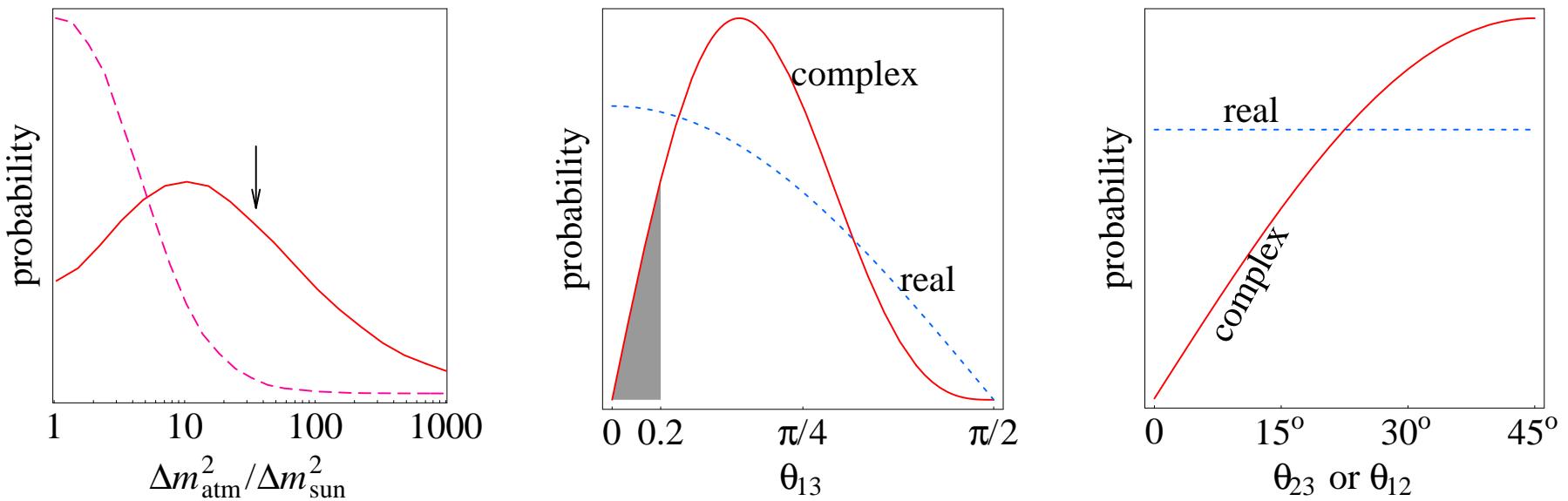
# Sensitivity to $\theta_{13}$



Experiment	from	to	baseline	beam	$\nu$ energy in GeV	off-axis	start
K2K	KEK	Kamioka	250 km	$\nu_\mu$	$\sim (0.5 \div 2)$	0	1999
NuMi	FermiLab	Soudan	735 km	$\nu_\mu$	$\sim (2 \div 10)$	0	2005
CNGS	CERN	Gran Sasso	730 km	$\nu_\mu$	$\sim (5 \div 30)$	0	2006
T2K	JPARC	Kamioka	295 km	$(\bar{\nu})_\mu$	$\approx (0.3 \div 1.3)$	$2^\circ$	2008
NO $\nu$ A	FermiLab	Ash river	810 km	$(\bar{\nu})_\mu$	$\approx (1 \div 3)$	$0.8^\circ$	2010
Supernova	Milky Way	Earth	10 kpc	all	$\approx 0.03$	—	20??

# Predictions for $\theta_{13}$

- If all is random  $\mathcal{O}(1)$ ,  $P(\theta_{13}) \propto \theta_{13}^2$  (like latitude, unlike longitude)



- GUT:  $\theta_{13} \gtrsim \sqrt{\frac{m_e}{2m_\mu}} \approx 3^\circ$ .
- Commonsense:  $\theta_{13} \gtrsim \theta_{\text{sun}} \sqrt{\frac{\Delta m_{\text{sun}}^2}{\Delta m_{\text{atm}}^2}} \sim 6^\circ$ .
- Zerology:  $\theta_{13} \simeq \frac{1}{2} \sqrt{\frac{\Delta m_{\text{sun}}^2}{\Delta m_{\text{atm}}^2}} \sin 2\theta_{12} \tan \theta_{23} = 4.8^\circ (1 \pm 0.1)$ , etc, etc.

# Oscillations for 2CHOOZ

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \simeq 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{13}^2 L}{4E_\nu}$$

# Oscillations for K2K, NuMi, CNGS

$$P(\nu_\mu \rightarrow \nu_\mu) \simeq 1 - \sin^2 2\theta_{23} \sin^2 \delta$$

$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-r)\delta)}{(1-r)^2}$$

atmospheric phase

$$\delta = \frac{\Delta m_{13}^2 L}{4E_\nu} \sim 1$$

Earth matter effects

$$r \equiv \frac{2\sqrt{2}G_F N_e E_\nu}{\Delta m_{13}^2} \sim \frac{E_\nu}{10 \text{ GeV}}$$

# Oscillations for long baseline exps

Compute  $P_{ij} = |\exp(-itH_{\text{eff}})|_{ij}^2$  treating  $\Delta m_{12}^2$  and  $\theta_{13}$  as perturbation

$$\varepsilon \equiv \frac{\Delta m_{12}^2}{\Delta m_{13}^2} \approx \pm 0.04 \quad \varepsilon' \equiv \sin 2\theta_{13} \lesssim 0.2.$$

over the large atmospheric  $\mu \leftrightarrow \tau$  and earth matter effects.

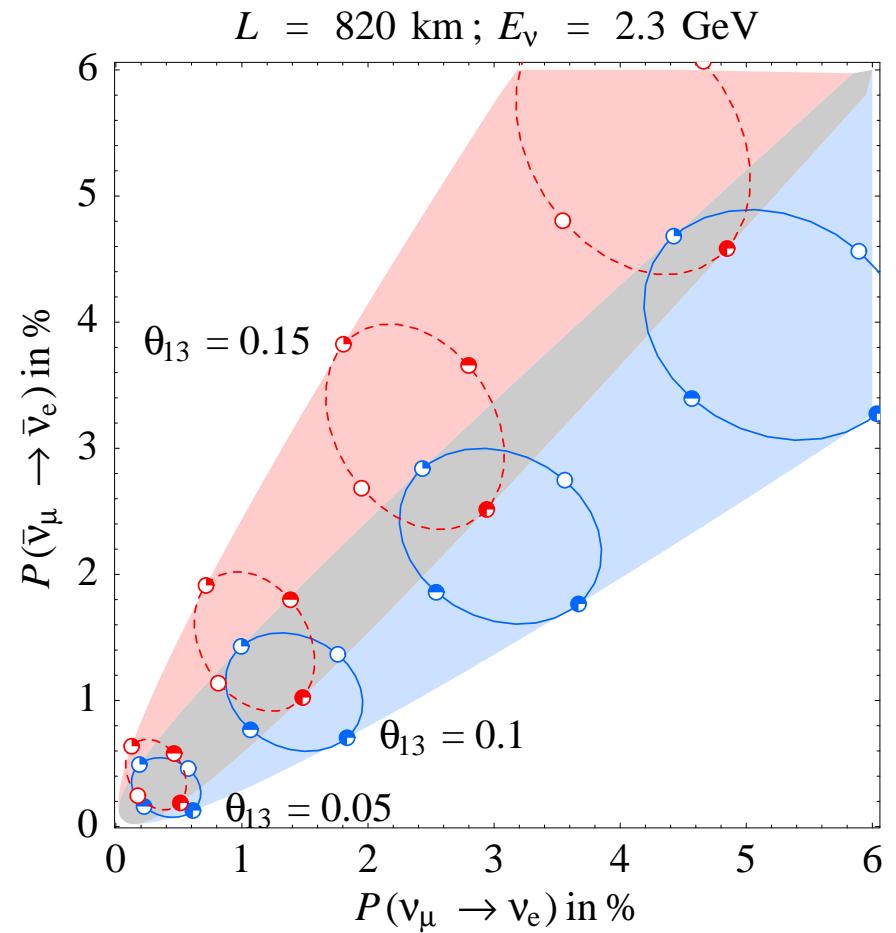
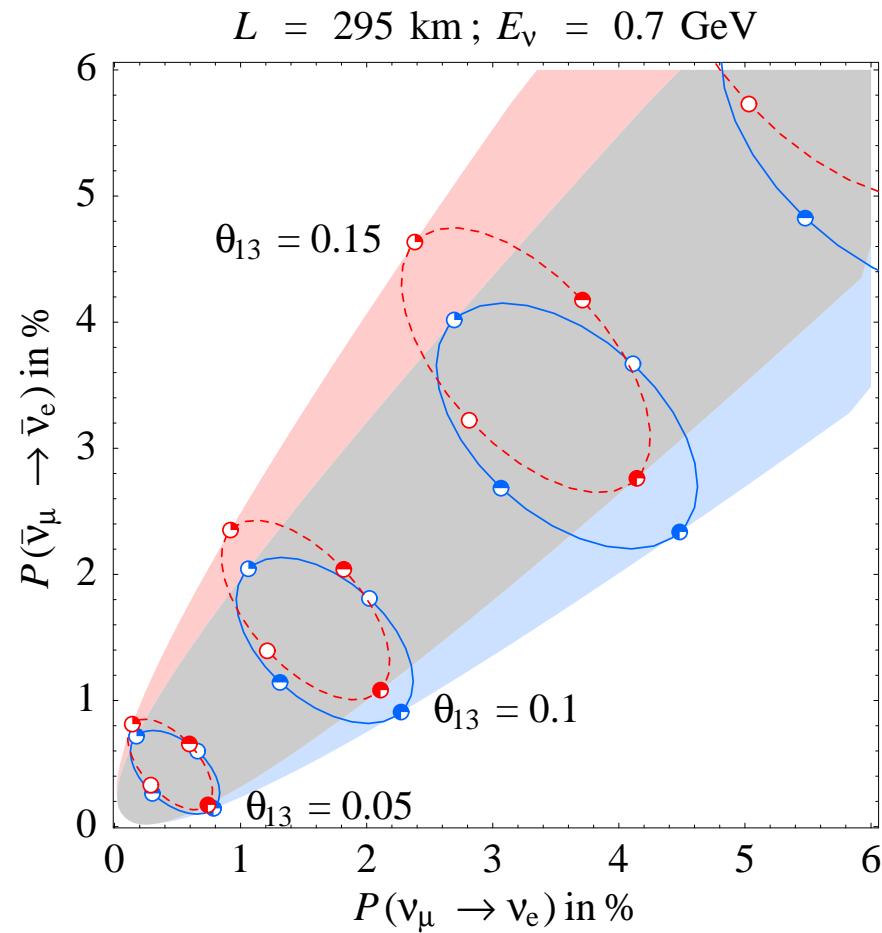
$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) \simeq & \varepsilon^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(r\delta)}{r^2} + & \text{sun} \\ & + \varepsilon'^2 \sin^2 \theta_{23} \frac{\sin^2((1-r)\delta)}{(1-r)^2} + & \text{atm} \\ & + \varepsilon \varepsilon' \sin(2\theta_{12}) \sin(2\theta_{23}) \frac{\sin(r\delta) \sin((1-r)\delta)}{r(1-r)} \cos(\delta + \phi) & \text{interference} \end{aligned}$$

Swap  $r \rightarrow -r$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ . Swap  $\delta \rightarrow -\delta$  for  $P(\nu_e \rightarrow \nu_\mu)$ . Both for  $P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)$ .

- Matter effects give a fake CP asymmetry. (No fake T for constant matter).
- $\sin(r\delta) = 0$  i.e. only atm at the ‘magic baseline’  $L = \sqrt{2}\pi/G_F N_e \approx 7400$  km.

# T2K

# NO $\nu$ A



Observables as function of  $\theta_{13}$  and  $\phi$  for [normal](#) and [inverted](#) hierarchy.

T2K could kaizen into a MW  $p$ -driver and Mton ( $p$ -decay, LFV,  $d_\mu$ , atm, sun..)

# Why off-axis?

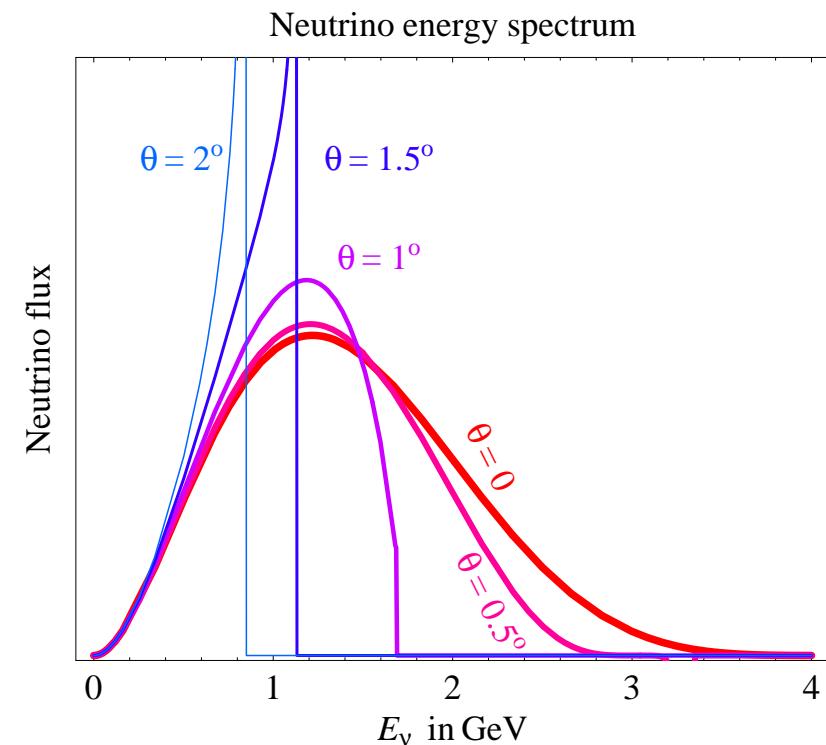
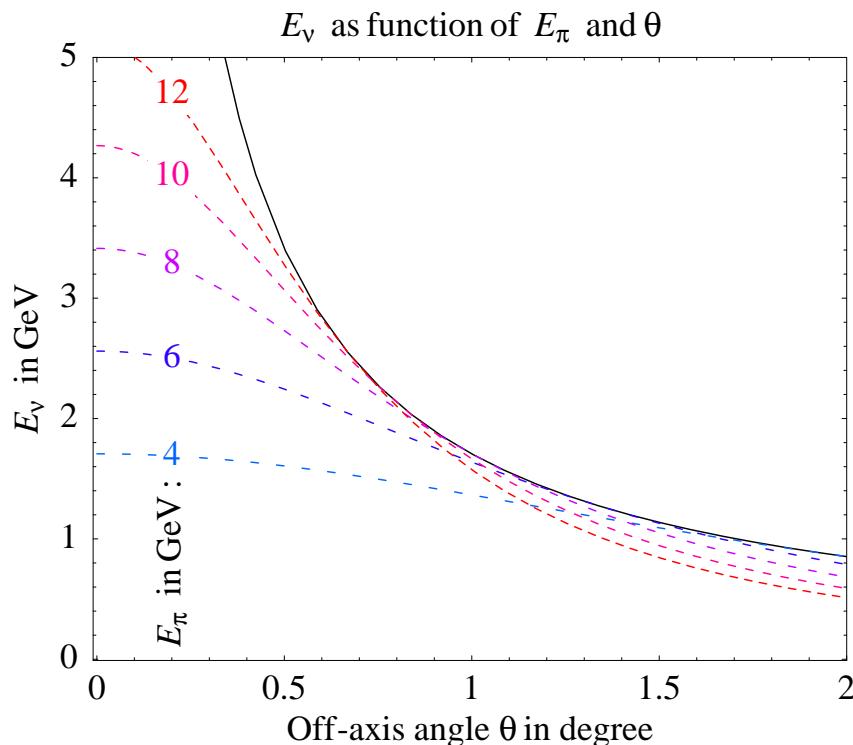
A  $\pi$  at rest decays as  $\pi \rightarrow \mu\nu_\mu$  so  $E_\nu^{\text{CM}} = 30 \text{ MeV}$ . Boosting, at  $E_\pi \gg m_\pi$ :

$$E_\nu = \frac{2E_\nu^{\text{CM}} E_\pi m_\pi}{m_\pi^2 + E_\pi^2 \tan^2 \theta} \leq \frac{30 \text{ MeV}}{\tan \theta} \equiv E_{\text{max}}$$

Indeed

$$E_\nu \simeq p_\nu^\parallel = \frac{p_\nu^\perp}{\tan \theta} = \frac{E_\nu^{\text{CM}} \sin \theta^{\text{CM}}}{\tan \theta} \leq \frac{30 \text{ MeV}}{\tan \theta}$$

So going off-axis by  $\theta$  one has a roughly monochromatic beam at  $E_\nu \approx E_{\text{max}}$ .



# Neutrino masses

# How to detect $m_\nu \gtrsim \sqrt{\Delta m_{\text{atm}}^2} \approx 0.05$ eV?

Some techniques are almost there; improvements are not easy

	<b>Astrophysics</b>	<b>Cosmology</b>	<b><math>\beta</math> decay</b>	<b><math>0\nu 2\beta</math></b>
Signal	Time delay from supernova	LSS and CMB: reduced $P(k)$	End-point spectrum	Electrons with $E_{ee} = Q$ -value
Needs	—	Simple cosmology	—	Majorana
Measures	$\Delta m_\nu$	$\sum m_\nu$	$(m^\dagger m)_{ee}^{1/2}$	$m_{ee}$
Today	< 20 eV	< 0.3 eV	< 2 eV	< 0.4 $h$ eV
From	SN1987A	MAP, SDSS, Ly	Mainz, Troitsk	HM, Igex, Cuoric
Implies	$m_\nu < 20$ eV	$m_\nu \lesssim 0.1$ eV	$m_\nu \lesssim 2$ eV	$m_\nu/h \lesssim 1$ eV
Future	eV	0.03 eV	0.2 eV	0.05 eV
If normal	too small	(51 ÷ 66) meV	(4.6 ÷ 10) meV	(1.1 ÷ 4.5) meV
If inverted	too small	(83 ÷ 114) meV	(42 ÷ 57) meV	(12 ÷ 57) meV

Constraints and predictions at 99% C.L.

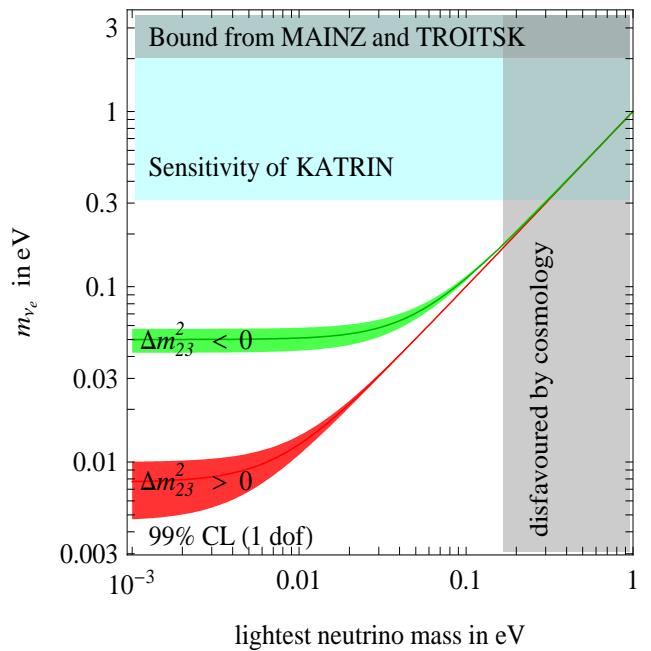
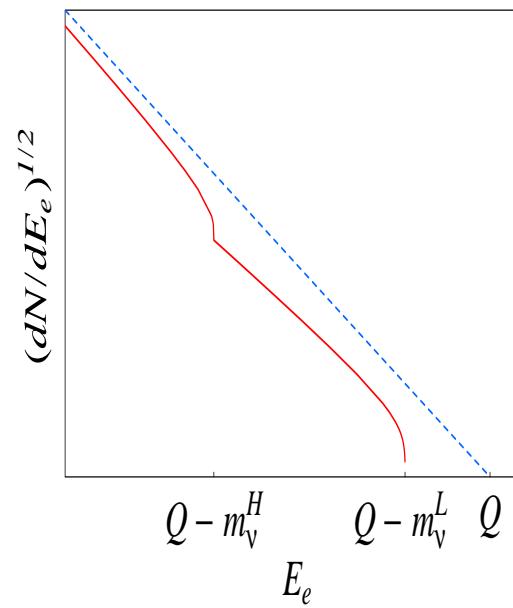
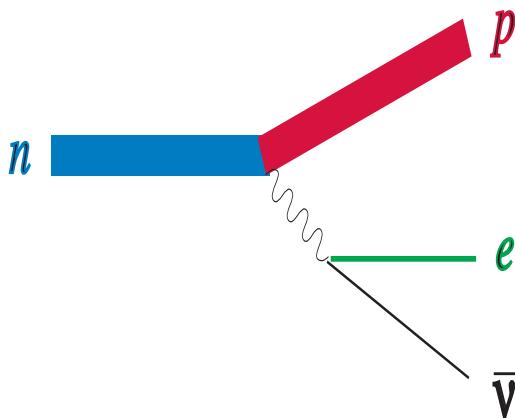
# $\beta$ decay

**Normal  $\beta$  decay:**  $m_\nu$  affects end-point of



by reducing the phase space  $\propto E_\nu p_\nu$ :

$$\frac{dN_e}{dE_e} = F \cdot \sum_i |V_{ei}|^2 (Q - E_e) \sqrt{(Q - E_e)^2 - m_i^2} \approx F(Q - E_e) \sqrt{(Q - E_e)^2 - m_{\nu_e}^2}$$

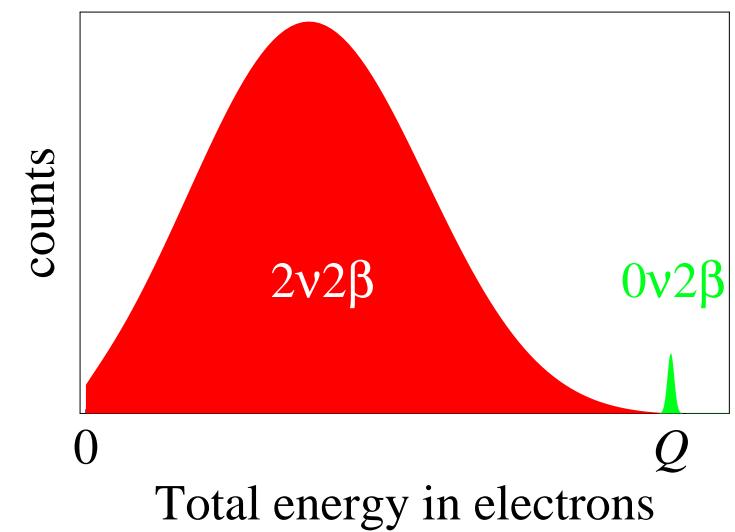
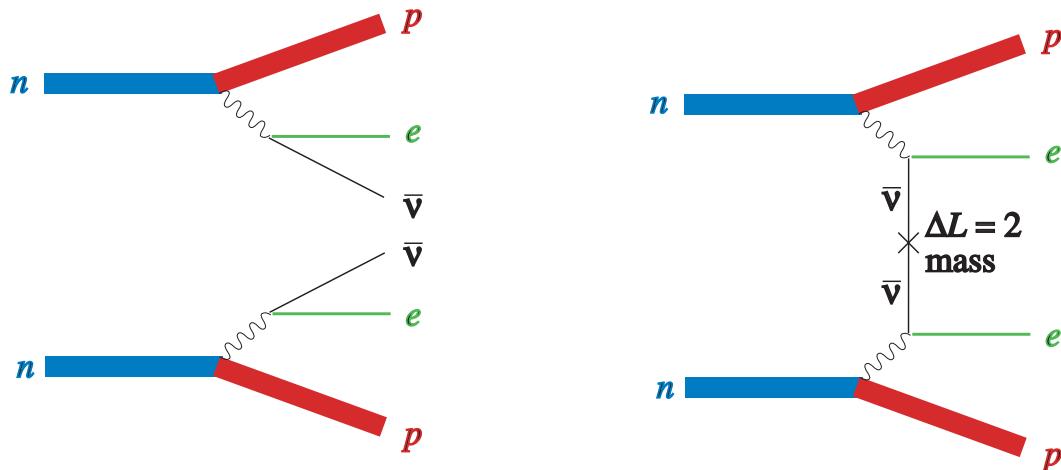


# $2\nu 2\beta$ and $0\nu 2\beta$ decay

**Double  $\beta$  decay:**  $^{76}_{32}\text{Ge}$  cannot  $\beta$ -decay to  $^{76}_{33}\text{As}$  that is heavier, so it  $\beta\beta$  decays

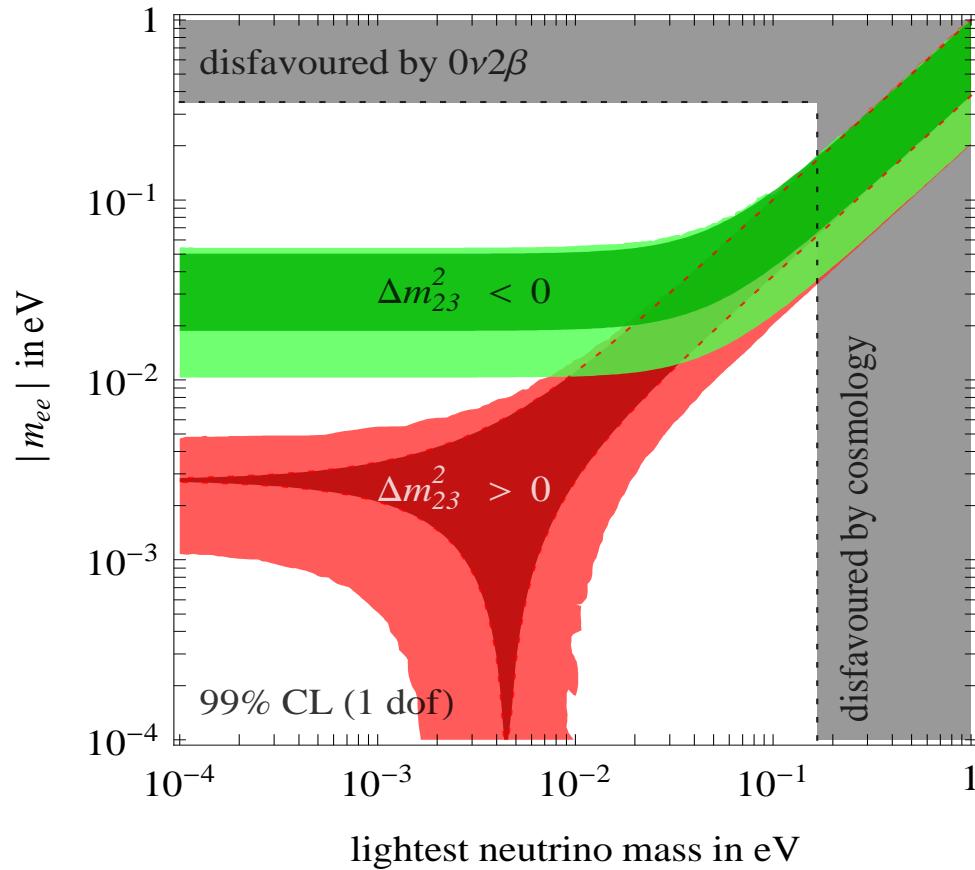
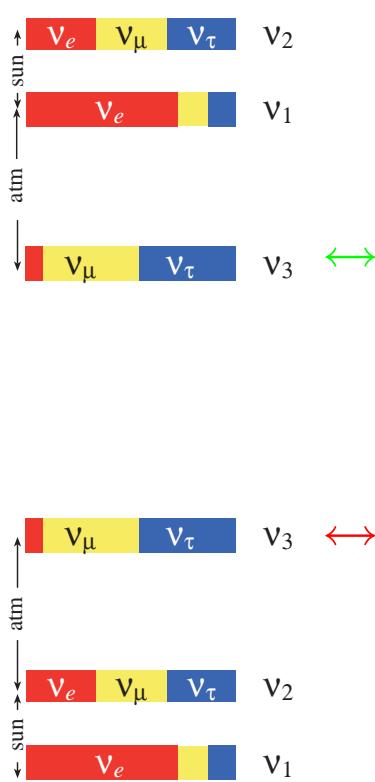
$$\tau(^{76}_{32}\text{Ge} \rightarrow ^{76}_{34}\text{Se} e e \bar{\nu}_e \bar{\nu}_e) \sim 10^{21} \text{ yr} \quad \text{with } Q = 2038.6 \text{ keV}$$

**Neutrino-less double  $\beta$  decay:** rate =  $|m_{ee}|^2 \times$  uncertain nuclear physics.



# Predictions for $0\nu2\beta$

$$|m_{ee}| = \left| \sum_i V_{ei}^2 m_i \right| = \left| \cos^2 \theta_{13} (m_1 \cos^2 \theta_{12} + m_2 e^{i\alpha} \sin^2 \theta_{12}) + m_3 e^{i\beta} \sin^2 \theta_{13} \right|$$



The  $|m_{ee}|$  range restricts to the darker regions if we assume present best-fit values of  $\Delta m^2, \theta$  with zero errors ( $\theta_{13} = 0$ ).

Future  $0\nu2\beta$  experiments should test degenerate and inverted neutrinos.

# Cosmology

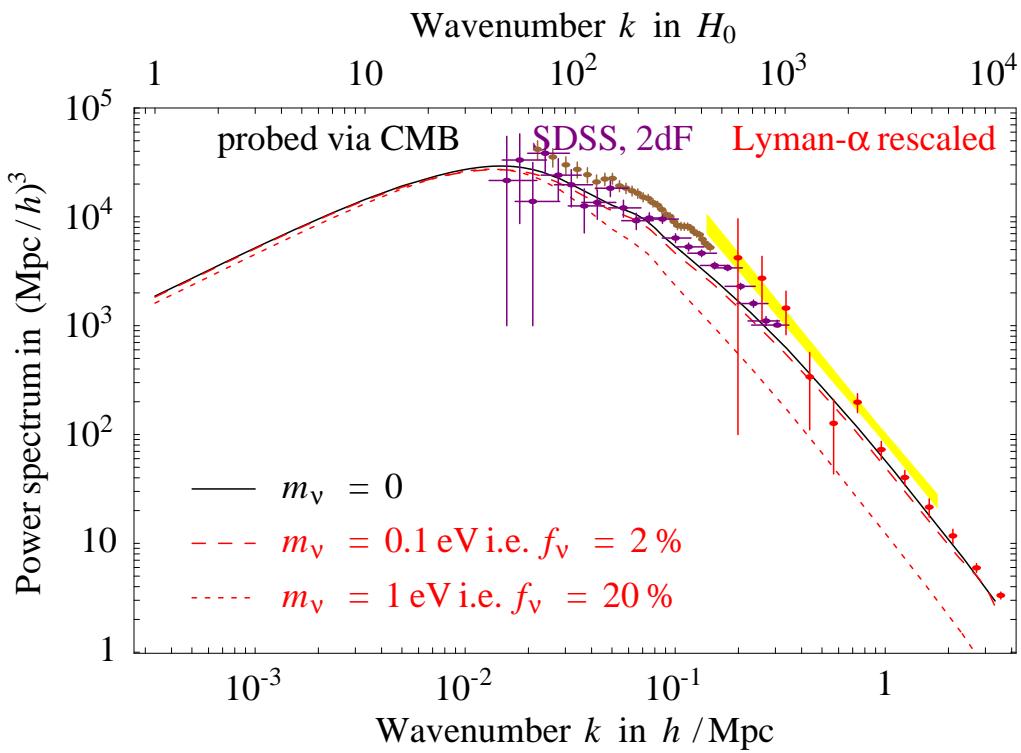
Neutrinos suppress clustering in way which depends on  $m_\nu$  because:

- Heavier neutrinos contribute more
- Lighter neutrinos travel more.

Growth of  $\delta_{\text{DM}} \equiv \delta\rho_{\text{DM}}/\rho_{\text{DM}}$  during matter domination: Newton equation

$$\ddot{\delta}_{\text{DM}} + 2H\dot{\delta}_{\text{DM}} = 4\pi G_N \delta\rho_{\text{tot}} \quad \delta\rho_{\text{tot}} \simeq \delta\rho_{\text{DM}} = (1 - f)\rho_{\text{tot}} \cdot \delta_{\text{DM}}$$

neutrino fraction  $f = \rho_\nu/\rho_{\text{DM}} = (\sum m_\nu/94 \text{ eV})/0.23$ . Solution:



$$\frac{\delta_{\text{DM}}(\text{now})}{\delta_{\text{DM}}(T \approx m_\nu)} = \left( \frac{m_\nu}{3 \text{ K}^\circ} \right)^p \quad p = 1 - \frac{3}{5}f$$

Power spectrum:

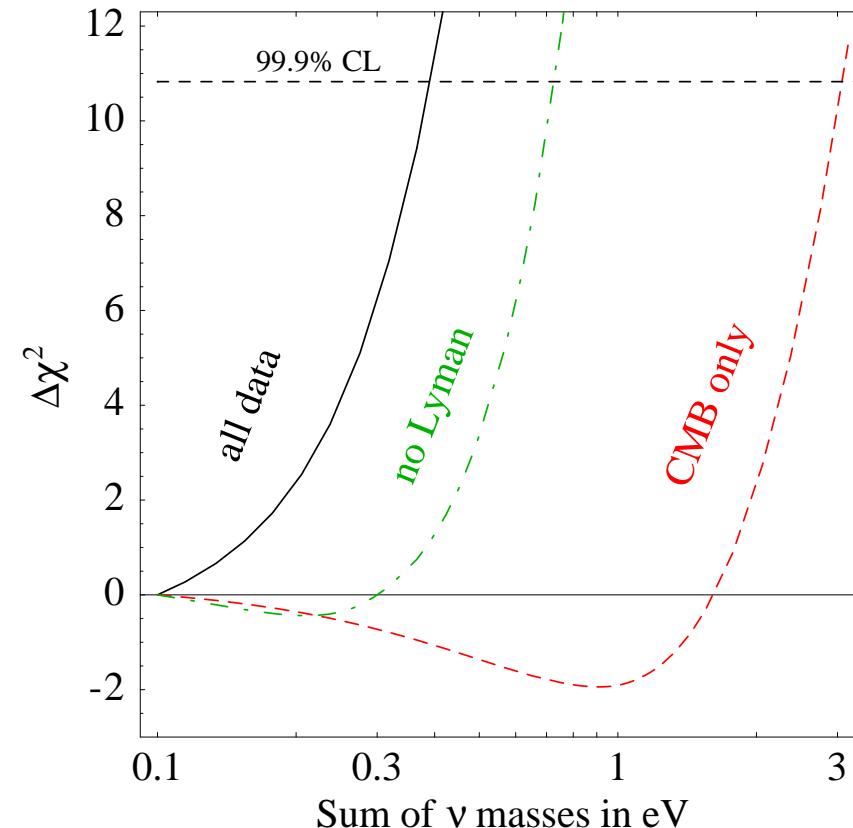
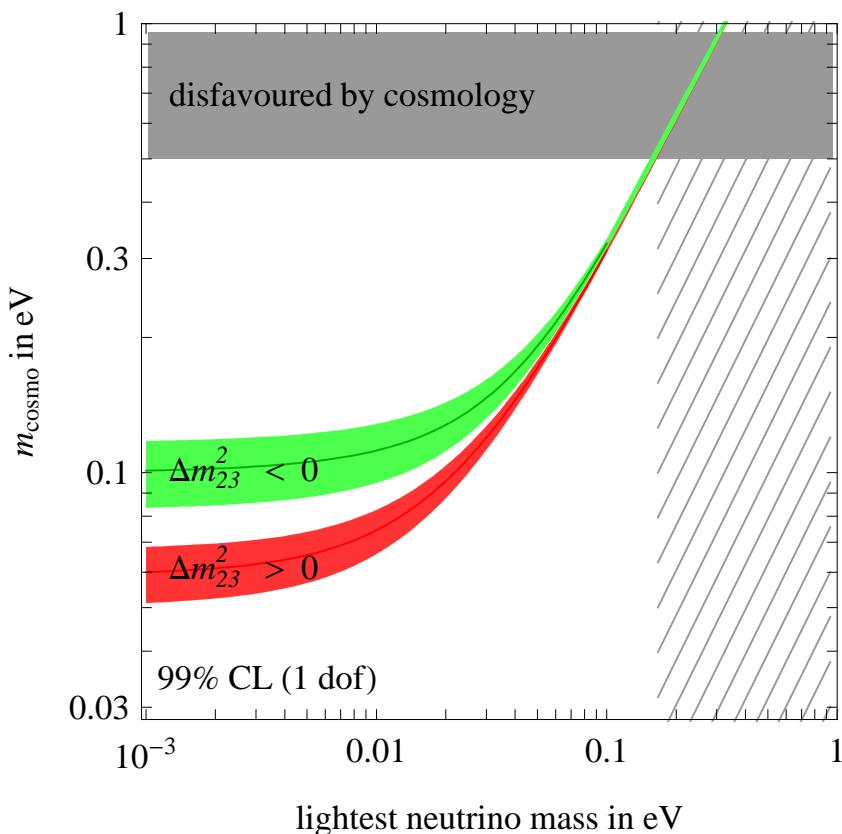
$$\frac{P(m_\nu, k)}{P(0, k)} \approx \begin{cases} 1 & k \lesssim k_{\text{NR}} \\ (k_{\text{NR}}/k)^{4-4p} & k_{\text{NR}} \lesssim k \lesssim k_0 \\ (k_{\text{NR}}/k_0)^{4-4p} & k \gtrsim k_0 \end{cases}$$

$$k_{\text{NR}} = k_{\text{Jeans}}(T = m_\nu) \approx 60 H_0 \sqrt{m_\nu / \text{eV}}$$

$$k_0 = k_{\text{Jeans}}(T = 3 \text{ K}^\circ) \approx 5000 H_0 (m_\nu / \text{eV})$$

# Present status

CMB demands  $N_\nu = 4.4 \pm 1.5$  freely streaming neutrinos, with mass  $m_\nu \lesssim 0.5$  eV  
 Large scale structures suggest  $m_\nu \lesssim 0.2$  eV (Lyman- $\alpha$ ? bias? Primordial tilt?)



Future: **weak lensing** (of CMB or of 10<sup>7</sup> galaxies) should allow to reach 0.05 eV, to directly measure δ<sub>DM</sub> and its growth. Precise and safe!

# Testing origin of $\nu$ masses

# Behind neutrinos

Surely we saw violation of **lepton flavour** (absent in SM),  
*likely due to oscillations* induced by **neutrino masses** (absent in SM),  
presumably of **Majorana** type ( $\Delta L = 2$ :  $\mathcal{L} = \mathcal{L}_{\text{SM}} + (LH)^2/\Lambda_L$ ),  
maybe induced by new physics **around  $10^{14}$  GeV** (see-saw?)...

first manifestation of a new scale in nature,  $\Lambda_L \sim 10^{14}$  GeV?

History: operators suppressed by the EW scale  $\mathcal{L} = \mathcal{L}_{\text{QED}} + (\bar{e}\nu)(\bar{p}n)/\Lambda_{\text{EW}}^2$   
first seen as  $\beta$  radioactivity by Rutherford in 1896. The SM, guessed in 1968,  
predicts operators in terms of 2 parameters, directly probed now at LEP, LHC.

Back to neutrinos: in next few  $\times 10$  yrs the 1st mostly experimental stage might  
be completed, seeing all 9  $(L_i H)(L_j H)$  operators accessible at low energy.

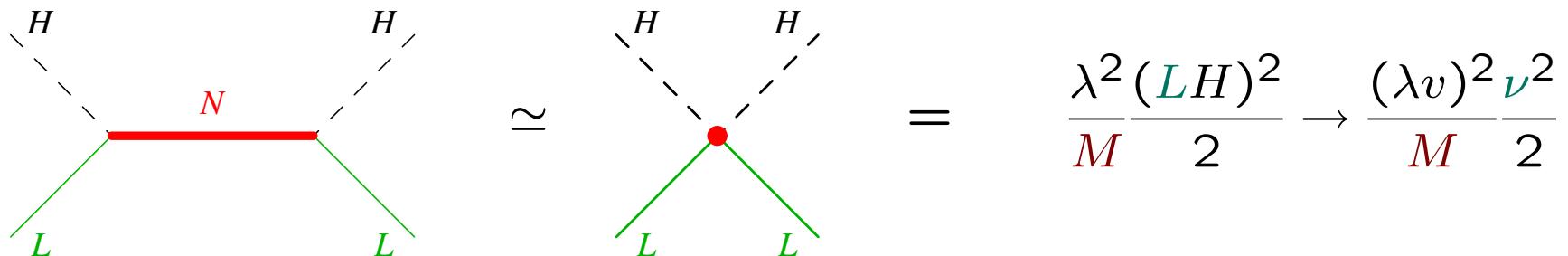
See-saw ‘predicts’ 9 Majorana  $\nu$  parameters in terms of 18 parameters. bad  
The physics behind  $m_\nu$  seems either too heavy or too weakly coupled. worse  
**Leptogenesis** or  $\mu \rightarrow e\gamma$  in SUSY-see-saw might give extra hints? hope...

# See-saw

Add neutral ‘right-handed neutrinos’  $N$ . The generic Lagrangian becomes

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{N} \partial N + M \frac{N^2}{2} + \lambda H \bar{L} N$$

Exchange of heavy  $N$  gives the dimension-5 neutrino mass operator:



More explicit: the neutrino mass matrix is

$$\begin{matrix} & \bar{\nu} & N \\ \bar{\nu} & 0 & \lambda v \\ N & \lambda v & M \end{matrix}$$



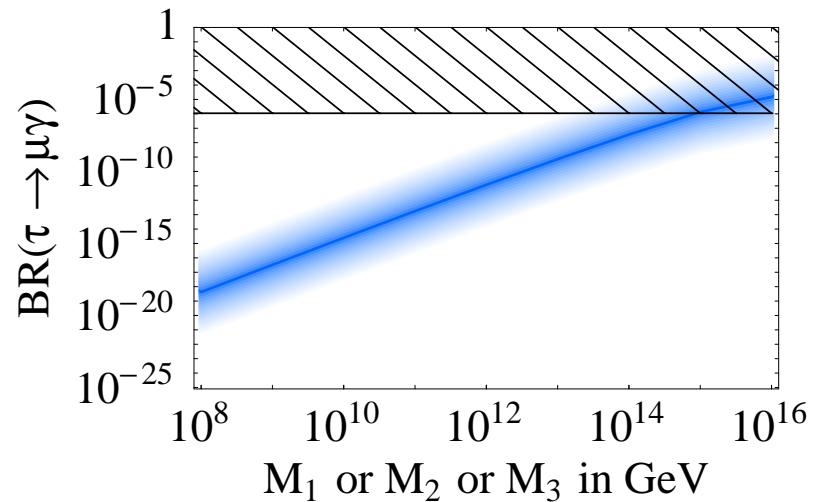
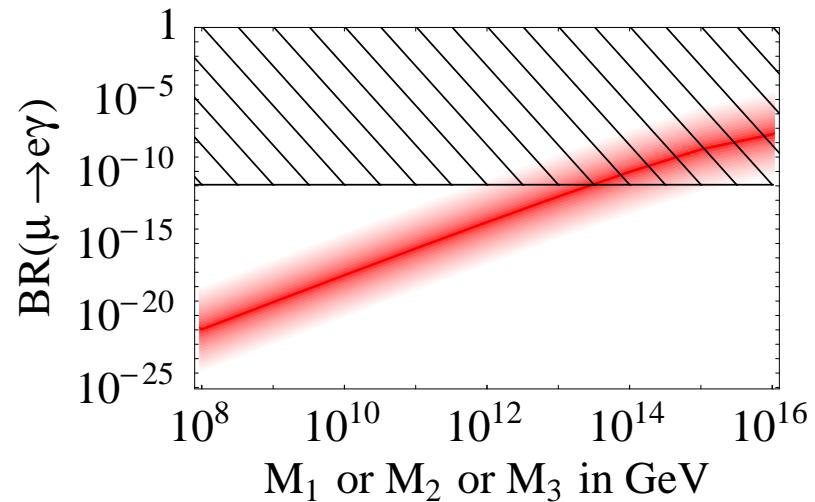
for  $M \gg \lambda v$  the eigenvalues are  $\simeq M$  and  $m_\nu \simeq (\lambda v)^2 / M$ .

# $\mu \rightarrow e\gamma$ from SUSY $\lambda_\nu$

In the SM  $\text{BR}(\mu \rightarrow e\gamma) \sim (m_\mu/\Lambda_L)^2 \sim 10^{-40}$ . **In SUSY see-saw quantum effects imprint LFV in slepton masses.** Starting from universal  $m_0^2$  at  $M_{\text{GUT}}$

$$m_{\tilde{L}}^2 = m_0^2 \mathbb{I} - \frac{3m_0^2}{(4\pi)^2} \lambda_\nu^\dagger \ln\left(\frac{M_{\text{GUT}}^2}{MM^\dagger}\right) \lambda_\nu + \dots$$

Even assuming large  $\nu$  mixings also in  $\lambda_\nu$  one gets loose predictions



because  $\text{BR}(\mu \rightarrow e\gamma) \sim 10^{-8} \lambda_\nu^4$  while  $m_\nu = \lambda_\nu^2 v^2 / M$  is measured.

# Baryogenesis

The universe contains  $\gamma$ ,  $e$ , baryons ( $p$ , Helium, Deuterium,  $\dots$ ), likely  $\nu$ . We understand why  $n_e = n_p$ , why  $n_{^4\text{He}}/n_p \approx 0.25$ , why  $n_{\text{D}}/n_p \approx 3 \cdot 10^{-5}$ ,  $\dots$ . We do not understand  $n_B/n_\gamma \sim 6 \cdot 10^{-10}$  i.e. why at  $T \approx m_p$  we survived as

$$1000000001 \frac{\text{protons}}{\text{pico-m}^3} - 1000000000 \frac{\text{anti-protons}}{\text{pico-m}^3}$$

Might be the initial condition, but suspiciously small or large (in inflation).

**Can a  $p/\bar{p}$  asymmetry can be generated dynamically from nothing?**

**Yes**, if 3 trivial Sacharov conditions are satisfied  
(his big achievement was realizing that it is an interesting question).

1. Baryon number  $B$  is violated
2. C and CP are violated  
(otherwise  $p$  and  $\bar{p}$  behave in the same way)
3. At some epoch the universe went out of equilibrium  
(CPT implies  $m_p = m_{\bar{p}}$  so that in thermal equilibrium  $n_p = n_{\bar{p}}$ )

# Leptogenesis

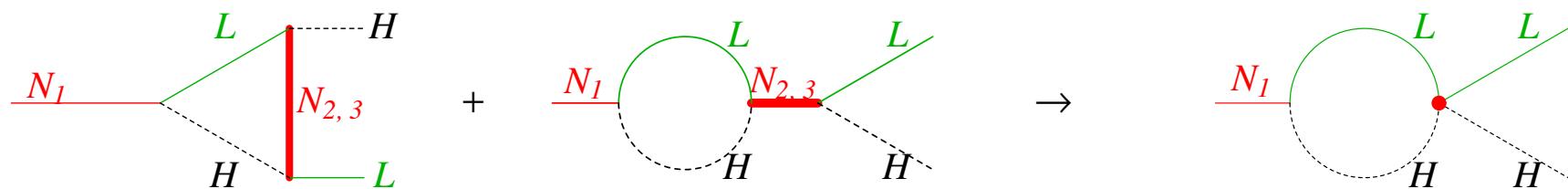
The trivial  $\nu_R$  produce not only  $m_\nu$  but also  $n_B$ .

See-saw with  $\nu_R$ :  $N_{1,2,3}$  with Yukawa  $\lambda_{1,2,3}$  and masses  $M_1 < M_2 < M_3$ .  
 $m_1 < m_2 < m_3$ :  $\nu_L$  masses.  $\tilde{m}_i \equiv \lambda_i^2 v^2 / M_i$  = ‘ $N_i$  contribution to  $\nu_L$  masses’.  
 Maybe  $\tilde{m}_1 = m_{\text{atm}}$  or  $\gtrsim m_{\text{sun}}$  or  $< m_{\text{sun}}$  or anywhere between 0 and  $\infty$ .

$N_1 \rightarrow HL$  decays violate CP ( $\varepsilon$ ) and proceed out of equilibrium ( $\eta$ ) generating

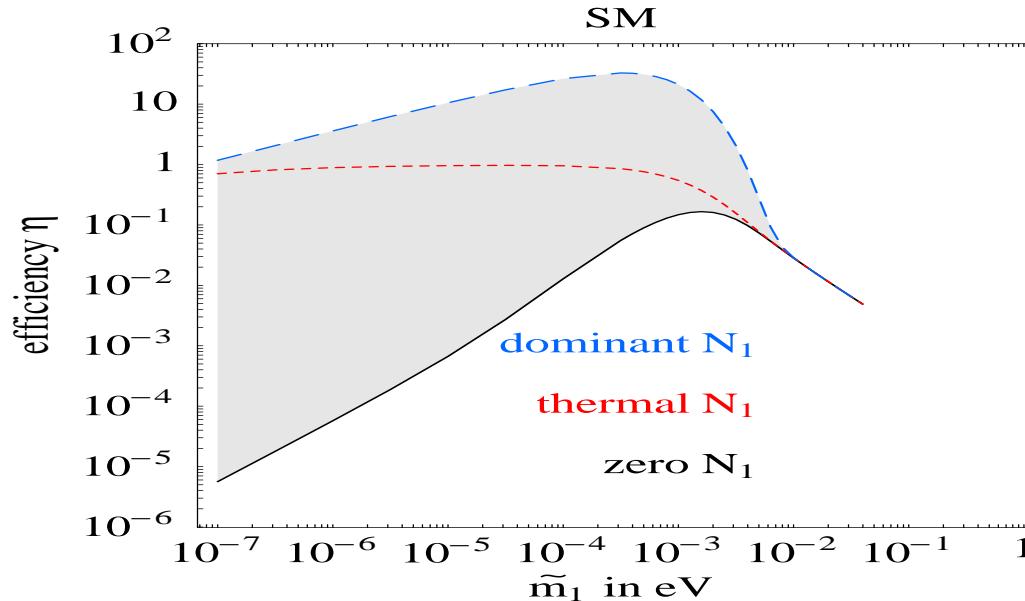
$$(6.15 \pm 0.25) \cdot 10^{-10} = \frac{n_B}{n_\gamma} \approx \frac{\varepsilon \eta}{100}$$

$$\varepsilon \simeq \frac{3}{16\pi} \frac{\tilde{m}_{2,3} M_1}{v^2} \sin \delta = 10^{-6} \frac{\tilde{m}_{2,3}}{0.05 \text{ eV}} \frac{M_1}{10^{10} \text{ GeV}} \sin \delta \quad M_{2,3} \gg M_1$$



$$\eta \text{ related to } \frac{H}{\Gamma_N} \sim \frac{m^*}{\tilde{m}_1} \text{ where } m^* \equiv \frac{256 \sqrt{g_*} v^2}{3 M_{\text{Pl}}} = 2.2 \cdot 10^{-3} \text{ eV}$$

# Leptogenesis



Result: 'optimal' at  $M_1 \sim 10^{10} \text{ GeV}$  (gravitino over-production in SUSY?)

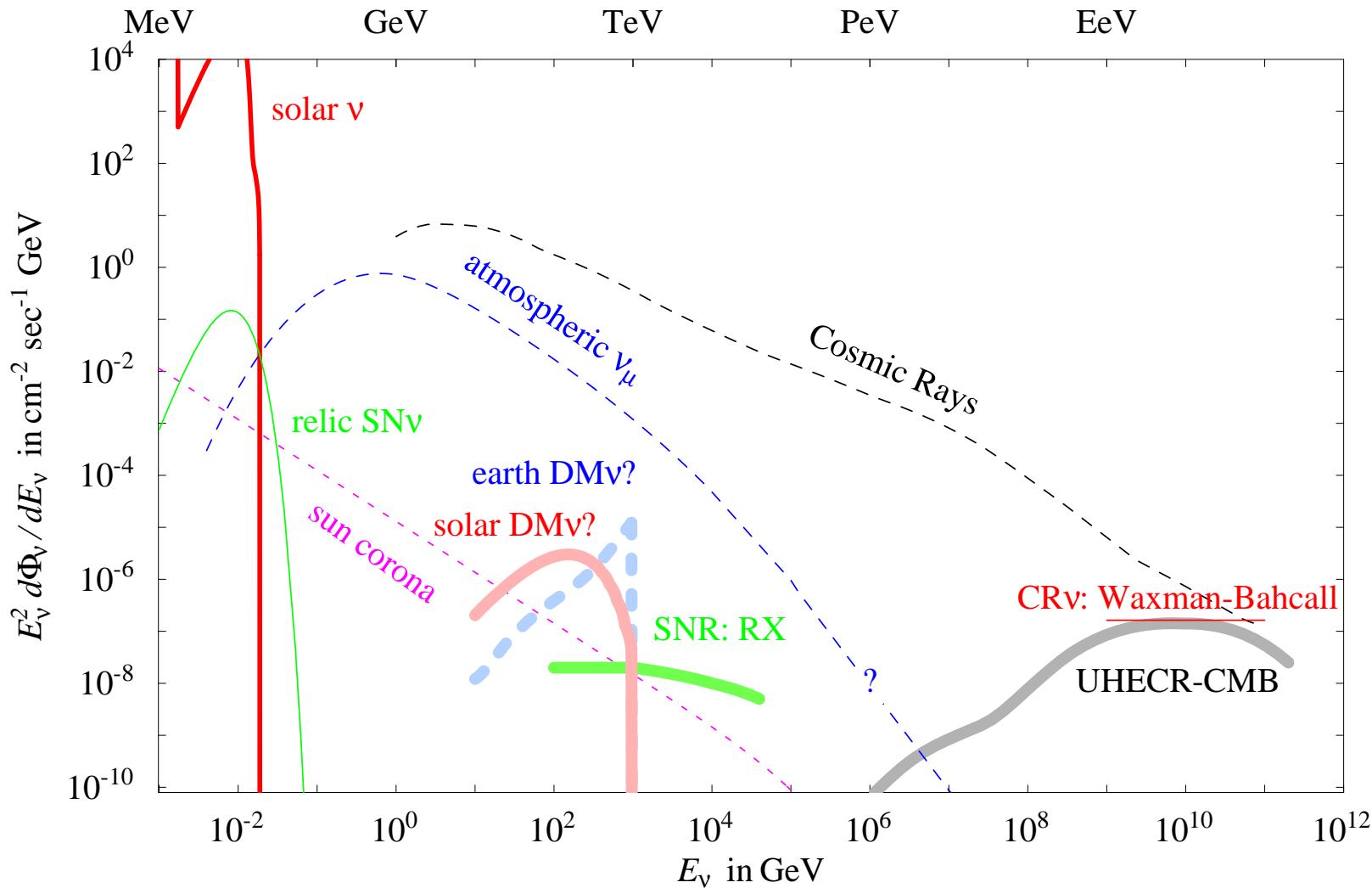
But no real bound or prediction. Not even in models with a single CP phase.

Too many flavour parameters. Hard to proceed without understanding it.

**UHE**

# Astrophysical $\nu$ sources

Plausible optimistic predictions, expectations, guesses, prejudices



Present bounds not shown are (of course!) somewhat above all unseen sources.

# Measuring $\sigma_{\nu N}$ at $\sqrt{s} = 1 \div 100$ TeV

If UHE $\nu$  are seen, their zenith-angle distribution tells  $\sigma$

Atmospheric  $\nu$  guarantee  $\sqrt{s} \lesssim$  TeV.

The thickness of the Earth is:

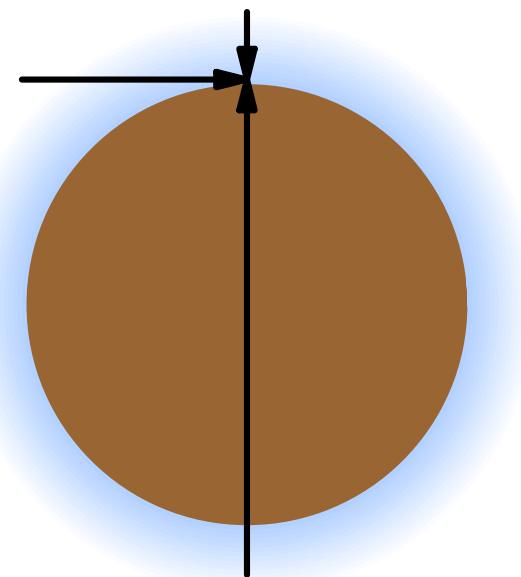
$$L_{\downarrow} = 0.01033 \text{ kmwe} = 1 \text{ Pascal}$$

$$L_{\rightarrow} = 0.36 \text{ kmwe}$$

$$L_{\uparrow} = 1.1 \cdot 10^5 \text{ kmwe}$$

Compare with the interaction length

$$L = 1.7 \cdot 10^4 \text{ kmwe} \frac{\text{nb}}{\sigma}$$



- CR interact in the upper atmosphere, so they have hadronic  $\sigma \approx$  barn
- UHE neutrinos have  $\sigma \approx 10$  nb in the SM, so  $L_{\rightarrow} \ll L \ll L_{\uparrow}$  and

$$N_{\downarrow} \ll N_{\rightarrow} \propto \sigma \quad N_{\uparrow} \propto 1/\sigma$$

# Neutrino cross sections at $E_\nu \gg 10$ TeV

The SM predicts, at transferred momentum  $t \sim m_N E_\nu \gg M_{W,Z}^2$ :

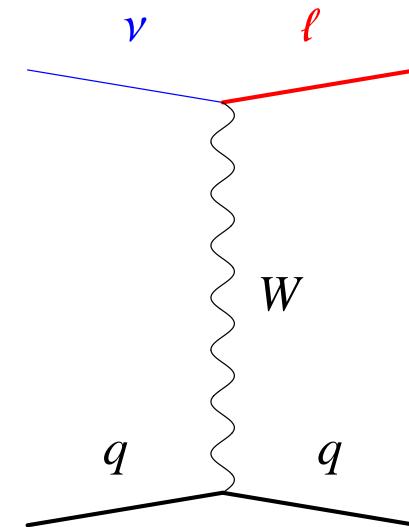
$$\sigma_{CC}(\nu N) \simeq \sigma_{CC}(\bar{\nu} N) \approx 28 \text{ nb} \left( \frac{E_\nu}{10^{10} \text{ GeV}} \right)^{0.40}$$

Why  $\sigma$  grows with  $E_\nu$ , while unitarity tells that it should decrease?

- $t$ -channel  $W$  exchange gives a Coulomb-like force,  $e^{-M_W r}/r$ , and thus a constant partonic

$$\hat{\sigma}_{CC}(\nu q) \sim g_2^4 / 32\pi M_W^2.$$

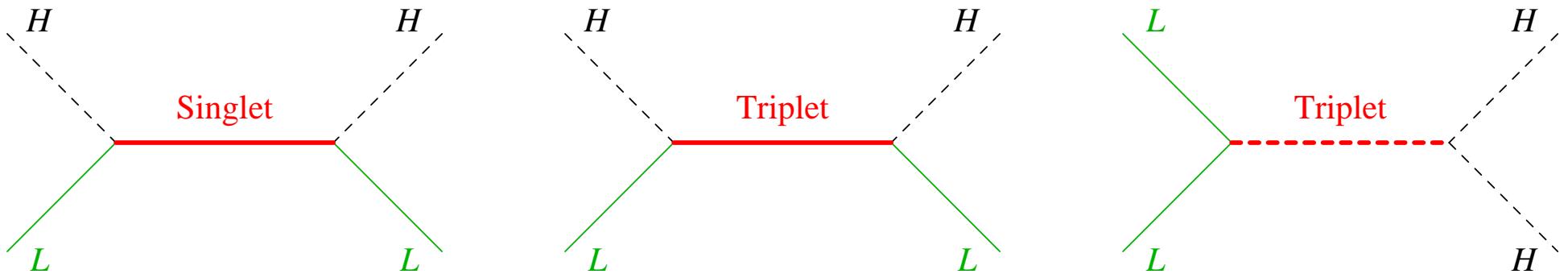
- Multiply  $\hat{\sigma}$  by the number  $N$  of partons with  $t \gg M_{W,Z}^2$  i.e. those that carry a fraction  $x \gtrsim M_{W,Z}^2/m_N E_\nu$  of the nucleon momentum. HERA and BFKL tell that  $N$  diverges as  $x \cdot q(x) \propto x^{-\beta}$  with  $\beta \approx 0.5$ , giving  $\sigma \propto E_\nu^\beta$ .



# **Neutrino masses and LHC?**

# See-saw: type I, II and III

3 kinds of particles with mass  $M$  can mediate neutrino masses at tree level:



LHC needs  $M \lesssim \text{TeV}$ . No motivation for that (anthropic leptogenesis?).  
For the singlet, the rate is anyhow 0, unless there are extra couplings.

# Scalar triplets at LHC

For the scalar triplet  $T = \{T^0, T^+, T^{++}\}$   $M \ll M_{\text{Pl}}$  opens a hierarchy problem.

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + |D_\mu T|^2 - M^2 |T|^2 + \frac{1}{2} (\lambda_L L L T + M \lambda_H H H T^* + \text{h.c.})$$

$m_\nu = \lambda_L \lambda_H v^2 / M$ . Production via gauge interactions,  $\sigma \sim \beta^3 g^4 / 4\pi s$ :

$$q\bar{q} \rightarrow W^\pm \rightarrow T^{\pm\pm} T^\mp, \quad q\bar{q} \rightarrow \gamma, Z \rightarrow T^+$$

Decays into predicted flavors:

$$\Gamma(T^{++} \rightarrow W^+ W^+) \approx \lambda_H^2 M / 4\pi$$

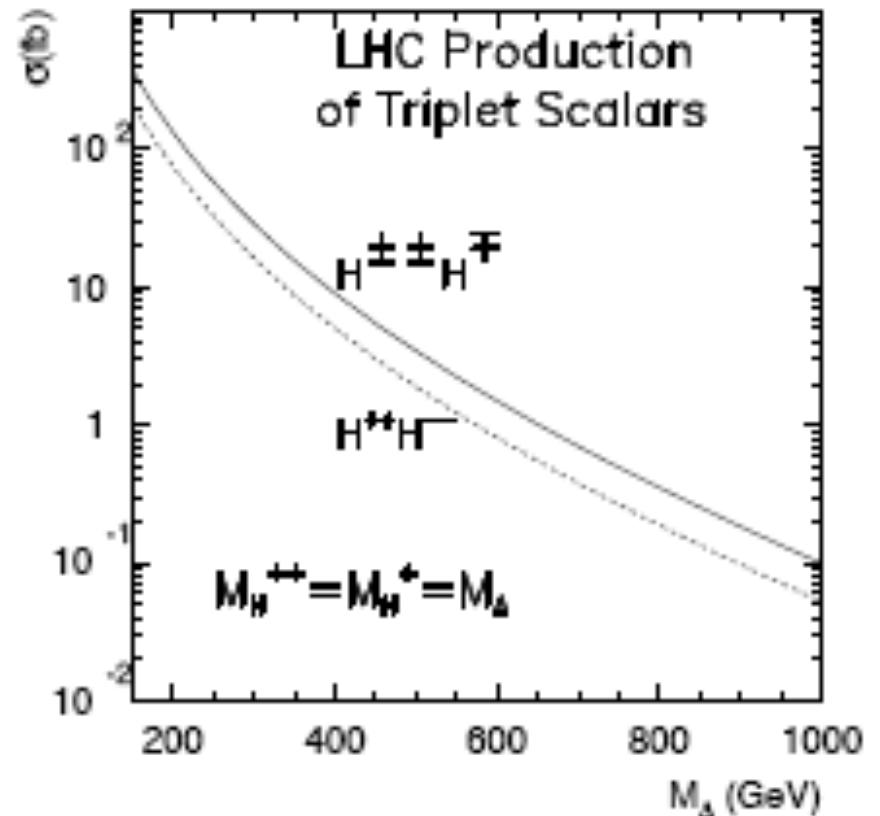
$$\Gamma(T^{++} \rightarrow \ell_1^+ \ell_2^+) \approx \lambda_L^2 M / 4\pi$$

Similar for  $T^+ \rightarrow W^+ Z$ ,  $\ell^+ \nu$  and

$$T^0 \rightarrow ZZ, \nu\nu$$

Lepton-number is violated only by both:

$$pp \rightarrow T^{++} T^{--} \rightarrow \ell_1 \ell_2 W^+ W^+$$



# Fermion triplets at LHC

Majorana  $N^0$  with Dirac  $N^\pm$

$$\tilde{m}_1 = \lambda^2 v^2 / M$$

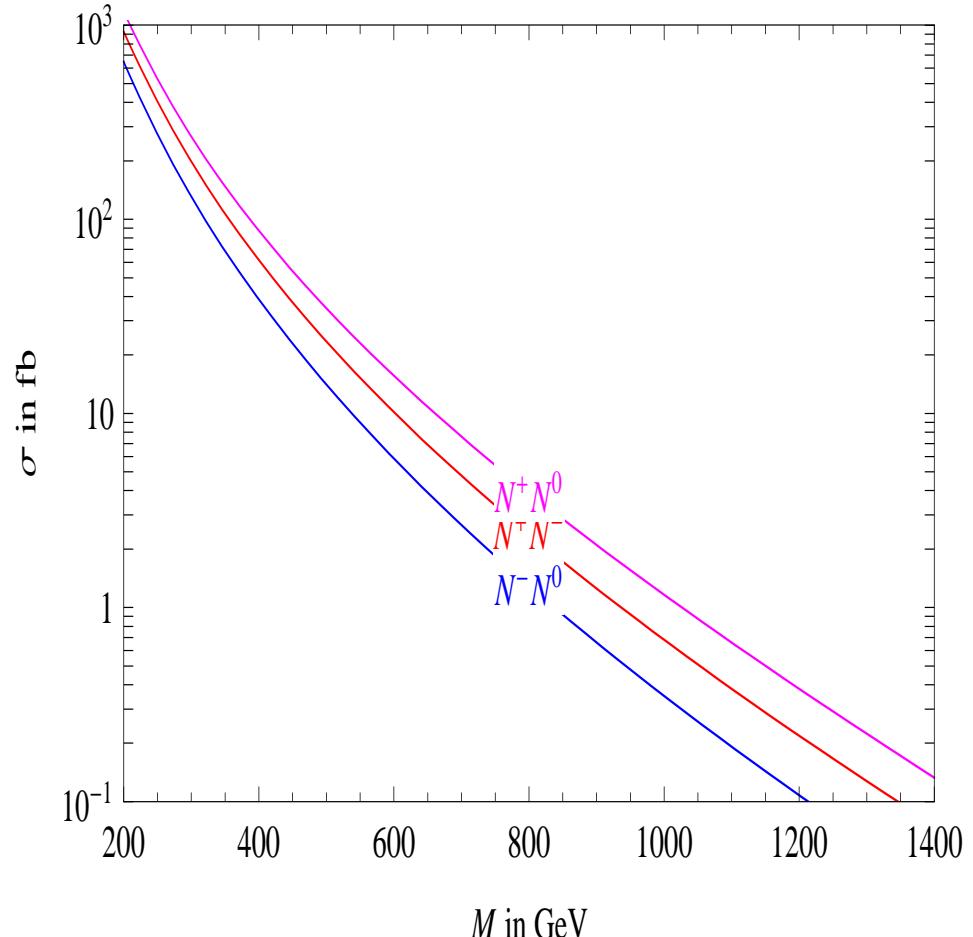
**Production** via gauge interactions:  
 $\sigma \sim \beta g^4 / 4\pi s$ , peaked at  $\beta \sim 0.7$ , is  
 10× bigger for scalars.

**Decay** via neutrino Yukawas:

$$\tau_{N_0} \stackrel{M \gg v}{\approx} \tau_{N^\pm} \stackrel{M \gg v}{\approx} \frac{8\pi v^2}{\tilde{m}_1 M^2} =$$

$$= 1.5 \text{ cm} \frac{\text{meV}}{\tilde{m}_1} \left( \frac{100 \text{ GeV}}{M} \right)^2$$

detectably displaced if  $\tau \gtrsim 0.1 \text{ mm}$ .



Lepton flavor and lepton-number-violating decays ( $Z$  is  $Z$  or  $h$ ):

$$pp \rightarrow \ell_1 \bar{\ell}_2 ZW^+, \quad \ell_1 \bar{\ell}_2 ZZ, \quad pp \rightarrow \ell_1 \ell_2 ZW^+.$$

$M_{N^\pm} - M_{N_0} \approx 166 \text{ MeV} > m_\pi$ , so  $\Gamma(N^\pm \rightarrow N^0 \pi^\pm) \sim 1/\text{cm}$  can also give

$$pp \rightarrow \ell_1 \ell_2 \pi^\pm W^+ W^+, \quad \ell_1 \ell_2 \pi^+ W^+ Z, \quad \ell_1 \ell_2 \pi^+ \pi^- W^+ W^+.$$

experiment	status	name	start	cost in 億¥ ≈ M\$ ≈ M€
WC (3 kton)	terminated	Kamiokande	1983	5
WC (50 kton)	running	SuperKamiokande	1996	100
WC (1000 kton)	proposals	HyperK, UNO?	2015?	500?
Solar B	running	SNO	2001	100 + 500 (target)
Solar Be	construction	Borexino	2004?	25
Solar $p\bar{p}$	running	Gallex ≈ SAGE/2	1991	1 + 15 (target)
Solar $p\bar{p}$	proposals	many or none	2010??	100??
Reactor	terminated	CHOOZ	1997	1.5
Reactor	running	KamLAND	2002	20
Long baseline	construction	CNGS	2006	50 (beam) + 80
Long baseline	construction	NuMI	2005	110 (beam) + 60
Long baseline	approved	T2K	2008?	130
Long baseline	discussions	$\nu$ factory	2020??	2000??
$\beta$ decay at 0.2 eV	approved	Katrin	2008	25
$0\nu 2\beta$ at 0.01 eV	proposals		2010??	20 ÷ 100
$e\bar{e}$ collider (0.2 TeV)	terminated	LEP	1989	1200
$e\bar{e}$ collider (0.5 TeV)	proposals	ILC	2020??	5000?
$p\bar{p}$ collider (7 TeV)	construction	LHC	2007?	3000?
$p\bar{p}$ collider (20 TeV)	not approved	SSC		11000
Satellite	flying	WMAP	2003	150
Space Station	flying	ISS		50000?

# Conclusions

Solar and atmospheric anomalies **established**, oscillations almost seen.  
Future experiments will give redundancy, testing minimal theory.  
Progress driven by 300M€ of experiments, simple theory, nice phenomenology.

*“a piece of 20th century physics that fell by chance into the 21th century”*

Unexplained fundamental parameters increased from 17 to 21.  
Probably bigger experiments will access a few more in next years.  
Probably a window to physics at  $10^{14}$  GeV: how to reconstruct it?

2008: KamLAND, Borexino, AUGER

2009: LHC, IceCUBE, MEG

2010: 2CHOOZ, Katrin

2011: T2K, NO $\nu$ A