

Selected Topics in Flavor Physics

- CKM Matrix & The Unitarity Triangle
 - Measurement of the Sides: $|V_{cb}|$, $|V_{ub}|$
- B_q^0 Mixing
 - Δm_q and A_q^{sl}
- CPV & Measurement of the Angles
 - β , Φ_s & $\Delta\Gamma_s$, α , γ
 - Constraints on Unitarity Triangle

CKM Matrix

See for example
G. Isidori arXiv:1302.0661
Y. Grossman arXiv:1006.3534

- In the Standard Model each quark generation consists of three multiplets:

$$Q_{Li} = \begin{pmatrix} u_{Li} \\ d_{Li} \end{pmatrix}, \quad u_{Ri}, \quad d_{Ri} \quad \text{where the first is a doublet of SU(2), the others are singlets, L and R is the helicity, and } i=1,3 \text{ is the generation.}$$

- The masses and mixings of quarks arise from the Yukawa interactions of quarks with the Higgs:

$$L_Y = -h_{ij}^u \bar{Q}_{Li} \tilde{\Phi} u_{Rj} - h_{ij}^d \bar{Q}_{Li} \Phi d_{Rj} + h.c. \quad (1)$$

- where h_{ij}^u and h_{ij}^d are 3 X 3 complex matrices, i and j are generation labels, and Φ is the Higgs single scalar doublet:

$$\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}; \quad \tilde{\Phi} = \begin{pmatrix} \phi^0 \\ \phi^- \end{pmatrix}$$

- The complex nature of $h_{ij}^{u(d)}$ is the source of CP violation in the SM (see later).
- The quarks mass terms arise when Φ acquires a vacuum expectation value:
 $\langle \phi^0 \rangle_0 = \eta.$

CKM Matrix

- From the first term in (1), with the usual symmetry breaking transformation

$$\tilde{\Phi} = \begin{pmatrix} \phi^0 \\ \phi^- \end{pmatrix} \rightarrow \langle \tilde{\Phi} \rangle = \begin{pmatrix} \eta + \frac{\sigma}{\sqrt{2}} \\ 0 \end{pmatrix} \quad \text{one gets:} \quad \text{Particle } \sigma \sim \text{field oscillation around the vacuum expectation value } \eta$$

$$h_{ij}^u (\bar{u}_{Li} \quad \bar{d}_{Li}) \begin{pmatrix} \eta + \frac{\sigma}{\sqrt{2}} \\ 0 \end{pmatrix} u_{Rj} \rightarrow h_{ij}^u \bar{u}_{Li} \left(\eta + \frac{\sigma}{\sqrt{2}} \right) u_{Rj} = h_{ij}^u \bar{u}_{Li} \eta u_{Rj} + h_{ij}^u \bar{u}_{Li} \frac{\sigma}{\sqrt{2}} u_{Rj} \quad (2)$$

- Mixed terms like $\bar{d} \phi u$ disappear
- Not Hermitian (and not diagonal) mass matrices** for the up quarks are obtained from the first term in (2):

$$M_{ij}^u = h_{ij}^u \eta$$

- The couplings between fermions and the Higgs come from the second term in (2):

$$\frac{h_{ij}^u}{\sqrt{2}} = \frac{M_{ij}^u}{\eta \sqrt{2}} = \frac{g M_{ij}^u}{2 m_W}; \quad \left(m_W = \frac{g \eta}{\sqrt{2}} \right)$$

- Analogously, for the down quarks, (second term in (1)): $M_{ij}^d = h_{ij}^d \eta; \quad \frac{h_{ij}^d}{\sqrt{2}} = \frac{g M_{ij}^d}{2 m_W}$

CKM Matrix

- The mass eigenstates u_L^0, u_R^0 are obtained by diagonalizing the mass matrices via the **biunitary transformation**:

$$U_L^+ M^u U_R = M_{diag}^u; U_L \neq U_R$$

- The new mass basis is obtained via

$$\begin{aligned} u_R &= U_R u_R^0; & \bar{u}_R &= \bar{u}_R^0 U_R^+ \\ u_L &= U_L u_L^0; & \bar{u}_L &= \bar{u}_L^0 U_L^+ \end{aligned} \quad (3a)$$

- Therefore the first term in (2) transforms as:

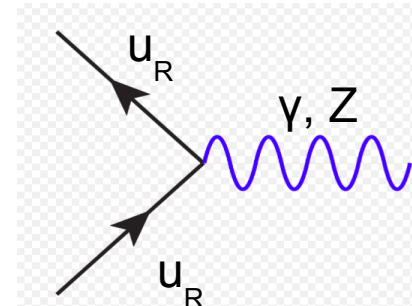
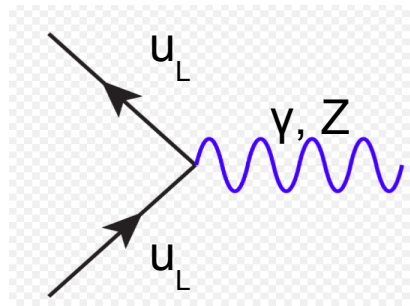
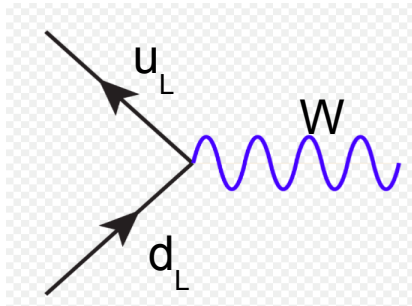
$$h_{ij}^u \eta \bar{u}_{Li} u_{Rj} = \bar{u}_{Li} M_{ij}^u u_{Rj} = \bar{u}_{Li}^0 U_L^+ M_{ij}^u U_R u_{Rj}^0 = \bar{u}_{Li}^0 M_{diag}^u u_{Rj}^0$$

- And for the down quarks analogously:

$$\begin{aligned} V_L^+ M^d V_R &= M_{diag}^d; V_L \neq V_R & d_R &= V_R d_R^0; & \bar{d}_R &= \bar{d}_R^0 V_R^+ \\ & & d_L &= V_L d_L^0; & \bar{d}_L &= \bar{d}_L^0 V_L^+ \\ & & \rightarrow h_{ij}^d \eta \bar{d}_{Li} d_{Rj} &= \bar{d}_{Li}^0 M_{diag}^d d_{Rj}^0 \end{aligned} \quad (3b)$$

CKM Matrix

- Before the diagonalization of the mass matrices, interactions are written in terms of “current eigenstates” in the “interaction basis”: no change in the particle generation



W does not couple with right handed quarks (SU(2) singlets)

$$\bar{u}_L \gamma^\mu d_L W_\mu^+ = (\bar{u}, \bar{c}, \bar{t})_L \gamma^\mu \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L W_\mu^+ = (\bar{u}_L \gamma^\mu d_L + \bar{c}_L \gamma^\mu s_L + \bar{t}_L \gamma^\mu b_L) W_\mu^+$$

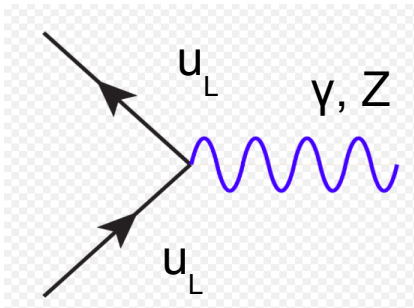
- Using (3a) and (3b), charge current in the “mass eigenstates” basis becomes:

$$\bar{u}_L \gamma^\mu d_L W_\mu^+ = \bar{u}_{Li}^0 \gamma^\mu (U_L^+ V_L)_{ij} d_{Lj}^0 W_\mu^+$$

- The $(U_L^+ V_L)$ matrix (not diagonal) is the Cabibbo Kobayashi Maskawa (CKM) matrix: interactions eigenstates are not mass eigenstates
- The degree of mixing between two generations is given by the $(U_L^+ V_L)_{ij}$ matrix element
- In the “mass basis”, generation change is allowed via charge current W exchange

CKM Matrix

- For the neutral current γ, Z exchange:

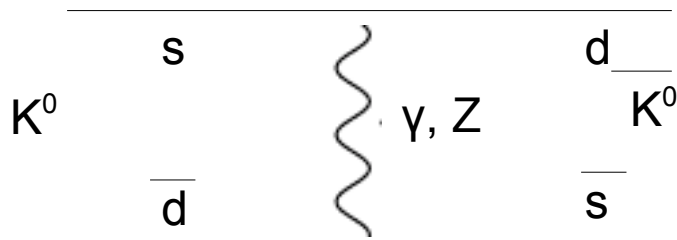


$$\bar{u}_L \gamma^\mu u_L Z(A)_\mu = \bar{u}_{Li}^0 (U_L^\dagger \gamma^\mu U_L)_{ij} u_{Lj}^0 Z(A)_\mu = \bar{u}_{Li}^0 \gamma^\mu (1)_{ij} u_{Lj}^0 Z(A)_\mu$$

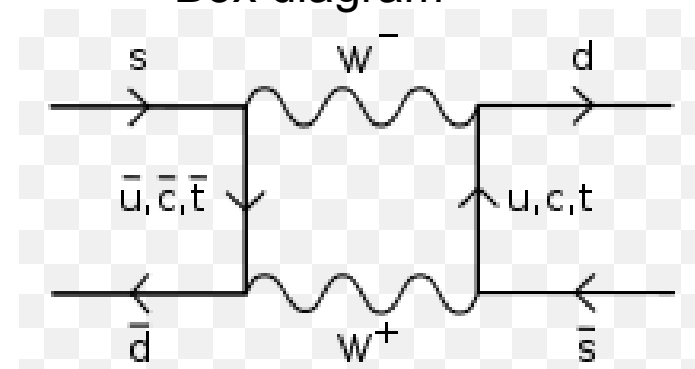
where $(1)_{ij}$ is the unit matrix which is diagonal, therefore Flavor Changing Neutral Currents (FCNC) are not allowed at tree level.

- If FCNC would be allowed it could be possible for instance to have K^0 oscillations at tree level, implying a too large value for the mass difference between the two mass eigenstates, $\Delta m_K = 3.484 \pm 0.006 \cdot 10^{-12} \text{ MeV}$

FCNC at tree level: **not possible**

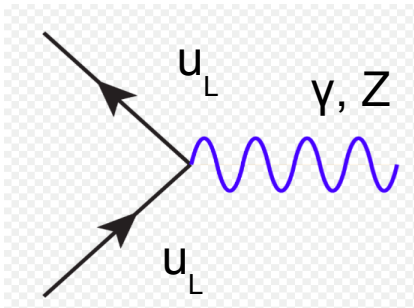


Box diagram



CKM Matrix

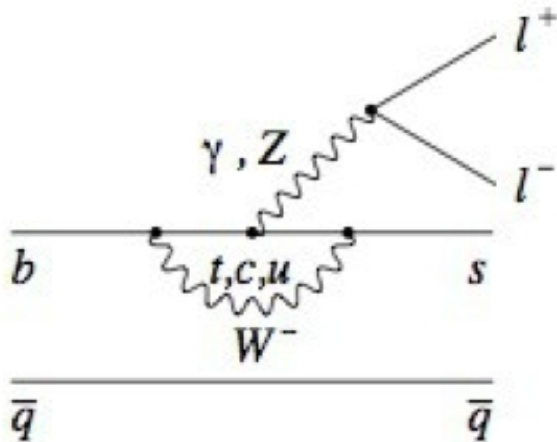
- For the neutral current γ, Z exchange:



$$\bar{u}_L \gamma^\mu u_L Z(A)_\mu = \bar{u}_{Li}^0 (U_L^+ \gamma^\mu U_L)_{ij} u_{Lj}^0 Z(A)_\mu = \bar{u}_{Li}^0 \gamma^\mu (1)_{ij} u_{Lj}^0 Z(A)_\mu$$

where $(1)_{ij}$ is the unit matrix which is diagonal, therefore Flavor Changing Neutral Currents (FCNC) are not allowed at tree level.

- Assuming only three quarks: u, d, s , FCNC would be still not enough suppressed to match the experimental results. This was the motivation for the theoretical prediction of the c quark (3rd generation, 1970).



- “Penguin” diagram. Contributions coming from the u, c, t quarks exchange cancel (GIM mechanism)
- $BR(B^0 \rightarrow K^* \mu\mu) \sim 10^{-6}$

CKM Matrix

- CKM Matrix determines the rotation of the current eigenstates in mass eigenstates. It is complex and unitary by construction:

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad V_{CKM}^+ V_{CKM} = (U_L^+ \ V_L)^+ (U_L^+ \ V_L) = 1$$

- V_{ij} are the couplings of quark mixing transitions from an up-type quark $i = u, c, t$ to a down-type $j = d, s, b$
- It depends on $2n^2 - n^2 = n^2$ independent parameters (complex parameters - unitarity conditions)
- For n generations there are $2n$ fields:
$$\begin{pmatrix} u_L & d_L \\ c_L & s_L \\ t_L & b_L \end{pmatrix}$$
- Each field is defined with a phase, but only one is independent and meaningful. By fixing one phase, the total number of phases which can be eliminated by a redefinition is $2n - 1$
- Number of meaningful independent physical parameters: $n^2 - (2n - 1) = (n - 1)^2$

CKM Matrix

- Number of rotations in n dimensions equals the number of independent angles between n axis:

$$n_{\theta} = \binom{n}{2} = \frac{1}{2}(n-1)n$$

- Resulting number of meaningful phases:

$$n_{\delta} = (n-1)^2 - \frac{1}{2}(n-1)n = \frac{1}{2}(n-1)(n-2)$$

- Two generations: one independent parameter (1 angle (Cabibbo), 0 phases)

$$n_{\theta} = 1; \quad n_{\delta} = 0$$

- Three generations: four independent parameters (3 angles, 1 phase)

$$n_{\theta} = 3; \quad n_{\delta} = 1$$

- The CKM matrix for 3 families may be represented by three rotations and a matrix generating a irreducible single phase responsible for all CP Violation phenomena in flavor-changing processes in the SM (see later)

$$V_{CKM} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\delta_{13}} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-i\delta_{13}} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}; \quad c_{ij} = \cos \theta_{ij}; \quad s_{ij} > 0; \quad c_{ij} > 0 \quad [\theta_{ij} \in \text{first quadrant}]$$

CKM Matrix

- Particle Data Group representation
[e.g. Chan and Keung, Phys. Rev. Lett. 53, 1802 (1984)]:

$$V_{\text{CKM}} = U_{23}U_{\delta}^{\dagger}U_{13}U_{\delta}U_{12}$$

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- The matrix elements exhibit a pronounced hierarchy
 - **Diagonal elements** ~ 1
 - Experimentally $\theta_{12} \gg \theta_{23} \gg \theta_{13}$
 - Hierarchy introduced explicitly in the **Wolfenstein parameterization** [Wolfenstein, Phys. Rev. Lett. 51, 1945 (1983)] which is an expansion in $\lambda = V_{us} = s_{12} (\sin \theta_{\text{Cabibbo}}) = 0.22$ up to the order λ^3 :

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4).$$

- Small off diagonal elements: $V_{ud} \gg V_{us} \gg V_{ub}$

CKM Matrix

- Four parameters: A , ρ , η ($O(1)$), and λ ; Unitarity satisfied up to order λ^4
- Modern definition [Charles et al., Eur. Phys. J C41, 1-131 (2005)] is given in terms of the four parameters $A, \lambda, \bar{\rho}, \bar{\eta}$ where:

$$\rho + i\eta = (\bar{\rho} + i\bar{\eta}) \frac{\sqrt{1 - A^2\lambda^4}}{\sqrt{1 - \lambda^2[1 - A^2\lambda^4(\bar{\rho} + i\bar{\eta})]}} \quad \bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \quad \text{at all orders}$$

- In this definition the CKM matrix is unitary to all orders in λ , and the difference with the Wolfenstein parameterization appears only at higher orders.
- The CKM parameters are fundamental parameter of the SM. The unitarity conditions $V_{CKM} \cdot V_{CKM}^+ = 1$; $V_{CKM}^+ \cdot V_{CKM} = 1$ yield six vanishing combinations (two by two nearly degenerate) which can be represented as “Unitarity triangles” in a complex plane with equal areas, reflecting the fact that there is a single CP violating phase
- The common area is equal to half the Jarlskog invariant $J = c_{12}c_{23}c_{13}^2s_{12}s_{23}s_{13}\sin\delta$ [Jarlskog, Phys. Rev. Lett. 55, 1039 (2005)] which is a measure of the CP Violation.
- The unicity of the phase makes all the possible CP violating effects in the SM very closely related. The pattern of CP Violation in B decays is strongly constrained in this model.

CKM Matrix

- Out of the six triangles, three are not degenerate:

$$V_{ud} V_{us}^* + V_{cd} V_{cs}^* + V_{td} V_{ts}^* = 0 \rightarrow K$$

$$V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0 \rightarrow B_s$$

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0 \rightarrow B_d$$



- Knowing the experimental values of the various matrix elements one finds that in the first two triangles one side is much shorter than the other two: they almost collapse to a line. Intuitive understanding of why CPV is small in the leading K decays and in the leading B_s decays. Decays related to the short sides ($K_L \rightarrow \pi \nu \bar{\nu}$) are rare but could exhibit significant CPV.
- CPV is large in leading B_d decays: the third one is called “The Unitarity Triangle”

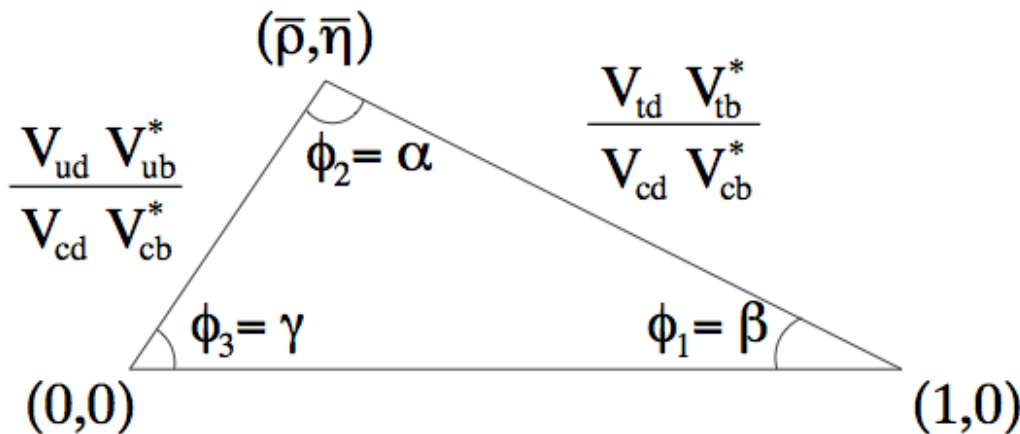
CKM Matrix

- “The Unitarity Triangle”

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

- Choosing a phase convention in which $V_{cd} V_{cb}^*$ is real and dividing the sides by $V_{cd} V_{cb}^*$ one gets the apex at $(\bar{\rho}, \bar{\eta})$ and aligns the side with length 1 with the real axis:

- Length of sides:



$$R_b = \sqrt{\bar{\rho}^2 + \bar{\eta}^2} = \frac{1 - \lambda^2/2}{\lambda} \left| \frac{V_{ub}}{V_{cb}} \right|$$

$$R_t = \sqrt{(1 - \bar{\rho})^2 + \bar{\eta}^2} = \frac{1}{\lambda} \left| \frac{V_{td}}{V_{cb}} \right|$$

- Three angles:

$$\phi_1 = \beta \equiv \arg [-V_{cd} V_{cb}^* / V_{td} V_{tb}^*]$$

$$\phi_2 = \alpha \equiv \arg [-V_{td} V_{tb}^* / V_{ud} V_{ub}^*]$$

$$\phi_3 = \gamma \equiv \arg [-V_{ud} V_{ub}^* / V_{cd} V_{cb}^*]$$

- Measured from CP asymmetries in various B decays
- Consistency of different measurements provide tests of the SM

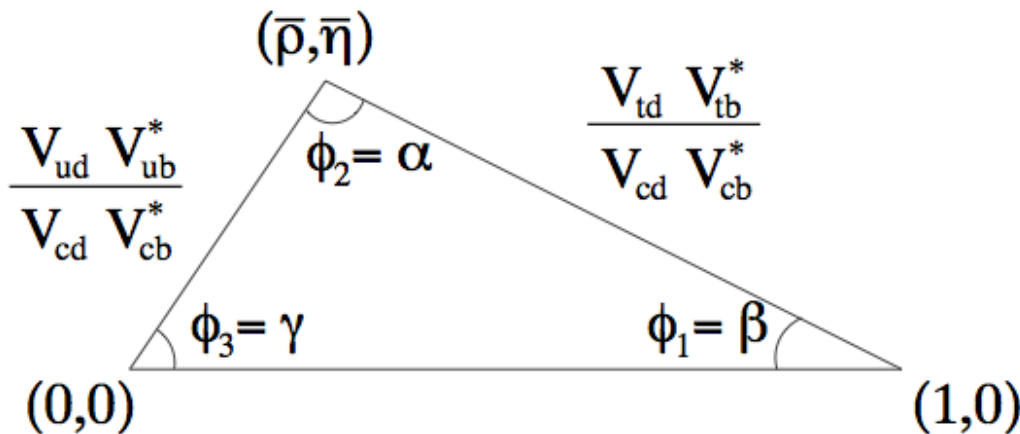
CKM Matrix

- “The Unitarity Triangle”

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

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- Length of sides:



$$R_b = \sqrt{\bar{\rho}^2 + \bar{\eta}^2} = \frac{1 - \lambda^2/2}{\lambda} \left| \frac{V_{ub}}{V_{cb}} \right|$$

$$R_t = \sqrt{(1 - \bar{\rho})^2 + \bar{\eta}^2} = \frac{1}{\lambda} \left| \frac{V_{td}}{V_{cb}} \right|$$

- β gives to a good approximation the phase between the B_d^0 mixing amplitude and its leading decay amplitude (see later).
- Analogously, for the B_s^0 meson: $V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0$

$$\phi_s = \beta_s = \arg \left[-V_{ts} V_{tb}^* / V_{cs} V_{cb}^* \right]$$

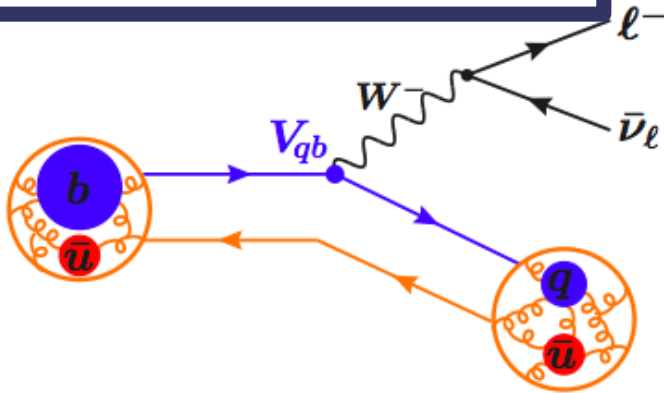
CKM Matrix

Magnitude of the CKM Matrix elements

- $|V_{ud}|$: from superallowed $0^+ \rightarrow 0^+$ nuclear beta decays, pure vector transitions
 $|V_{ud}| = 0.97425 \pm 0.00022$
- $|V_{us}|$: from $K_L^0 \rightarrow \pi e(\mu)\nu, K^+ \rightarrow \pi^0 e^+(\mu^+)\nu, K_s \rightarrow \pi e\nu$
 $|V_{us}| = 0.2253 \pm 0.0008$
- $|V_{cd}|$: from semileptonic charm decays $D \rightarrow \pi(K)l\nu$
 $|V_{cd}| = 0.225 \pm 0.008$
- $|V_{cs}|$: from semileptonic D and leptonic $D_s^+ \rightarrow \mu^+(\tau^+)\nu$ decays
 $|V_{cs}| = 0.986 \pm 0.016$
- $|V_{tb}|$: from $R = \mathcal{B}(t \rightarrow Wb)/\mathcal{B}(t \rightarrow Wq) = |V_{tb}|^2 / (\sum_q |V_{tq}|^2) = |V_{tb}|^2$
 $|V_{tb}| = 1.021 \pm 0.032$
- $|V_{cb}|, |V_{ub}|, |V_{td}|$ & $|V_{ts}|$ in the following...

Measurement of $|V_{cb}|$ & $|V_{ub}|$

See for example
 The Physics of the B Factories
 The Eur. Phys. Journ. C
 Springer
 The BaBar Physics Book
 SLAC-R-504



- Measurements performed exploiting **semileptonic (SL) B^+ and B^0 decays**, free from non-SM contributions
- $|V_{cb}|$ normalizes the Unitarity Triangle, and the ratio $|V_{ub}| / |V_{cb}|$ determines the side opposite to β .
- Their values impact several measurements of Flavor Physics and CPV.

- **Two experimental methods both for V_{cb} and V_{ub} :**
 - Exclusive semileptonic decays to D, D^*, D^{**} (V_{cb}) or π, ρ (V_{ub})
 - Inclusive decays $B \rightarrow X_{c(u)} l \nu$
- Complementary methods, rely on theoretical description of the QCD contributions to the different processes. Comparison of the independent results provide a crucial cross check.

Measurement of $|V_{cb}|$ & $|V_{ub}|$

Theoretical Overview

- W leptonic decay does not involve any other CKM matrix elements, hence the $B \rightarrow X_{c(u)} l \nu$ decay rate can be used to directly measure V_{cb} & V_{ub}
- The Electroweak Effective Hamiltonian describing the transition is:

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} \sum_{q=u,c} V_{qb} (\bar{q}\gamma_\mu P_L b) (\ell\gamma^\mu P_L \nu_\ell); \quad P_L = (1 - \gamma_5)/2$$

- Differential decay rate:

$$d\Gamma \propto G_F^2 |V_{qb}|^2 |L^\mu \langle X | \bar{q}\gamma_\mu P_L b | B \rangle|^2$$

- The **Hadron Matrix Element** $\langle X | \bar{q}\gamma_\mu P_L b | B \rangle$ includes QCD corrections depending on the actual initial and final states which are factorized from the leptonic part L^μ (neglecting small effects as photon exchange between quarks and leptons)
 - The determination of the HME is the challenge in the extraction of the CKM matrix elements.
- Calculations are simplified exploiting the large mass of the b quark

Measurement of $|V_{cb}|$ & $|V_{ub}|$

Hadronic Matrix Elements Determination

Exclusive Decays

- $B \rightarrow X$; $X = D, D^*, \pi, \rho$ HME are parameterized in terms of Form Factors: Non-perturbative functions of the squared 4-momentum transfer $q^2 = (p_B - p_X)^2$ using:
 - **Lattice QCD (LQCD)**: the $FF(q^2)$ integrals are computed numerically on a discretized space-time Lattice using Heavy Quark Effective Theory (HQET) and non-relativistic QCD (NRQCD) with steadily improvable errors.
 - Best estimates at high $q^2 > 10 \text{ GeV}^2$
 - **Light-Cone Sum Rules (LCSR)**: $FF(q^2)$ computed by using Operator Product Expansion (OPE)
 - Accuracy limited by OPE and quark-hadron duality: final states are replaced by partons
 - Best estimates at low $q^2 < 10 \text{ GeV}^2$

Measurement of $|V_{cb}|$ & $|V_{ub}|$

Hadronic Matrix Elements Determination

Inclusive Decays

- The **sum over all the final states kinematically allowed** are considered and replaced by sum over partonic final states using Parton-Hadron duality:
 - **Long-distance (hadronization) sensitivity to the final state is reduced**
 - Short-distance QCD corrections at the typical scale $\mu \sim m_b$ computed perturbatively in terms of $\alpha_s(m_b) \sim 0.2$
 - Long-distance corrections related to the **initial state** expanded using the Heavy Quark Expansion (HQE) in powers of:
 $\Lambda_{QCD}/m_b \sim 0.1$; $\Lambda_{QCD} \sim m_B - m_b \sim 0.5 \text{ GeV}$
 - **Decay rates expressed in terms of non-perturbative parameters**

Measurement of $|V_{cb}|$ & $|V_{ub}|$

Experimental Strategy

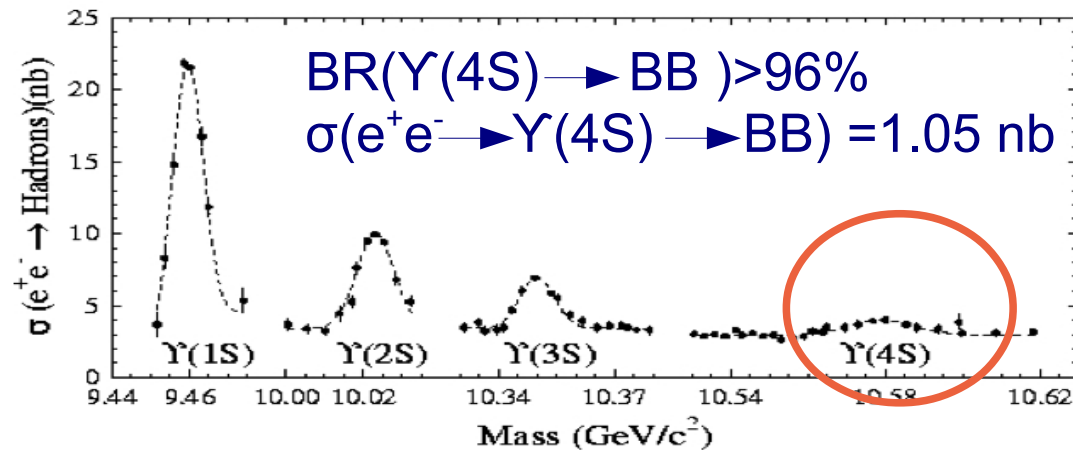
- The current most precise measurements come from **Beauty Factories** where B mesons are created in pairs at a center of mass energy corresponding to the $\Upsilon(4S)$, $m_{\Upsilon(4S)} = 10578.4 \pm 1.2$ MeV
- The production cross section receives sizable contributions other than $B\bar{B}$ events, rate dominated by non-B events

$e^+e^- \rightarrow$	Cross-section (nb)
$b\bar{b}$	1.05
$c\bar{c}$	1.30
$s\bar{s}$	0.35
$u\bar{u}$	1.39
$d\bar{d}$	0.35
$\tau^+\tau^-$	0.94
$\mu^+\mu^-$	1.16
e^+e^-	~ 40

- Semileptonic B decays are affected by two dominant sources of background:
 - Continuum decays**
 $e^+e^- \rightarrow l^+l^-(\gamma), q\bar{q}(\gamma), [q=u,d,s,c]$
 - Studied on real data by collecting a significant fraction of **off-resonance data** 40/60 MeV below the $\Upsilon(4S)$.
 - $B\bar{B}$ combinatorial background** from random tracks combinations

B-Factories

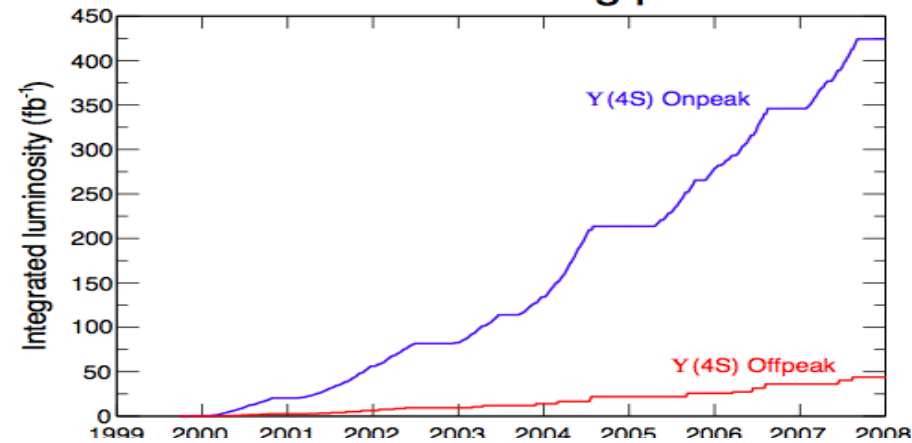
- Beauty-Factories: developed specifically for B physics, e^+e^- collisions at $E_{CM} = 10.5$ GeV with asymmetric energy beams, “clean” environment



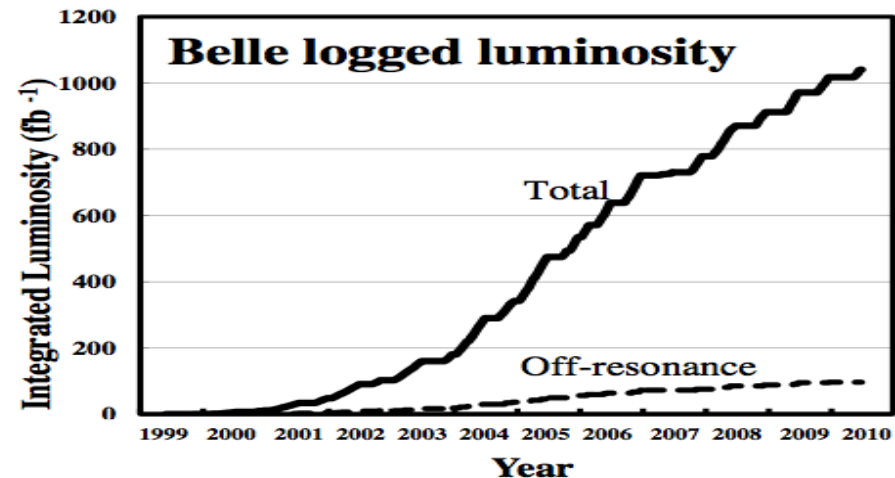
$Y(4S)$



BABAR data taking periods

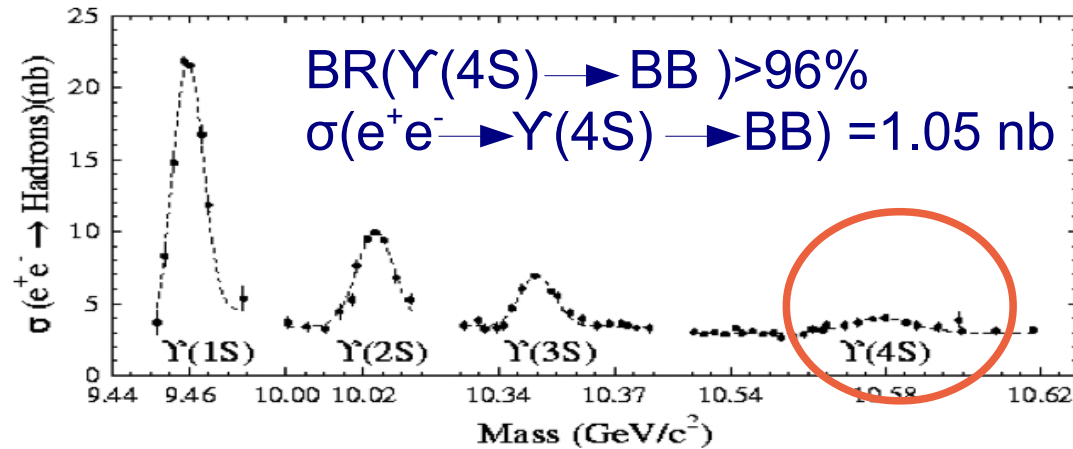


Parameters		PEP-II	KEKB
Beam energy	(GeV)	9.0 (e^-), 3.1 (e^+)	8.0 (e^-), 3.5 (e^+)
Beam current	(A)	1.8 (e^-), 2.7 (e^+)	1.2 (e^-), 1.6 (e^+)
Beam size at IP	x (μm)	140	80
	y (μm)	3	1
	z (mm)	8.5	5
Luminosity	($\text{cm}^{-2} \text{s}^{-1}$)	1.2×10^{34}	2.1×10^{34}
Number of beam bunches		1732	1584
Bunch spacing	(m)	1.25	1.84
Beam crossing angle	(mrad)	0 (head-on)	± 11 (crab-crossing)



B-Factories

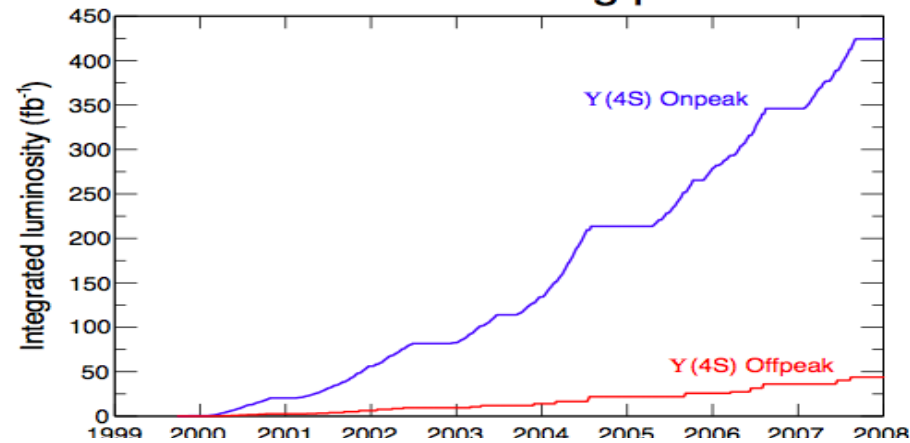
- Beauty-Factories: developed specifically for B physics, e^+e^- collisions at $E_{CM} = 10.5$ GeV with asymmetric energy beams, “clean” environment



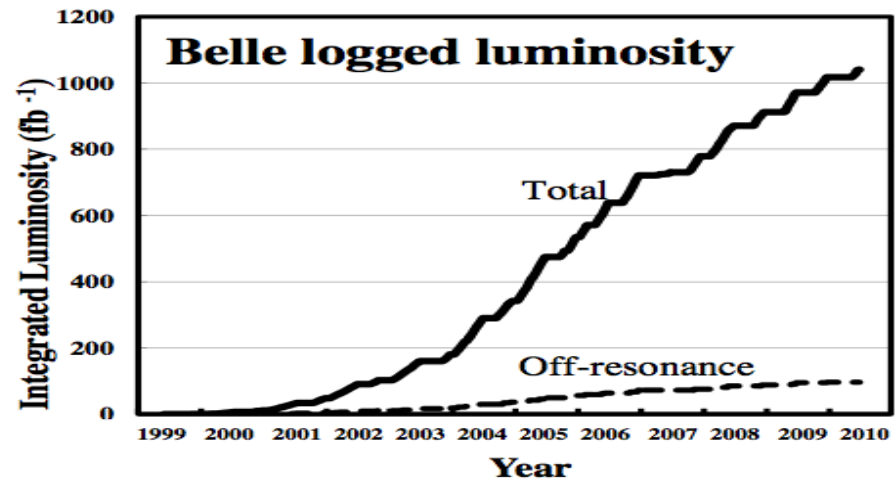
$\Upsilon(4S)$



BABAR data taking periods

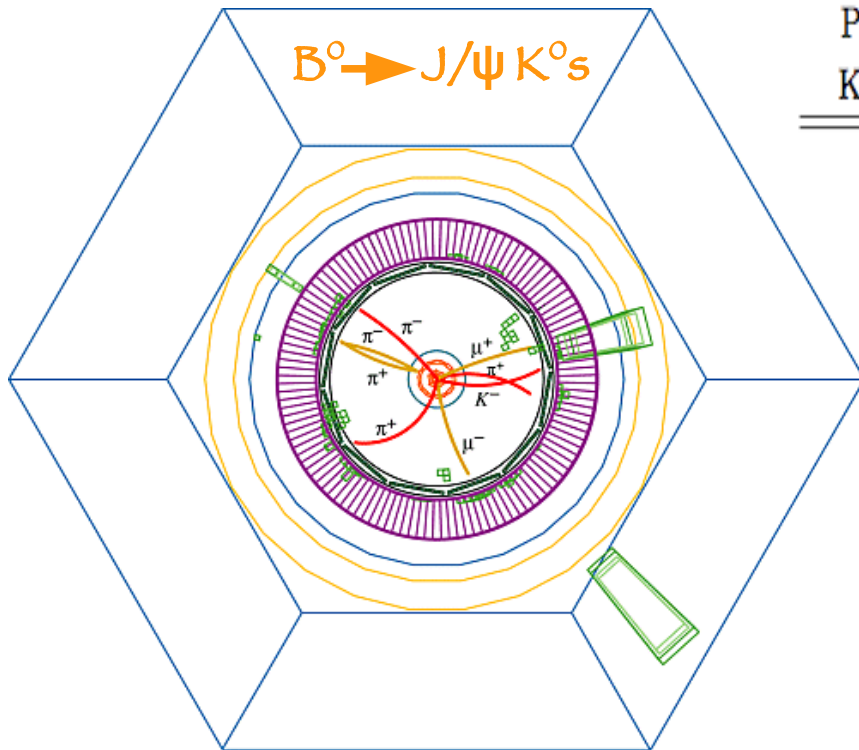


Experiment	Resonance	On-resonance Luminosity (fb^{-1})	Off-resonance Luminosity (fb^{-1})
BABAR	$\Upsilon(4S)$	424.2	43.9
	$\Upsilon(3S)$	28.0	2.6
	$\Upsilon(2S)$	13.6	1.4
	Scan > $\Upsilon(4S)$	n/a	~ 4
Belle	$\Upsilon(5S)$	121.1	1.7
	$\Upsilon(4S)$ - SVD1	140.7	15.6
	$\Upsilon(4S)$ - SVD2	562.6	73.8
	$\Upsilon(3S)$	2.9	0.2
	$\Upsilon(2S)$	24.9	1.7
	$\Upsilon(1S)$	5.7	1.8
	Scan > $\Upsilon(4S)$	n/a	25.6

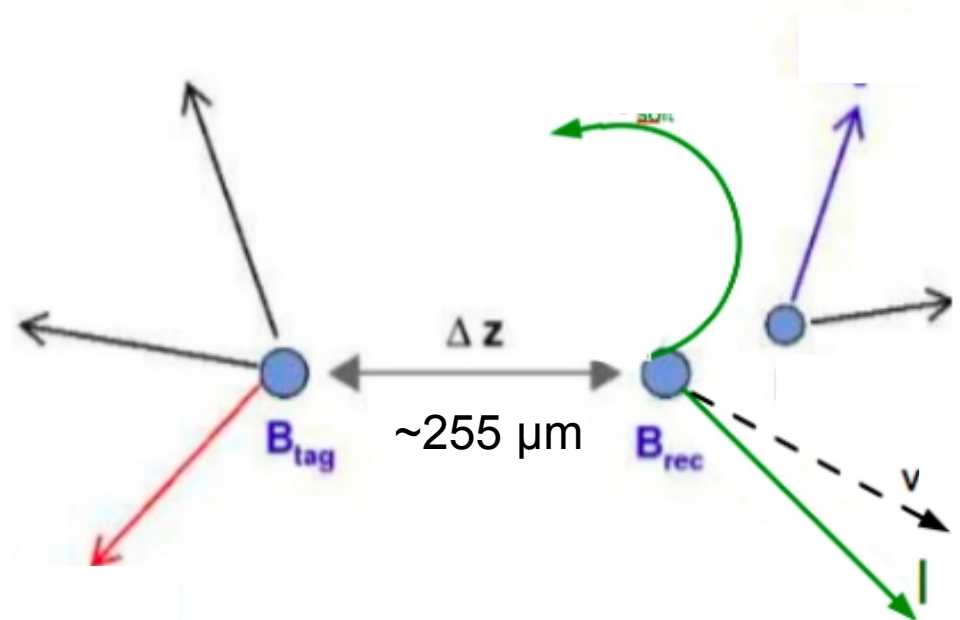


B-Factories

- Two B mesons produced almost at rest in the $\Upsilon(4S)$ reference frame ($p_B^* \sim 340$ MeV)
- No jets from fragmentation: B decays can be fully reconstructed
- Asymmetric beams: the two B mesons decay about $255 \mu\text{m}$ far one from the other (BaBar)



B Factory	e^- beam energy E_- (GeV)	e^+ beam energy E_+ (GeV)	Lorentz factor $\beta\gamma$	crossing angle φ (mrad)
PEP-II	9.0	3.1	0.56	0
KEK-B	8.0	3.5	0.425	22



B Reconstruction @ B-Factories

Signal Reconstruction

- $\Upsilon(4S)$ decays in two same-mass particles. If the B meson is correctly reconstructed, its energy in the Center of Mass (CM) is equal to half the available energy, and also equal to the beam energy in the $\Upsilon(4S)$ rest frame:

$$E_{rec}^* = E_{beam}^* = \sqrt{s}/2, \quad m_{rec} = m_B; \quad [* = \Upsilon(4S) \text{ frame}]$$

- B-meson decay selected by means of two variables **weakly correlated**: **Energy difference & Beam-Energy Substituted Mass**

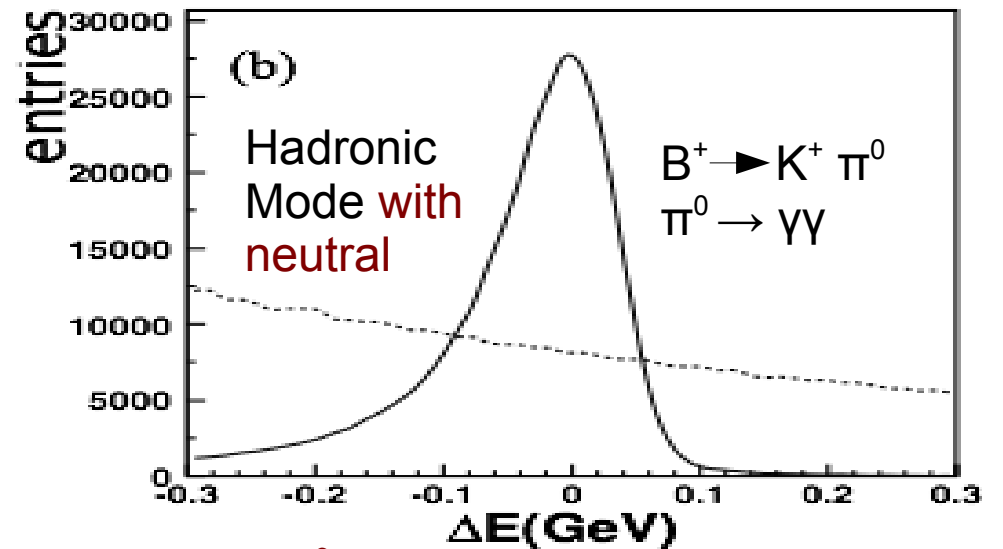
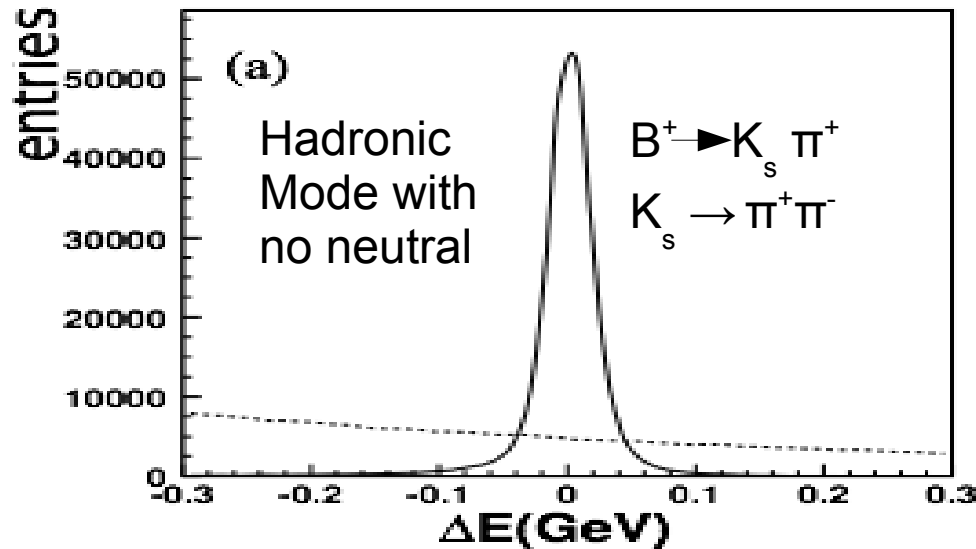
Energy difference

$$\Delta E = E_B^* - E_{beam}^*, \quad \sigma_{\Delta E}^2 = \sigma_{E_B^*}^2 + \sigma_{E_{beam}^*}^2$$

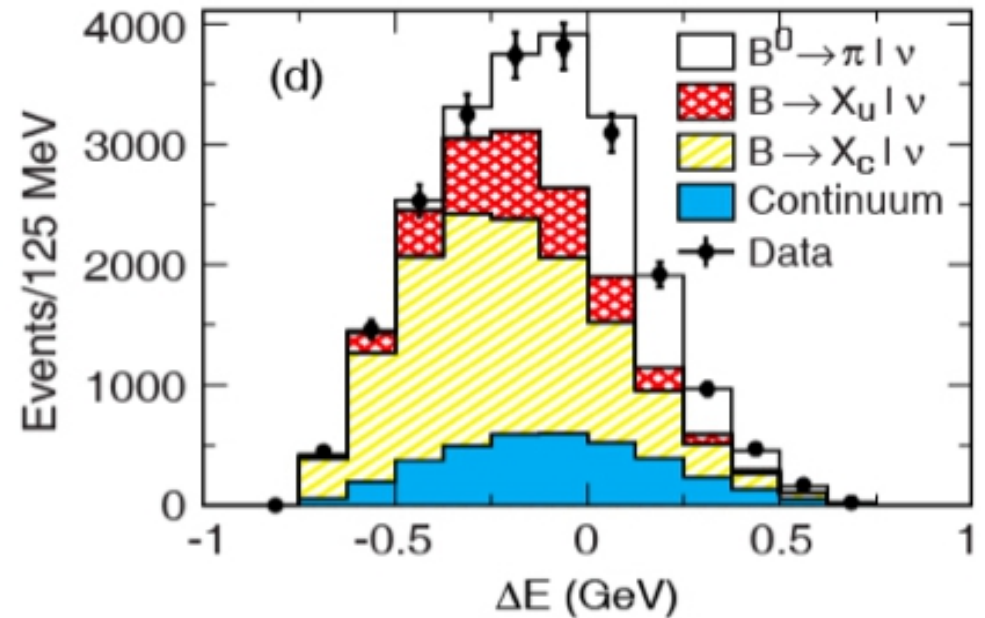
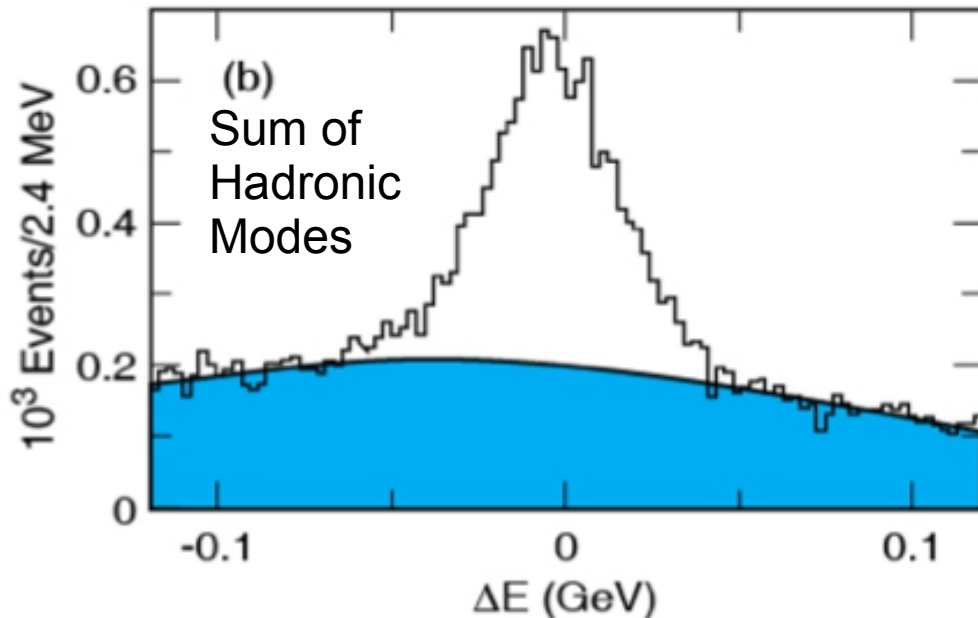
- Peaks at zero for correctly reconstructed candidates
- Depends strongly on the mass hypothesis for each particle (e.g. a $K \rightarrow \pi$ misidentification will result in a negative ΔE)
- **Error dominated by the detector energy resolution (especially for modes involving photons) and affected by the measurement of the neutrino momentum and energy in SL decays**

B Reconstruction @ B-Factories

$\sigma(\Delta E) \sim 6-30 \text{ MeV}/c^2$ (larger for low mass)



$B^0 \rightarrow \pi l \nu$



B Reconstruction @ B-Factories

Beam-energy substituted mass

$$m_{ES} = \sqrt{E_{beam}^{*2} - p_B^{*2}}; \quad \sigma_{m_{ES}}^2 \approx \sigma_{E_{beam}^*}^2 + \left(\frac{p_B^*}{m_B}\right)^2 \sigma_{p_B^*}^2$$

- The CM energy of the B candidate is substituted by the beam one. Independent by construction to the mass hypothesis for the various particles in case of symmetric-energy colliders (CLEO).
- At asymmetric colliders the B momentum vector is boosted to the CM frame after mass has been assigned and therefore the result depends weakly on the mass assignment.
- Error dominated by the spread of the beam energy ($p_B^*/m_B \sim 0.06$) and affected by the measurement of the neutrino momentum and energy in SL decays

B Reconstruction @ B-Factories

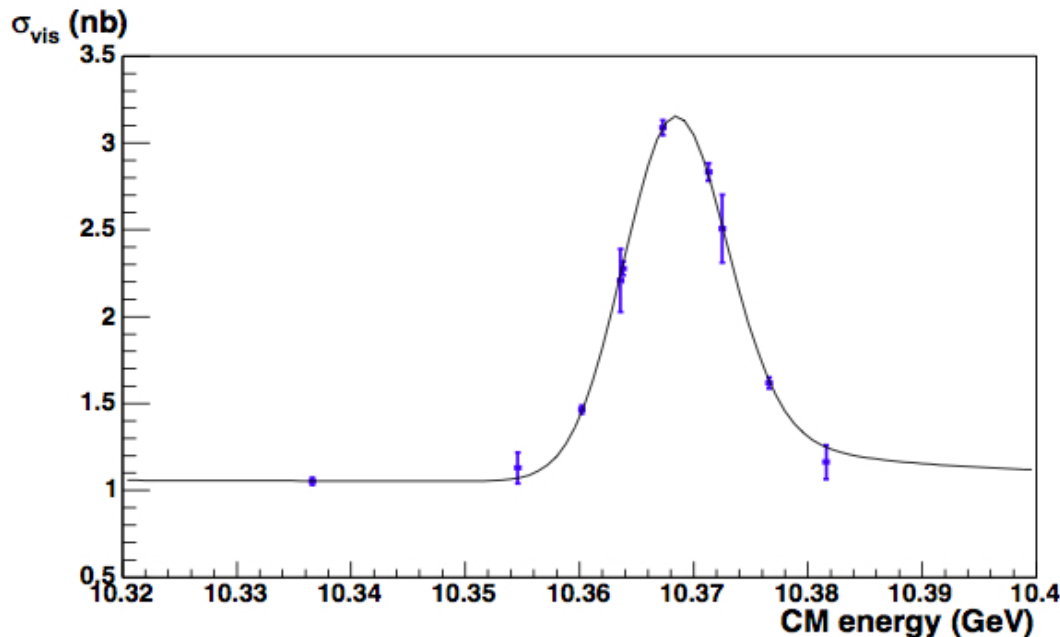
Beam-energy substituted mass

$$m_{ES} = \sqrt{E_{beam}^{*2} - p_B^{*2}}; \quad \sigma_{m_{ES}}^2 \approx \sigma_{E_{beam}^*}^2 + \left(\frac{p_B^*}{m_B}\right)^2 \sigma_{p_B^*}^2$$

$$(p_B^* \ll E_B^*) \rightarrow E_B^{*2}/m_B^2 \sim 1$$

$\sigma_{ebeam} \sim 4$ MeV depending on horizontal correctors and frequency of the RF system

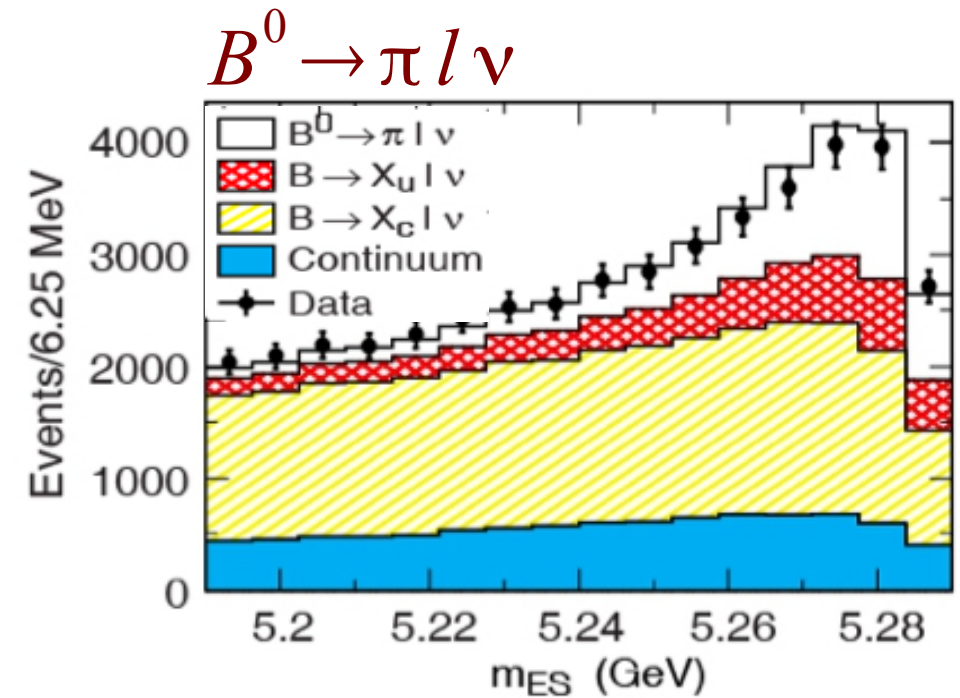
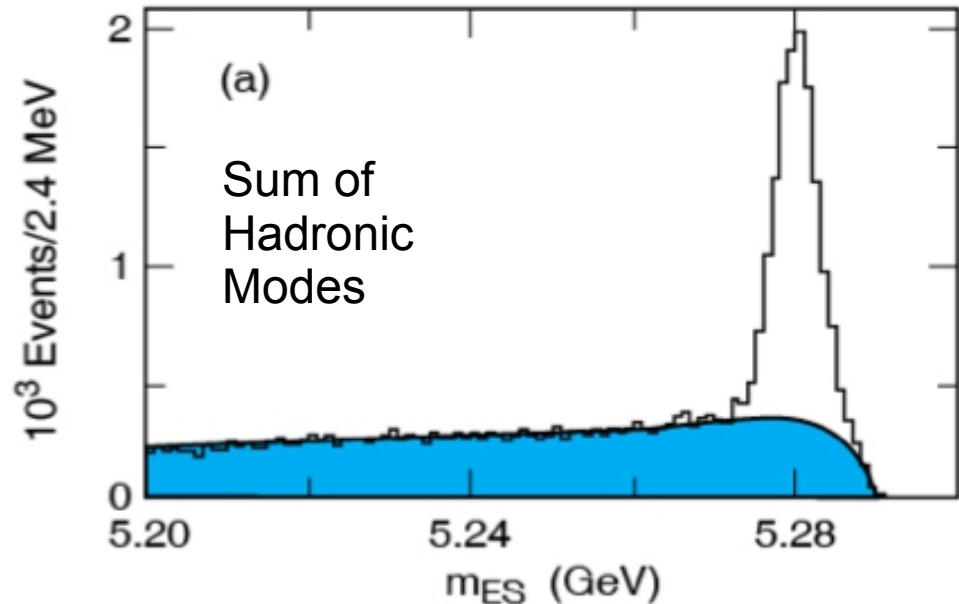
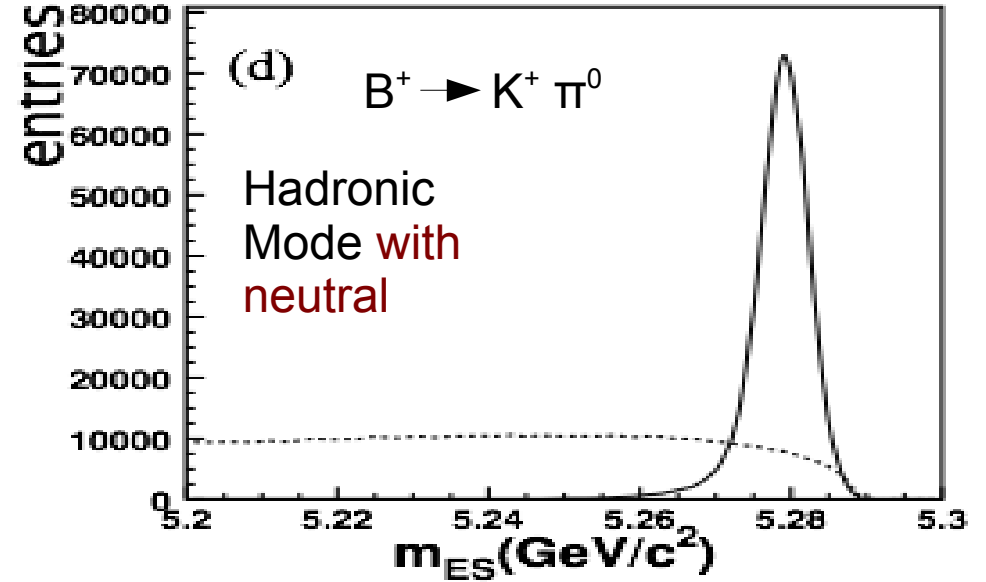
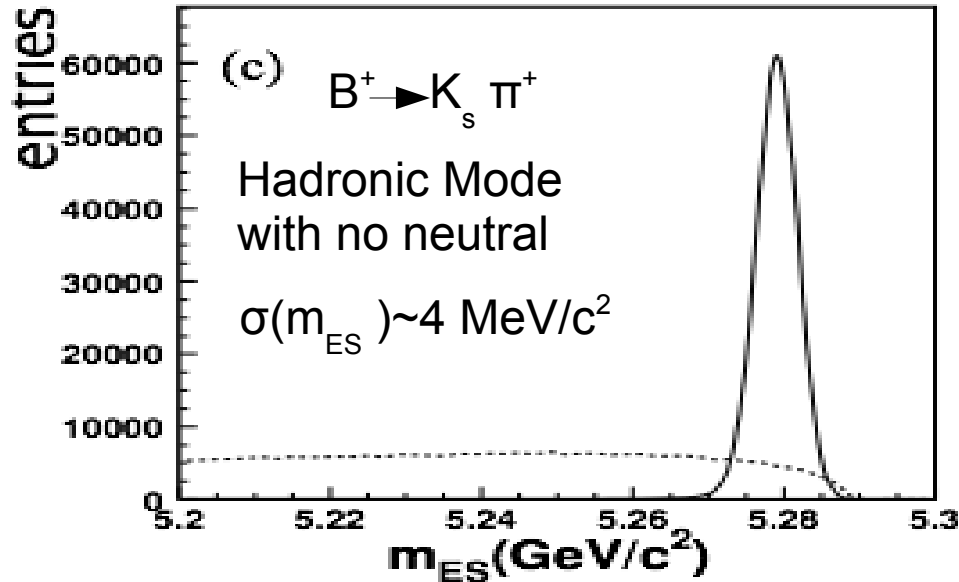
Measured from a scan of the $\Upsilon(3S)$ resonance ($\Gamma=20$ KeV): measured width determined by beam energy spread



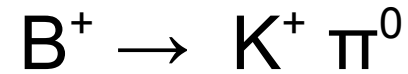
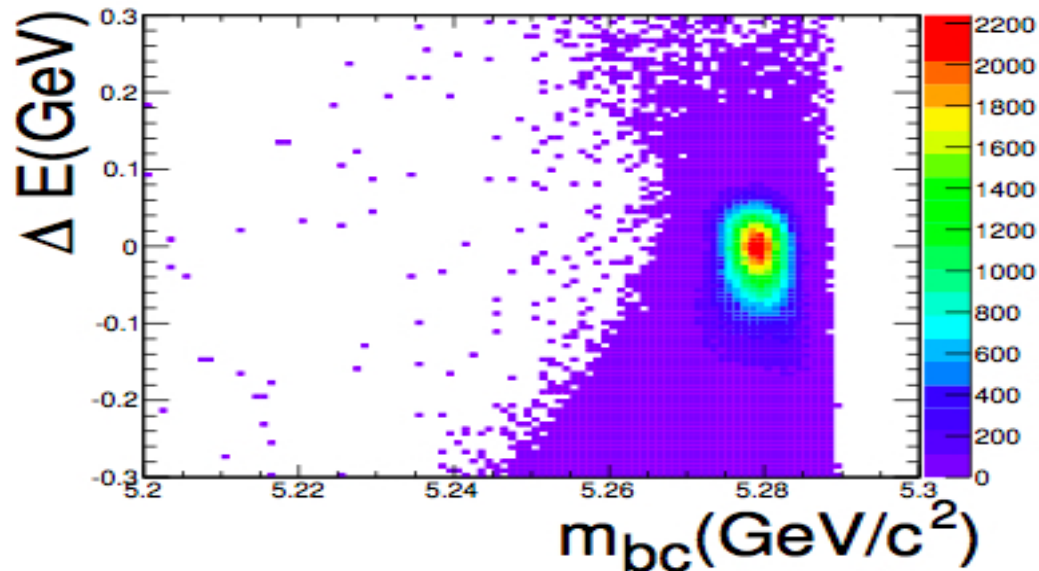
$$\Delta = (4.44 \pm 0.09) \text{ MeV},$$

$$M_{3S}^{\text{fit}} = (10367.98 \pm 0.09) \text{ MeV}/c^2,$$

B Reconstruction @ B-Factories



B Reconstruction @ B-Factories

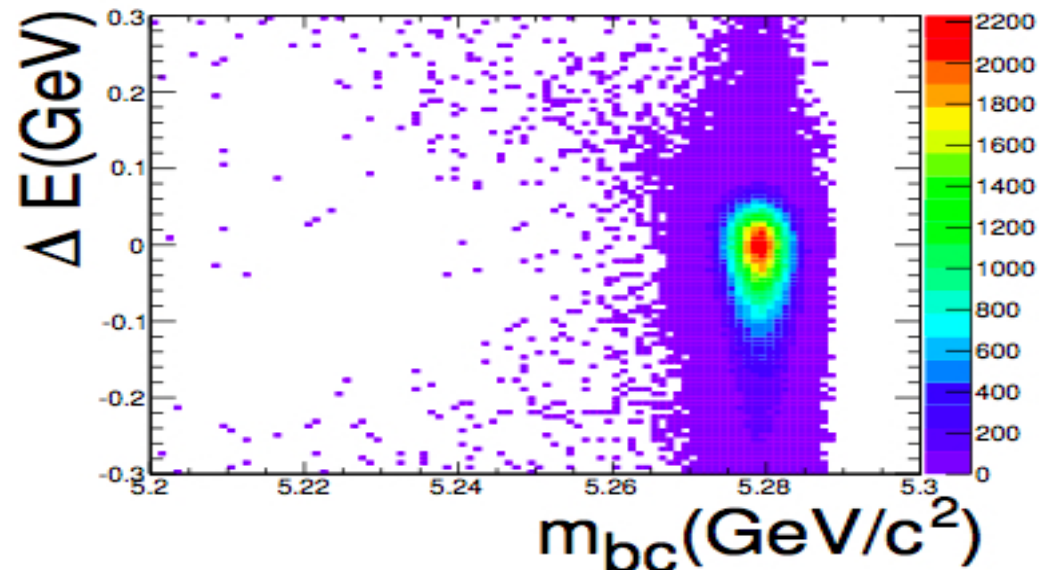


$$m_{ES} = \sqrt{E_{beam}^{*2} - p_B^{*2}};$$

Small correlation due to mass assignment before computing p_B^*

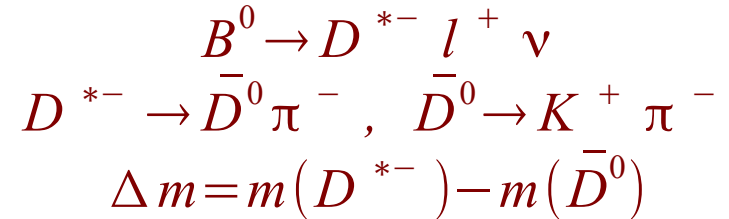
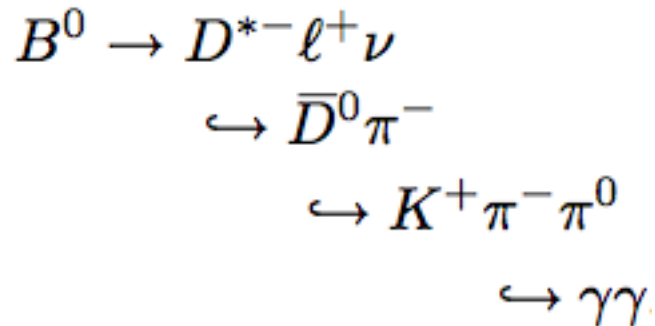
Modified definition in terms of quantities in the laboratory frame removes correlation (E_0, p_0) = CM 4-momentum

$$m_{ES} = \sqrt{(s/2 + \mathbf{p}_B \mathbf{p}_0)^2 / E_0^2 - \mathbf{p}_B^2}.$$

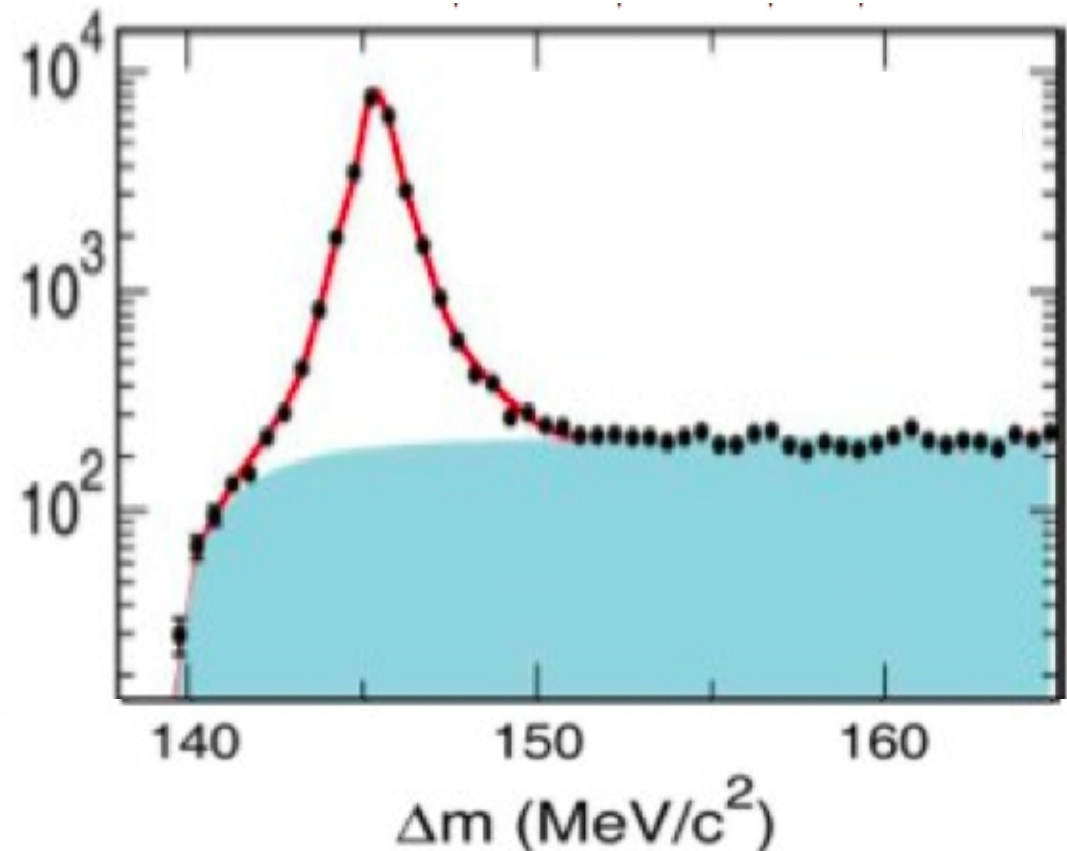


Semileptonic B decays

- Semileptonic decays $B \rightarrow X_c l \nu$
- For example:



- Full event reconstruction proceeds from the identification of the charged lepton
- The reconstructed charmed meson (charged or neutral) may be combined with a soft pion in attempt to form a D^* : tight constraint on Δm applied



Semileptonic B decays

- Combinatorial rejection very challenging due to one or more undetected neutrinos.
- Missing particles 4-momentum computed from the sum of all the reconstructed particles in terms of the 4-vector of the colliding beams (E_0, \mathbf{p}_0) :

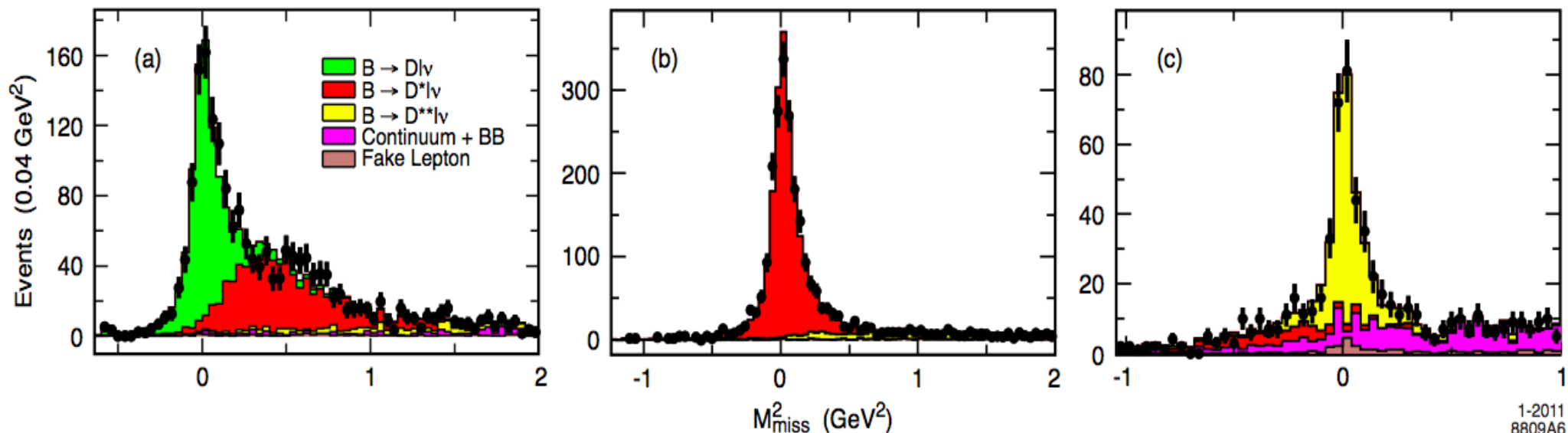
$$(E_{\text{miss}}, \mathbf{p}_{\text{miss}}) = (E_0, \mathbf{p}_0) - \left(\sum_i E_i, \sum_i \mathbf{p}_i \right) \quad m_{\text{miss}}^2 = E_{\text{miss}}^2 - |\mathbf{p}_{\text{miss}}|^2$$

- For one single missing neutrino, the missing mass is close to zero
- In case of missing particles there are broad enhancements above the peak (e.g. $B^- \rightarrow D^{*0} l^- \bar{\nu}$; $D^{*0} \rightarrow D^0 \pi^0 (D^0 \gamma)$ with undetected neutral pion or photon):

Reconstructed D

Reconstructed D*

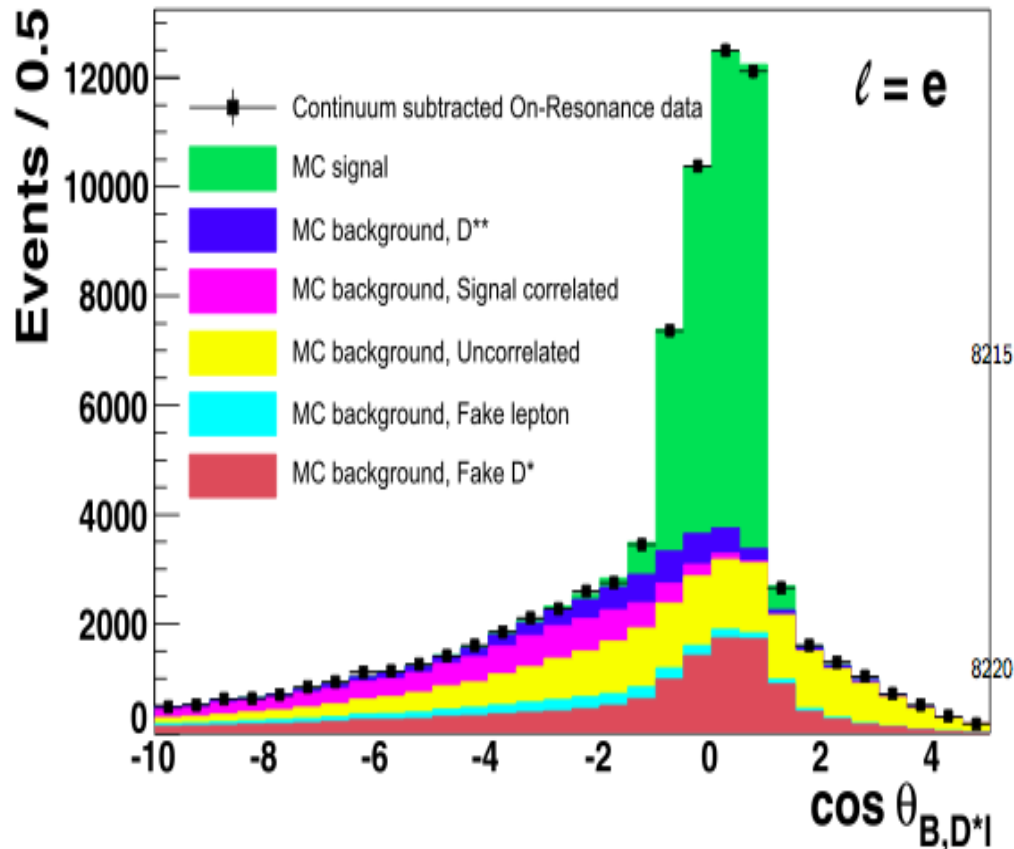
Reconstructed D**



Semileptonic B decays

- Exclusive $B \rightarrow Y \nu$; ($Y = X_c + l$) events selected exploiting angle between B and Y momenta. From $\mathbf{P}_\nu = \mathbf{P}_B - \mathbf{P}_Y$ follows:

$$\cos \theta_{BY} = (2E_B E_Y - m_B^2 - m_Y^2) / 2|\mathbf{p}_B||\mathbf{p}_Y|$$



- Variables in the Y(4S) reference frame
- $|\cos \theta_{BY}| \leq 1.0$ for correctly reconstructed events
- For Background and incompletely reconstructed events it extends beyond this range

Semileptonic B decays Partial Reco

- In the full event reconstruction approach, all the products of the B decay are identified. For example:

$$B^0 \rightarrow D^{*-} \ell^+ \nu_\ell$$

$$\hookrightarrow \bar{D}^0 \pi_s^-$$

- Several D^0 decays, as $K\pi$ (3.9%), $K3\pi$ (8%), $K\pi\pi^0$ (14%) are considered.

- Higher efficiency is achieved by performing a **Partial Reconstruction**:

- Only the charged lepton from the B decay and the slow pion from the D^* are identified. Due to the limited phase space available ($m_{D^*} - m_{D^0} \sim 150$ MeV), the slow pion is emitted within a one-radian wide cone centered about the D^* direction in the $Y(4S)$ rest frame.

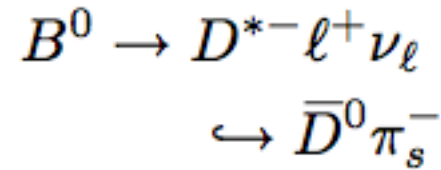
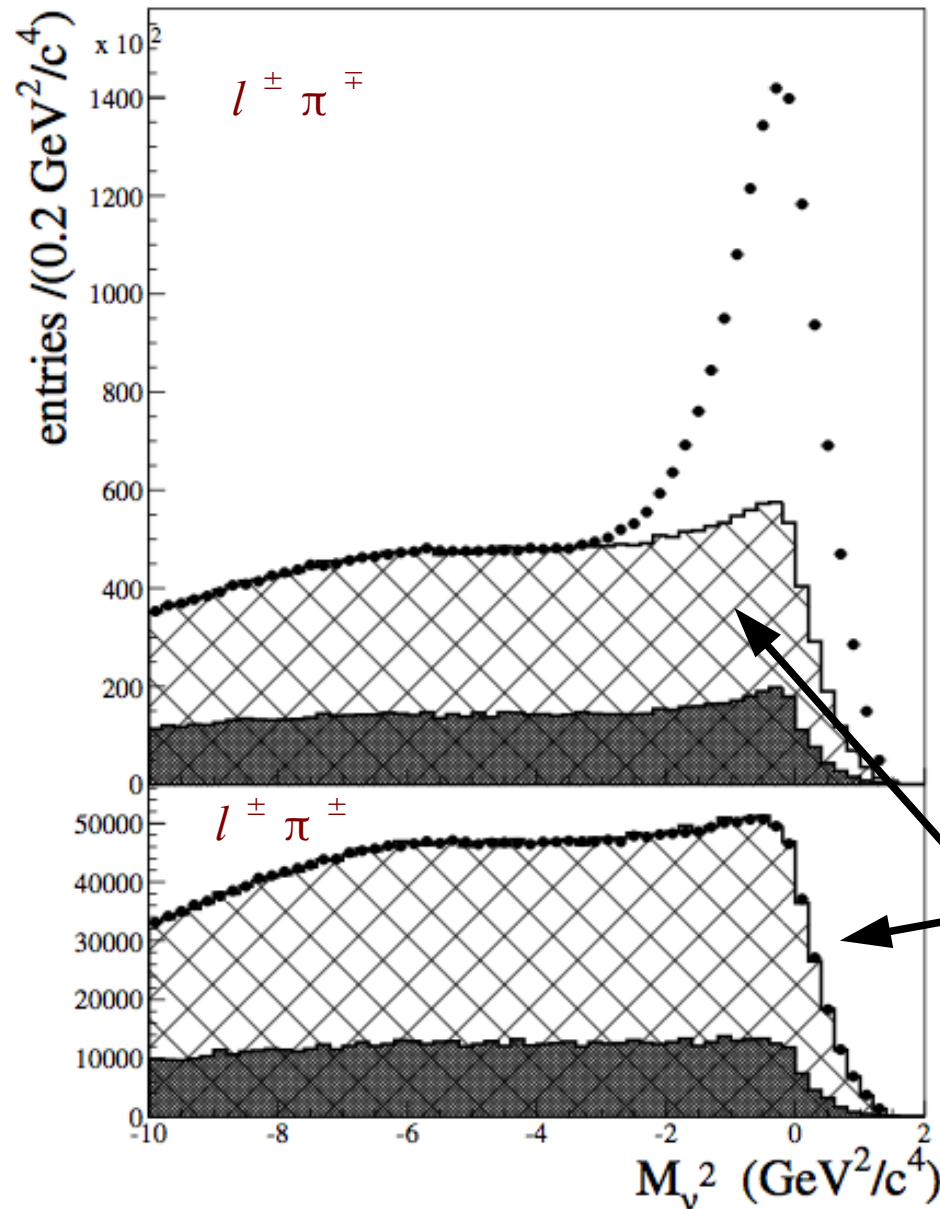
- D^* 4-momentum parameterized as a function of the pion momentum:

$$p_{D^*} = \alpha + \beta p_{\pi_s},$$

$$E_{D^*} = \sqrt{p_{D^*}^2 + m_{D^*}^2},$$

- The B-meson 4-momentum is not known, but the 3-momentum is small as compared to the lepton and D^* ones ($p_B \sim 0.34$ GeV/c) and can be neglected (Boost Approximation)

Semileptonic B decays Partial Reco



Neutrino invariant mass in the Y(4S) frame:

$$M_\nu^2 = \left(\frac{\sqrt{s}}{2} - E_{D^*} - E_\ell \right)^2 - (\mathbf{p}_{D^*} + \mathbf{p}_\ell)^2$$

B energy is assumed equal to half the CM energy

$$\sigma(M_\nu^2) = 0.85 \text{ GeV}^2/c^4$$

BKG combination studied by using the lepton-pion combination with the wrong charge correlation $l^\pm \pi^\pm$

Semileptonic B decays on Recoil

Background reduction improved using tagging techniques

- BB pairs produced without any additional particles
- Detector hermeticity
- Collision energy precisely known
- Reconstruction of the B semileptonic decays on the recoil of an exclusively or inclusively reconstructed B decay
- Detection of one B decay produced at the $Y(4S)$ identifies the second B decay, determines its momentum, mass, charge and flavor.
- Kinematics of the final state are constrained such that a neutrino from the second decay can be identified from the missing momentum and missing energy of the rest of the event.
- Cleanest sample from recoil of fully reco hadronic tag ($\epsilon \sim 0.3\% / 1\%$, Purity $\sim 50\%$)

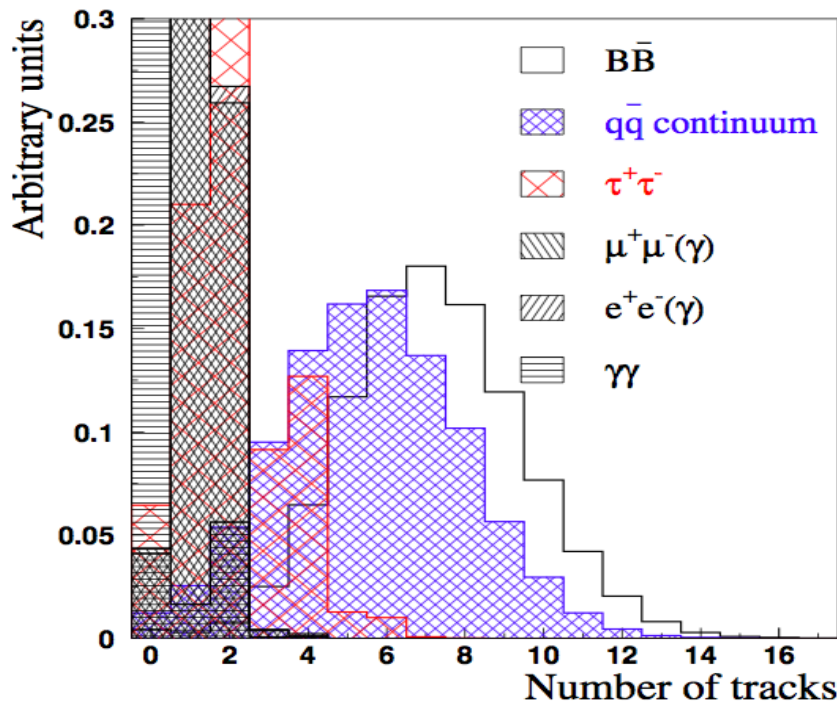
$$\epsilon_{B_{\text{tag}}} = \sum_f \epsilon_f \mathcal{B}_f$$

- $\epsilon \sim 1-3\%$ using semileptonic tags, looser kinematics constraints on the recoiling B due to the presence of the neutrino

Continuum Rejection

- $B\bar{B}$ events are produced almost at rest in the $Y(4S)$ frame as the $Y(4S)$ mass is barely above the threshold: the B products are distributed isotropically in the $Y(4S)$ rest frame: **spherical event**
- In continuum events ($Y(4S) \rightarrow qq, q \neq b$) quarks are produced with large initial momentum and yield a back-to-back fragmentation into two jets of light hadrons
- Discrimination obtained exploiting:

- **Number of charged tracks**



- **Events shape variables :**

- **Thrust:** sum of the longitudinal momenta of all particles **relative to the axis which maximize it:**

$$T = \frac{\sum_{i=1}^N |\mathbf{T} \cdot \mathbf{p}_i|}{\sum_{i=1}^N |\mathbf{p}_i|}$$

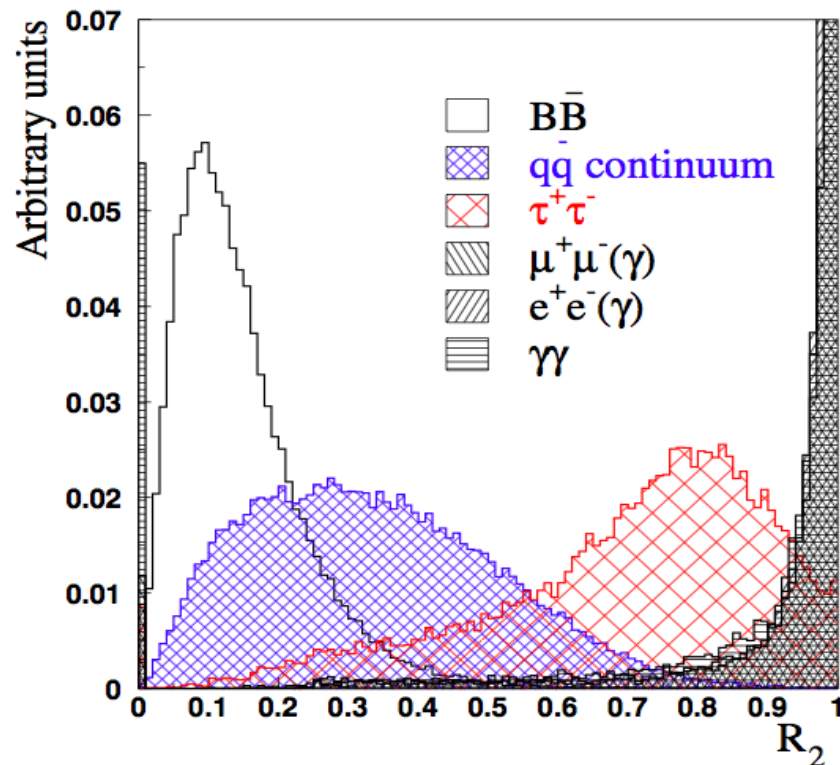
- Angle between the thrust axis of the particles associated with the signal decay and of the rest of the event

Continuum Rejection

- **Fox-Wolfram moments:** for N particles, moment H_k is defined in terms of the momenta \mathbf{p}_i of the particles and the angles between particle pairs, θ_{ij} :

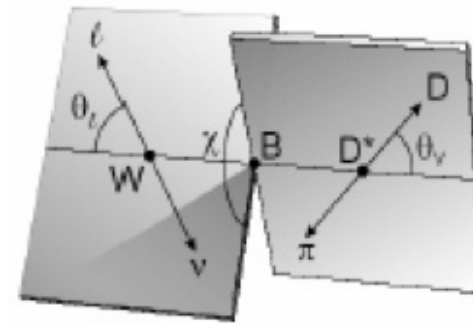
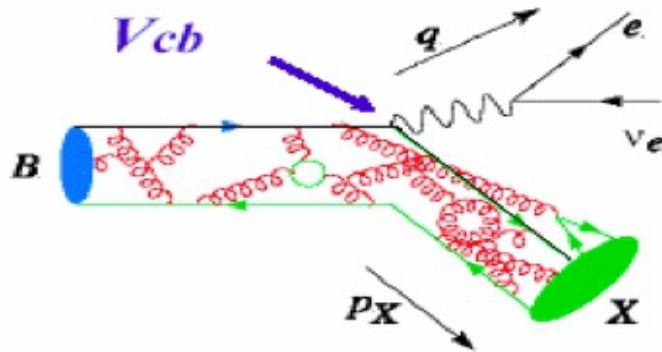
$$H_k = \sum_{i,j}^N |\mathbf{p}_i| |\mathbf{p}_j| P_k(\cos \theta_{ij})$$

(P_k : k-th order Legendre polynomial)



- In the limit of vanishing particle mass, $H_0=1$.
- Usually the ratio $R_k = H_k/H_0$ is used: for events with strongly collimated jets, R_k is close to zero (one) for odd (even) values of k

V_{cb} Measurements



Inclusive Measurements

VS

Exclusive Measurements

$$\Gamma_{sl}(b \rightarrow c l \nu) = \gamma_b^2 |V_{cb}|^2 = \frac{BR(b \rightarrow c l \nu)}{\tau_b}$$

→ HQE ($1/m_b, \alpha_s$) to deal with QCD perturbative + non-perturbative (not calculable) interactions

→ Experimentally: measure moments of kinematic variables (E_1, M_X) & $BR(b \rightarrow X_c l \nu)$

→ Major issue: unfold the true shapes from the measured ones

→ V_{cb} from the measured differential rate of $B \rightarrow D^{(*)} l \nu + OPE$

$$\frac{d\Gamma}{dw} \propto G(w) F(w)^2 |V_{cb}|^2, w = \vec{v}_B \cdot \vec{v}_D$$

→ Probe different FF parameterizations & QCD bounds

$|V_{cb}|$: Exclusive Measurement

Heavy-Quark Symmetry

- The properties of hadronic bound states composed of a heavy quark and other light constituents are characterized by a large separation of length scales (b quark / B hadron)
- The heavy quark is surrounded by a strongly interacting cloud of soft gluons and light quarks or antiquarks
- Strong coupling constant decreases with increasing q^2 of the process: the QCD scale $\Lambda_{\text{QCD}} \sim 0.5$ GeV approximately separates regions with perturbative (short distance) & non-perturbative (long distance) interactions.

- Size of the heavy hadron is determined by Λ_{QCD}
 - Compton wavelength $\lambda_b \sim 1/m_b \ll R_{\text{HAD}} \sim 1/\Lambda_{\text{QCD}} \sim 1$ fm:
Soft gluons ($p \sim \Lambda_{\text{QCD}}$) exchanged between the heavy quark and the light ones do not resolve the heavy quark quantum numbers (mass, flavor, spin)
- Light quarks are blind to the quantum number of the heavy quark, they experience only its color field which extends over distances larger than λ_b

$|V_{cb}|$: Exclusive Measurement

Heavy-Quark Symmetry

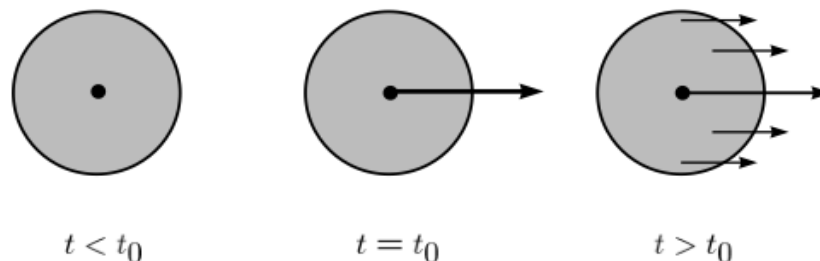
- The properties of hadronic bound states composed of a heavy quark and other light constituents are characterized by a large separation of length scales (b quark / B hadron)
- The heavy quark is surrounded by a strongly interacting cloud of soft gluons and light quarks or antiquarks
- Strong coupling constant decreases with increasing q^2 of the process: the QCD scale $\Lambda_{\text{QCD}} \sim 0.5 \text{ GeV}$ approximately separates regions with perturbative (short distance) & non-perturbative (long distance) interactions.

- In the limit $m_b \rightarrow \infty$ the hadron quantum numbers are defined by its light constituents: hadronic systems which differ only in the flavor or spin quantum numbers of the heavy quark have the same configuration of their light degrees of freedom

$|V_{cb}|$: Exclusive Measurement

Elastic scattering of a B meson induced by a current

- For $t < t_0$: light quark orbit around the heavy quark which acts as a static color source. The velocity v of the b quark is \sim the same of the B hadron one
- At $t = t_0$: due to the scattering, the color source speed changes from v to v'
- If $v = v'$ nothing happens; if $v \neq v'$ soft gluons exchange rearrange to form a B with the new velocity.
- For $m_b \rightarrow \infty$ the FF depend only on the Lorentz boost connecting the rest frames of the initial and final state mesons $w = v \cdot v' \geq 1$
- Transition amplitude is described by the single elastic FF $\xi(w)$ (Isgur-Wise function) [Isgur, Wise, Phys. Lett. B 232, 113 (1989), Phys. Lett. B 237, 527 (1990)]
- For zero recoil (maximum 4-momentum transfer) and neglecting corrections:



$$\xi(w=1) = 1$$

$|V_{cb}|$: Exclusive Measurement

- In the $B \rightarrow D^{(*)} l \nu$ decays, the heavy b quark is substituted by a c quark in the final state, indistinguishable from the previous in the heavy quark limit
- With an appropriate basis of FF, the transition matrix elements depend on the 4-velocities v_B and $v_{D^{(*)}}$ of the two heavy mesons:

$$\begin{aligned} \frac{\langle D | \bar{c} \gamma^\mu b | B \rangle}{\sqrt{m_B m_D}} &= h_+(w) (v_B + v_D)^\mu \\ &\quad + h_-(w) (v_B - v_D)^\mu, \\ \frac{\langle D^* | \bar{c} \gamma^\mu b | B \rangle}{\sqrt{m_B m_{D^*}}} &= h_V(w) \varepsilon^{\mu\nu\rho\sigma} v_{B,\nu} v_{D^*,\rho} \epsilon_\sigma^*, \\ \frac{\langle D^* | \bar{c} \gamma^\mu \gamma^5 b | B \rangle}{\sqrt{m_B m_{D^*}}} &= i h_{A_1}(w) (1+w) \epsilon^{*\mu} \\ &\quad - i [h_{A_2}(w) v_B^\mu + h_{A_3}(w) v_{D^*}^\mu] \epsilon^* \cdot v_B. \end{aligned}$$

$$w = v_B \cdot v_{D^{(*)}}; \quad 1 \leq w \leq 1.5$$

$$q^2 = (p_B - p_{D^{(*)}})^2 = m_B^2 + m_{D^{(*)}}^2 - 2m_B m_{D^{(*)}} w$$

The relevant FF are normalized at the point of zero recoil $w=1$ which corresponds to the maximum momentum transfer (B and D have the same 4-velocity):

$$q_{max}^2 = (m_B - m_{D^{(*)}})^2 = 10.69 \text{ GeV}^2$$

$$\begin{aligned} h_+(1) &= 1 + \mathcal{O}(\alpha_s) + \mathcal{O}((\Lambda_{\text{QCD}}/m_q)^2) \rightarrow \text{FF related to the different } D^* \text{ polarization states} \\ h_-(1) &= 0 + \mathcal{O}(\alpha_s) + \mathcal{O}(\Lambda_{\text{QCD}}/m_q), \rightarrow \text{Affected by perturbative and non-perturbative corrections to Heavy-Quark-Symmetry} \\ h_{A_1}(1) &= 1 + \mathcal{O}(\alpha_s) + \mathcal{O}((\Lambda_{\text{QCD}}/m_q)^2) \end{aligned}$$

$|V_{cb}|$: Exclusive Measurement

- V_{cb} from fit to the $B \rightarrow D^{(*)} l \nu$ decay rates

$$\frac{d\Gamma_{B^- \rightarrow D^0 l^- \bar{\nu}}}{dw} = \frac{G_F^2 m_D^3}{48\pi^3} (m_B + m_D)^2 (w^2 - 1)^{3/2} \times |\eta_{EW}|^2 |V_{cb}|^2 |\mathcal{G}(w)|^2,$$

$$\frac{d\Gamma_{B^- \rightarrow D^{0*} l^- \bar{\nu}}}{dw} = \frac{G_F^2 m_{D^*}^3}{4\pi^3} (m_B - m_{D^*})^2 (w^2 - 1)^{1/2} \times |\eta_{EW}|^2 |V_{cb}|^2 \chi(w) |\mathcal{F}(w)|^2,$$

- $\mathcal{G}(w)$, $\mathcal{F}(w)$ are combinations of the FF defined before

- $\eta_{EW} = 1.0066$ one-loop EW corrections

- For the $|V_{cb}|$ determination, D^* is better than D :

- Lower suppression of the rate at zero recoil $[(w^2-1)]^{1/2}$ vs $[(w^2-1)]^{3/2}$

- Luke's theorem: at zero recoil:

$$\rightarrow F(1) = 1 + O(\alpha_s) + O(\Lambda_{QCD}/m_Q)^2$$

$$\rightarrow G(1) = 1 + O(\alpha_s) + O(\Lambda_{QCD}/m_Q)$$

- The three polarization states of D^* increase the rate

$|V_{cb}|$: Exclusive Measurement

- Using Operator Product Expansion to compute the $(1/m_Q)^n$ corrections ($n=2, 3$)
[Gambino, Mannel, Uraltsev, Phys. Rev. D 81, 113002 (2010)]:
 $F(1)=0.86\pm 0.02$
- With lattice QCD the action is discretized on an Euclidean space-time lattice and calculations are performed numerically. In principle precision depends only on the computer resources. Most demanding CPU calculation is the treatment of the sea of virtual quark-antiquark pairs [Bailey et al. PoS LATTICE2010, 311 (2010)]:
 $F(1)=0.908\pm 0.017$
- Error from MC statistics, discretization errors, tuning of the bare quark masses, High $m_b \rightarrow$ low spacing, low $m_{u/d} \rightarrow$ large volume.
- Difference $\sim 5\%$ between the two estimations could derive from a breakdown of the OPE which cannot converge at scales lower than 1 GeV (long distance contributions).
- For $B \rightarrow D$ decays (analogous behaviour):
 $G(1)=1.04\pm 0.02$ HQE calculations, [Uraltsev, Phys. Lett. B 585, 253-262(2004)]
 $G(1)=1.074\pm 0.024$ LQCD, [Okamoto, Nucl. Phys. Proc. Suppl. 140, 461-463 (2005)]

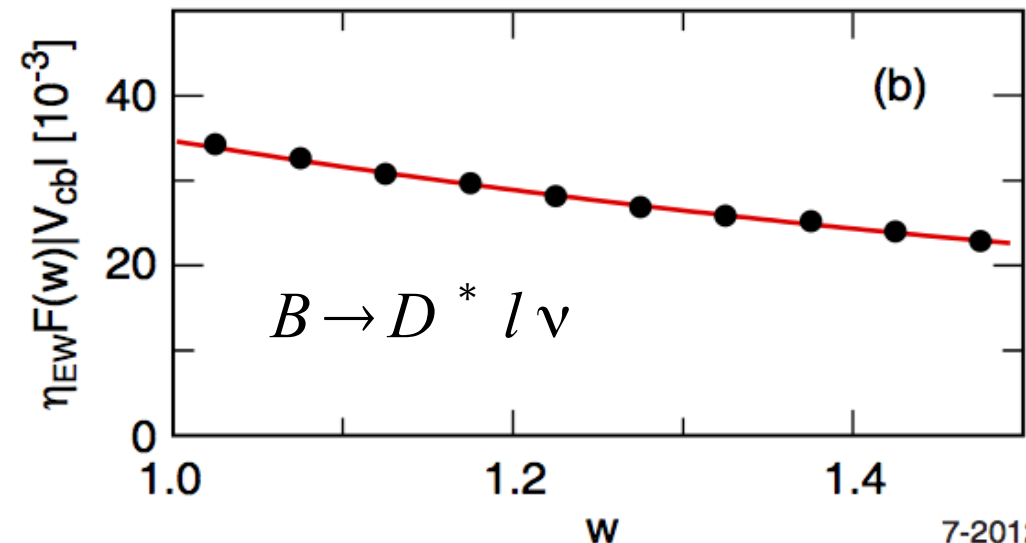
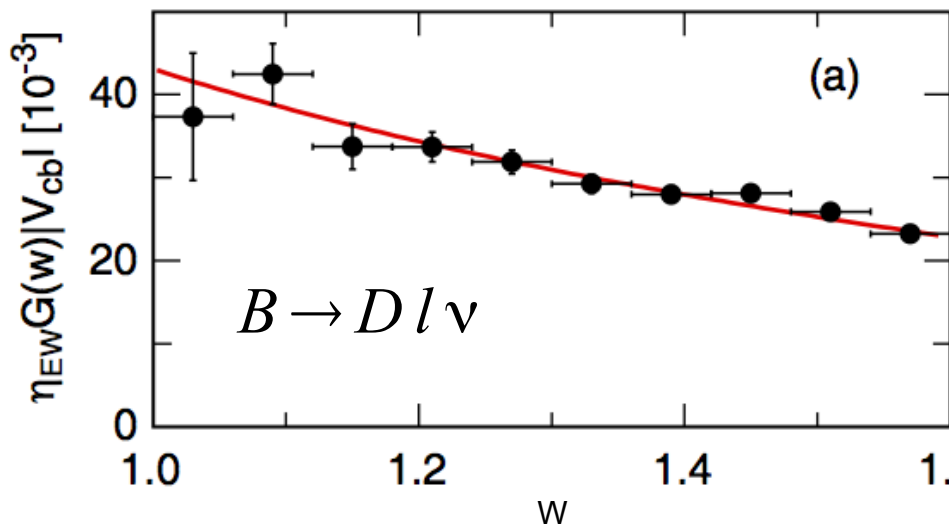
$|V_{cb}|$: Exclusive Measurement

- $B \rightarrow D^{(*)} l \nu$ decay measured at Belle and Babar assuming the HQET parameterization of $\eta_{EW} F(w)$ in terms of four parameters: $\eta_{EW} F(1)$, the slope $\rho_{D^*}^2$ and two FF ratios

$$R_1(1) = R^{*2} V(1)/A_1(1), \quad R_2 = R^{*2} A_2(1)/A_1(1); \quad (R^* = 2\sqrt{m_B m_{D^*}}/(m_B + m_{D^*}))$$

[Caprini, Lellouch, Neubert, Nucl. Phys. B530, 153-181 (1998)]

- Some analyses fit $d\Gamma/dw$ to determine $\eta_{EW} F(1)$ and the slope $\rho_{D^*}^2$, fixing $R_1(1)$ and $R_2(1)$ from other measurements



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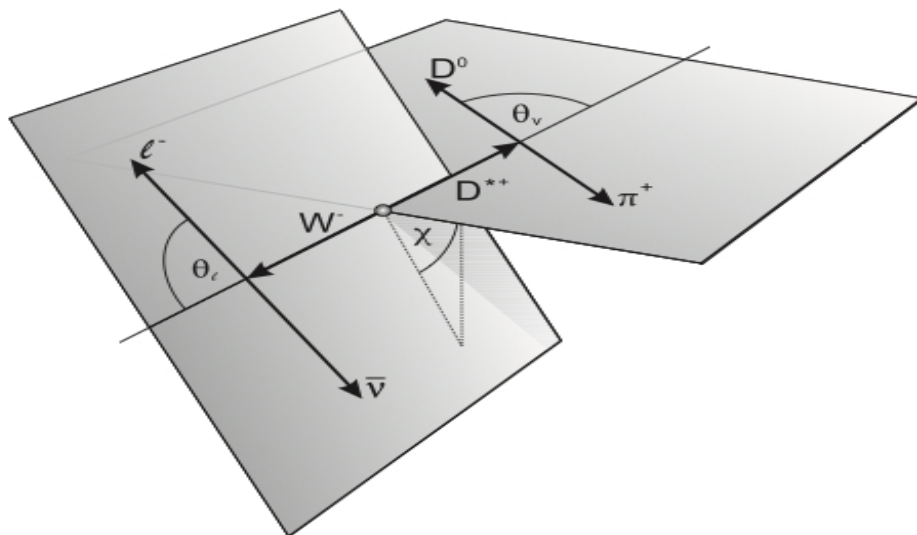
$|V_{cb}|$: Exclusive Measurement

- $B \rightarrow D^{(*)} l \nu$ decay measured at Belle and Babar assuming the HQET parameterization of $\eta_{EW} F(w)$ in terms of four parameters: $\eta_{EW} F(1)$, the slope $\rho_{D^*}^2$ and two FF ratios

$$R_1(1) = R^{*2} V(1)/A_1(1), \quad R_2 = R^{*2} A_2(1)/A_1(1); \quad (R^* = 2\sqrt{m_B m_{D^*}}/(m_B + m_{D^*}))$$

[Caprini, Lellouch, Neubert, Nucl. Phys. B530, 153-181 (1998)]

- Some analyses fit $d\Gamma/dw$ to determine $\eta_{EW} F(1)$ and the slope $\rho_{D^*}^2$, fixing $R_1(1)$ and $R_2(1)$ from other measurements
- More sophisticated analyses determine all the four parameters by fitting the differential rate in terms of w and three angles between:



- Θ_l : angle between lepton and direction opposite to B in the W rest frame
- Θ_ν : angle between D and direction opposite to B in the D^* rest frame
- χ : angle between D^* and W decay planes in the B rest frame

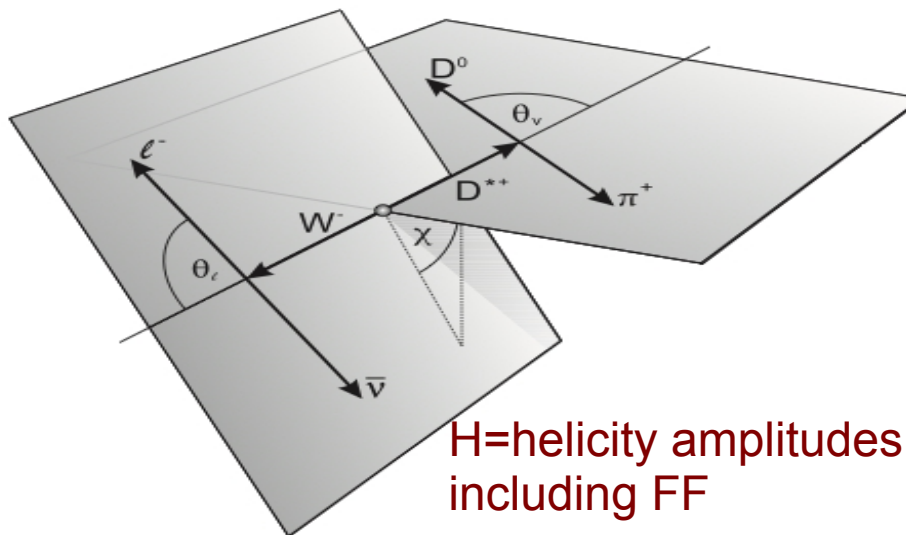
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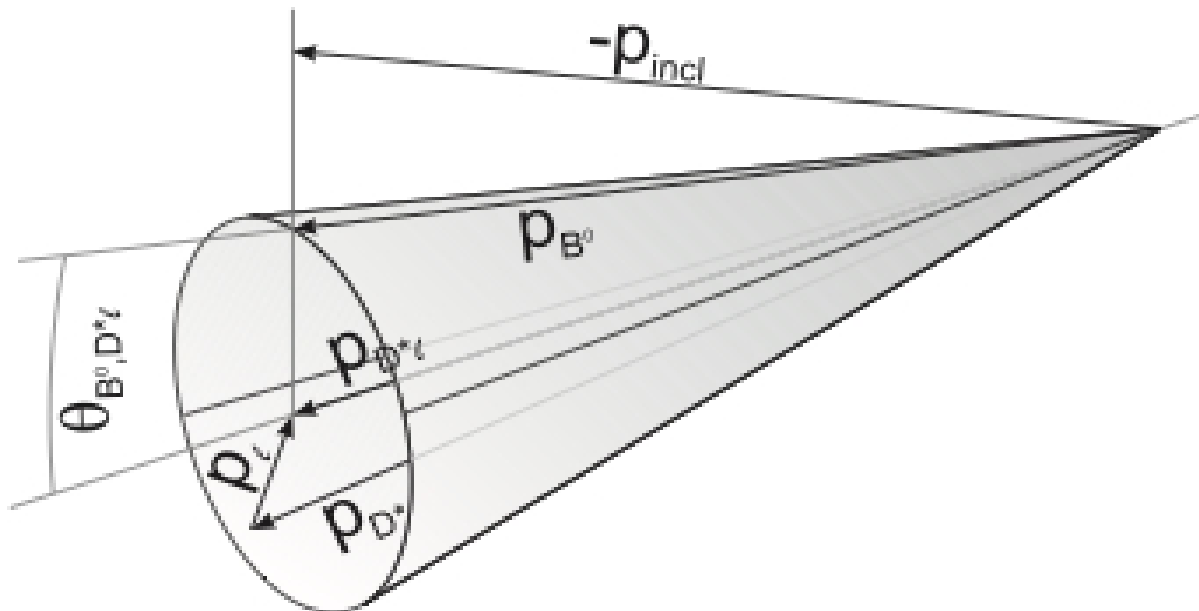


$$\frac{d^4\Gamma(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell)}{dw d(\cos \theta_\ell) d(\cos \theta_V) d\chi} = \frac{6m_B m_{D^*}^2}{8(4\pi)^4} \sqrt{w^2 - 1} (1 - 2wr + r^2) G_F^2 |V_{cb}|^2 \{ (1 - \cos \theta_\ell)^2 \sin^2 \theta_V H_+^2(w) + (1 + \cos \theta_\ell)^2 \sin^2 \theta_V H_-^2(w) + 4 \sin^2 \theta_\ell \cos^2 \theta_V H_0^2(w) - 2 \sin^2 \theta_\ell \sin^2 \theta_V \cos 2\chi H_+(w) H_-(w) - 4 \sin \theta_\ell (1 - \cos \theta_\ell) \sin \theta_V \cos \theta_V \cos \chi H_+(w) H_0(w) + 4 \sin \theta_\ell (1 + \cos \theta_\ell) \sin \theta_V \cos \theta_V \cos \chi H_-(w) H_0(w) \}$$

$|V_{cb}|$: Exclusive Measurement

Belle Measurement ($L=711 \text{ fb}^{-1}$) [Phys. Rev. D92, 112007 (2010)]

- Fully reconstruct 120k $B \rightarrow D^{*-} l^+ \nu$, $D^{*-} \rightarrow \bar{D}^0 \pi^-$; $\bar{D}^0 \rightarrow K^+ \pi^-$ events with $p_l = (0.8-2.4) \text{ GeV}$
- Neutrino direction not known, B direction constrained to a cone centered on the $Y = D^* + l$ direction using $\cos \theta_{BY}$. The B direction is chosen as the direction on the cone which minimizes the difference with the opposite of the sum of the momenta of all the particles not associated to the B meson, $-\mathbf{P}_{\text{incl}}$.

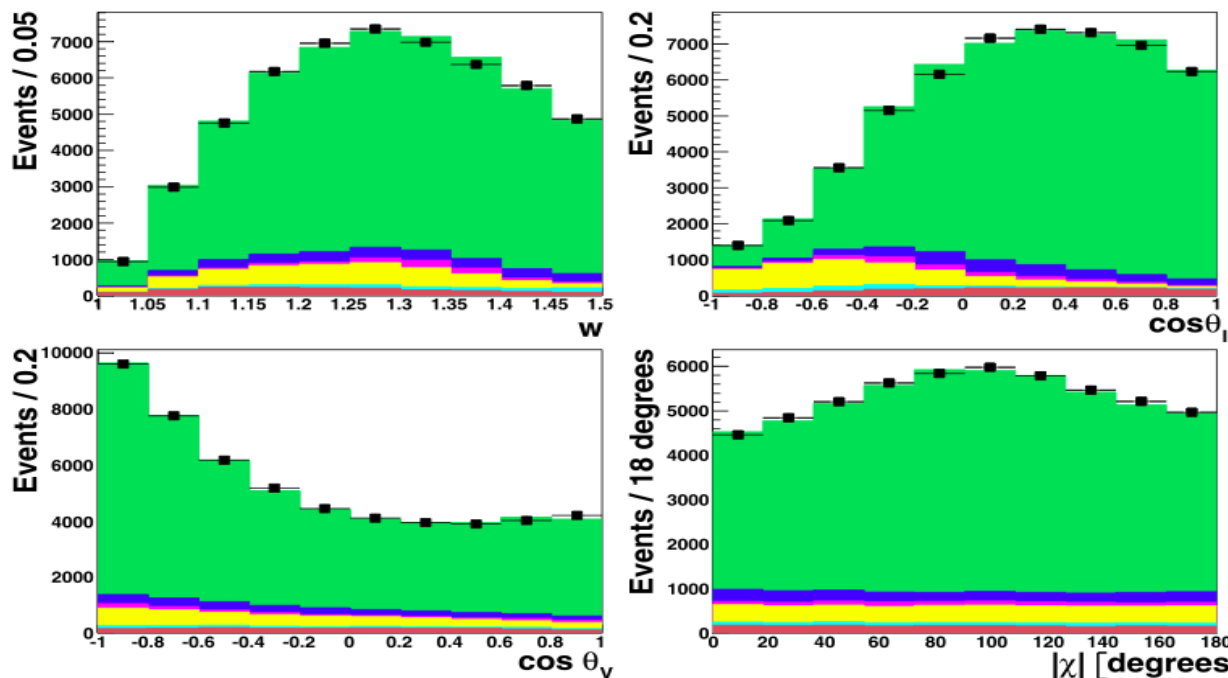


$$\begin{aligned} \sigma(w) &= 0.025 \\ \sigma(\cos \theta_l) &= 0.049 \\ \sigma(\cos \theta_\nu) &= 0.050 \\ \sigma(\chi) &= 13.5^\circ \end{aligned}$$

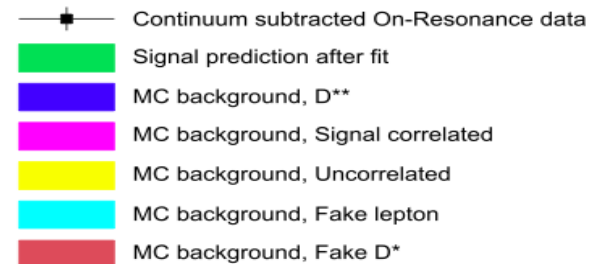
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Simultaneous Fit



Correlated BKG: D^* & lepton from the same B decay

$|V_{cb}|$: Exclusive Measurement

Belle Measurement ($L=711 \text{ fb}^{-1}$) [Phys. Rev. D92, 112007 (2010)]

	ρ^2	$R_1(1)$	$R_2(1)$	$\mathcal{F}(1) V_{cb} \times 10^3$	$\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$ [%]
Value	1.214	1.401	0.864	34.6	4.58
Statistical Error	0.034	0.034	0.024	0.2	0.03
Systematic Error	0.009	0.018	0.008	1.0	0.26
Fast track efficiency				-0.78	-0.206
Slow track efficiency	+0.002	+0.003	-0.004	-0.28	-0.059
ρ_{π_s} stability	+0.001	-0.001	+0.000	-0.03	-0.003
LeptonID	+0.002	+0.006	-0.002	-0.38	-0.100
Norm - D^{**}	+0.001	+0.001	-0.001	-0.03	-0.008
Norm - Signal Corr.	+0.002	-0.003	+0.002	+0.02	+0.006
Norm - Uncorr	+0.002	+0.008	-0.003	-0.02	-0.001
Norm - Fake ℓ	+0.003	-0.003	-0.001	-0.01	-0.003
Norm - Fake D^*	+0.001	-0.001	+0.000	+0.00	+0.003
Norm - Continuum	+0.002	+0.002	-0.001	+0.00	-0.003
D^{**} composition	+0.004	+0.009	-0.003	-0.10	-0.025
D^{**} shape	+0.003	+0.005	-0.002	-0.04	-0.011
$N(\Upsilon(4S))$				-0.24	-0.063
f_{+-}/f_{00}	+0.004	-0.009	+0.003	+0.24	+0.062
B^0 life time				-0.10	-0.027
$\mathcal{B}(D^* \rightarrow D^0 \pi_s)$				-0.13	-0.034
$\mathcal{B}(D^0 \rightarrow K\pi)$				-0.22	-0.059

Systematics on BR from track efficiency

Systematics on FF from D^{**} BKG composition (resonant / non resonant and different states) and D^{**} q^2 distribution shape

$|V_{cb}|$: Exclusive Measurement

Comparison between FF parameters and BRs measurements

- $B \rightarrow D^* \ell \nu$

Analysis	$\eta_{EW} \mathcal{F}(1) V_{cb} (10^{-3})$	$\rho_{D^*}^2$	$R_1(1)$	$R_2(1)$
Belle (Dungel, 2010)	$34.7 \pm 0.2 \pm 1.0$	$1.21 \pm 0.03 \pm 0.01$	$1.40 \pm 0.03 \pm 0.02$	$0.86 \pm 0.02 \pm 0.01$
BABAR $D^{*-} \ell^+ \nu$ (Aubert, 2008h)	$34.1 \pm 0.3 \pm 1.0$	$1.18 \pm 0.05 \pm 0.03$	$1.43 \pm 0.06 \pm 0.04$	$0.83 \pm 0.04 \pm 0.02$
BABAR $\bar{D}^{*0} e^+ \nu$ (Aubert, 2008v)	$35.1 \pm 0.6 \pm 1.3$	$1.12 \pm 0.06 \pm 0.06$		
BABAR $DX \ell \nu$ (Aubert, 2009ab)	$35.8 \pm 0.2 \pm 1.1$	$1.19 \pm 0.02 \pm 0.06$		
Average	$35.5 \pm 0.1 \pm 0.5$	$1.20 \pm 0.02 \pm 0.02$	$1.40 \pm 0.03 \pm 0.01$	$0.86 \pm 0.02 \pm 0.01$

Analysis	$\mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu) (\%)$
Belle (Dungel, 2010)	$4.59 \pm 0.03 \pm 0.26$ ¹⁵⁸¹⁰
BABAR $D^{*-} \ell^+ \nu$ (Aubert, 2008h)	$4.58 \pm 0.04 \pm 0.25$
BABAR $\bar{D}^{*0} e^+ \nu$ (Aubert, 2008v)	$4.95 \pm 0.07 \pm 0.34$
BABAR $DX \ell \nu$ (Aubert, 2009ab)	$4.96 \pm 0.02 \pm 0.20$
Average	$4.83 \pm 0.01 \pm 0.12$ ¹⁵⁸¹⁵

$|V_{cb}|$: Exclusive Measurement

Extraction of V_{cb}

- The different $B \rightarrow D^{(*)} l \nu$ measurements at the B-Factories are combined using a four-dimensional fit to the HQET parameters $\eta_{EW} F(1) |V_{cb}|$, $\rho_{D^*}^2$, $R_1(1)$, R_2

$$\begin{aligned} \eta_{EW} \mathcal{F}(1) |V_{cb}| &= (35.45 \pm 0.50) \times 10^{-3} & \eta_{EW} \mathcal{G}(1) |V_{cb}| &= (42.68 \pm 1.67) \times 10^{-3}, \\ \rho_{D^*}^2 &= 1.199 \pm 0.027, & \rho_D^2 &= 1.186 \pm 0.057, \\ R_1(1) &= 1.396 \pm 0.033, \\ R_2(1) &= 0.860 \pm 0.020. \end{aligned}$$

- Using LQCD results:

$$\eta_{EW} \mathcal{F}(1) = 0.908 \pm 0.017,$$

[Bailey et al. PoS LATTICE2010, 311 (2010)]

$$\mathcal{G}(1) = 1.074 \pm 0.024$$

[Okamoto, Nucl. Phys. Proc. Suppl. 140, 461-463 (2005)]

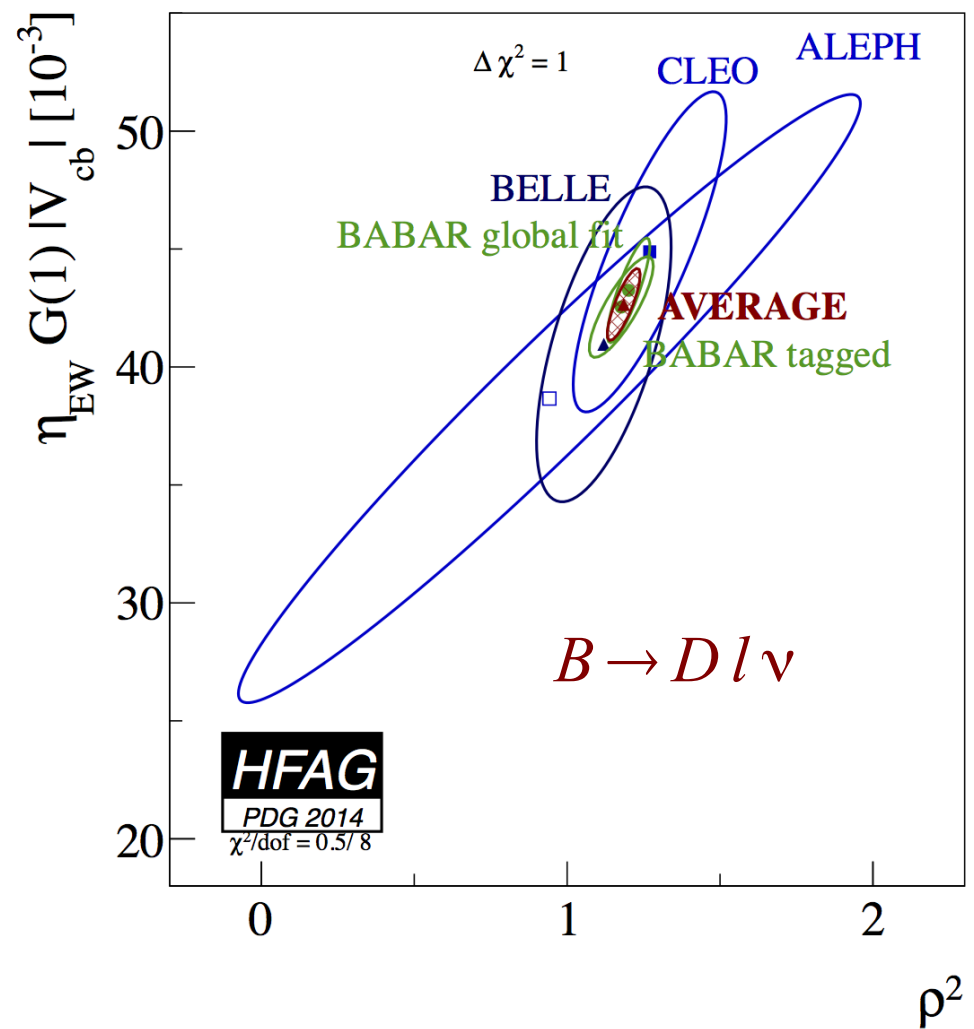
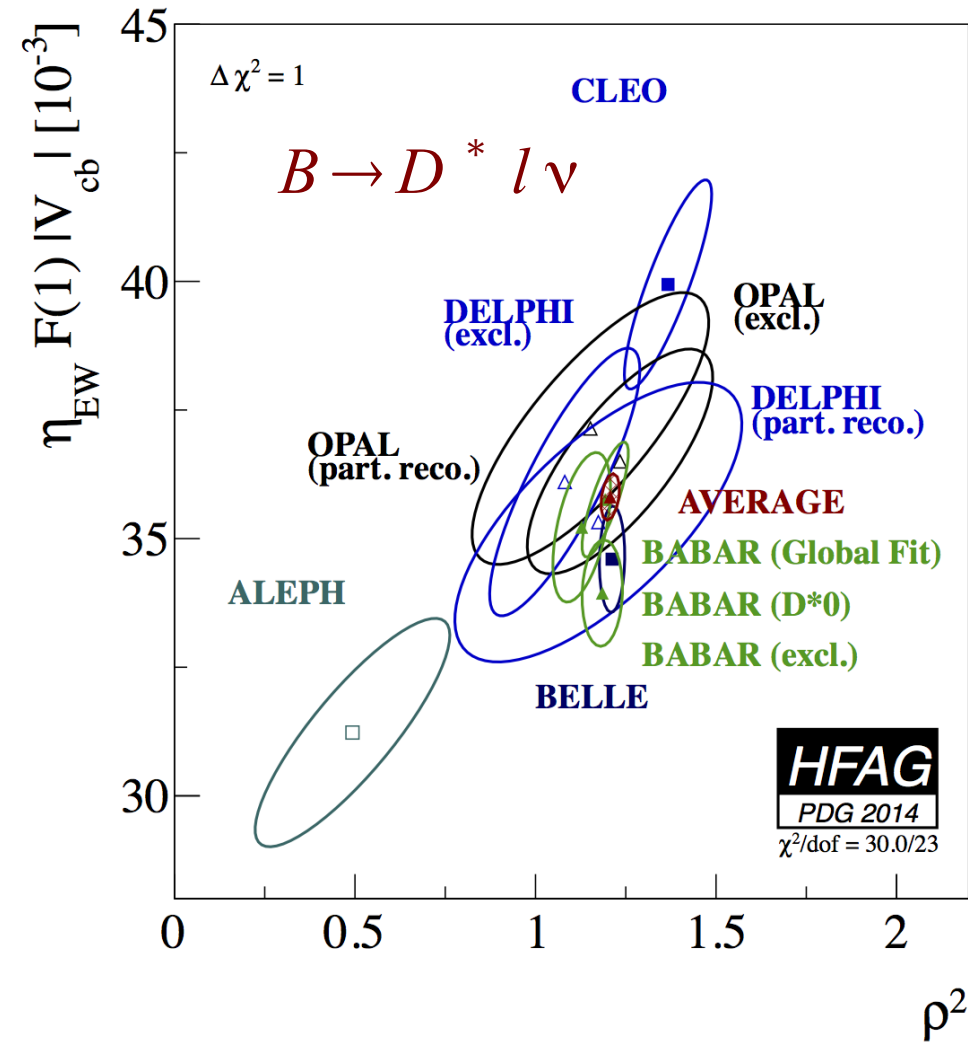
- One gets:

$$|V_{cb}| = (39.04 \pm 0.55_{\text{exp}} \pm 0.73_{\text{th}}) \times 10^{-3} \quad |V_{cb}| = (39.46 \pm 1.54_{\text{exp}} \pm 0.88_{\text{th}}) \times 10^{-3}$$

In good agreement between the different channels
Error dominated by theory

$|V_{cb}|$: Exclusive Measurement

$|V_{cb}| = (39.5 \pm 0.8) 10^{-3}$ (average of the two LQCD results, PDG'14)



$|V_{cb}|$: Inclusive Measurement

Inclusive Cabibbo-favored decays (most precise $|V_{cb}|$ determination)

- Inclusive decays include all possible hadronic Final States. Heavy quark hadronizes with unit probability and the transition is sensitive only to the long-distance dynamics of the initial B meson (no FF dependence related to final states).
- Operator Product Expansion disentangles the physics associated with soft scales of order Λ_{QCD} (matrix elements) from that associated with hard scales $\sim m_b$ (Wilson coefficients expressed as a perturbative series in α_s): cutoff $\mu=O(1 \text{ GeV})$ computed in different schemes
[Bigi et al., Phys. Rev. Lett. 71, 496-499 (1993), Bigi et al., Phys. Lett. B 293, 430-436 (1992), Blok et al., Phys. Rev. D 49, 3356 (1994)]

$|V_{cb}|$: Inclusive Measurement

Observables

- Total rate $\Gamma(B \rightarrow X_c l \nu)$
- Lepton energy moment of order n, above a given threshold for the lepton energy $E_l > E_{\text{cut}}$:

$$\langle E_\ell^n \rangle = \frac{1}{\Gamma_{E > E_{\text{cut}}}} \int_{E > E_{\text{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}$$

- Hadronic mass moment of order n:

$$\langle m_X^{2n} \rangle = \frac{1}{\Gamma_{E > E_{\text{cut}}}} \int_{E > E_{\text{cut}}} dm_X^2 m_X^{2n} \frac{d\Gamma}{dm_X^2}$$

m_X^2 : squared mass of the hadronic system

- Total semileptonic width and moments of the kinematic distributions are **double expansions** in α_s and Λ_{QCD}/m_b with relevant parameters: m_b , m_c , matrix elements and α_s
- **Total rate allows $|V_{cb}|$ extraction**
- Method limited by higher order contribution, and quark-hadron duality violation [Bigi, Uraltsev, Int. J. Mod. Phys. A16, 5201-5248 (2001)]

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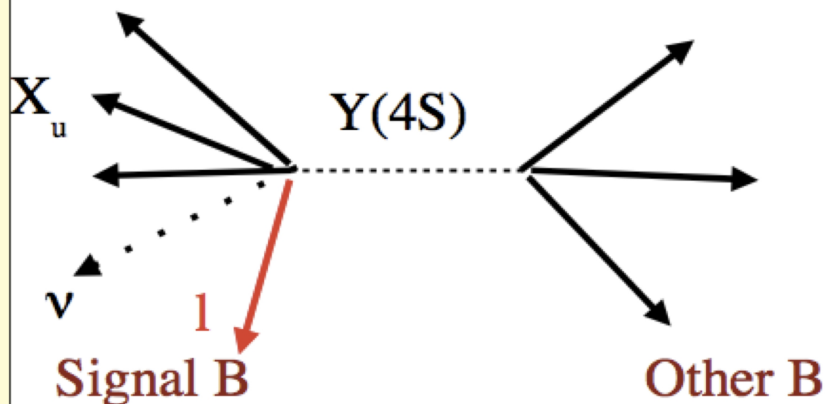
- OPE parameters obtained from moments fit and b mass are important inputs also for the $|V_{ub}|$ inclusive determination and $B \rightarrow X_s \gamma$ decays.
 - Moments of the photon energy distribution in $B \rightarrow X_s \gamma$ decays, sensitive to m_b , can be included in fits to V_{cb} .

V_{cb} Inclusive Measurements: Strategy

STUDY

Inclusive variables:

- $BR(b \rightarrow X_c l \nu)$
- Lepton energy spectrum (better experimental precision)
- Hadronic mass spectrum (more sensitive to non-perturbative terms)



USING

- Recoil of Fully Reconstructed $B \rightarrow D^{(*)} X$ (clean environment, small sample)
- High momentum lepton (high efficiency)

→ V_{cb} & non-perturbative parameters from a global fit to several distributions

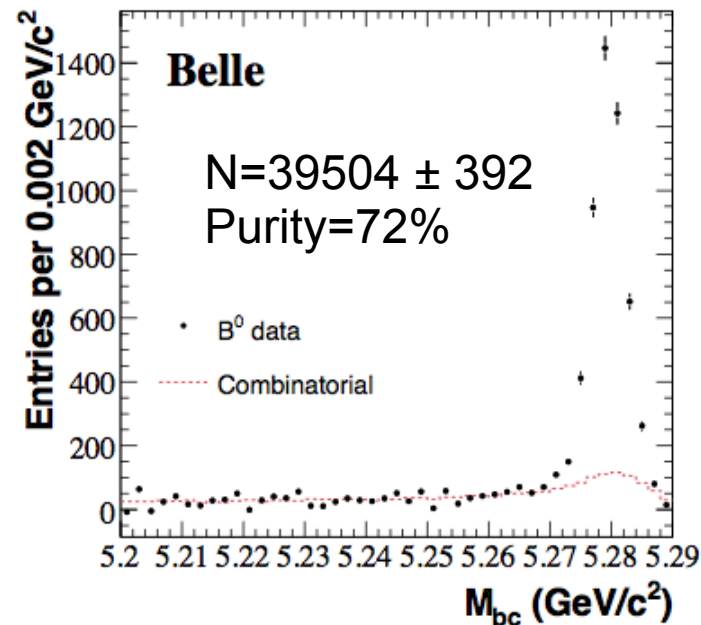
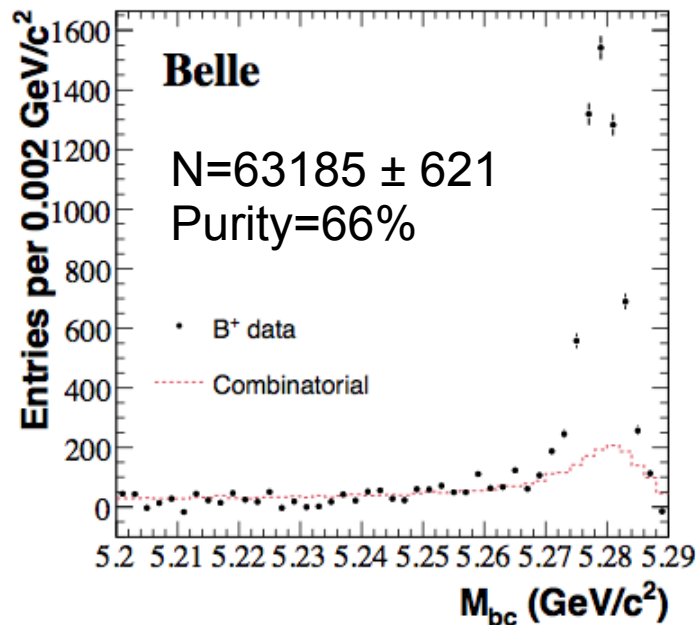
$|V_{cb}|$: Inclusive Measurement

Belle Measurement ($L=140 \text{ fb}^{-1}$)

[Phys. Rev. D75, 032001 (2007), Phys. Rev. D75, 032005 (2007)]

- Measured spectra of electron energy and hadronic mass in $B \rightarrow X_c l \nu$ decays on the recoil of a fully reconstructed B hadronic decay (B_{tag}) in the modes

$$B^+ \rightarrow \bar{D}^{(*)0} \pi^+, \bar{D}^{(*)0} \rho^+, \bar{D}^{(*)0} a_1^+ \quad \text{and} \quad B^0 \rightarrow D^{*-} \pi^+, D^{*-} \rho^+, D^{*-} a_1^+$$



- Continuum from off-peak rescaled events

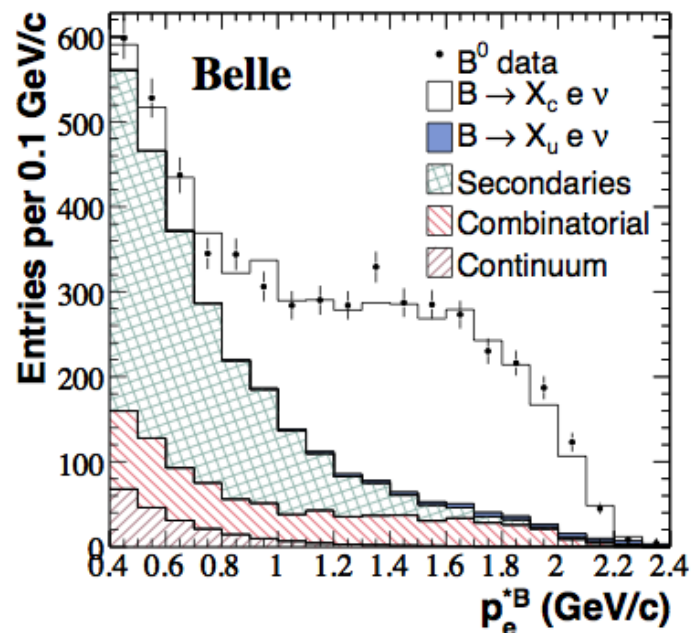
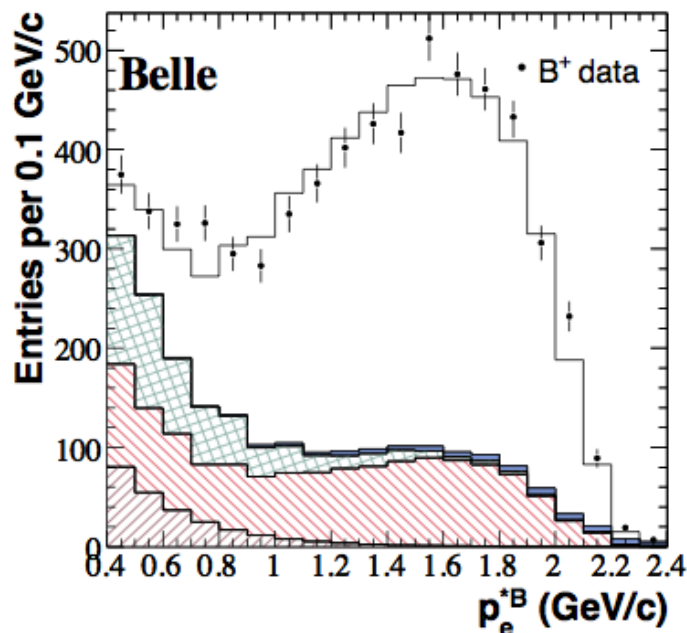
- BB combinatorial shape from MC, normalization from Sideband

$|V_{cb}|$: Inclusive Measurement

Belle Measurement ($L=140 \text{ fb}^{-1}$)

[Phys. Rev. D75, 032001 (2007), Phys. Rev. D75, 032005 (2007)]

- The B semileptonic decay is identified by searching for a charged electron among the particles not used for B_{tag} reconstruction with momentum $0.4 < p_e^* < 2.4 \text{ GeV}$ in the B rest frame

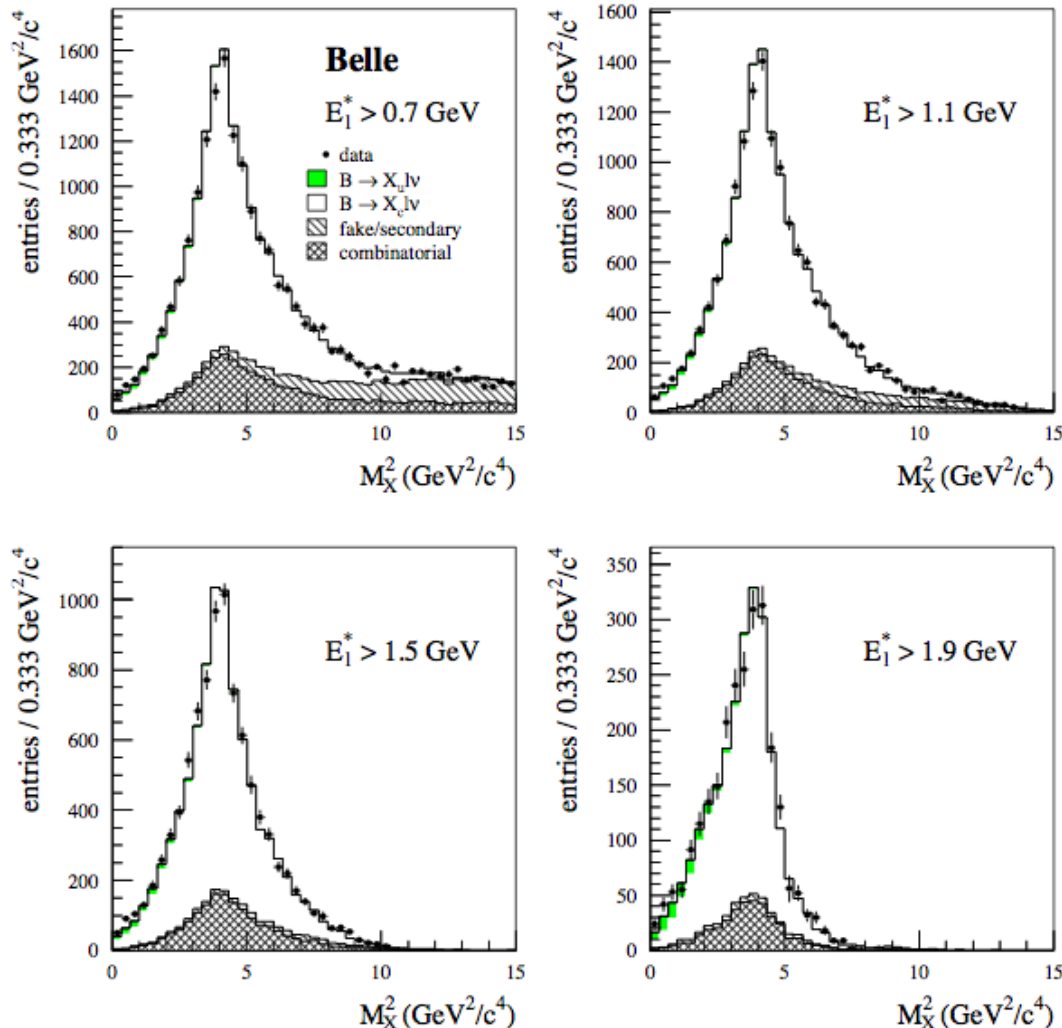


- Cascade SL decays $b \rightarrow c \rightarrow e$ suppressed in the B^+ sample using charge correlation (not possible in the B^0 sample due to mixing: higher BKG)
- $B \rightarrow X_u$ decays subtracted assuming the BR from PDG

$|V_{cb}|$: Inclusive Measurement

Belle Measurement ($L=140 \text{ fb}^{-1}$)

[Phys. Rev. D75, 032001 (2007), Phys. Rev. D75, 032005 (2007)]

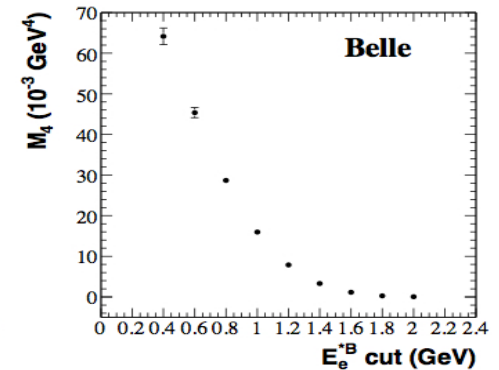
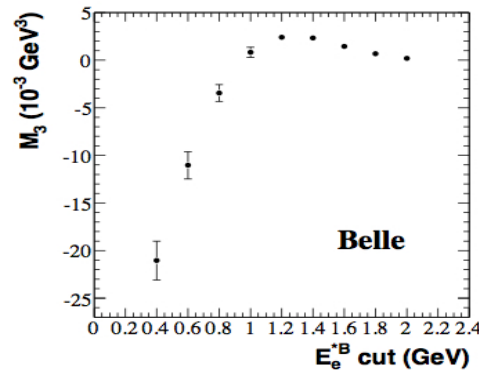
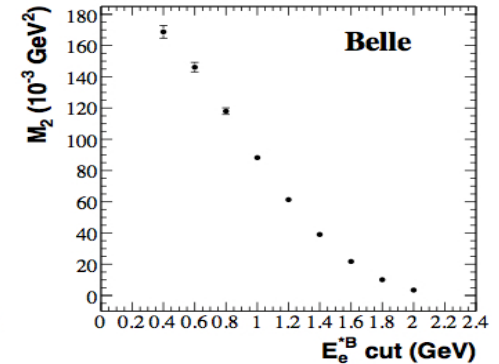
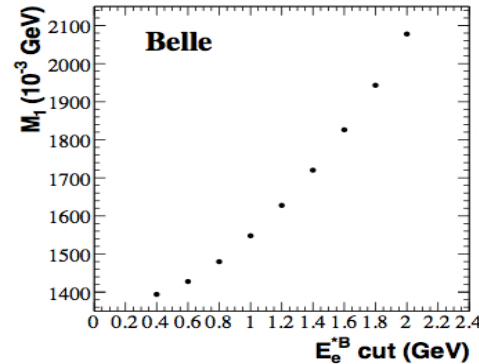
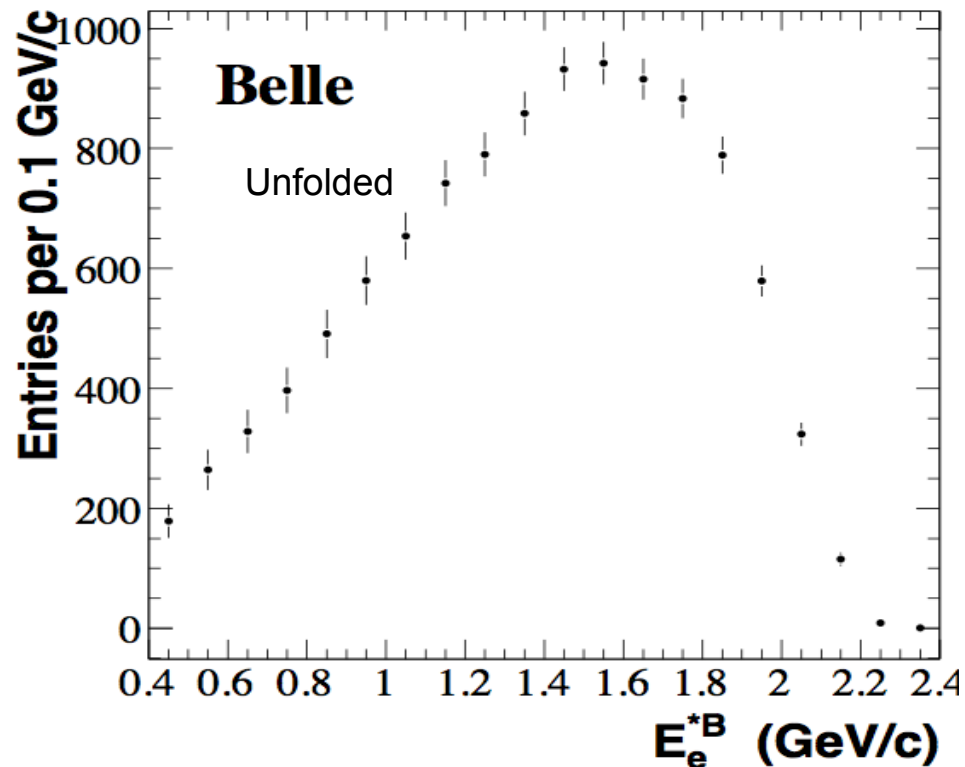


- All the particles in the event, excluding the B_{tag} and the lepton in the signal side, are combined to reconstruct the hadronic X system.
- M_X measured for different lepton energy thresholds in the B rest frame
- Observed spectra distorted by resolution & acceptance corrected by unfolding and QED radiative effects
[Hocker, Kartelishvili, Nucl. Instrum. Meth. A372, 469-481 (1996)]

$|V_{cb}|$: Inclusive Measurement

Belle Measurement ($L=140 \text{ fb}^{-1}$)

[Phys. Rev. D75, 032001 (2007), Phys. Rev. D75, 032005 (2007)]

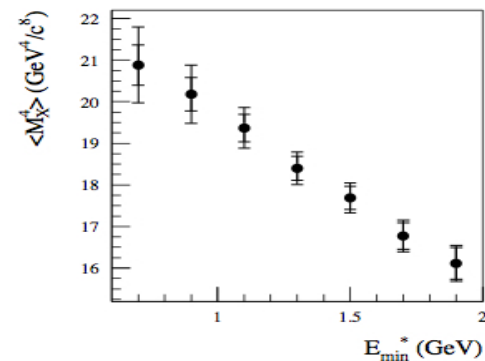
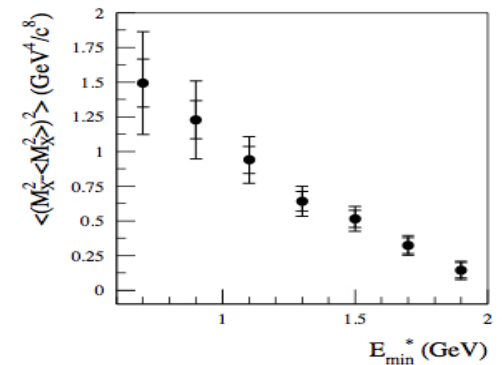
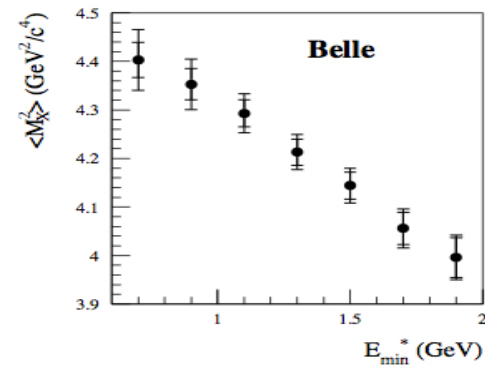
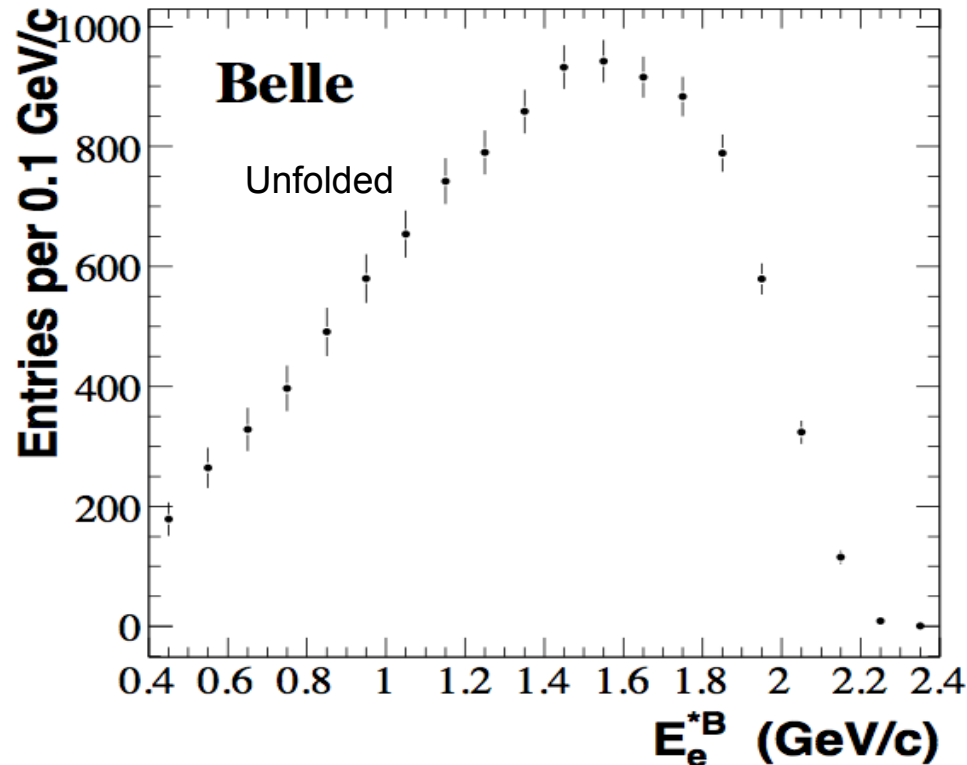


- Lepton Energy Moments up to the 4th order are measured with minimum E_e from 0.4 to 2.0 GeV
- Systematics from event selection, D^{**} modeling and BB BKG subtraction using MC shapes

$|V_{cb}|$: Inclusive Measurement

Belle Measurement ($L=140 \text{ fb}^{-1}$)

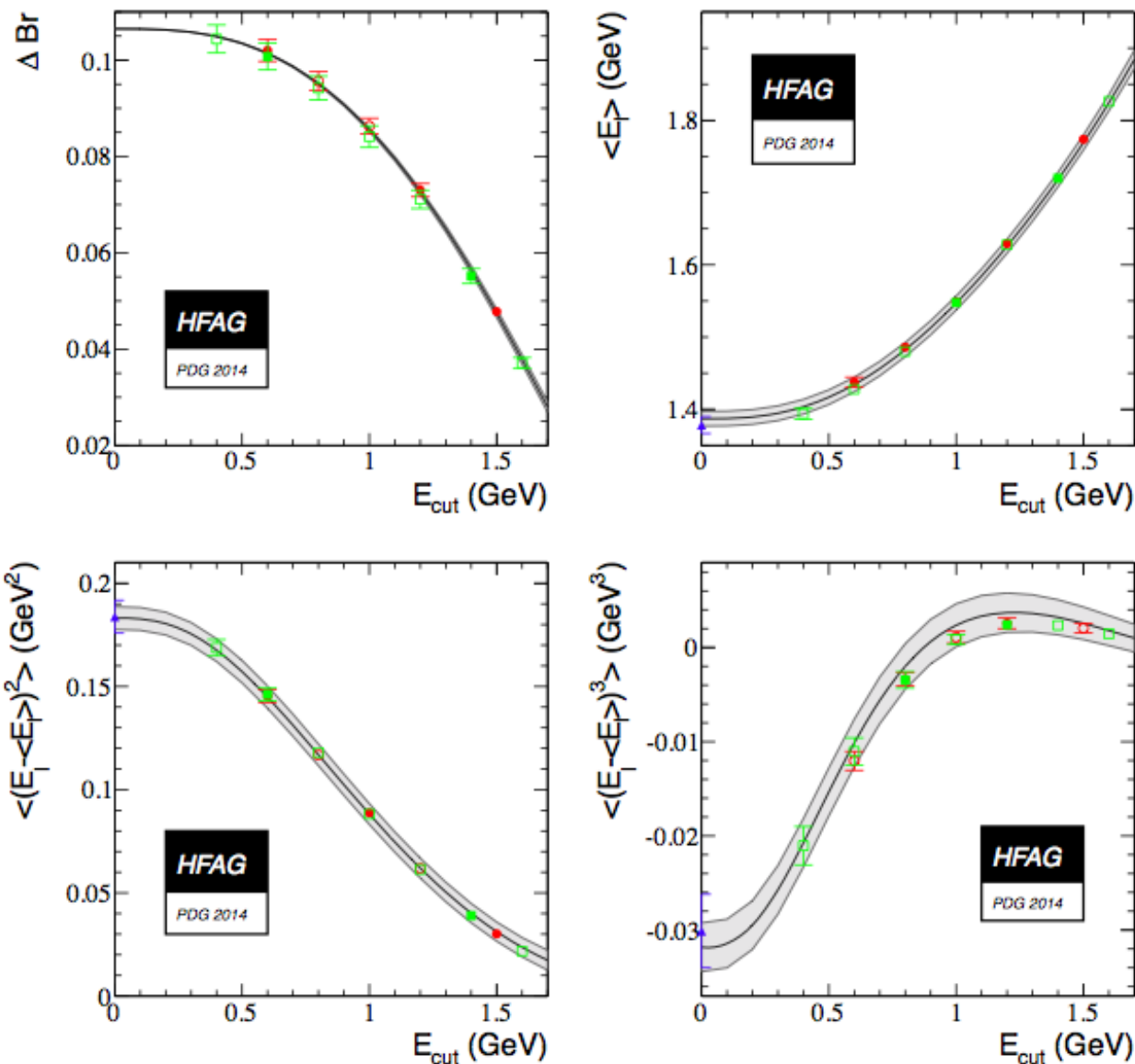
[Phys. Rev. D75, 032001 (2007), Phys. Rev. D75, 032005 (2007)]



- Hadronic Mass Moments are measured for orders 2-4 and minimum E_e from 0.7 to 1.9 GeV
- Systematics from event selection, D^{**} modeling and BB BKG subtraction using MC shapes

$|V_{cb}|$: Inclusive Measurement

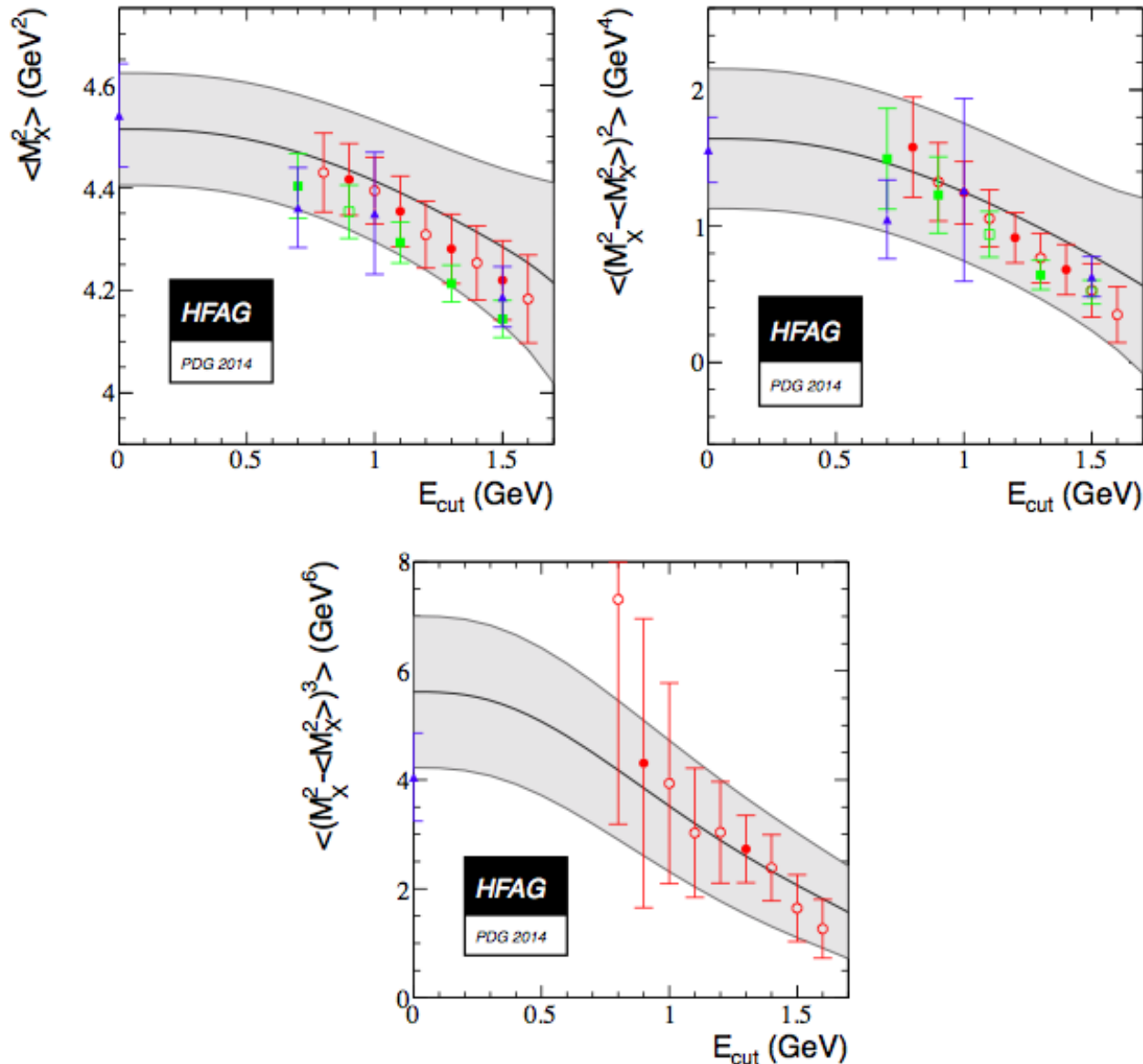
- Leptonic energy spectrum moments fit [HFAG 2014, arXiv:1412.7515]



- Grey band: theory prediction with total error (BaBar, Belle, Others)

$|V_{cb}|$: Inclusive Measurement

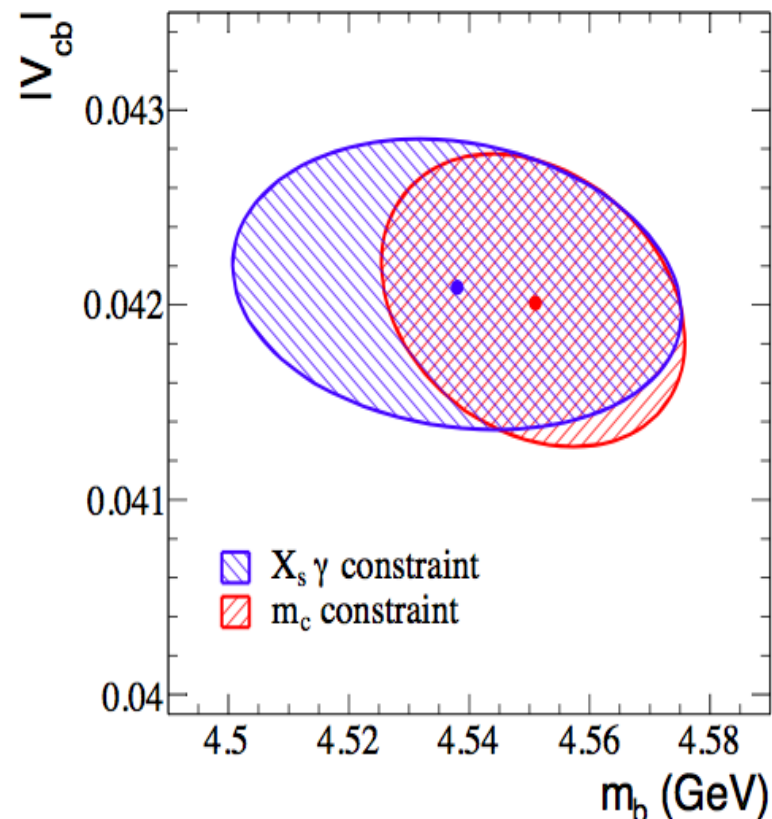
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- Grey band: theory prediction with total error (BaBar, Belle, Others)

$|V_{cb}|$: Inclusive Measurement

- $|V_{cb}|$, m_b and OPE parameters extracted with a fit to 54 moments from the B-Factories (only external input B meson lifetime)



- Precision increased by using constraint from $B \rightarrow X_s \gamma$ or m_c from LCSR [arXiv:1102.2264]:

Constraint	$ V_{cb} (10^{-3})$	$m_b^{\text{kin}} (\text{GeV})$	$\mu_\pi^2 (\text{GeV}^2)$
$B \rightarrow X_s \gamma$	$42.09 \pm 0.46 \pm 0.59$	4.538 ± 0.038	0.515 ± 0.045
$m_c(3 \text{ GeV})$	$42.01 \pm 0.47 \pm 0.59$	4.551 ± 0.025	0.499 ± 0.044

- Fit gives also:
 $\text{BR}(B \rightarrow X_c | \nu) = (10.86 \pm 0.16)\%$

$$|V_{cb}|_{\text{incl}} = (42.01 \pm 0.47_{\text{exp}} \pm 0.59_{\text{th}}) \times 10^{-3}$$

Error dominated by theory

Summary on $|V_{cb}|$

- $|V_{cb}|$:
 - From fits to $B \rightarrow X_c \ell \nu$ moments total error $\sim 1.8\%$
 $|V_{cb}|_{\text{incl}} = [42.01 (1 \pm 0.011_{\text{exp}} \pm 0.014_{\text{th}})] \times 10^{-3}$
 - From exclusive decay $B \rightarrow D^* \ell \nu$ total error $\sim 2.3\%$
Using LQCD $|V_{cb}|_{\text{excl}} = [39.04 (1 \pm 0.014_{\text{exp}} \pm 0.019_{\text{th}})] \times 10^{-3}$
Using LCSR $|V_{cb}|_{\text{excl}} = [40.93 (1 \pm 0.014_{\text{exp}} \pm 0.023_{\text{th}})] \times 10^{-3}$
 - 2.5σ difference using LQCD, better agreement with LCSR
 - Discrepancy decreases including $B \rightarrow D \ell \nu$: $|V_{cb}| = (39.5 \pm 0.8) 10^{-3}$
- Discrepancy between exclusive and inclusive measurements is one of the most long standing tensions in the SM and still an open question